Executive Branch Personnel
Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Missal, Michael

Inspector General, Department of Veterans Affairs

Report Year: 2021

Other Federal Government Positions Held During the Preceding 12 Months:
None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Missal, Michael [electronically signed on 05/13/2021 by Missal, Michael in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).
/s/ Hogan, Michael R, Certifying Official [electronically signed on 05/21/2021 by Hogan, Michael R in Integrity.gov]

Other review conducted by

U.S. Office of Government Ethics Certification
/s/ Granahan, Megan, Certifying Official [electronically signed on 05/25/2021 by Granahan, Megan in Integrity.gov]

1. Filer's Positions Held Outside United States Government

None

2. Filer's Employment Assets & Income and Retirement Accounts

None

3. Filer's Employment Agreements and Arrangements

None

4. Filer's Sources of Compensation Exceeding $5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Montgomery County, Maryland	N/A		salary	

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Enterprise Products Partners LTD	N/A	$50,001 - $100,000	Dividends	$2,501 - $5,000
2	Local Government Infrastructure Muni Bonds	N/A	$15,001 - $50,000	Interest	$201 - $1,000
3	Fidelity Contra Fund	Yes	$250,001 - $500,000		$15,001 - $50,000
4	Vanguard Mid-Cap IDX ETF	Yes	$500,001 - $1,000,000		$5,001 - $15,000
5	Vanguard Russell 3000 ETF	Yes	$100,001 - $250,000		$2,501 - $5,000
6	Vanguard Total Stock ETF	Yes	$250,001 - $500,000		$5,001 - $15,000
7	Vanguard Capital Opportunity	Yes	$500,001 - $1,000,000		$50,001 - $100,000
8	Vanguard Dividend Growth	Yes	$500,001 - $1,000,000		$15,001 - $50,000
9	Vanguard Explorer Admiral	Yes	$250,001 - $500,000		$15,001 - $50,000
10	Vanguard PRIMECAP	Yes	$1,000,001 - $5,000,000		$50,001 - $100,000
11	Vanguard Small Cap Value	Yes	$250,001 - $500,000		$5,001 - $15,000
12	Vanguard Wellington	Yes	Over $1,000,000		$50,001 - $100,000
13	Vanguard Windsor II	Yes	$500,001 - $1,000,000		$15,001 - $50,000
14	Vanguard Extended Market Index Admiral Shares (VEXAX)	Yes	$250,001 - $500,000		$2,501 - $5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
15	AG Energy Credit Opportunity Fund	Yes	$1,001 - $15,000		None (or less than $201)
16	Alpha Keys Strategic Partners VII	Yes	$250,001 - $500,000		$15,001 - $50,000
17	Alpha Keys Real Estate Op V	Yes	$250,001 - $500,000		$100,001 - $1,000,000
18	Alpha Keys Double Black Diamond	Yes	$250,001 - $500,000		None (or less than $201)
19	UBS Bank USA Deposit	Yes	$1,000,001 - $5,000,000		$2,501 - $5,000
20	AIG Annuities Variable Polaris Choice IV	Yes	$1,000,001 - $5,000,000		None (or less than $201)
21	Vangaurd Total Stock Market ETF	Yes	$100,001 - $250,000		$2,501 - $5,000
22	King County WA bonds	N/A	$50,001 - $100,000		$2,501 - $5,000
23	Ohio State SPL Obligation bonds	N/A	$15,001 - $50,000	Interest	$1,001 - $2,500
24	Pierce Co WA bonds	N/A	$50,001 - $100,000	Interest	$2,501 - $5,000
25	Cincinanati OH bonds	N/A	$15,001 - $50,000	Interest	$1,001 - $2,500
26	Tarrant Regional Water District bonds	N/A	$15,001 - $50,000	Interest	$1,001 - $2,500
27	Phoenix, AZ Civic bonds	N/A	$50,001 - $100,000	Interest	$2,501 - $5,000
28	UBS AG Deposit Fund	Yes	$250,001 - $500,000		$1,001 - $2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
29	Vereit Inc	N/A	$250,001 - $500,000	Dividends	$15,001 - $50,000
30	AG Energy Partners II	Yes	$100,001 - $250,000		$5,001 - $15,000
31	Alpha Keys Asian Fund III	Yes	$250,001 - $500,000		$15,001 - $50,000
32	Mesa AZ Utility Revenue bonds	No	$15,001 - $50,000	Interest	$201 - $1,000
33	Ohio State Water Development bonds	No	$50,001 - $100,000	Interest	$1,001 - $2,500
34	Vanguard Wellington Global	Yes	$250,001 - $500,000		$5,001 - $15,000
35	iShares Europe Development Real Estate ETF	Yes	$15,001 - $50,000		$201 - $1,000
36	Vanguard Municipal Money Market Fund	Yes	$250,001 - $500,000		$1,001 - $2,500
37	Comenity Bank CD	N/A	$100,001 - $250,000		$1,001 - $2,500
38	Vanguard Total World Stock ETF	Yes	$250,001 - $500,000		$2,501 - $5,000
39	Vanguard Short Term Investment Grade Fund	Yes	$100,001 - $250,000		$2,501 - $5,000
40	New York Tranistional Finance Bond	N/A	$15,001 - $50,000		$1,001 - $2,500
41	Keller Texas Independent School Bond	N/A	$50,001 - $100,000		$2,501 - $5,000
42	South Dakota Conservancy Bond	N/A	$50,001 - $100,000		$1,001 - $2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
43	Herkimer County NY Public Improvement Bond	N/A	$100,001 - $250,000		$2,501 - $5,000
44	Coilumbus Ohio Various Purpose Bond	N/A	$100,001 - $250,000		$5,001 - $15,000
45	Clark County Nevada Transportation Improvement Bond	N/A	$15,001 - $50,000		$1,001 - $2,500
46	New Hampshire State Capital Improvement Bond	N/A	$50,001 - $100,000		$1,001 - $2,500
47	New Hampshire State Capital Improvement Bond	N/A	$100,001 - $250,000		$2,501 - $5,000
48	Carlyle Global Infra Opp Fund LLP	Yes	$15,001 - $50,000		None (or less than $201)
49	Madison International Real Estate Liquidity Fund VII	Yes	$250,001 - $500,000		$5,001 - $15,000
50	Platinum ECP V LP	Yes	$15,001 - $50,000		None (or less than $201)
51	Fort Worth Texas General Purpose Bond	No	$15,001 - $50,000		$1,001 - $2,500
52	Wake County North Carolina Public Improvement Bond	No	$15,001 - $50,000		$1,001 - $2,500
53	Henry County School District Georgia Bond	No	$50,001 - $100,000		$1,001 - $2,500
54	Northampton County PA Bond	No	$50,001 - $100,000		$2,501 - $5,000
55	King County Washington Refunding Bond	No	$15,001 - $50,000		$201 - $1,000
56	South Dakota Conservancy District Revenue Bond	No	$100,001 - $250,000		$2,501 - $5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
57	Wisconsin ST Transportation Revenue Bond	No	$15,001 - $50,000		$1,001 - $2,500
58	Pierce and King Counties Washington GO Bond	No	$15,001 - $50,000		$1,001 - $2,500
59	Vanguard High Dividend Yield ETF	Yes	$15,001 - $50,000		$1,001 - $2,500
60	Sallie Mae Bank UT CD	Yes	$100,001 - $250,000		$2,501 - $5,000
61	Ally Bank UT CD	Yes	$100,001 - $250,000		$1,001 - $2,500
62	SPDR S&P 500 ETF	Yes	$50,001 - $100,000		$201 - $1,000
63	Vanguard Total International Stock Index ETF	Yes	$15,001 - $50,000		$201 - $1,000
64	iShares Core S&P Small Cap ETF	Yes	$100,001 - $250,000		$1,001 - $2,500
65	iShares MSCI EAFE ETF	Yes	$250,001 - $500,000		$5,001 - $15,000
66	iShares Core MSCI EAFE ETF	Yes	$250,001 - $500,000		$5,001 - $15,000
67	iShares Core MSCI Emerging Markets ETF	Yes	$250,001 - $500,000		$5,001 - $15,000
68	Vanguard Value ETF	Yes	$100,001 - $250,000		$2,501 - $5,000
69	Vanguard Large Cap ETF	Yes	$500,001 - $1,000,000		$5,001 - $15,000
70	Vanguard Growth ETF	Yes	$100,001 - $250,000		$1,001 - $2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
71	Vanguard Real Estate ETF	Yes	$15,001 - $50,000		$1,001 - $2,500
72	Vanguard FTSE Emerging Market ETF	Yes	$50,001 - $100,000		$1,001 - $2,500
73	Applied Minerals Inc		None (or less than $1,001)		None (or less than $201)
74	Alpha Keys TAS 2016	Yes	$250,001 - $500,000		$15,001 - $50,000
75	ARES European Real Estate Fund LP	Yes	$100,001 - $250,000		$50,001 - $100,000
76	NightDragon Growth LP	Yes	$50,001 - $100,000		None (or less than $201)
77	UBS Select Government Investor Fund (SGEXX)	Yes	$50,001 - $100,000		None (or less than $201)
78	Blackstone Life Sciences V	Yes	$15,001 - $50,000		None (or less than $201)
79	Texas State for Previous Issues Bond	N/A	$100,001 - $250,000		None (or less than $201)
80	Florida State Department Transportation Bond	N/A	$50,001 - $100,000		$1,001 - $2,500
81	Waltham Massachusetts School Bond	N/A	$50,001 - $100,000		None (or less than $201)
82	Knoxville Tennessee Waste Water System Rev Bond	N/A	$100,001 - $250,000		$1,001 - $2,500
83	New York City Transportation Bond	N/A	$50,001 - $100,000		$1,001 - $2,500
84	Fidelity Municipal Money Market Fund (FTEXX)	Yes	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
85	Invesco QQQ Trust, Series 1 (QQQ)	Yes	$50,001 - $100,000		$201 - $1,000
86	Sprott Resource Holdings Inc	N/A	None (or less than $1,001)		None (or less than $201)
87	Synovus Bank CD	N/A	$100,001 - $250,000		None (or less than $201)
88	Vanguard Intermediate-Term Investment-Grade Fund Admiral Shares (VFIDX)	Yes	None (or less than $1,001)		None (or less than $201)
89	Office Properties Income Trust Preferred	N/A	$50,001 - $100,000		$1,001 - $2,500
90	Invesco FTSE RAFIEmerging Markets ETF (PXH)	Yes	$50,001 - $100,000		None (or less than $201)
91	iShares MSCI EAFE Small-Cap ETF (SCZ)	Yes	$100,001 - $250,000		None (or less than $201)
92	Vanguard Russell 2000 Index Fund ETF Shares (VTWO)	Yes	$100,001 - $250,000		$201 - $1,000

7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	US Treasury Note	Purchase	01/10/2020	$15,001 - $50,000
2	Mississippi Development Bank Special Obligation Bond	Sale	01/09/2020	$15,001 - $50,000
3	City of Columbus, Ohio Various Purpose	Sale	01/22/2020	$15,001 - $50,000
4	US Treasury Note	Purchase	01/22/2020	$15,001 - $50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
5	Florida State Right of Way Acquisition and Bridge Construction Bonds	Purchase	03/19/2020	$50,001 - $100,000
6	Sharyland Texas Independent School District Bond	Sale	04/15/2020	$50,001 - $100,000
7	Knoxville Tennessee Waster Water System Bond	Purchase	04/30/2020	$100,001 - $250,000
8	Wisconsin State for Issues Bond	Sale	04/15/2020	$15,001 - $50,000
9	Lakewood OH City School Bond	Sale	05/15/2020	$15,001 - $50,000
10	New York City Transit Bond	Purchase	05/15/2020	$50,001 - $100,000
11	New York City Transit Bond	Purchase	05/15/2020	$50,001 - $100,000
12	Lakewood OH City School Bond	Sale	05/15/2020	$15,001 - $50,000
13	Beverly Hills, CA General Obligation Bond	Sale	07/31/2020	$15,001 - $50,000
14	United States Treasury bill	Purchase	08/14/2020	$15,001 - $50,000
15	Port Authority NY and NJ Revenue Bond	Sale	08/17/2020	$15,001 - $50,000
16	Port of Authority of NY and NJ Revenue bond	Sale	08/19/2020	$100,001 - $250,000
17	Texas State Tax and Revenue Anticipation Notes	Purchase	08/21/2020	$100,001 - $250,000
18	Waltham MA GO School Bonds	Purchase	10/19/2020	$50,001 - $100,000
19	Chatham Lodging Trust	Sale	12/11/2020	$15,001 - $50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
20	Lakewood OH City School Bond	Sale	05/15/2020	$15,001 - $50,000
21	New York City Transit Bond	Purchase	05/15/2020	$50,001 - $100,000
22	Montgomery County MD Bond	Sale	07/01/2020	$1,001 - $15,000
23	US Treasury note	Sale	02/28/2020	$50,001 - $100,000
24	US Treasury Bill	Sale	10/06/2020	$15,001 - $50,000
25	Madison Wisconsin Met School Bond	Sale	03/01/2020	$15,001 - $50,000
26	Pinnacle Bank Tennessee CD	Sale	05/15/2020	$100,001 - $250,000
27	Comerica Bank TX CD	Sale	02/18/2020	$50,001 - $100,000
28	Wells Fargo Bank CD	Sale	10/27/2020	$50,001 - $100,000
29	JPMorgan Chase Bank CD	Sale	07/07/2020	$100,001 - $250,000
30	First Republic Bank CD	Sale	04/03/2020	$100,001 - $250,000
31	Comenity Bank CD	Sale	03/13/2020	$100,001 - $250,000
32	UBS Bank Salt Lake City CD	Sale	03/27/2020	$50,001 - $100,000
33	Stearns Bank MN CD	Sale	03/19/2020	$50,001 - $100,000
34	Valley National Bank NJ CD	Sale	04/17/2020	$50,001 - $100,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
35	Bank of Baroda NY CD	Sale	04/30/2020	$100,001 - $250,000
36	JP Morgan Chase Bank CD	Sale	07/07/2020	$50,001 - $100,000
37	First EagleGlobal Fund Class I Shares (SGIIX)	Sale	04/21/2020	$250,001 - $500,000
38	Harbor International Fund Institutional Class Shares (HAINX)	Sale	04/21/2020	$100,001 - $250,000
39	iShares MSCI Japan ETF (EWJ)	Sale	02/21/2020	$50,001 - $100,000
40	iShares MSCI Brazil ETF (EWZ)	Sale	03/13/2020	$15,001 - $50,000
41	PIMCO Enhanced Short Maturity Active Exchange-Traded Fund (MINT)	Sale	03/13/2020	$1,001 - $15,000
42	iShares Core MSCIEmerging Markets ETF (IEMG)	Sale	03/20/2020	$15,001 - $50,000
43	Vanguard Value Index Fund ETF Shares (VTV)	Sale	05/22/2020	$15,001 - $50,000
44	Vanguard Growth Index Fund ETF Class Shares (VUG)	Sale	05/22/2020	$15,001 - $50,000
45	Vanguard Value Index Fund ETF Shares (VTV)	Sale	06/10/2020	$15,001 - $50,000
46	Vanguard Growth Index Fund ETF Class Shares (VUG)	Sale	06/10/2020	$15,001 - $50,000
47	iShares MSCI China ETF (MCHI)	Sale	06/19/2020	$15,001 - $50,000
48	iShares MSCI EAFE ETF (EFA)	Sale	12/14/2020	$100,001 - $250,000
49	iShares MSCI India ETF (INDA)	Sale	12/14/2020	$50,001 - $100,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
50	Vanguard Mid-Cap Index Fund ETF Shares (VO)	Sale	12/14/2020	$100,001 - $250,000
51	Vanguard Growth Index Fund ETF Class Shares (VUG)	Purchase	06/04/2020	$15,001 - $50,000
52	Vanguard Value Index Fund ETF Shares (VTV)	Purchase	04/23/2020	$15,001 - $50,000
53	Vanguard Total Stock Market Index Fund ETF Class Shares (VTI)	Purchase	04/23/2020	$50,001 - $100,000
54	Invesco QQQ Trust, Series 1 (QQQ)	Purchase	04/23/2020	$50,001 - $100,000
55	Vanguard Total World Stock Index Fund ETF Class Shares (VT)	Purchase	02/27/2020	$15,001 - $50,000
56	UBS Select Government Investor Fund (SGEXX)	Purchase	02/12/2020	$15,001 - $50,000
57	Blackstone Life Sciences V Fund	Purchase	05/04/2020	$1,001 - $15,000
58	Blackstone Life Sciences V Fund	Purchase	09/30/2020	$15,001 - $50,000
59	First Republic Bank CD	Purchase	01/02/2020	$100,001 - $250,000
60	Synovus Bank GA CD	Purchase	01/29/2020	$50,001 - $100,000
61	office Properties Income Preferred	Purchase	06/17/2020	$15,001 - $50,000
62	Carlyle Global Infrastructure Fund	Purchase	01/15/2020	$1,001 - $15,000
63	Platinum ECP V Fund	Purchase	01/24/2020	$15,001 - $50,000
64	Madison International Real Estate Liquidity Fund VII	Purchase	03/13/2020	$100,001 - $250,000
65	Alpha Keys KKR Asian III Fund	Purchase	05/12/2020	$1,001 - $15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
66	Alpha Keys Real Estate Opp V Fund	Purchase	05/14/2020	$1,001 - $15,000
67	Alpha Keys KKR Asian III Fund	Purchase	06/10/2020	$15,001 - $50,000
68	Alpha Keys KKR Asian III Fund	Purchase	07/15/2020	$15,001 - $50,000
69	Carlyle Global Infrastructure Fund	Purchase	07/15/2020	$15,001 - $50,000
70	Madison International Real Estate Liquidity Fund VII	Purchase	07/20/2020	$50,001 - $100,000
71	Madison International Real Estate Liquidity	Purchase	10/26/2020	$50,001 - $100,000
72	Alpha Keys KKR Asian III Fund	Purchase	11/30/2020	$15,001 - $50,000
73	Alpha Keys Asian III Fund	Purchase	11/30/2020	$15,001 - $50,000
74	Nightdragon Growth I LP	Purchase	12/30/2020	$50,001 - $100,000
75	iShares Core S&P Small-Cap ETF (IJR)	Purchase	01/16/2020	$1,001 - $15,000
76	iShares MSCI EAFE ETF (EFA)	Purchase	01/16/2020	$1,001 - $15,000
77	iShares Core MSCI EAFE ETF (IEFA)	Purchase	01/16/2020	$1,001 - $15,000
78	iShares Core MSCIEmerging Markets ETF (IEMG)	Purchase	01/16/2020	$1,001 - $15,000
79	Vanguard Large-Cap Index Fund ETF Shares (VV)	Purchase	01/16/2020	$1,001 - $15,000
80	Vanguard Mid-Cap Index Fund ETF Shares (VO)	Purchase	01/16/2020	$1,001 - $15,000
81	iShares Core MSCI EAFE ETF (IEFA)	Purchase	01/24/2020	$1,001 - $15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
82	PIMCO Enhanced Short Maturity Active Exchange-Traded Fund (MINT)	Purchase	02/03/2020	$1,001 - $15,000
83	iShares MSCI EAFE ETF (EFA)	Purchase	02/19/2020	$1,001 - $15,000
84	iShares Core MSCI EAFE ETF (IEFA)	Purchase	02/19/2020	$1,001 - $15,000
85	iShares Core MSCI EAFE ETF (IEFA)	Purchase	02/21/2020	$50,001 - $100,000
86	iShares Core S&P Small-Cap ETF (IJR)	Purchase	02/28/2020	$1,001 - $15,000
87	iShares MSCI Brazil ETF (EWZ)	Purchase	02/28/2020	$1,001 - $15,000
88	iShares MSCI EAFE ETF (EFA)	Purchase	02/28/2020	$1,001 - $15,000
89	Vanguard Value Index Fund ETF Shares (VTV)	Purchase	02/28/2020	$1,001 - $15,000
90	iShares Core MSCI EAFE ETF (IEFA)	Purchase	03/09/2020	$1,001 - $15,000
91	iShares Core MSCI EAFE ETF (IEFA)	Purchase	03/09/2020	$1,001 - $15,000
92	Vanguard Mid-Cap Index Fund ETF Shares (VO)	Purchase	03/09/2020	$1,001 - $15,000
93	iShares Core S&P Small-Cap ETF (IJR)	Purchase	03/12/2020	$1,001 - $15,000
94	iShares MSCI EAFE ETF (EFA)	Purchase	03/12/2020	$1,001 - $15,000
95	Vanguard Mid-Cap Index Fund ETF Shares (VO)	Purchase	03/12/2020	$1,001 - $15,000
96	iShares Core MSCIEmerging Markets ETF (IEMG)	Purchase	03/13/2020	$15,001 - $50,000
97	iShares MSCI EAFE ETF (EFA)	Purchase	03/17/2020	$1,001 - $15,000
98	iShares Core MSCI EAFE ETF (IEFA)	Purchase	03/17/2020	$15,001 - $50,000
99	iShares Core MSCIEmerging Markets ETF (IEMG)	Purchase	03/17/2020	$1,001 - $15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
100	Vanguard Large-Cap Index Fund ETF Shares (VV)	Purchase	03/17/2020	$1,001 - $15,000
101	Vanguard Mid-Cap Index Fund ETF Shares (VO)	Purchase	03/17/2020	$1,001 - $15,000
102	Vanguard Value Index Fund ETF Shares (VTV)	Purchase	03/26/2020	$1,001 - $15,000
103	iShares Core S&P Small-Cap ETF (IJR)	Purchase	04/27/2020	$1,001 - $15,000
104	iShares Core MSCI EAFE ETF (IEFA)	Purchase	04/27/2020	$15,001 - $50,000
105	iShares Core MSCIEmerging Markets ETF (IEMG)	Purchase	04/27/2020	$1,001 - $15,000
106	Vanguard Value Index Fund ETF Shares (VTV)	Purchase	04/27/2020	$15,001 - $50,000
107	Vanguard Growth Index Fund ETF Class Shares (VUG)	Purchase	04/27/2020	$15,001 - $50,000
108	Vanguard Mid-Cap Index Fund ETF Shares (VO)	Purchase	05/22/2020	$50,001 - $100,000
109	Vanguard Mid-Cap Index Fund ETF Shares (VO)	Purchase	06/10/2020	$50,001 - $100,000
110	iShares MSCI India ETF (INDA)	Purchase	06/19/2020	$15,001 - $50,000
111	iShares MSCI EAFE ETF (EFA)	Purchase	09/02/2020	$15,001 - $50,000
112	iShares MSCI EAFE ETF (EFA)	Purchase	11/03/2020	$1,001 - $15,000
113	iShares Core MSCI EAFE ETF (IEFA)	Purchase	11/03/2020	$15,001 - $50,000
114	Vanguard Value Index Fund ETF Shares (VTV)	Purchase	11/03/2020	$1,001 - $15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
115	Vanguard Large-Cap Index Fund ETF Shares (VV)	Purchase	11/03/2020	$1,001 - $15,000
116	Vanguard Mid-Cap Index Fund ETF Shares (VO)	Purchase	11/03/2020	$1,001 - $15,000
117	iShares MSCI EAFE Small-Cap ETF (SCZ)	Purchase	12/14/2020	$100,001 - $250,000
118	Invesco FTSE RAFIEmerging Markets ETF (PXH)	Purchase	12/14/2020	$50,001 - $100,000
119	Vanguard Russell 2000 Index Fund ETF Shares (VTWO)	Purchase	12/14/2020	$100,001 - $250,000

8. Liabilities

None

9. Gifts and Travel Reimbursements

None

Endnotes

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than $200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding $5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than $5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than $1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than $200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of $5,000 or less (unless more than $200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of $1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over $10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed $10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than $415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than $415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of $166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).