

# Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

## Filer's Information

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Goldberg, Beth L

District Director, SBA

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Other Federal Government Positions Held During the Preceding 12 Months:

SES: (01/26/2015 - Present)

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Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

**[/s/ Beth Goldberg \[electronically signed by Beth Goldberg on 2023-05-11 09:47:09 in FDOonline\]](#)**

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Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below)

**[/s/ Marilyn Barnes, Super Administrator \[electronically signed by Marilyn Barnes on 2023-06-29 15:51:07 in FDOonline\]](#)**

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Other review conducted by:

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U.S. Office of Government Ethics Certification

## 1. Filer's Positions Held Outside United States Government

#	NAME	CITY, STATE	ORG TYPE	POSITION	FROM	TO
1	Auric Ventures, Ltd.	New York, NY, US	Consulting Firm	President	01/01/1988	Present
2	EKG Realty LLC	Marlboro, NJ, US	Real Estate	Partner	01/01/2009	Present
3	KF Finance	Marlboro, NJ, US	Real Estate	Partner	12/01/2009	Present
4	Irrevocable Trust by Sheldon Spring	Riverdale, NY, US	Family Trust	Trustee	01/01/1994	Present
5	Auric Properties Inc.	New York, NY, US	Real Estate Brokerage	President	05/25/1993	Present

## 2. Filer's Employment Assets and Income

#	Description	EIF	Value	Income Type	Income Amount
1	(J) (Partnership) EKG Realty LLC Manalapan, NJ, US Rental Income	N /A			
1.1	(Cash)	No	\$50,001 - \$100,000	Rent or Royalties, Interest	\$15,001 - \$50,000
2	(J) (Partnership) KF Finance Marlboro, NJ, US Real Estate	N /A			
2.1	(Cash)	No	\$100,001 - \$250,000	Interest	\$201 - \$1,000
3	(Trade) Auric Ventures, Ltd New York, NY, US Consulting	N /A	\$250,001 - \$500,000		None (or less than \$201)
3.1	(Cash)	No	\$1,001 - \$15,000		None (or less than \$201)
3.2	(Other) Defined Contribution Plan - TD Ameritrade (2519 and 2813)	No	value not readily ascertainable	Dividends, Interest, Capital Gains	\$1,001 - \$2,500
4	(J) (IRE) Condominium Manalapan, NJ, US	N /A	\$250,001 - \$500,000	Rent or Royalties	\$15,001 - \$50,000

### 3. Filer's Employment Agreements and Arrangements

None

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### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

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### 5. Spouse's Employment Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	(S) (Other Investment Vehicle) United Federation of Teachers Tax Deferred Annuity	N /A			
1.1	(Other) owns units in Diversified Equity Fund	No	Over \$1,000,000*	Dividends, Interest, Capital Gains	None (or less than \$201)
2	(S) (Other) Daniel Meyer SEP Accounts (5913 and 6076)	No	value not readily ascertainable	Dividends, Interest, Capital Gains	None (or less than \$201)
3	(S) (Pension) NYC Teachers Retirement System NYC Department of Education	N /A	value not readily ascertainable	Receives monthly pension annuity payments	\$0.00
4	(S) (Spouse EI) New York City Department of Education Staten Island, NY, US	N /A			
5	(S) (Spouse EI) Private Practice Marlboro, NJ, US	N /A			

### 6. Other Assets and Income

INCOME

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	AMOUNT
1	(IRA) TD Ameritrade (5907)	N/A			
1.1	(Cash)	No	value not readily ascertainable		None (or less than \$201)
2	(IRA) TD Ameritrade ( 5909)	No			
2.1	(Cash)	No	value not readily ascertainable	Dividends, Interest, Capital Gains	None (or less than \$201)
3	(S) (IRA) TD Ameritrade ( 5912)	N/A			
3.1	(Cash)	No	value not readily ascertainable	Dividends, Interest, Capital Gains	None (or less than \$201)
4	(IRA) TD Ameritrade 7704	N/A			
4.1	(Cash)	Yes	\$500,001 - \$1,000,000		None (or less than \$201)
5	(IRA) TD Ameritrade 5768	N/A			
5.1	(Cash)	Yes	\$100,001 - \$250,000		None (or less than \$201)
6	(IRA) ML Inherited IRA - 48244	N/A			
6.1	(Cash)	No	value not readily ascertainable	Dividends, Capital Gains	None (or less than \$201)
7	(J) (Other) Beth Goldberg Meyer and Alan Goldberg (122 and 557)	No	value not readily ascertainable		None (or less than \$201)
8	(J) (Other) Irrevokable Trust of Sheldon Spring (415)	No	value not readily ascertainable		None (or less than \$201)
9	(J) (Other) Beth Goldberg Meyer and Zachary G. Meyer (5905)	No	value not readily ascertainable	Dividends, Interest, Capital Gains	\$201 - \$1,000
10	(J) (Other) Beth Goldberg Meyer and Ilyssa R. Meyer (5904)	No	value not readily ascertainable	Dividends, Interest, Capital Gains	\$201 - \$1,000
11	(S) (Other) Daniel A. Meyer (9902 and 6099)	No	value not readily ascertainable	Dividends, Interest, Capital Gains	\$15,001 - \$50,000
12	(Other) Beth Goldberg Meyer (9898)	No	value not readily ascertainable	Dividends, Interest, Capital Gains	\$201 - \$1,000
13	(J) (Other) Beth Goldberg Meyer and Daniel Meyer, Jt Acct (TD 5135)	No	value not readily ascertainable	Dividends, Capital Gains	\$5,001 - \$15,000

14	(J) (Other) Beth Goldberg Meyer and Daniel A. Meyer (TD 0421)	Yes	value not readily ascertainable		\$201 - \$1,000
15	(Other) Beth Goldberg Meyer (TD 9898)	Yes	value not readily ascertainable		\$1,001 - \$2,500
16	(J) (Other) Beth Goldberg Meyer and Daniel Meyer - Stonetown 6 LLLP	Yes	value not readily ascertainable		\$2,501 - \$5,000
17	(J) (Other) Beth Goldberg Meyer and Daniel Meyer - Stonetown 7 LLLP	Yes	value not readily ascertainable		None (or less than \$201)
18	(J) (Other) Beth Goldberg Meyer and Daniel Meyer - Loci Capital	Yes	value not readily ascertainable		None (or less than \$201)
19	(S) (Other) Daniel Meyer (TD 0376)	No	value not readily ascertainable	Dividends, Interest, Capital Gains	\$1,001 - \$2,500
20	(Other) Beth Goldberg Meyer - HPI Fund	No	value not readily ascertainable	Capital Gains	None (or less than \$201)
21	(J) (Other) Beth Goldberg Meyer and Daniel Meyer - Peachtree Investment	No	value not readily ascertainable	Capital Gains	None (or less than \$201)
22	(J) (Other) Beth Goldberg Meyer and Alan Goldberg - ML 12122	No	value not readily ascertainable	Dividends, Capital Gains	\$5,001 - \$15,000
23	(J) (Other) Beth Goldberg Meyer and Alan Goldberg - ML 1557	No	value not readily ascertainable	Dividends, Capital Gains	\$1,001 - \$2,500
24	(J) (Cash Deposit/Savings) PNC Marlboro, NJ, US Checking accounts and money market accounts	N/A	\$250,001 - \$500,000	Interest	\$201 - \$1,000
25	(J) (Cash Deposit/Savings) Investor's Savings Bank Marlboro, NJ, US checking accounts and liquid money markets	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
26	(J) (Cash Deposit/Savings) Chase Manhattan Bank New York, NY, US checking, savings accounts and annuity	N/A	\$500,001 - \$1,000,000	Interest	\$201 - \$1,000
27	(S) (Cash Deposit/Savings) Santander Bank Marlboro, NJ, US Checking accounts and money market accounts	N/A	\$100,001 - \$250,000	Interest	\$201 - \$1,000
28	(J) (Stock) ISBC Investors Bancorp, Inc	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
29	(J) (Stock) NJR New Jersey Resource Corp	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
30	(J) (Stock) CFG Citizens Financial Group Inc/Ri	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000

## 7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	AAPL - Apple Inc	sale	11/16/2022	\$1,001 - \$15,000
2	AAPL - Apple Inc	purchase	09/27/2022	\$1,001 - \$15,000
3	AAPL - Apple Inc	purchase	07/27/2022	\$1,001 - \$15,000
4	AAPL - Apple Inc	purchase	03/29/2022	\$1,001 - \$15,000
5	AAPL - Apple Inc	purchase	01/05/2022	\$1,001 - \$15,000
6	AAPL - Apple Inc	purchase	01/26/2022	\$1,001 - \$15,000
7	ABNB - Airbnb Inc Cl A	sale	02/02/2022	\$15,001 - \$50,000
8	ABT - Abbott Laboratories	purchase	09/27/2022	\$1,001 - \$15,000
9	ABYIX - Abbey Capital Futures Strategy Fund- Class I Sha	purchase	07/01/2022	\$1,001 - \$15,000
10	ABYIX - Abbey Capital Futures Strategy Fund- Class I Sha	purchase	07/01/2022	\$15,001 - \$50,000
11	ABYIX - Abbey Capital Futures Strategy Fund- Class I Sha	sale	07/27/2022	\$1,001 - \$15,000
12	ADAIX - Aqr Diversified Arbitrage Fund Class I	purchase	03/09/2022	\$1,001 - \$15,000
13	ADAIX - Aqr Diversified Arbitrage Fund Class I	purchase	03/09/2022	\$1,001 - \$15,000
14	ADBE - Adobe Systems Inc	sale	11/16/2022	\$1,001 - \$15,000
15	ADBE - Adobe Systems Inc	purchase	01/05/2022	\$1,001 - \$15,000
16	ADI - Analog Devices	purchase	07/27/2022	\$1,001 - \$15,000
17	ADI - Analog Devices	purchase	02/02/2022	\$1,001 - \$15,000
18	ADSK - Autodesk Inc	sale	01/06/2022	\$1,001 - \$15,000
19	Airbus Group - OTCMKTS	sale	11/16/2022	\$1,001 - \$15,000
20	ALNY - Alnylam Pharmaceuticals	sale	09/27/2022	\$1,001 - \$15,000
21	ALNY - Alnylam Pharmaceuticals	purchase	01/05/2022	\$1,001 - \$15,000
22	AMZN - Amazon.com Inc	sale	11/16/2022	\$1,001 - \$15,000
23	AMZN - Amazon.com Inc	purchase	09/27/2022	\$1,001 - \$15,000
24	AMZN - Amazon.com Inc	purchase	07/27/2022	\$15,001 - \$50,000

25	AMZN - Amazon.com Inc	purchase	04/08/2022	\$1,001 - \$15,000
26	AMZN - Amazon.com Inc	sale	04/26/2022	\$1,001 - \$15,000
27	AMZN - Amazon.com Inc	purchase	01/26/2022	\$1,001 - \$15,000
28	Apache Corp 2.625% 1/15/2023	sale	10/17/2022	\$1,001 - \$15,000
29	ASHR - Xt Harvest CSI 300 China A-Shares ETF	sale	08/30/2022	\$1,001 - \$15,000
30	ASLAX - Ab Cap Fund Inc. - Ab Select US Long/Short Port	sale	01/25/2022	\$1,001 - \$15,000
31	AVGO - Broadcom Ltd	sale	04/26/2022	\$1,001 - \$15,000
32	AVGO - Broadcom Ltd	sale	02/02/2022	\$1,001 - \$15,000
33	AVGO - Broadcom Ltd	sale	01/06/2022	\$1,001 - \$15,000
34	AXP - American Express Company	sale	01/26/2022	\$1,001 - \$15,000
35	BHP - Bhp Billiton Ltd ADR	purchase	09/23/2022	\$1,001 - \$15,000
36	BILPX - Blackrock Large Cap Srs Fds Blackrock Event Dri	purchase	03/09/2022	\$1,001 - \$15,000
37	BILPX - Blackrock Large Cap Srs Fds Blackrock Event Dri	purchase	03/09/2022	\$1,001 - \$15,000
38	BLPEX - American Funds Moderate Growth and Income Portfo	sale	10/14/2022	\$1,001 - \$15,000
39	BLPEX - American Funds Moderate Growth and Income Portfo	sale	09/16/2022	\$1,001 - \$15,000
40	BPLSX - Boston Partners Long/Short Equity Fund Insti Cl	sale	09/23/2022	\$1,001 - \$15,000
41	BPLSX - Boston Partners Long/Short Equity Fund Insti Cl	purchase	03/16/2022	\$15,001 - \$50,000
42	BUD - Anheuser-Busch Inbev S.A. ADR	sale	11/16/2022	\$1,001 - \$15,000
43	BUD - Anheuser-Busch Inbev S.A. ADR	purchase	09/27/2022	\$1,001 - \$15,000
44	BUD - Anheuser-Busch Inbev S.A. ADR	purchase	01/26/2022	\$1,001 - \$15,000
45	CARG - Cargurus Inc Cl A	purchase	11/16/2022	\$1,001 - \$15,000
46	CARG - Cargurus Inc Cl A	purchase	07/27/2022	\$1,001 - \$15,000
47	CARG - Cargurus Inc Cl A	purchase	03/29/2022	\$1,001 - \$15,000
48	CARG - Cargurus Inc Cl A	purchase	02/02/2022	\$1,001 - \$15,000
49	CARR - Carrier Global Corp	sale	01/27/2022	\$1,001 - \$15,000
50	CAT - Caterpillar Inc	sale	07/27/2022	\$1,001 - \$15,000
51	CFG - Citizens Financial Group	exchange	04/05/2022	\$1,001 - \$15,000

52	CGCIX - Calamos Global Growth and Income Fund Class I	sale	01/14/2022	\$1,001 - \$15,000
53	CGCIX - Calamos Global Growth and Income Fund Class I	sale	01/14/2022	\$1,001 - \$15,000
54	CMCSA - Comcast Corp A	sale	04/26/2022	\$1,001 - \$15,000
55	CMNIX - Calamos Market Neutral Fd CI I	sale	03/09/2022	\$1,001 - \$15,000
56	CRM - Salesforce Inc	purchase	07/27/2022	\$1,001 - \$15,000
57	CRM - Salesforce.com Inc	purchase	01/26/2022	\$1,001 - \$15,000
58	CRWD - CrowdStrike Holdings Inc	purchase	01/06/2022	\$1,001 - \$15,000
59	CTXS - Citrix Systems Inc	sale	01/31/2022	\$1,001 - \$15,000
60	CTXS - Citrix Systems Inc	sale	01/06/2022	\$1,001 - \$15,000
61	DAL - Delta Air Lines Inc	sale	04/27/2022	\$1,001 - \$15,000
62	DBLTX - Doubleline Total Return Bond Fund Class I	purchase	07/13/2022	\$1,001 - \$15,000
63	DBLTX - Doubleline Total Return Bond Fund Class I	purchase	07/13/2022	\$1,001 - \$15,000
64	DIS - Walt Disney Company	sale	11/16/2022	\$1,001 - \$15,000
65	DIS - Walt Disney Company	sale	04/27/2022	\$1,001 - \$15,000
66	DIS - Walt Disney Company	sale	01/26/2022	\$1,001 - \$15,000
67	DIS - Walt Disney Company	sale	01/26/2022	\$1,001 - \$15,000
68	DISCA - Discovery Comm A	exchange	04/11/2022	\$1,001 - \$15,000
69	DOCU - DocuSign Inc	purchase	07/27/2022	\$1,001 - \$15,000
70	DODFX - Dodge & Cox International Stock Fund	purchase	01/14/2022	\$1,001 - \$15,000
71	DODFX - Dodge & Cox International Stock Fund	purchase	01/14/2022	\$1,001 - \$15,000
72	DVY - Select Dividend Ishares ETF	purchase	11/02/2022	\$1,001 - \$15,000
73	DVY - Select Dividend Ishares ETF	purchase	11/16/2022	\$1,001 - \$15,000
74	DVY - Select Dividend Ishares ETF	purchase	10/27/2022	\$1,001 - \$15,000
75	DVY - Select Dividend Ishares ETF	sale	07/21/2022	\$1,001 - \$15,000
76	DVY - Select Dividend Ishares ETF	sale	08/03/2022	\$1,001 - \$15,000
77	DVY - Select Dividend Ishares ETF	sale	08/04/2022	\$15,001 - \$50,000
78	DVY - Select Dividend Ishares ETF	sale	04/06/2022	\$1,001 - \$15,000



79	DVY - Select Dividend Ishares ETF	purchase	04/14/2022	\$1,001 - \$15,000
80	DVY - Select Dividend Ishares ETF	purchase	03/11/2022	\$1,001 - \$15,000
81	DVY - Select Dividend Ishares ETF	purchase	03/15/2022	\$1,001 - \$15,000
82	DVY - Select Dividend Ishares ETF	purchase	03/15/2022	\$1,001 - \$15,000
83	DVY - Select Dividend Ishares ETF	purchase	03/16/2022	\$1,001 - \$15,000
84	EFV - EAFE Value Ishares MSCI ETF	purchase	10/28/2022	\$1,001 - \$15,000
85	ETSY - Etsy Inc	purchase	01/06/2022	\$1,001 - \$15,000
86	EVF - Eaton Vance Senior Income Trust	sale	09/16/2022	\$1,001 - \$15,000
87	EVF - Eaton Vance Senior Income Trust	purchase	03/16/2022	\$1,001 - \$15,000
88	EWZ - Brazil Ishares MSCI ETF	purchase	10/21/2022	\$1,001 - \$15,000
89	FB - Meta Platforms Inc	sale	04/26/2022	\$1,001 - \$15,000
90	FB - Meta Platforms Inc	purchase	01/05/2022	\$1,001 - \$15,000
91	FB - Meta Platforms Inc	purchase	01/26/2022	\$1,001 - \$15,000
92	FE - Firstenergy Corp	purchase	09/27/2022	\$1,001 - \$15,000
93	FTGC - Global Tactical Commodity Strategy Fund FT	sale	03/09/2022	\$1,001 - \$15,000
94	FTGC - Global Tactical Commodity Strategy Fund FT	sale	03/16/2022	\$15,001 - \$50,000
95	FWONK - Liberty Media F1 Series K	purchase	01/26/2022	\$1,001 - \$15,000
96	GD - General Dynamics Corp	sale	11/16/2022	\$1,001 - \$15,000
97	GDX - Vaneck Gold Miners ETF	purchase	11/15/2022	\$1,001 - \$15,000
98	GDX - Vaneck Gold Miners ETF	purchase	10/27/2022	\$15,001 - \$50,000
99	GDX - Vaneck Gold Miners ETF	purchase	10/04/2022	\$1,001 - \$15,000
100	GDX - Vaneck Gold Miners ETF	purchase	04/18/2022	\$1,001 - \$15,000
101	GDX - Vaneck Gold Miners ETF	sale	04/27/2022	\$15,001 - \$50,000
102	GLPI - Gaming & Leisure	purchase	09/27/2022	\$1,001 - \$15,000
103	GOOG - Alphabet Cl C	sale	11/16/2022	\$1,001 - \$15,000
104	GOOG - Alphabet Cl C	purchase	07/27/2022	\$1,001 - \$15,000
105	GOOG - Alphabet Cl C	purchase	03/29/2022	\$1,001 - \$15,000

106	GOOG - Alphabet Cl C	purchase	01/05/2022	\$1,001 - \$15,000
107	GOOG - Alphabet Cl C	purchase	01/26/2022	\$1,001 - \$15,000
108	GRAB - Grab Holdings Limited Cl A	sale	01/13/2022	\$1,001 - \$15,000
109	GS - Goldman Sachs Group	sale	11/16/2022	\$1,001 - \$15,000
110	GS - Goldman Sachs Group	purchase	02/02/2022	\$1,001 - \$15,000
111	GS - Goldman Sachs Group	purchase	01/26/2022	\$1,001 - \$15,000
112	GUNR - Mstar Global Upstream Natural Resources ETF	purchase	06/06/2022	\$1,001 - \$15,000
113	HD - Home Depot	sale	01/11/2022	\$1,001 - \$15,000
114	HD - Home Depot	sale	01/26/2022	\$1,001 - \$15,000
115	IBB - Nasdaq Biotechnology Ishares ETF	purchase	12/01/2022	\$1,001 - \$15,000
116	IBM - International Business Machines	purchase	09/27/2022	\$1,001 - \$15,000
117	IGV - North American Tech-Software Ishares ETF	sale	10/26/2022	\$1,001 - \$15,000
118	IGV - North American Tech-Software Ishares ETF	purchase	08/17/2022	\$1,001 - \$15,000
119	IGV - North American Tech-Software Ishares ETF	purchase	08/03/2022	\$1,001 - \$15,000
120	IGV - North American Tech-Software Ishares ETF	sale	04/14/2022	\$1,001 - \$15,000
121	IGV - North American Tech-Software Ishares ETF	sale	04/18/2022	\$1,001 - \$15,000
122	IGV - North American Tech-Software Ishares ETF	purchase	03/17/2022	\$1,001 - \$15,000
123	IGV - North American Tech-Software Ishares ETF	purchase	03/18/2022	\$1,001 - \$15,000
124	IGV - North American Tech-Software Ishares ETF	purchase	03/22/2022	\$1,001 - \$15,000
125	IGV - North American Tech-Software Ishares ETF	purchase	03/29/2022	\$1,001 - \$15,000
126	IGV - North American Tech-Software Ishares ETF	sale	01/19/2022	\$1,001 - \$15,000
127	IGV - North American Tech-Software Ishares ETF	sale	01/19/2022	\$1,001 - \$15,000
128	IGV - North American Tech-Software Ishares ETF	sale	01/25/2022	\$1,001 - \$15,000
129	IGV - North American Tech-Software Ishares ETF	purchase	01/06/2022	\$1,001 - \$15,000
130	IJJ - S&P Mid-Cap 400 Value Ishares ETF	purchase	11/16/2022	\$1,001 - \$15,000
131	IJR - S&P Small-Cap Ishares Core ETF	sale	01/19/2022	\$1,001 - \$15,000
132	IJR - S&P Small-Cap Ishares Core ETF	sale	01/19/2022	\$1,001 - \$15,000

133	IJR - S&P Small-Cap Ishares Core ETF	sale	01/21/2022	\$1,001 - \$15,000
134	IJS - S&P Small-Cap 600 Value Ishares ETF	purchase	11/16/2022	\$1,001 - \$15,000
135	IJS - S&P Small-Cap 600 Value Ishares ETF	purchase	10/04/2022	\$1,001 - \$15,000
136	IJS - S&P Small-Cap 600 Value Ishares ETF	purchase	01/19/2022	\$1,001 - \$15,000
137	IJS - S&P Small-Cap 600 Value Ishares ETF	purchase	01/19/2022	\$1,001 - \$15,000
138	IJS - S&P Small-Cap 600 Value Ishares ETF	sale	01/21/2022	\$1,001 - \$15,000
139	ILCV - Mstar Large-Cap Value Ishares ETF	purchase	11/16/2022	\$15,001 - \$50,000
140	INTC - Intel Corp	purchase	03/24/2022	\$1,001 - \$15,000
141	INTU - Intuit Inc	sale	10/26/2022	\$1,001 - \$15,000
142	INTU - Intuit Inc	purchase	07/27/2022	\$1,001 - \$15,000
143	IOFIX - Alphacentric Income Opportunities Fund - Class I	sale	04/18/2022	\$1,001 - \$15,000
144	IOFIX - Alphacentric Income Opportunities Fund - Class I	sale	04/18/2022	\$1,001 - \$15,000
145	ISBC - Investors Bancorp	exchange	04/05/2022	\$1,001 - \$15,000
146	IWM - Russell 2000 Ishares ETF	purchase	12/01/2022	\$1,001 - \$15,000
147	IWM - Russell 2000 Ishares ETF	purchase	11/16/2022	\$1,001 - \$15,000
148	JEPIX - Jpmorgan Equity Premium Income Fund Class I	purchase	07/27/2022	\$1,001 - \$15,000
149	JPM - JP Morgan Chase & Company	sale	11/16/2022	\$1,001 - \$15,000
150	JPM - JP Morgan Chase & Company	purchase	07/27/2022	\$1,001 - \$15,000
151	JPM - JP Morgan Chase & Company	purchase	03/29/2022	\$1,001 - \$15,000
152	JPM - JP Morgan Chase & Company	purchase	01/26/2022	\$1,001 - \$15,000
153	KGIIIX - Kopernik International Fund Class I	purchase	11/08/2022	\$1,001 - \$15,000
154	KKR - KKR & Co. LP	sale	11/16/2022	\$1,001 - \$15,000
155	KKR - KKR & Co. LP	purchase	07/27/2022	\$1,001 - \$15,000
156	KLAC - K L A-Tencor Corp	sale	11/16/2022	\$1,001 - \$15,000
157	KMX - Carmax Inc	sale	10/26/2022	\$1,001 - \$15,000
158	KMX - Carmax Inc	purchase	07/27/2022	\$1,001 - \$15,000
159	KMX - Carmax Inc	sale	03/25/2022	\$1,001 - \$15,000

160	KMX - Carmax Inc	purchase	01/05/2022	\$1,001 - \$15,000
161	KMX - Carmax Inc	purchase	01/26/2022	\$1,001 - \$15,000
162	KWEB - KS Trust KS CSI China Internet ETF	sale	07/29/2022	\$1,001 - \$15,000
163	KWEB - KS Trust KS CSI China Internet ETF	sale	07/29/2022	\$1,001 - \$15,000
164	LBFIX - Clearbridge Select Fd I	sale	03/11/2022	\$1,001 - \$15,000
165	LBFIX - Clearbridge Select Fd I	sale	03/11/2022	\$1,001 - \$15,000
166	LEN - Lennar Corp	sale	11/16/2022	\$1,001 - \$15,000
167	LEN - Lennar Corp	sale	10/26/2022	\$1,001 - \$15,000
168	LEN - Lennar Corp	purchase	09/27/2022	\$1,001 - \$15,000
169	LEN - Lennar Corp	sale	03/25/2022	\$1,001 - \$15,000
170	LEN - Lennar Corp	purchase	01/05/2022	\$1,001 - \$15,000
171	LEN - Lennar Corp	purchase	01/26/2022	\$1,001 - \$15,000
172	LMT - Lockheed Martin Corp	sale	11/16/2022	\$1,001 - \$15,000
173	LMT - Lockheed Martin Corp	purchase	03/29/2022	\$1,001 - \$15,000
174	LNG - Cheniere Energy	sale	11/16/2022	\$1,001 - \$15,000
175	LNG - Cheniere Energy	purchase	09/27/2022	\$1,001 - \$15,000
176	LNG - Cheniere Energy	sale	04/08/2022	\$1,001 - \$15,000
177	LNG - Cheniere Energy	purchase	03/29/2022	\$1,001 - \$15,000
178	LNG - Cheniere Energy	purchase	01/05/2022	\$1,001 - \$15,000
179	LRNZ - Truemark Technology Ai & Deep Learning ETF	purchase	03/08/2022	\$1,001 - \$15,000
180	LSWWX - Loomis Sayles Global Allocation Fund Class Y	sale	11/03/2022	\$1,001 - \$15,000
181	LSWWX - Loomis Sayles Global Allocation Fund Class Y	sale	09/16/2022	\$1,001 - \$15,000
182	LSWWX - Loomis Sayles Global Allocation Fund Class Y	purchase	08/12/2022	\$1,001 - \$15,000
183	LSWWX - Loomis Sayles Global Allocation Fund Class Y	purchase	07/29/2022	\$1,001 - \$15,000
184	LSWWX - Loomis Sayles Global Allocation Fund Class Y	purchase	07/29/2022	\$1,001 - \$15,000
185	LSWWX - Loomis Sayles Global Allocation Fund Class Y	purchase	03/22/2022	\$1,001 - \$15,000
186	LYB - Lyondellbasell Industries NV	sale	09/27/2022	\$1,001 - \$15,000

187	LYB - Lyondellbasell Industries NV	purchase	07/27/2022	\$1,001 - \$15,000
188	LYFT - Lyft Inc Cl A	purchase	03/22/2022	\$1,001 - \$15,000
189	LZEMX - Lazard Emerging Markets Equity Portfolio Institu	purchase	11/11/2022	\$1,001 - \$15,000
190	MBXIX - Catalyst/Millburn Hedge Strategy Fund Class I	sale	08/12/2022	\$1,001 - \$15,000
191	MBXIX - Catalyst/Millburn Hedge Strategy Fund Class I	sale	07/01/2022	\$15,001 - \$50,000
192	MBXIX - Catalyst/Millburn Hedge Strategy Fund Class I	purchase	06/06/2022	\$1,001 - \$15,000
193	MDHIX - Mainstay Short Duration High Yield I	purchase	07/21/2022	\$1,001 - \$15,000
194	MDHIX - Mainstay Short Duration High Yield I	purchase	07/21/2022	\$1,001 - \$15,000
195	MDIJX - MFS International Diversification Fund Class I	sale	01/14/2022	\$1,001 - \$15,000
196	MDIJX - MFS International Diversification Fund Class I	sale	01/24/2022	\$1,001 - \$15,000
197	MERIX - The Merger Fund Institutional Cl	sale	03/09/2022	\$1,001 - \$15,000
198	MERIX - The Merger Fund Institutional Cl	sale	03/09/2022	\$1,001 - \$15,000
199	META - Meta Platforms Inc	purchase	09/27/2022	\$1,001 - \$15,000
200	META - Meta Platforms Inc	purchase	07/27/2022	\$1,001 - \$15,000
201	MGA - Magna International	sale	01/21/2022	\$1,001 - \$15,000
202	MRNA - Moderna Inc	sale	11/16/2022	\$1,001 - \$15,000
203	MRNA - Moderna Inc	purchase	07/27/2022	\$1,001 - \$15,000
204	MRNA - Moderna Inc	purchase	01/05/2022	\$1,001 - \$15,000
205	MS - Morgan Stanley	sale	11/16/2022	\$1,001 - \$15,000
206	MS - Morgan Stanley	purchase	07/27/2022	\$1,001 - \$15,000
207	MS - Morgan Stanley	purchase	03/29/2022	\$1,001 - \$15,000
208	MS - Morgan Stanley	purchase	01/26/2022	\$1,001 - \$15,000
209	MSFT - Microsoft Corp	sale	09/27/2022	\$1,001 - \$15,000
210	MSFT - Microsoft Corp	sale	07/27/2022	\$1,001 - \$15,000
211	MSFT - Microsoft Corp	sale	04/26/2022	\$1,001 - \$15,000
212	MSFT - Microsoft Corp	purchase	03/25/2022	\$1,001 - \$15,000
213	MTCH - Match Group Inc	purchase	04/19/2022	\$1,001 - \$15,000

214	NVDA - Nvidia Corp	sale	04/26/2022	\$1,001 - \$15,000
215	OAKIX - Oakmark International Fund Investor Class	purchase	01/14/2022	\$1,001 - \$15,000
216	OAKIX - Oakmark International Fund Investor Class	purchase	01/14/2022	\$1,001 - \$15,000
217	OAKIX - Oakmark International Fund Investor Class	purchase	01/26/2022	\$1,001 - \$15,000
218	OAKIX - Oakmark International Fund Investor Class	purchase	01/26/2022	\$1,001 - \$15,000
219	PATH - Uipath Inc Cl A	purchase	01/11/2022	\$1,001 - \$15,000
220	PCARX - Pimco Credit Opportunities Bond Fund Class Instl	purchase	03/09/2022	\$1,001 - \$15,000
221	PCARX - Pimco Credit Opportunities Bond Fund Class Instl	purchase	03/09/2022	\$1,001 - \$15,000
222	PCARX - Pimco Credit Opportunities Bond Fund Class Instl	sale	03/11/2022	\$1,001 - \$15,000
223	PCARX - Pimco Credit Opportunities Bond Fund Class Instl	sale	03/11/2022	\$1,001 - \$15,000
224	PCRIX - Pimco Commodityrealreturn Strategy Fund Institut	purchase	10/18/2022	\$1,001 - \$15,000
225	PCRIX - Pimco Commodityrealreturn Strategy Fund Institut	purchase	10/18/2022	\$1,001 - \$15,000
226	PCRIX - Pimco Commodityrealreturn Strategy Fund Institut	purchase	10/18/2022	\$1,001 - \$15,000
227	PCRIX - Pimco Commodityrealreturn Strategy Fund Institut	purchase	10/18/2022	\$1,001 - \$15,000
228	PG - Procter & Gamble Company	sale	07/29/2022	\$1,001 - \$15,000
229	PII - Polaris Industries Inc	sale	11/16/2022	\$1,001 - \$15,000
230	PII - Polaris Industries Inc	purchase	03/29/2022	\$1,001 - \$15,000
231	PIRMX - Pimco Inflation Response Multi-Asset Fd Insti	sale	07/13/2022	\$1,001 - \$15,000
232	PIRMX - Pimco Inflation Response Multi-Asset Fd Insti	sale	07/13/2022	\$1,001 - \$15,000
233	PIRMX - Pimco Inflation Response Multi-Asset Fd Insti	purchase	04/18/2022	\$1,001 - \$15,000
234	PIRMX - Pimco Inflation Response Multi-Asset Fd Insti	purchase	05/04/2022	\$1,001 - \$15,000
235	PLD - Prologis Inc	sale	09/27/2022	\$1,001 - \$15,000
236	PODD - Insulet Corp	purchase	01/06/2022	\$1,001 - \$15,000
237	PPSAX - Principal Funds Inc. - Spectrum Preferred and C	sale	11/16/2022	\$1,001 - \$15,000
238	PUTIX - Pimco Strategic Bond Fund Class Instl	purchase	07/13/2022	\$1,001 - \$15,000
239	PUTIX - Pimco Strategic Bond Fund Class Instl	purchase	07/13/2022	\$1,001 - \$15,000
240	PYPL - Paypal Holdings	purchase	01/26/2022	\$1,001 - \$15,000

241	QQQJ - Invesco Nasdaq Next Gen 100 ETF	purchase	12/01/2022	\$1,001 - \$15,000
242	QQQJ - Invesco Nasdaq Next Gen 100 ETF	sale	08/22/2022	\$1,001 - \$15,000
243	QQQJ - Invesco Nasdaq Next Gen 100 ETF	purchase	07/20/2022	\$1,001 - \$15,000
244	RING - Global Gold Miners Ishares MSCI ETF	purchase	09/08/2022	\$1,001 - \$15,000
245	RING - Global Gold Miners Ishares MSCI ETF	sale	03/15/2022	\$1,001 - \$15,000
246	RSP - S&P 500 EW Invesco ETF	sale	11/16/2022	\$1,001 - \$15,000
247	RSP - S&P 500 EW Invesco ETF	purchase	10/26/2022	\$15,001 - \$50,000
248	RSP - S&P 500 EW Invesco ETF	purchase	10/27/2022	\$1,001 - \$15,000
249	RSP - S&P 500 EW Invesco ETF	purchase	08/04/2022	\$15,001 - \$50,000
250	RSP - S&P 500 EW Invesco ETF	purchase	05/03/2022	\$1,001 - \$15,000
251	RSP - S&P 500 EW Invesco ETF	sale	01/19/2022	\$1,001 - \$15,000
252	SDY - S&P Dividend SPDR	purchase	10/24/2022	\$1,001 - \$15,000
253	SDY - S&P Dividend SPDR	sale	08/30/2022	\$1,001 - \$15,000
254	SDY - S&P Dividend SPDR	purchase	04/26/2022	\$15,001 - \$50,000
255	SE - Sea Ltd ADR	purchase	03/22/2022	\$1,001 - \$15,000
256	SHIYX - Western Asset Short Duration High Income Fund CI	sale	04/18/2022	\$1,001 - \$15,000
257	SHYIX - Guggenheim High Yield Fund - Institutional Class	sale	07/21/2022	\$1,001 - \$15,000
258	SHYIX - Guggenheim High Yield Fund - Institutional Class	sale	07/21/2022	\$1,001 - \$15,000
259	SIL - Gx Silver Miners ETF	purchase	12/05/2022	\$1,001 - \$15,000
260	SLV - Silver Trust Ishares	sale	04/27/2022	\$1,001 - \$15,000
261	SLY - S&P Smallcap 600 SPDR	sale	01/19/2022	\$1,001 - \$15,000
262	SLY - S&P Smallcap 600 SPDR	sale	01/19/2022	\$1,001 - \$15,000
263	SPY - S&P 500 SPDR	sale	01/19/2022	\$1,001 - \$15,000
264	SPY - S&P 500 SPDR	purchase	01/26/2022	\$1,001 - \$15,000
265	SQ - Block Inc	sale	01/21/2022	\$1,001 - \$15,000
266	STT - State Street Corp	sale	10/26/2022	\$1,001 - \$15,000
267	SVFFX - Smead Value Fund - Class I1	sale	03/15/2022	\$1,001 - \$15,000

268	SVFFX - Smead Value Fund - Class I1	sale	03/15/2022	\$1,001 - \$15,000
269	SWN - Southwestern Energy Company	sale	07/21/2022	\$1,001 - \$15,000
270	SWN - Southwestern Energy Company	purchase	06/06/2022	\$1,001 - \$15,000
271	SWN - Southwestern Energy Company	purchase	06/06/2022	\$1,001 - \$15,000
272	SWVXX - Schwab Value Advantage Money Fund	purchase	11/22/2022	\$1,001 - \$15,000
273	TEAM - Atlassian Corp	exchange	10/03/2022	\$1,001 - \$15,000
274	TSM - Taiwan Semiconductor ADR	purchase	07/27/2022	\$1,001 - \$15,000
275	TWTR - Twitter Inc	sale	10/31/2022	\$1,001 - \$15,000
276	TWTR - Twitter Inc	sale	04/12/2022	\$1,001 - \$15,000
277	TWTR - Twitter Inc	sale	04/26/2022	\$1,001 - \$15,000
278	U - Unity Software Inc	purchase	01/26/2022	\$1,001 - \$15,000
279	UNH - Unitedhealth Group Inc	sale	07/27/2022	\$1,001 - \$15,000
280	UNH - Unitedhealth Group Inc	sale	04/08/2022	\$1,001 - \$15,000
281	UNH - Unitedhealth Group Inc	sale	04/26/2022	\$1,001 - \$15,000
282	UNH - Unitedhealth Group Inc	purchase	03/29/2022	\$1,001 - \$15,000
283	UNH - Unitedhealth Group Inc	sale	01/26/2022	\$1,001 - \$15,000
284	UNP - Union Pacific Corp	purchase	03/29/2022	\$1,001 - \$15,000
285	URNM - Sprott Uranium Miners ETF	purchase	06/06/2022	\$1,001 - \$15,000
286	US Treasury Nts 0.125% 7/31/2023	purchase	09/26/2022	\$1,001 - \$15,000
287	US Treasury Nts 1.375% 8/31/2023	purchase	09/08/2022	\$15,001 - \$50,000
288	V - Visa Inc	sale	04/26/2022	\$1,001 - \$15,000
289	VRTX - Vertex Pharmaceutic	sale	04/26/2022	\$1,001 - \$15,000
290	VTV - Value ETF Vanguard	purchase	11/02/2022	\$15,001 - \$50,000
291	VTV - Value ETF Vanguard	purchase	01/19/2022	\$1,001 - \$15,000
292	VTV - Value ETF Vanguard	purchase	01/19/2022	\$1,001 - \$15,000
293	VTV - Value ETF Vanguard	purchase	01/25/2022	\$1,001 - \$15,000
294	VUG - Growth ETF Vanguard	sale	04/12/2022	\$1,001 - \$15,000



295	VUG - Growth ETF Vanguard	sale	01/19/2022	\$1,001 - \$15,000
296	WBD - Discovery Inc Series A	purchase	11/02/2022	\$1,001 - \$15,000
297	WBD - Warner Brothers Discovery	exchange	04/11/2022	\$1,001 - \$15,000
298	WDAY - Workday Inc	sale	11/16/2022	\$1,001 - \$15,000
299	WDAY - Workday Inc	purchase	07/27/2022	\$1,001 - \$15,000
300	WDAY - Workday Inc	purchase	02/16/2022	\$1,001 - \$15,000
301	WDAY - Workday Inc	purchase	01/26/2022	\$1,001 - \$15,000
302	WFC - Wells Fargo & Company	sale	11/16/2022	\$1,001 - \$15,000
303	WMT - Walmart Inc	sale	07/27/2022	\$1,001 - \$15,000
304	WMT - Walmart Inc	purchase	03/29/2022	\$1,001 - \$15,000
305	WMT - Walmart Inc	purchase	01/26/2022	\$1,001 - \$15,000
306	XBI - S&P Biotech SPDR	purchase	11/15/2022	\$1,001 - \$15,000
307	XBI - S&P Biotech SPDR	sale	07/11/2022	\$1,001 - \$15,000
308	XBI - S&P Biotech SPDR	sale	08/01/2022	\$1,001 - \$15,000
309	XES - S&P Oil & Gas Eqpt & Services SPDR	sale	10/12/2022	\$1,001 - \$15,000
310	XES - S&P Oil & Gas Eqpt & Services SPDR	purchase	10/04/2022	\$1,001 - \$15,000
311	XLE - S&P 500 Energy Sector SPDR	purchase	07/07/2022	\$1,001 - \$15,000
312	XLE - S&P 500 Energy Sector SPDR	purchase	07/29/2022	\$1,001 - \$15,000
313	XLK - S&P 500 Technology Sector SPDR	purchase	01/31/2022	\$1,001 - \$15,000
314	XLK - S&P 500 Technology Sector SPDR	purchase	01/31/2022	\$1,001 - \$15,000
315	XLK - S&P 500 Technology Sector SPDR	purchase	01/31/2022	\$1,001 - \$15,000
316	XME - S&P Metals & Mining SPDR	purchase	11/16/2022	\$1,001 - \$15,000
317	XOP - S&P Oil & Gas Expl & Prod SPDR	sale	12/05/2022	\$1,001 - \$15,000
318	XOP - S&P Oil & Gas Expl & Prod SPDR	purchase	11/16/2022	\$1,001 - \$15,000
319	XOP - S&P Oil & Gas Expl & Prod SPDR	sale	11/30/2022	\$1,001 - \$15,000
320	XOP - S&P Oil & Gas Expl & Prod SPDR	purchase	10/17/2022	\$1,001 - \$15,000
321	XOP - S&P Oil & Gas Expl & Prod SPDR	sale	07/06/2022	\$1,001 - \$15,000

322	XOP - S&P Oil & Gas Expl & Prod SPDR	sale	03/09/2022	\$1,001 - \$15,000
323	XOP - S&P Oil & Gas Expl & Prod SPDR	sale	03/25/2022	\$1,001 - \$15,000
324	XOP - S&P Oil & Gas Expl & Prod SPDR	purchase	01/19/2022	\$1,001 - \$15,000
325	XOP - S&P Oil & Gas Expl & Prod SPDR	purchase	01/25/2022	\$1,001 - \$15,000
326	XOP - S&P Oil & Gas Expl & Prod SPDR	purchase	01/06/2022	\$1,001 - \$15,000
327	XSVM - Invesco S&P Smallcap Value With Momentum ETF	sale	10/04/2022	\$1,001 - \$15,000
328	XSVM - Invesco S&P Smallcap Value With Momentum ETF	purchase	07/27/2022	\$1,001 - \$15,000
329	XSVM - Invesco S&P Smallcap Value With Momentum ETF	purchase	07/27/2022	\$1,001 - \$15,000
330	XSVM - Invesco S&P Smallcap Value With Momentum ETF	sale	04/06/2022	\$1,001 - \$15,000
331	XSVM - Invesco S&P Smallcap Value With Momentum ETF	sale	04/06/2022	\$1,001 - \$15,000
332	XSVM - Invesco S&P Smallcap Value With Momentum ETF	sale	04/06/2022	\$1,001 - \$15,000
333	XSVM - Invesco S&P Smallcap Value With Momentum ETF	sale	04/06/2022	\$1,001 - \$15,000
334	XSVM - Invesco S&P Smallcap Value With Momentum ETF	purchase	03/08/2022	\$1,001 - \$15,000
335	XSVM - Invesco S&P Smallcap Value With Momentum ETF	purchase	03/08/2022	\$1,001 - \$15,000
336	XSVM - Invesco S&P Smallcap Value With Momentum ETF	purchase	03/29/2022	\$1,001 - \$15,000
337	XYLD - Global X S&P 500 Covered Call ETF	purchase	04/28/2022	\$1,001 - \$15,000
338	XYLD - Global X S&P 500 Covered Call ETF	purchase	03/29/2022	\$1,001 - \$15,000
339	XYLD - Gx S&P 500 Covered Call ETF	sale	08/30/2022	\$1,001 - \$15,000
340	XYLD - GX S&P 500 Covered Call ETF	sale	06/06/2022	\$1,001 - \$15,000

## 8. Liabilities

#	CREDITOR NAME	TYPE OF LIABILITY	AMOUNT	YEAR INCURRED	INTEREST RATE	TERM
1	Navient; Wilkes-Barre, PA, US	Student Loan	\$15,001 - \$50,000	2007	2..5	25
2	Navient; Wilkes-Barre, PA, US	Student Loan	\$15,001 - \$50,000	2008	5.0	25
3	American Education Services; Harrisburg, PA, US	Student Loan	\$15,001 - \$50,000	2011	Libor + 2.25	20

4	Stonetown 6, LLP; Denver, CO, US	Capital Commitment	\$10,001 - \$15,000	2019	N/A	On-Demand
5	Stonetown 7, LLP; Denver, CO, US	Capital Commitment	\$50,001 - \$100,000	2021	Not applicable	On Demand
6	Loci Capital Opportunistic Fund; Safety Harbor, FL, US	Capital Commitment	\$15,001 - \$50,000	2021	Not Applicable	On Demand

## 9. Gifts and Reimbursements

None

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## Endnotes

PART	#	ENDNOTE
2	3.1	Chase Manhattan Business Banking Account
2	3.2	TD Ameritrade Statements will be attached
2	4	Title is held by EKG Realy, LLC My brother and I are the partners
5	1.1	Statement Provided
5	2	TD Ameritrade Statements will be included
5	3	See Information Provided under separate cover by the NYC Teachers Retirement System
6	1	Ethel K Goldberg Beneficiary IRA TD Ameritrade Statements will be attached
6	1.1	TD Ameritrade Statement will be attached.
6	2	TD Ameritrade statement will be attached.
6	2.1	TD Ameritrade statement will be attached.
6	3	TD Ameritrade statement will be attached.
6	3.1	TD Ameritrade statement will be attached
6	4	Inherited IRA - cash
6	5	Inherited Ira
6	6	This account is an Inherited IRA. The account was established and moved during 2022 to TD Bank accounts 7704 and 5768. There remains at the end of 2022 a small cash balance of less than \$100 which needs to be moved and the account closed.
6	7	Merrill Lynch Statement Attached Account # xx122 and #xx557 Marilyn Spring's name was removed from these account due to her death. No assets were sold or moved during 2021.
6	8	Merrill Lynch statement Account # xx415
6	9	TD Ameritrade Statements will be included.
6	10	TD Ameritrade Statements will be attached.
6	11	TD Ameritrade Statement will be attached.
6	12	TD Ameritrade Statements will be attached.
6	13	This asset was moved to this account from Charles Schwab on April 26, 2019 to TD Account #5135. It was still held on December 31, 2019.

6	14	This account hold our joint interest in a private real estate fund: Peachtree Hotel and Income Fund. The investment was made on April 22, 2019. Please see supporting documents.
6	15	I am a limited partner in HPI Fund. My original purchase was on September 11, 2018 for \$25,000. Please see supporting documents.
6	16	We are limited partners in a fund called Stonetown. There was one capital call March 5, 2019 for \$35,000. There is an investment obligation of \$100,000. Please see supporting documentation.
6	17	We are limited partners in a fund called Stonetown 7. There was one capital call on 12/30/21 f or \$25,000,
6	18	We are limited partners in a fund called Loci Capital Opportunistic Fund. There is an investment obligation for \$100,000, There was a capital call on 11/15/2021 for \$60,457. Please see supporting documentation.
6	19	This account was established with funds from the account that was closed at Santandar Bank.
6	20	I am a limited partner in this real estate investment fund. Documentation will be provided
6	21	We are limited partners in this real estate investment fund. Documentation will be provided separately.
6	22	This account had a title change due to the death of my aunt, Marilyn Spring. The account remains at Merrill Lynch at the end of 2022 but is not traded. Documentation will be provided separately.
6	23	This account had a title change due to the death of my aunt, Marilyn Spring. The account is not traded. It remains at Merrill Lynch.
6	24	Two accounts are held joint with my spouse, Dr. Daniel Meyer Two accounts are held joint with my brother, Alan Goldberg
6	25	Two accounts are joint with my children
6	26	I hold accounts by myself and jointly with my husband, my children, my brother and my aunt. In addition to the personal accounts I have two partnership accounts: EKG Realty(checking and savings) and KF Finance (checking and savings), as well as my consulting firm Auric Ventures, Ltd. (checking and savings) Also accounts have been opened in Riverdale, NY and Marlboro, NJ
6	27	This account was closed in February 2022 and TD Account # 0376 was opened 03/07/2022 with the proceeds.
6	28	This stock was reissued as Citizen Bank Stock as a result of the acquisition of Investors Savings Bank
6	30	This stock was issued when Citizens Financial Group acquired investors Savings Bank
8	1	I am a co-signer on this loan. Navient took over as the servicer from Chase Manhattan Bank
8	2	I am co-signer. Navient took over this loan as the servicer from Chase Manhattan Bank.
8	3	I am a co-signer
8	4	Capital Call remaining as of 12/31/2022 is \$5000



## Summary of Contents

### 1. Filer's Positions Held Outside United States Government

Part 1 discloses the following: Positions held by the filer at any time during the reporting period (excluding positions with the United States Government). Reportable positions include those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any for-profit or non-profit organization (whether compensated or uncompensated).

### 2. Filer's Employment Assets and Income

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities if the value of the asset at the end of the reporting period exceeded \$1,000 or if more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, anticipated payments such as severance payments, deferred compensation, and intellectual property, such as book deals and patents).

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

### 3. Filer's Employment Agreements and Arrangements

Part 3 discloses the following: Agreements or arrangements that the filer had during the reporting period for the following:

- Continuing participation in an employee welfare or benefit plan maintained by a former employer
- Leave of absence
- Future employment
- Continuation of payments by a former employer (e.g., severance payments)

### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period. The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

### 5. Spouse's Employment Assets and Income

Part 5 discloses the following:

- Source of earned income (excluding honoraria) for the filer's spouse over \$1,000 during the reporting period.
- Sources of honoraria for the filer's spouse over \$200 during the reporting period.

- Assets related to the spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents).

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

## 6. Other Assets and Income

Part 6 discloses each asset, not already reported, that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in investment income during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children. This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 of income was produced). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period. This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

## 8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period. This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

## 9. Gifts and Travel Reimbursements

Part 9 discloses the following:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items:

1. anything received from relatives;
2. anything received from the United States Government or from the District of Columbia, state, or local governments;
3. bequests and other forms of inheritance;
4. gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel;
5. gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and



6. anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

## Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made:

1. to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18;
2. to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation;
3. to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena;
4. to a source when necessary to obtain information relevant to a conflict of interest investigation or determination;
5. to the National Archives and Records Administration or the General Services Administration in records management inspections;
6. to the Office of Management and Budget during legislative coordination on private relief legislation;
7. to the Department of Justice or in certain legal proceedings when OGE, an employee of OGE, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation;
8. to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another;
9. to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record;
10. to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records;
11. on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation;
12. on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE;
13. on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order;
14. to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm;
15. to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security.

See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

## Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, NW., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).



# **Reviewer Comments and Submission History**

## **for Beth Goldberg**

**beth.goldberg@sba.gov - Beth Goldberg**

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Filing Submitted