

Executive Branch Personnel

Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Schmidt, Douglas C.

Director, Operational Test and Evaluation, DoD - Department of Defense

Other Federal Government Positions Held During the Preceding 12 Months:

None

Names of Congressional Committees Considering Nomination:

- Committee on Armed Services
-

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Schmidt, Douglas C. [electronically signed on 07/17/2023 by Schmidt, Douglas C. in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Thompson, Scott F, Certifying Official [electronically signed on 12/05/2023 by Thompson, Scott F in Integrity.gov]

Other review conducted by

/s/ Dalheim, Karen, Ethics Official [electronically signed on 12/05/2023 by Dalheim, Karen in Integrity.gov]

/s/ Dalheim, Karen, Screener [electronically signed on 12/05/2023 by Dalheim, Karen in Integrity.gov]

U.S. Office of Government Ethics Certification

/s/ Apol, David, Certifying Official [electronically signed on 12/13/2023 by Apol, David in Integrity.gov]

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Vanderbilt University	Franklin, Tennessee	University/College	Professor of Computer Science	1/2003	Present
2	Carnegie Mellon University	Pittsburgh, Pennsylvania	University/College	Visiting Scientist	7/2005	Present
3	Keystone Strategy	Boston, Massachusetts	Corporation	Expert Witness Consultant for Software Intellectual Property	1/2016	Present
4	Droplets	Dallas, Texas	Corporation	Expert Witness Consultant for Software Intellectual Property	3/2020	4/2022
5	Latham and Watkins	New York, New York	Law Firm	Expert Witness Consultant for Software Intellectual Property	4/2021	2/2023
6	Desmarais	New York, New York	Law Firm	Expert Witness Consultant for Software Intellectual Property	4/2016	Present
7	Orrick	Los Angeles, California	Law Firm	Expert Witness Consultant for Software Intellectual Property	2/2021	Present

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
8	Quinn Emanuel	San Francisco, California	Law Firm	Expert Witness Consultant for Software Intellectual Property	5/2021	Present
9	Lee Sullivan Shea & Smith	Chicago, Illinois	Law Firm	Expert Witness Consultant for Software Intellectual Property	3/2021	Present
10	Gallagher & Kennedy	Phoenix, Arizona	Law Firm	Expert Witness Consultant for Software Intellectual Property	2/2021	9/2022
11	Bartlit Beck	Chicago, Illinois	Law Firm	Expert Witness Consultant for Software Intellectual Property	1/2021	Present
12	Ropes and Gray	Boston, Massachusetts	Law Firm	Expert Witness Consultant for Software Intellectual Property	7/2020	10/2021
13	Kaplan Fox	Los Angeles, California	Law Firm	Expert Witness Consultant for Software Intellectual Property	1/2021	Present
14	Fish and Richardson	Atlanta, Georgia	Law Firm	Expert Witness Consultant for Software Intellectual Property.	8/2022	Present

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
15	Hogan and Lovells	Washington , District of Columbia	Law Firm	Expert Witness Consultant for Software Intellectual Property	1/2023	3/2023
16	BureauBrandeis	Amsterdam, Netherlands, Outside U.S.	Law Firm	Expert Witness Consultant for Software Intellectual Property	3/2022	8/2022
17	Quinn Emanuel	Perth, Australia, Outside U.S.	Law Firm	Expert Witness Consultant for Software Intellectual Property	10/2020	6/2021
18	Vanderbilt University	Nashville, Tennessee	University/Colleg e	Associate Provost for Research Development and Technologies	7/2018	7/2022
19	Vanderbilt University	Nashville, Tennessee	University/Colleg e	Co-Director of the Data Science Institute	7/2018	7/2022
20	Vanderbilt University	Nashville, Tennessee	University/Colleg e	Senior Research at the Institute for Software Integrated Systems	1/2003	Present
21	Vanderbilt University	Nashville, Tennessee	University/Colleg e	Associate Chair of Computer Science	8/2022	Present
22	Reichman Jorgensen	Redwood City, California	Law Firm	Expert Witness Consultant for Intellectual Property	3/2020	4/2022

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Vanderbilt University	N/A		Salary	\$455,713
2	Carnegie Mellon University	N/A		Consulting Fees	\$92,961
3	Keystone Strategy (Legal consulting)	N/A		Expert witness fees	\$87,450
4	Droplets (Technology licensing)	N/A		Expert witness fees	\$116,109
5	Latham and Watkins (Law firm)	N/A		Expert witness fees	\$93,030
6	Desmarais (Law firm)	N/A		Expert witness fees	\$64,450
7	Orrick (Law firm)	N/A		Expert witness fees	\$48,932
8	Quinn Emanuel (Law firm)	N/A		Expert witness fees	\$127,762
9	Lee Sullivan Shea & Smith (Law firm)	N/A		Expert witness fees	\$118,917
10	Gallagher & Kennedy (Law firm)	N/A		Expert witness fees	\$41,937
11	Bartlit Beck (Law firm)	N/A		Expert witness fees	\$58,858
12	Ropes and Gray (Law firm)	N/A		Expert witness fees	\$9,487
13	Kaplan Fox (Law firm)	N/A		Expert witness fees	\$96,035

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
14	Fish and Richardson (Law firm)	N/A		Expert witness fees	\$16,775
15	Hogan and Lovells (Law firm)	N/A		Expert witness fees	\$5,637
16	Washington University Defined Contribution Plan	No			
16.1	TIAA Traditional	N/A	\$50,001 - \$100,000		None (or less than \$201)
16.2	Vanguard Target Retirement 2030 fund (VTHR)	Yes	\$100,001 - \$250,000		None (or less than \$201)
17	Carnegie Mellon University Defined Contribution Plan	No			
17.1	Vanguard Target Retirement 2025 fund (VTTV)	Yes	\$100,001 - \$250,000		None (or less than \$201)
17.2	Vanguard Target Retirement 2030 fund (VTHR)	Yes	\$50,001 - \$100,000		None (or less than \$201)
18	Vanderbilt University 403(b) plan	Yes			
18.1	Vanguard Target Retirement 2025 fund (VTTV)	Yes	\$500,001 - \$1,000,000		None (or less than \$201)
19	Fidelity SEP-IRA	No			
19.1	Fidelity Freedom 2030 Fund (FFEX)	Yes	\$250,001 - \$500,000		None (or less than \$201)
19.2	Fidelity Freedom 2025 Fund (FTWX)	Yes	\$250,001 - \$500,000		None (or less than \$201)
20	Vanderbilt University 457(b) Plan	No			
20.1	Vanguard Target Retirement 2025 fund (VTTV)	Yes	\$50,001 - \$100,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
21	Book royalties (value not readily ascertainable)	No			
21.1	Frank Buschmann, Kevlin Henney, and Douglas C. Schmidt, Pattern-Oriented Software Architecture: On Patterns and Pattern Languages, Wiley and Sons, 2007	N/A		Rent or Royalties	\$201 - \$1,000
21.2	Frank Buschmann, Kevlin Henney, and Douglas C. Schmidt, Pattern-Oriented Software Architecture: A Pattern Language for Distributed Computing, Wiley and Sons, 2007	N/A		Rent or Royalties	\$201 - \$1,000
21.3	Douglas C. Schmidt and Steve Huston, C++ Network Programming: Systematic Reuse with ACE and Frameworks, Addison-Wesley Longman, 2003	N/A		Rent or Royalties	\$201 - \$1,000
21.4	Douglas C. Schmidt and Steve Huston, C++ Network Programming: Mastering Complexity with ACE and Patterns, Addison-Wesley Longman, 2002	N/A		Rent or Royalties	\$201 - \$1,000
21.5	Douglas C. Schmidt, Michael Stal, Hans Rohert, and Frank Buschmann, Pattern-Oriented Software Architecture: Concurrent and Networked Objects, John Wiley and Sons, 2000.	N/A		Rent or Royalties	\$201 - \$1,000
22	Video royalties from YouTube Channel (value not readily ascertainable) None of the individual videos met the reporting threshold.	N/A		Rent or Royalties	\$2,501 - \$5,000
23	BureauBrandeis (Law firm)	N/A		Expert witness fees	\$9,325

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Vanderbilt University	Nashville, Tennessee	I will take an unpaid, two-year leave of absence. I may request an extension of this time, which requires approval by the Vanderbilt University Provost. Pursuant to university policy, I will remain eligible during my leave of absence to receive dependent tuition benefits. I will receive no other benefits.	7/2023
2	Vanderbilt University	Nashville, Tennessee	I will continue to participate in my 403(b) and 457(b) plans. The plan sponsor will not make further contributions to these plans during my leave of absence.	1/2003
3	Carnegie Mellon University	Pittsburgh, Pennsylvania	I will continue to participate in this defined contribution plan. The plan sponsor will not make further contributions after my separation.	8/2010
4	Washington University	St. Louis, Missouri	I will continue to participate in this defined contribution plan. The plan sponsor made no further contributions after I left in 1999.	8/1994

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	Vanderbilt University	Franklin, Tennessee	Services as Professor of Computer Science, Associate Provost for Research and Technologies, Co-Director of the Data Science Institute, Senior Research at the Institute for Software Integrated Systems, and Associate Chair of Computer Science
2	Carnegie Mellon University	Pittsburgh, Pennsylvania	Services as Visiting Scientist on topics related to engineering of software-reliant systems.
3	Keystone Strategy	Boston, Massachusetts	Services as Expert Witness on Software Intellectual Property Cases.
4	Droplets	Dallas, Texas	Services as Expert Witness on an Software Intellectual Property Case (client of Reichman Jorgensen).
5	Latham and Watkins	New York, New York	Services as Expert Witness on Software Intellectual Property Cases.

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
6	Desmarais	New York, New York	Services as Expert Witness on Software Intellectual Property Cases.
7	Orrick	Los Angeles, California	Services as Expert Witness on Software Intellectual Property Cases.
8	Quinn Emanuel	San Francisco, California	Services as Expert Witness on Software Intellectual Property Cases.
9	Lee Sullivan Shea & Smith	Chicago, Illinois	Services as Expert Witness on Software Intellectual Property Cases.
10	Gallagher & Kennedy	Phoenix, Arizona	Services as Expert Witness on Software Intellectual Property Cases.
11	Bartlit Beck	Chicago, Illinois	Services as Expert Witness on a Software Intellectual Property Case that was a class-action lawsuit. The named plaintiffs are Mary Carr, Daniel Egerter, Zack Palmer, Serina Moglia, Matthew Atkinson, and Alex Iwamoto.
12	Ropes and Gray	Boston, Massachusetts	Services as Expert Witness on Software Intellectual Property Cases.
13	Kaplan Fox	Los Angeles, California	Services as Expert Witness on a Software Intellectual Property Case for a class-action lawsuit. The named plaintiffs are Attila Csupo, Andrew Burke, and Kerry Hecht.
14	Fish and Richardson	Atlanta, Georgia	Services as Expert Witness on Software Intellectual Property Cases.
15	Hogan and Lovells	Washington , District of Columbia	Services as Expert Witness on Software Intellectual Property Cases.
16	BureauBrandeis	Amsterdam, Netherlands, Outside U.S.	Services as Expert Witness on a Software Intellectual Property Case, which was "pre-litigation" so I therefore don't know who the client was.
17	Quinn Emanuel	Perth, Australia, Outside U.S.	Services as Expert Witness on Software Intellectual Property Cases.
18	ClubReady	Chesterfield, Missouri	Expert Witness services (client of Keystone Strategy)
19	VectorFlow	Saratoga, California	Expert Witness services (client of Keystone Strategy)

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
20	C3.ai	Redwood City, California	Expert Witness services (client of Quinn Emanuel)
21	Johnson and Johnson	New Brunswick, New Jersey	Expert witness services (client of Latham and Watkins)
22	Sonos	Santa Barbara, California	Expert witness services (client of Lee, Sullivan, Shea, & Smith and Orrick)
23	Samsung	San Jose, California	Expert witness services (client of Fish and Richardson).
24	Sikorsky	Stratford, Connecticut	Expert witness services (client of Hogan and Lovalls)
25	State of Arizona	Phoenix, Arizona	Expert witness services (client of Gallagher & Kennedy)
26	Salesforce	San Francisco, California	Expert witness services (client of Quinn Emanuel)
27	IBM	Armonk, New York	Expert witness services (client of Desmarais)
28	Palo Alto Networks	Palo Alto, California	Expert witness services (client of Ropes and Gray)
29	Reichman Jorgensen	Redwood City, California	Served as an expert witness for a software intellectual property case (for Droplets).

5. Spouse's Employment Assets & Income and Retirement Accounts

None

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	US Bank #1 (cash)	N/A	\$1,001 - \$15,000	Interest	None (or less than \$201)
2	US Bank #2 (cash)	N/A	\$250,001 - \$500,000	Interest	\$2,501 - \$5,000
3	Fidelity Investment Account	No			
3.1	Fidelity Freedom 2030 Fund (FFFEX)	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
3.2	Fidelity Freedom 2025 Fund (FFTWX)	Yes	\$50,001 - \$100,000		\$5,001 - \$15,000
4	TIAA Mutual Fund Account	No			
4.1	TIAA-CREF Core Bond Fund - Retail Class TIORX	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
4.2	TIAA-CREF Growth & Income Fund - Retail Class TIIRX	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
4.3	TIAA-CREF International Equity Fund - Retail Class TIERX	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
4.4	TIAA-CREF Large-Cap Value Fund - Retail Class TCLCX	Yes	\$1,001 - \$15,000		\$201 - \$1,000
4.5	TIAA-CREF Managed Allocation Fund - Retail Class TIMRX	Yes	\$15,001 - \$50,000		\$201 - \$1,000
4.6	TIAA-CREF Mid-Cap Growth Fund - Retail Class TCMGX	Yes	\$15,001 - \$50,000		None (or less than \$201)
4.7	TIAA-CREF Mid-Cap Value Fund - Retail Class TCMVX	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.8	TIAA-CREF Quant Small-Cap Equity Fund - Retail Class TCSEX	Yes	\$1,001 - \$15,000		None (or less than \$201)
5	Coca-Cola (KO) Stock #1	N/A	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6	Coca-Cola (KO) Stock #2	N/A	\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000

7. Transactions

(N/A) - Not required for this type of report

8. Liabilities

None

9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

Endnotes

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$480 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$480 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$192 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).
