

Executive Branch Personnel

Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Blum, Jonathan

Principal Deputy Administrator & Chief Operating Officer, Office of the Administrator, Centers for Medicare & Medicaid Services, Department of Health & Human Services

Report Year: 2022

Other Federal Government Positions Held During the Preceding 12 Months:

None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Blum, Jonathan [electronically signed on 05/14/2022 by Blum, Jonathan in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ CardMina, Mary, Certifying Official [electronically signed on 11/03/2022 by CardMina, Mary in Integrity.gov]

Other review conducted by

/s/ Shouman, Mohammad, Ethics Official [electronically signed on 11/02/2022 by Shouman, Mohammad in Integrity.gov]

U.S. Office of Government Ethics Certification

Data Revised 11/03/2022

Data Revised 11/02/2022

Data Revised 10/30/2022

Data Revised 10/21/2022

Data Revised 10/20/2022

Data Revised 07/18/2022

Data Revised 07/17/2022

Data Revised 07/04/2022

Data Revised 07/03/2022

Data Revised 05/19/2022

Comments of Reviewing Officials (public annotations):

PART	#	REFERENCE	COMMENT
N/A	N/A	General	(05/19/2022, Shouman, Mohammad): End Initial Review: 05/19/2022, MS.
N/A	N/A	General	(07/18/2022, Shouman, Mohammad): Review in progress. Additional information required from the filer.
N/A	N/A	General	(11/03/2022, CardMina, Mary): Reported certified outside of 60 days due to clarifications needed on transactions and other updates to this report.

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME		CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Health Management Associates, Inc.		Lansing, Michigan	Corporation	Vice President, Federal Policy and Managing Director, Medicare	8/2018	6/2021
2	Stellar Health Group, Inc.		New York, New York	Corporation	Member, Board of Advisors	9/2018	5/2021
3	Primary Care Coalition of Montgomery County, Inc.	See Endnote	Silver Spring, Maryland	Non-Profit	Vice Chair of the Board	4/2017	5/2021
4	Mary's Center for Maternal and Child Care, Inc.	See Endnote	Washington, District of Columbia	Non-Profit	Board Member	11/2016	5/2021
5	Medicare Rights Center, Inc.	See Endnote	New York, New York	Non-Profit	Board Member	9/2014	5/2021
6	CareMetx, LLC		Bethesda, Maryland	Limited Liability Company	Board Member	9/2018	2/2021

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Health Management Associates, Inc. (health care consulting)	N/A		Salary & Bonus	\$885,000
2	Health Management Associates, Inc.	N/A	None (or less than \$1,001)	Option Exercise, Ordinary Income	\$268,055
3	Stellar Health Group, Inc. (health care technology)	N/A	None (or less than \$1,001)	Option Exercise, Ordinary Income	\$428,900

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4	CareMetx, LLC (pharmacy support services to patients)	N/A		Director Fees	\$65,000
5	CareMetx, LLC, vested restricted stock units	N/A	None (or less than \$1,001)	Cash Payment	\$452,200
6	Third-party escrow account with SRS Acquiom, Inc. (due to cash payment from vested restricted stock units of CareMetx, LLC)	N/A	None (or less than \$1,001)	Cash Payment	\$6,749
7	Filer's IRA	See Endnote	No		
7.1	U.S. brokerage account (cash)	N/A	\$15,001 - \$50,000		None (or less than \$201)
7.2	iShares MSCI EAFE Small-Cap ETF (SCZ)	Yes	\$15,001 - \$50,000		None (or less than \$201)
7.3	iShares iBoxx \$ High Yield Corporate Bond ETF (HYG)	Yes	\$1,001 - \$15,000		None (or less than \$201)
7.4	iShares 0-5 Year High Yield Corporate Bond ETF (SHYG)	Yes	\$1,001 - \$15,000		None (or less than \$201)
7.5	SPDR Russell 1000 Yield Focus ETF (ONEY)	Yes	\$15,001 - \$50,000		None (or less than \$201)
7.6	SPDR S&P 600 Small Cap Growth ETF (SLYG)	Yes	\$50,001 - \$100,000		None (or less than \$201)
7.7	SPDR S&P 600 Small Cap Value ETF (SLYV)	Yes	\$15,001 - \$50,000		None (or less than \$201)
7.8	SPDR Portfolio Intermediate Term Corporate Bond ETF (SPIB)	Yes	\$1,001 - \$15,000		None (or less than \$201)
7.9	SPDR Portfolio Short Term Corporate Bond ETF (SPSB)	Yes	\$50,001 - \$100,000		None (or less than \$201)
7.10	Vanguard Short-Term Corporate Bond ETF (VCSH)	Yes	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.11	Hillman Value Fund (HCMAX)	See Endnote	Yes	\$50,001 - \$100,000		None (or less than \$201)
7.12	DFA Real Estate Securities Portfolio, Institutional Class (DFREX)	See Endnote	Yes	\$15,001 - \$50,000		None (or less than \$201)
7.13	DFA World ex US Government Fixed Income Portfolio - Institutional Class (DWFIX)		Yes	\$50,001 - \$100,000		None (or less than \$201)
7.14	Dodge & Cox Income Fund - Class I (DODIX)		Yes	\$50,001 - \$100,000		None (or less than \$201)
7.15	Driehaus Emerging Markets Growth Fund - Institutional Shares (DIEMX)		Yes	\$50,001 - \$100,000		None (or less than \$201)
7.16	Franklin Small Cap Growth Fund - Advisor Class (FSSAX)		Yes	\$1,001 - \$15,000		None (or less than \$201)
7.17	Hartford Schrodgers International Stock Fund - Class I (SCIEX)	See Endnote	Yes	\$15,001 - \$50,000		None (or less than \$201)
7.18	Matthews Asia Dividend Fund - Institutional Class (MIPIX)		Yes	\$15,001 - \$50,000		None (or less than \$201)
7.19	Morgan Stanley Institutional Fund Trust Discovery Portfolio Class I		Yes	\$50,001 - \$100,000		None (or less than \$201)
7.20	Morgan Stanley Institutional Fund Growth Portfolio - Class I (MSEQX)		Yes	\$100,001 - \$250,000		None (or less than \$201)
7.21	PGIM Total Return Bond Fund - Class Z (PDBZX)		Yes	\$50,001 - \$100,000		None (or less than \$201)
7.22	PGIM High Yield Fund - Class Z (PHYZX)		Yes	\$15,001 - \$50,000		None (or less than \$201)
7.23	T. Rowe Price International Discovery Fund - Investor Class (PRIDX)		Yes	\$100,001 - \$250,000		None (or less than \$201)
8	Filer's SEP IRA	See Endnote	No			
8.1	SPDR S&P 600 Small Cap Growth ETF (SLYG)		Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8.2	Vanguard Short-Term Corporate Bond ETF (VCSH)	Yes	\$1,001 - \$15,000		None (or less than \$201)
8.3	Hillman Value Fund (HCMAX) See Endnote	Yes	\$1,001 - \$15,000		None (or less than \$201)
8.4	DFA World ex US Government Fixed Income Portfolio - Institutional Class (DWFIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
8.5	Dodge & Cox Income Fund - Class I (DODIX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
8.6	Morgan Stanley Institutional Fund Growth Portfolio - Class I (MSEQX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
8.7	PGIM High Yield Fund - Class Z (PHYZX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
8.8	TCW High Yield Bond Fund, Institutional Class (TGHYX)	Yes	\$15,001 - \$50,000		None (or less than \$201)

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Health Management Associates, Inc.	Lansing, Michigan	I exercised my stock options before my June 7, 2021, federal appointment.	9/2018
2	Health Management Associates, Inc.	Lansing, Michigan	Pursuant to standard employment agreement benefits, this health care insurance plan and its accompanying health savings account expired on June 30, 2021.	9/2018
3	Health Management Associates, Inc.	Lansing, Michigan	I received my final paycheck from this former employer on June 28, 2021, for my work with the firm through June 4, 2021.	6/2018
4	Health Management Associates, Inc.	Lansing, Michigan	I no longer retain this 401(k) plan. The plan sponsor did not make further contributions after my separation.	9/2018

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
5	Stellar Health Group, Inc.	New York, New York	I exercised my stock options before my June 7, 2021, federal appointment.	9/2018
6	SRS Acquiom, Inc.	Denver, Colorado	Escrow account with SRS Acquiom, Inc., was from the cash payment from vested restricted stock units of CareMetx, LLC. Cash was held in escrow for revenue reconciliation and potential liabilities for matters predating the cash payment. I received payments totaling \$6,749 from the escrow account. No other payments will be made from this escrow account.	2/2021
7	CareMetx, LLC	Bethesda, Maryland	See line 6 above.	2/2021

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Spouse's IRA	No			
1.1	iShares iBoxx \$ High Yield Corporate Bond ETF (HYG) See Endnote	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.2	SPDR Russell 1000 Yield Focus ETF (ONEY)	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.3	SPDR Portfolio Emerging Markets ETF (SPEM)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.4	SPDR S&P 600 Small Cap Value ETF (SLYV)	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.5	SPDR Portfolio Intermediate Term Corporate Bond ETF (SPIB)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.6	Hillman Value Fund (HCMAX) See Endnote	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.7	DFA Real Estate Securities Portfolio, Institutional Class (DFREX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.8	Dodge & Cox Income Fund - Class I (DODIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.9	Hartford Schrodgers International Stock Fund - Class I (SCIEX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.10	Morgan Stanley Institutional Fund Trust Discovery Portfolio Class I	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.11	PGIM High Yield Fund - Class Z (PHYZX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.12	TCW High Yield Bond Fund, Institutional Class (TGHYX)	Yes	\$15,001 - \$50,000		None (or less than \$201)

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	U.S. bank (cash)	N/A	\$500,001 - \$1,000,000	Interest	\$201 - \$1,000
2	Maryland College Investment Plan	No			
2.1	Portfolio 2021	Yes	\$250,001 - \$500,000		None (or less than \$201)
3	Managed account	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.1	iShares Core S&P Mid-Cap ETF (IJH)	Yes	\$100,001 - \$250,000		None (or less than \$201)
3.2	iShares MSCI EAFE Small-Cap ETF (SCZ)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.3	SPDR Russell 1000 Yield Focus ETF (ONEY)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
3.4	SPDR Portfolio Emerging Markets ETF (SPEM)	See Endnote	Yes	\$15,001 - \$50,000	\$201 - \$1,000
3.5	SPDR S&P 600 Small Cap Growth ETF (SLYG)	Yes	\$50,001 - \$100,000		\$201 - \$1,000
3.6	SPDR Portfolio S&P 500 Growth ETF (SPYG)	Yes	\$250,001 - \$500,000		\$1,001 - \$2,500
3.7	SPDR Portfolio Short Term Corporate Bond ETF (SPSB)	Yes	\$50,001 - \$100,000		\$201 - \$1,000
3.8	Vanguard Short-Term Corporate Bond ETF (VCSH)	Yes	\$50,001 - \$100,000		None (or less than \$201)
3.9	Vanguard Growth Index Fund ETF Class Shares (VUG)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.10	DFA International Small Cap Value Portfolio - Institutional Class (DISVX)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
3.11	DF Dent Small Cap Growth Fund - Institutional Shares (DFSGX)	Yes	\$15,001 - \$50,000		\$2,501 - \$5,000
3.12	DFA Real Estate Securities Portfolio - Institutional Class (DFREX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
3.13	DFA World ex US Government Fixed Income Portfolio - Institutional Class (DWFIX)	See Endnote	Yes	\$15,001 - \$50,000	\$201 - \$1,000
3.14	Dodge & Cox International Stock Fund (DODFX)	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.15	Dodge & Cox Income Fund - Class I (DODIX)	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
3.16	Driehaus Emerging Markets Growth Fund - Institutional Shares (DIEMX)	Yes	\$50,001 - \$100,000		\$5,001 - \$15,000
3.17	Hartford Schroders International Stock Fund - Class I (SCIEX)	See Endnote	Yes	\$15,001 - \$50,000	\$201 - \$1,000
3.18	Matthews Asia Dividend Fund - Investor Class (MAPIX)	Yes	\$15,001 - \$50,000		\$5,001 - \$15,000
3.19	PGIM High Yield Fund - Class Z (PHYZX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
3.20	T. Rowe Price International Discovery Fund - Investor Class (PRIDX)	See Endnote	Yes	\$15,001 - \$50,000	\$2,501 - \$5,000
3.21	TCW High Yield Bond Fund - Institutional Class (TGHYX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
3.22	American Express Co. (AXP)	N/A	\$50,001 - \$100,000		None (or less than \$201)
3.23	Home Depot, Inc. (HD)	N/A	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
3.24	United Parcel Service, Inc. (UPS)	N/A	\$15,001 - \$50,000		None (or less than \$201)
3.25	SPDR Portfolio Aggregate Bond ETF (SPAB)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.26	Vanguard High Dividend Yield ETF (VYM)	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
3.27	AMG TimesSquare International Small Cap Fund - Class N (TCMPX)	See Endnote	Yes	\$1,001 - \$15,000	None (or less than \$201)
3.28	BlackRock National Municipal Fund - Institutional Class (MANLX)	Yes	\$100,001 - \$250,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.29	Franklin Small Cap Growth Fund - Advisor Class (FSSAX)	Yes	\$15,001 - \$50,000		\$2,501 - \$5,000
3.30	PGIM Total Return Bond Fund - Class Z (PDBZX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.31	U.S. brokerage account (cash)	N/A	\$15,001 - \$50,000		None (or less than \$201)

7. Transactions

#	DESCRIPTION		TYPE	DATE	AMOUNT
1	Health Management Associates, Inc., 401(k) plan: Eaton Vance Income Fund of Boston - Class R6 (EIBRX)	See Endnote	Sale	09/01/2021	\$1,001 - \$15,000
2	Health Management Associates, Inc., 401(k) plan: PGIM Total Return Bond Fund - Class R6 (PTRQX)	See Endnote	Sale	09/01/2021	\$1,001 - \$15,000
3	Health Management Associates, Inc., 401(k) plan: PIMCO International Bond Fund (U.S. Dollar-Hedged) - Institutional Class (PFORX)	See Endnote	Sale	09/01/2021	\$1,001 - \$15,000
4	Health Management Associates, Inc., 401(k) plan: Vanguard Total Bond Market Index Fund - Admiral Shares (VBTLX)	See Endnote	Sale	09/01/2021	\$1,001 - \$15,000
5	Health Management Associates, Inc., 401(k) plan: Principal LargeCap S&P 500 Index Fund - Class A (PLSAX)	See Endnote	Sale	09/01/2021	\$1,001 - \$15,000
6	Health Management Associates, Inc., 401(k) plan: Vanguard Equity Income Fund - Admiral Shares (VEIRX)	See Endnote	Sale	09/01/2021	\$1,001 - \$15,000
7	Health Management Associates, Inc., 401(k) plan: Columbia Small Cap Growth Fund, Institutional 3 Class (CSGYX)	See Endnote	Sale	09/01/2021	\$1,001 - \$15,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
8	Health Management Associates, Inc., 401(k) plan: Janus Henderson Enterprise Fund - Class N (JDMNX)	See Endnote	Sale	09/01/2021	\$1,001 - \$15,000
9	Health Management Associates, Inc., 401(k) plan: Principal MidCap S&P 400 Index Fund, Class R-2 (PMFNX)	See Endnote	Sale	09/01/2021	\$1,001 - \$15,000
10	Health Management Associates, Inc., 401(k) plan: Principal SmallCap S&P 600 Index Fund - Class R-2 (PSSNX)	See Endnote	Sale	09/01/2021	\$1,001 - \$15,000
11	Health Management Associates, Inc., 401(k) plan: Vanguard Mid-Cap Value Index Fund - Admiral Shares (VMVAX)	See Endnote	Sale	09/01/2021	\$1,001 - \$15,000
12	Health Management Associates, Inc., 401(k) plan: Vanguard Small-Cap Value Index Fund - Admiral Shares (VSIAX)	See Endnote	Sale	09/01/2021	\$1,001 - \$15,000
13	Health Management Associates, Inc., 401(k) plan: American Funds New World Fund - Class R-6 (RNWGX)	See Endnote	Sale	09/01/2021	\$1,001 - \$15,000
14	Health Management Associates, Inc., 401(k) plan: Principal Diversified International Separate Account	See Endnote	Sale	09/01/2021	\$15,001 - \$50,000
15	Health Management Associates, Inc., 401(k) plan: Principal U.S. Property Separate Account	See Endnote	Sale	09/01/2021	\$1,001 - \$15,000
16	Filer's IRA: Morgan Stanley Institutional Fund Trust Discovery Portfolio Class I	See Endnote	Purchase		\$15,001 - \$50,000
17	Filer's IRA: SPDR Russell 1000 Yield Focus ETF (ONEY)		Purchase	07/21/2021	\$15,001 - \$50,000
18	Filer's IRA: iShares Core S&P Mid-Cap ETF (IJH)		Purchase	07/21/2021	\$15,001 - \$50,000
19	Filer's IRA: Vanguard Mid-Cap ETF (VO)		Sale	07/26/2021	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
20	Filer's IRA: Hartford Schroders International Stock Fund - Class I (SCIEIX)	Purchase	08/31/2021	\$15,001 - \$50,000
21	Filer's IRA: Vanguard Real Estate ETF (VNQ)	Sale	08/31/2021	\$15,001 - \$50,000
22	Filer's IRA: SPDR S&P 600 Small Cap Value ETF (SLYV)	Purchase	08/31/2021	\$1,001 - \$15,000
23	Filer's IRA: Dodge & Cox Income Fund - Class I (DODIX)	Purchase	09/16/2021	\$15,001 - \$50,000
24	Filer's IRA: DFA Real Estate Securities Portfolio - Institutional Class (DFREX)	Purchase	09/16/2021	\$1,001 - \$15,000
25	Filer's IRA: Hillman Value Fund (HCMAX)	Purchase	09/16/2021	\$15,001 - \$50,000
26	Filer's IRA: PGIM Total Return Bond Fund - Class Z (PDBZX)	Purchase	09/16/2021	\$15,001 - \$50,000
27	Filer's IRA: SPDR S&P 600 Small Cap Growth ETF (SLYG)	Purchase	09/16/2021	\$1,001 - \$15,000
28	Filer's IRA: iShares MSCI EAFE Small-Cap ETF (SCZ)	Purchase	09/16/2021	\$15,001 - \$50,000
29	Filer's IRA: Morgan Stanley Institutional Fund Growth Portfolio - Class I (MSEQX)	Purchase	12/13/2021	\$15,001 - \$50,000
30	Filer's IRA: Matthews Asia Dividend Fund - Institutional Class (MIPIX)	Purchase	12/15/2021	\$1,001 - \$15,000
31	Filer's IRA: Driehaus Emerging Markets Growth Fund - Institutional Shares (DIEMX)	Purchase	12/16/2021	\$1,001 - \$15,000
32	Filer's IRA: T. Rowe Price International Discovery Fund, Investor Class (PRIDX)	Purchase	12/16/2021	\$1,001 - \$15,000
33	Filer's IRA: Hillman Value Fund (HCMAX)	Purchase	12/30/2021	\$1,001 - \$15,000
34	Filer's SEP IRA: Vanguard Total International Bond ETF (BNDX)	Sale	08/31/2021	\$1,001 - \$15,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
35	Filer's SEP IRA: SPDR S&P 600 Small Cap Growth ETF (SLYG)		Purchase	08/31/2021	\$1,001 - \$15,000
36	Filer's SEP IRA: TCW High Yield Bond Fund - Institutional Class (TGHYX)		Purchase	09/16/2021	\$15,001 - \$50,000
37	Filer's SEP IRA: PGIM High Yield Fund - Class Z (PHYZX)		Purchase	09/16/2021	\$1,001 - \$15,000
38	Filer's SEP IRA: Morgan Stanley Institutional Fund Growth Portfolio - Class I (MSEQX)		Purchase	12/13/2021	\$1,001 - \$15,000
39	Spouse's IRA: Vanguard Mid-Cap ETF (VO)		Purchase	07/26/2021	\$15,001 - \$50,000
40	Spouse's IRA: PGIM High Yield Fund - Class Z (PHYZX)		Sale	07/28/2021	\$15,001 - \$50,000
41	Spouse's IRA: Morgan Stanley Institutional Fund Trust Discovery Portfolio Class I		Sale	07/28/2021	\$15,001 - \$50,000
42	Spouse's IRA: Hartford Schroders International Stock Fund - Class I (SCIEX)		Purchase	08/31/2021	\$1,001 - \$15,000
43	Spouse's IRA: DFA Real Estate Securities Portfolio, Institutional Class (DFREX)		Purchase	08/31/2021	\$1,001 - \$15,000
44	Spouse's IRA: DFA World ex US Government Fixed Income Portfolio - Institutional Class (DWFIX)		Sale	08/31/2021	\$1,001 - \$15,000
45	Spouse's IRA: Fidelity Contrafund (FCNTX)		Sale	08/31/2021	\$50,001 - \$100,000
46	Spouse's IRA: Vanguard Total International Bond ETF (BNDX)	See Endnote	Sale	08/31/2021	\$1,001 - \$15,000
47	Spouse's IRA: SPDR S&P 600 Small Cap Value ETF (SLYV)		Purchase	08/31/2021	\$1,001 - \$15,000
48	Spouse's IRA: SPDR Portfolio Emerging Markets ETF (SPEM)		Purchase	08/31/2021	\$1,001 - \$15,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
49	Spouse's IRA: SPDR Russell 1000 Yield Focus ETF (ONEY)		Purchase	08/31/2021	\$15,001 - \$50,000
50	Spouse's IRA: Morgan Stanley Institutional Fund Trust Discovery Portfolio Class I		Purchase	12/13/2021	\$1,001 - \$15,000
51	Managed account: BlackRock National Municipal Fund - Institutional Class (MANLX)		Purchase	08/31/2021	\$1,001 - \$15,000
52	Managed account: Vanguard Tax-Exempt Bond ETF (VTEB)		Sale	08/31/2021	\$1,001 - \$15,000
53	Managed account: SPDR Portfolio Developed World ex-US ETF (SPDW)	See Endnote	Sale	08/31/2021	\$1,001 - \$15,000
54	Managed account: Northern Small Cap Value Fund (NOSGX)		Sale	12/09/2021	\$50,001 - \$100,000
55	Managed account: Morgan Stanley Institutional Fund Growth Portfolio - Class I (MSEQX)		Sale	12/09/2021	\$100,001 - \$250,000
56	Managed account: BlackRock National Municipal Fund - Institutional Class (MANLX)		Purchase	12/09/2021	\$15,001 - \$50,000
57	Managed account: iShares MSCI EAFE Small-Cap ETF (SCZ)		Purchase	12/09/2021	\$1,001 - \$15,000
58	Managed account: SPDR S&P 600 Small Cap Value ETF (SLYV)		Purchase	12/09/2021	\$1,001 - \$15,000
59	Managed account: SPDR Portfolio Emerging Markets ETF (SPEM)		Purchase	12/09/2021	\$15,001 - \$50,000
60	Managed account: SPDR Portfolio S&P 500 Growth ETF (SPYG)		Purchase	12/09/2021	\$100,001 - \$250,000
61	Managed account: DFA International Small Cap Value Portfolio - Institutional Class (DISVX)		Purchase	12/13/2021	\$1,001 - \$15,000
62	Managed account: Matthews Asia Dividend Fund - Investor Class (MAPIX)	See Endnote	Purchase		\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
63	Managed account: Franklin Small Cap Growth Fund - Advisor Class (FSSAX)	Purchase	12/15/2021	\$1,001 - \$15,000
64	Managed account: DFA US Small Cap Value Portfolio - Institutional Class (DFSVX)	Purchase	12/15/2021	\$1,001 - \$15,000
65	Managed account: Driehaus Emerging Markets Growth Fund - Institutional Shares (DIEMX)	Purchase	12/16/2021	\$1,001 - \$15,000
66	Managed account: T. Rowe Price International Discovery Fund - Investor Class (PRIDX)	Purchase	12/16/2021	\$1,001 - \$15,000

8. Liabilities

None

9. Gifts and Travel Reimbursements

None

Endnotes

PART	#	ENDNOTE
1.	3	Unpaid position.
1.	4	Unpaid position.
1.	5	Unpaid position.
2.	7	The filer's IRA and SEP IRA assets were previously erroneously reported as being held under a single IRA.

PART	#	ENDNOTE
2.	7.11	This asset was inadvertently not reported in the 2021 new entrant OGE Form 278e (Executive Branch Personnel Public Financial Disclosure Report).
2.	7.12	This asset was inadvertently not reported in the 2021 new entrant OGE Form 278e (Executive Branch Personnel Public Financial Disclosure Report).
2.	7.17	This asset was inadvertently not reported in the 2021 new entrant OGE Form 278e (Executive Branch Personnel Public Financial Disclosure Report).
2.	8	The filer's IRA and SEP IRA assets were previously erroneously reported as being held under a single IRA.
2.	8.3	This asset was inadvertently not reported in the 2021 new entrant OGE Form 278e (Executive Branch Personnel Public Financial Disclosure Report).
5.	1.1	This asset was inadvertently not reported in the 2021 new entrant OGE Form 278e (Executive Branch Personnel Public Financial Disclosure Report).
5.	1.6	This asset was inadvertently not reported in the 2021 new entrant OGE Form 278e (Executive Branch Personnel Public Financial Disclosure Report).
6.	3.4	This asset was inadvertently not reported in the 2021 new entrant OGE Form 278e (Executive Branch Personnel Public Financial Disclosure Report).
6.	3.13	This asset was inadvertently not reported in the 2021 new entrant OGE Form 278e (Executive Branch Personnel Public Financial Disclosure Report).
6.	3.17	This asset was inadvertently not reported in the 2021 new entrant OGE Form 278e (Executive Branch Personnel Public Financial Disclosure Report).
6.	3.20	This asset was inadvertently not reported in the 2021 new entrant OGE Form 278e (Executive Branch Personnel Public Financial Disclosure Report).
6.	3.27	This asset was inadvertently not reported in the 2021 new entrant OGE Form 278e (Executive Branch Personnel Public Financial Disclosure Report).
7.	1	Approximate date based on the rollover of the Health Management Associates, Inc., 401(k) plan into an IRA.
7.	2	Approximate date based on the rollover of the Health Management Associates, Inc., 401(k) plan into an IRA.
7.	3	Approximate date based on the rollover of the Health Management Associates, Inc., 401(k) plan into an IRA.
7.	4	Approximate date based on the rollover of the Health Management Associates, Inc., 401(k) plan into an IRA.
7.	5	Approximate date based on the rollover of the Health Management Associates, Inc., 401(k) plan into an IRA.

PART	#	ENDNOTE
7.	6	Approximate date based on the rollover of the Health Management Associates, Inc., 401(k) plan into an IRA.
7.	7	Approximate date based on the rollover of the Health Management Associates, Inc., 401(k) plan into an IRA. This asset was inadvertently not reported in the 2021 new entrant OGE Form 278e (Executive Branch Personnel Public Financial Disclosure Report).
7.	8	Approximate date based on the rollover of the Health Management Associates, Inc., 401(k) plan into an IRA.
7.	9	Approximate date based on the rollover of the Health Management Associates, Inc., 401(k) plan into an IRA.
7.	10	Approximate date based on the rollover of the Health Management Associates, Inc., 401(k) plan into an IRA.
7.	11	Approximate date based on the rollover of the Health Management Associates, Inc., 401(k) plan into an IRA.
7.	12	Approximate date based on the rollover of the Health Management Associates, Inc., 401(k) plan into an IRA.
7.	13	Approximate date based on the rollover of the Health Management Associates, Inc., 401(k) plan into an IRA.
7.	14	Approximate date based on the rollover of the Health Management Associates, Inc., 401(k) plan into an IRA. This asset was inadvertently not reported in the 2021 new entrant OGE Form 278e (Executive Branch Personnel Public Financial Disclosure Report).
7.	15	Approximate date based on the rollover of the Health Management Associates, Inc., 401(k) plan into an IRA.
7.	16	Multiple transactions.
7.	46	This asset was inadvertently not reported in the 2021 new entrant OGE Form 278e (Executive Branch Personnel Public Financial Disclosure Report).
7.	53	This asset was inadvertently not reported in the 2021 new entrant OGE Form 278e (Executive Branch Personnel Public Financial Disclosure Report).
7.	62	Multiple transactions.

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).
