

# Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

## Filer's Information

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Cunningham, Nelson

Deputy U.S. Trade Representative, Office of the U.S. Trade Representative

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Other Federal Government Positions Held During the Preceding 12 Months:

None

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Names of Congressional Committees Considering Nomination:

- Committee on Finance
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Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Cunningham, Nelson [electronically signed on 11/01/2023 by Cunningham, Nelson in Integrity.gov]

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Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Kaye, Janice A, Certifying Official [electronically signed on 01/29/2024 by Kaye, Janice A in Integrity.gov]

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Other review conducted by

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U.S. Office of Government Ethics Certification

/s/ Apol, David, Certifying Official [electronically signed on 02/05/2024 by Apol, David in Integrity.gov]

## 1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Business Council for International Understanding (BCIU)	New York, New York	Non-Profit	Vice Chair	6/2011	Present
2	American Security Project	Washington, District of Columbia	Non-Profit	Director	2/2005	Present
3	McLarty Associates LLC	Washington, District of Columbia	Corporation	President, Vice Chair	7/1998	8/2023
4	Institute of the Americas	La Jolla, California	Non-Profit	Director	1/2004	Present
5	NAFSA: Association of International Educators See Endnote	Washington, District of Columbia	Non-Profit	Director	1/2018	Present
6	US-India Strategic Partnership Forum	Washington, District of Columbia	Non-Profit	Director	7/2017	Present
7	Yale-NUS College	Singapore, Outside U.S.	University/College	Governing Board Member (Director)	2/2018	Present
8	International Foundation for Electoral Systems (IFES)	Washington, District of Columbia	Non-Profit	Director	10/2023	Present
9	Quberu Inc	San Francisco, California	Corporation	Director	3/2014	Present
10	Family Revocable Trust	Washington, District of Columbia	Trust	Trustee	4/2012	Present

## 2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Ankura Consulting (business consulting firm that owns McLarty Associates LLC)	N/A		Salary	\$554,167
2	Ankura Consulting (business consulting firm that owns McLarty Associates LLC)	N/A		Severance	\$87,500
3	Ankura Consulting 401(k)	No			
3.1	Vanguard Equity-Income Fund (VEIRX)	Yes	\$250,001 - \$500,000		None (or less than \$201)
3.2	Fidelity 500 Index Fund (FXAIX)	Yes	\$250,001 - \$500,000		None (or less than \$201)
3.3	Fidelity Large Cap Growth Index Fund (FSPGX)	Yes	\$250,001 - \$500,000		None (or less than \$201)
4	Ankura Consulting (business consulting firm that owns McLarty Associates LLC), vested restricted stock units	See Endnote	N/A	\$500,001 - \$1,000,000	None (or less than \$201)
5	IRRA account	No			
5.1	iShares Russell 1000 Value ETF (IWD)	Yes	\$50,001 - \$100,000		None (or less than \$201)
5.2	iShares Russell 1000 Growth ETF (IWF)	Yes	\$50,001 - \$100,000		None (or less than \$201)
5.3	Loomis Sayles Growth Fund (LSGRX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
5.4	Altria Group Inc stock (MO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.5	Blackstone Inc stock (BX)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.6	Broadcom Inc stock (AVGO)	N/A	\$15,001 - \$50,000		None (or less than \$201)
5.7	Cisco Systems Inc stock (CSCO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.8	Coca-Cola Co stock (KO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.9	Comcast Corp stock (CMCSA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.10	CVS Health Corp stock (CVS)	N/A	\$15,001 - \$50,000		None (or less than \$201)
5.11	Duke Energy Corp stock (DUK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.12	Eastman Chemical Co stock (EMN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.13	Eaton Corp PLC stock (ETN)	N/A	\$15,001 - \$50,000		None (or less than \$201)
5.14	Exxon Mobil Corp stock (XOM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.15	General Dynamics Corp stock (GD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.16	Home Depot Inc stock (HD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.17	Honeywell International Inc stock (HON)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.18	International Business Machines Corp stock (IBM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.19	JPMorgan Chase & Co stock (JPM)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.20	Kraft Heinz Co stock (KHC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.21	McDonald's Corp stock (MCD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.22	Medtronic PLC stock (MDT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.23	Merck & Co Inc stock (MRK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.24	MetLife Inc stock (MET)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.25	Morgan Stanley stock (MS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.26	Pfizer Inc stock (PFE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.27	Philip Morris International Inc stock (PM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.28	Phillips 66 stock (PSX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.29	Qualcomm Inc stock (QCOM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.30	Texas Instruments Inc stock (TXN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.31	United Parcel Service Inc stock (UPS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.32	Verizon Communications Inc stock (VZ)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.33	Wells Fargo & Co stock (WFC)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6	Ankura Consulting (business consulting firm that owns McLarty Associates LLC), anticipated severance	See Endnote	N/A	\$250,001 - \$500,000		None (or less than \$201)
7	MCLA Holdings LLC		No			
7.1	Ankura Consulting, agreement for purchase of McLarty Associates	See Endnote	N/A	\$1,000,001 - \$5,000,000	Payments received from Ankura in connection with sale of McLarty Associates	\$6,570,446
7.2	EJM Associates (energy consulting firm)	See Endnote	N/A	\$15,001 - \$50,000	Pro rata share of EJM earnings	\$55,220

### 3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY		CITY, STATE	STATUS AND TERMS	DATE
1	Ankura Consulting (wholly owns McLarty Associates LLC)		Washington, District of Columbia	Severance equal to one year's salary, payable in bimonthly installments through July 2024, per agreement with the company.	8/2023
2	Ankura Consulting (wholly owns McLarty Associates LLC)		Washington, District of Columbia	In connection with my employment at Ankura, I purchased vested restricted stock units that I will be permitted to sell upon the occurrence of certain events such as a sale of the company or registration on a stock exchange.	8/2023
3	Quberu Inc	See Endnote	San Francisco, California	Quberu is a defunct software start-up company that is in the process of dissolution. I served on the board and provided funding and in return received unvested restricted stock units, preferred stock, and stock options in 2014-2018. I expect to receive no value for my RSUs, shares, options, and loans.	3/2014
4	Ankura Consulting 401(k)		New York, New York	I will continue to participate in this defined contribution plan. Plan sponsor does not make contributions since my separation from the firm.	1/2023

#### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	Ankura Consulting (wholly owns McLarty Associates LLC)	Washington, District of Columbia	Services as President and Vice Chair of McLarty Associates, and severance
2	NBCUniversal	New York, New York	A client of McLarty Associates, to which I have provided services.

#### 5. Spouse's Employment Assets & Income and Retirement Accounts

None

#### 6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Brokerage Account #1	No			
1.1	BlackRock Liquidity Funds - FedFund (TFDXX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
1.2	Merrill Lynch Bank Deposit Program (cash account)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
1.3	Global X Robotics & Artificial Intelligence ETF (BOTZ)	Yes	\$50,001 - \$100,000		\$201 - \$1,000
1.4	State Street Health Care Select Sector SPDR Fund (XLV)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
1.5	iShares Core S&P 500 ETF (IVV)	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000



#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.6	iShares Russell Mid-Cap Growth ETF (IWP)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
1.7	iShares US Consumer Discretionary ETF (IYC)	Yes	\$50,001 - \$100,000		\$201 - \$1,000
1.8	State Street Energy Select Sector SPDR Fund (XLE)	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
1.9	State Street Financial Select Sector SPDR Fund (XLF)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
1.10	Vanguard Communication Services ETF (VOX)	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
1.11	Vanguard Dividend Appreciation ETF (IOV)	Yes	\$1,000,001 - \$5,000,000		\$15,001 - \$50,000
1.12	Vanguard Growth ETF (VUG)	Yes	\$500,001 - \$1,000,000		\$2,501 - \$5,000
1.13	Vanguard Health Care ETF (VHT)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
1.14	Vanguard Information Technology ETF (VGT)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
1.15	Vanguard Value ETF (VTV)	Yes	\$500,001 - \$1,000,000		\$5,001 - \$15,000
1.16	Vanguard 500 Index Fund (VFINX)	Yes	\$1,000,001 - \$5,000,000		\$15,001 - \$50,000
1.17	DoubleLine Shiller Enhanced CAPE (DSEEX)	Yes	\$100,001 - \$250,000		\$15,001 - \$50,000
1.18	Federated Hermes Kaufmann Small Cap Fund (FKASX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.19	Loomis Sayles Growth Fund (LSGRX)	Yes	\$250,001 - \$500,000		\$15,001 - \$50,000
1.20	MainStay Fiera SMID Growth Fund (APSGX)	Yes	\$50,001 - \$100,000		\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2	Brokerage Account #2	No			
2.1	Merrill Lynch Bank Deposit Program (cash account)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.2	Abbott Laboratories stock (ABT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.3	AbbVie Inc stock (ABBV)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
2.4	Accenture Plc stock (ACN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.5	Adobe Inc stock (ADBE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.6	Advanced Micro Devices Inc stock (AMD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.7	AFLAC Inc stock (AFL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.8	Agilent Technologies Inc stock (A)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.9	Air Products and Chemicals Inc stock (APD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.10	Alliant Energy Corp stock (LNT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.11	Allstate Corp stock (ALL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.12	Alphabet Inc Class A stock (GOOGL)	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.13	Alphabet Inc Class C stock (GOOG)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
2.14	Altria Group Inc stock (MO)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.15	Amazon.com Inc stock (AMZN)	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.16	American Express Co stock (AXP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.17	American International Group Inc stock (AIG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.18	American Tower Corp stock (AMT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.19	Ameriprise Financial Inc stock (AMP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.20	Amgen Inc stock (AMGN)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
2.21	Amphenol Corp stock (APH)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.22	Analog Devices Inc stock (ADI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.23	Aon PLC stock (AON)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.24	Apple Inc stock (AAPL)	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
2.25	Applied Materials Inc stock (AMAT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.26	Archer-Daniels-Midland Co stock (ADM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.27	AT&T Inc stock (T)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.28	Autodesk Inc stock (ADSK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.29	Automatic Data Processing Inc stock (ADP)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.30	Bank of New York Mellon Corp stock (BK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.31	Becton, Dickinson and Co stock (BDX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.32	Berkshire Hathaway Inc Class B stock (BRK.B)	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.33	BlackRock Inc stock (BLK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.34	Blackstone Inc stock (BX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.35	Boeing Co stock (BA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.36	Booking Holdings Inc stock (BKNG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.37	Boston Scientific Corp stock (BSX)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
2.38	Bristol-Myers Squibb Co stock (BMY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.39	Broadcom, Inc stock (AVGO)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
2.40	Cadence Design Systems Inc stock (CDNS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.41	Capital One Financial Corp stock (COF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.42	Carrier Global Corp stock (CARR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.43	Caterpillar Inc stock (CAT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.44	Cencora Inc stock (COR)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.45	Charter Communications Inc stock (CHTR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.46	Chevron Corp stock (CVX)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
2.47	Chipotle Mexican Grill Inc stock (CMG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.48	Chubb Ltd stock (CB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.49	Church & Dwight Co Inc stock (CHD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.50	Cigna Group stock (CI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.51	Cintas Corp stock (CTAS)	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.52	Cisco Systems, Inc stock (CSCO)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
2.53	Citizens Financial Group Inc stock (CFG)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
2.54	CME Group Inc stock (CME)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
2.55	Coca-Cola Co stock (KO)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
2.56	Colgate-Palmolive Co stock (CL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.57	Comcast Corp stock (CMCSA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.58	ConocoPhillips Co stock (COP)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
2.59	Constellation Brands Inc stock (STZ)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.60	Constellation Energy Corp stock (CEG)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.61	Copart Inc stock (CPRT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.62	Corning Inc stock (GLW)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.63	Costco Wholesale Corp stock (COST)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.64	Crown Castle Inc stock (CCI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.65	CSX Corp stock (CSX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.66	Cummins Inc stock (CMI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.67	CVS Health Corp stock (CVS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.68	Danaher Corp stock (DHR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.69	Deere & Co stock (DE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.70	Devon Energy Corp stock (DVN)	N/A	\$1,001 - \$15,000	Dividends	\$2,501 - \$5,000
2.71	DexCom Inc stock (DXCM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.72	Digital Realty Trust Inc stock (DLR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.73	Discover Financial Services stock (DFS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.74	Walt Disney Co stock (DIS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.75	Dollar Tree Inc stock (DLTR)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.76	Dominion Energy Inc stock (D)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.77	Duke Energy Corp stock (DUK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.78	DuPont de Nemours Inc stock (DD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.79	Eaton Corp PLC stock (ETN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.80	eBay Inc stock (EBAY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.81	Electronic Arts Inc stock (EA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.82	Elevance Health Inc stock (ELV)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.83	Eli Lilly And Co stock (LLY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.84	Emerson Electric Co stock (EMR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.85	EOG Resources Inc stock (EOG)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
2.86	Equinix Inc stock (EQIX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.87	Eversource Energy stock (ES)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.88	Exelon Corp stock (EXC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.89	Expeditors International of Washington Inc stock (EXPD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.90	Extra Space Storage Inc stock (EXR)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.91	Exxon Mobil Corp stock (XOM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.92	Fiserv Inc stock (FI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.93	Fortinet Inc stock (FTNT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.94	Freeport-McMoRan Inc stock (FCX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.95	General Electric Co stock (GE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.96	General Dynamics Corp stock (GD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.97	Gilead Sciences Inc stock (GILD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.98	Globe Life Inc stock (GL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.99	Goldman Sachs Group Inc stock (GS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.100	Halliburton Co stock (HAL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.101	HCA Healthcare Inc stock (HCA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.102	Healthpeak Properties Inc stock (PEAK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.103	The Hershey Co stock (HSY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.104	Hess Corp stock (HES)	N/A	\$1,001 - \$15,000		None (or less than \$201)



#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.105	Hilton Worldwide Holdings Inc stock (HLT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.106	Home Depot Inc stock (HD)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
2.107	Honeywell International Inc stock (HON)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.108	Humana Inc stock (HUM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.109	IDEXX Laboratories Inc stock (IDXX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.110	Illinois Tool Works Inc stock (ITW)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.111	Insulet Corp ticker (PODD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.112	Intel Corp ticker (INTC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.113	Intercontinental Exchange Inc stock (ICE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.114	International Business Machines Corp stock (IBM)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
2.115	International Flavors & Fragrances Inc stock (IFF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.116	Intuit Inc stock (INTU)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.117	Intuitive Surgical Inc stock (ISRG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.118	IQVIA Holdings Inc stock (IQV)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.119	Johnson & Johnson (JNJ)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.120	Johnson Controls International PLC stock (JCI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.121	JPMorgan Chase & Co stock (JPM)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
2.122	KLA Corp stock (KLAC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.123	Lam Research Corp stock (LRCX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.124	Estee Lauder Cos Inc stock (EL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.125	Leidos Holdings Inc stock (LDOS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.126	Linde PLC stock (LIN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.127	Lockheed Martin Corp stock (LMT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.128	Lowe's Companies Inc stock (LOW)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.129	Marathon Oil Corp stock (MRO)	N/A	\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500
2.130	Marathon Petroleum Corp stock (MPC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.131	Marriott International Inc stock (MAR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.132	Marsh & McLennan Cos Inc stock (MMC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.133	Martin Marietta Materials Inc stock (MLM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.134	Mastercard Inc stock (MA)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.135	McDonald's Corp stock (MCD)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
2.136	McKesson Corp stock (MCK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.137	Medtronic PLC stock (MDT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.138	Merck & Co Inc stock (MRK)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
2.139	Meta Platforms Inc stock (META)	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.140	Micron Technology Inc stock (MU)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.141	Microsoft Corp stock (MSFT)	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
2.142	Moderna Inc stock (MRNA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.143	Mondelez International Inc stock (MDLZ)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.144	Monster Beverage Corp stock (MNST)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.145	Motorola Solutions Inc stock (MSI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.146	MSCI Inc stock (MSCI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.147	Netflix Inc stock (NFLX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.148	NextEra Energy Inc stock (NEE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.149	Nike Inc stock (NKE)	N/A	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.150	Norfolk Southern Corp stock (NSC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.151	Northrop Grumman Corp stock (NOC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.152	NRG Energy Inc stock (NRG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.153	NVIDIA Corp stock (NVDA)	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.154	O'Reilly Automotive Inc stock (ORLY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.155	Occidental Petroleum Corp stock (OXY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.156	ONEOK Inc stock (OKE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.157	Oracle Corp stock (ORCL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.158	PACCAR Inc stock (PCAR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.159	Parker-Hannifin Corp stock (PH)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.160	Paychex Inc stock (PAYX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.161	PayPal Holdings Inc stock (PYPL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.162	PepsiCo Inc stock (PEP)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
2.163	Pfizer Inc stock (PFE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.164	Philip Morris International Inc stock (PM)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.165	Phillips 66 stock (PSX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.166	Pioneer Natural Resources Co stock (PXD)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
2.167	PNC Financial Services Group Inc stock (PNC)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
2.168	T Rowe Price Group Inc stock (TROW)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.169	Procter & Gamble Co stock (PG)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
2.170	Prologis Inc stock (PLD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.171	Prudential Financial Inc stock (PRU)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.172	Public Service Enterprise Group Inc stock (PEG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.173	Public Storage stock (PSA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.174	PulteGroup Inc stock (PHM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.175	Qualcomm Inc stock (QCOM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.176	Regeneron Pharmaceuticals Inc stock (REGN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.177	Regions Financial Corp stock (RF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.178	Republic Services Inc stock (RSG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.179	Roper Technologies Inc stock (ROP)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.180	Ross Stores Inc stock (ROST)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.181	RTX Corp stock (RTX)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
2.182	S&P Global Inc stock (SPGI)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
2.183	Salesforce Inc stock (CRM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.184	Schlumberger NV stock (SLB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.185	ServiceNow Inc stock (NOW)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.186	Sherwin-Williams Co stock (SHW)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.187	Southern Co stock (SO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.188	Starbucks Corp stock (SBUX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.189	Stryker Corp stock (SYK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.190	Synopsys Inc stock (SNPS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.191	T-Mobile US Inc stock (TMUS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.192	Tesla Inc stock (TSLA)	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.193	Texas Instruments Inc stock (TXN)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
2.194	Thermo Fisher Scientific Inc stock (TMO)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.195	TJX Cos Inc (TJX)	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.196	Tractor Supply Co stock (TSCO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.197	Union Pacific Corp stock (UNP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.198	United Parcel Service Inc stock (UPS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.199	United Rentals Inc stock (URI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.200	UnitedHealth Group Inc stock (UNH)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
2.201	U.S. Bancorp stock (USB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.202	Valero Energy Corp stock (VLO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.203	Verisk Analytics Inc stock (VRSK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.204	Verizon Communications Inc stock (VZ)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.205	Vertex Pharmaceuticals Inc stock (VRTX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.206	Visa Inc stock (V)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.207	WW Grainger Inc stock (GWW)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.208	Walmart Inc stock (WMT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.209	Waste Management Inc stock (WM)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.210	Wells Fargo & Co stock (WFC)		N/A	\$1,001 - \$15,000		None (or less than \$201)
2.211	WestRock Co stock (WRK)		N/A	\$1,001 - \$15,000		None (or less than \$201)
2.212	Xcel Energy Inc stock (XEL)		N/A	\$1,001 - \$15,000		None (or less than \$201)
2.213	Xylem Inc stock (XYL)		N/A	\$1,001 - \$15,000		None (or less than \$201)
2.214	Yum! Brands Inc stock (YUM)		N/A	\$1,001 - \$15,000		None (or less than \$201)
2.215	Zions Bancorporation NA stock (ZION)		N/A	\$1,001 - \$15,000		None (or less than \$201)
2.216	Zoetis Inc stock (ZTS)		N/A	\$1,001 - \$15,000		None (or less than \$201)
2.217	Morgan Stanley stock (MS)		N/A	None (or less than \$1,001)	Capital Gains Dividends	\$201 - \$1,000
2.218	Activision Blizzard stock (ATVI)		N/A	None (or less than \$1,001)	Capital Gains Dividends	\$1,001 - \$2,500
2.219	Citrix Systems stock (CTXS)		N/A	None (or less than \$1,001)	Capital Gains Dividends	\$201 - \$1,000
3	U.S. cash account		N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
4	PBGC (Lehman Brothers) defined benefit plan (value not readily ascertainable) eligible for approximately \$1,300 per month at the time former spouse retires	See Endnote	N/A			None (or less than \$201)
5	personal loan to Quberu Inc (defunct software start-up company)	See Endnote	N/A	\$15,001 - \$50,000		None (or less than \$201)



## 7. Transactions

(N/A) - Not required for this type of report

## 8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Bank of America	Mortgage on Personal Residence	\$1,000,001 - \$5,000,000	2021	1.875%	30 years

## 9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

## Endnotes

PART	#	ENDNOTE
1.	5	My term concludes at the end of 2023 and I expect to roll off the board.
2.	4	In connection with my employment at Ankura, I purchased restricted stock units that I will be permitted to sell upon the occurrence of certain events such as a sale of the company or registration on a stock exchange.
2.	6	Severance equal to one year's salary, payable in bimonthly installments through July 2024, per agreement with the company.
2.	7.1	There are two further payments due from Ankura, which are dependent on the performance of McLarty Associates in 2023 and 2024. Depending on performance, the total of these payments could vary between \$0 and an amount in excess of \$1,000,000.

PART	#	ENDNOTE
2.	7.2	MCLA owns a minority interest in EJM Associates in exchange for an investment made in EJM in 2017. This interest entitles MCLA to receive a pro rata share of EJM's earnings each year.
3.	3	My equity interests in Quberu currently have no value and produced no income as the company is now defunct.
6.	4	My former wife was a Lehman Brothers employee who will be entitled to a pension in connection with that employment, guaranteed by the PBGC. As her former husband, I will be entitled to a share of this pension when she retires.
6.	5	no repayment expected; start up did not launch and is in the process of dissolution

# Summary of Contents

## 1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

## 2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

#### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

#### 5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

#### 6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

## 8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

## 9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$480 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$480 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$192 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

## Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

## Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

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