Executive Branch Personnel

Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Greenberg, Mark

Deputy General Counsel, OGC, Department of Health & Human Services

Date of Appointment: 12/06/2021

Other Federal Government Positions Held During the Preceding 12 Months:
None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Greenberg, Mark [electronically signed on 11/19/2021 by Greenberg, Mark in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).
/s/ Olesh, Stanley, Certifying Official [electronically signed on 02/24/2022 by Olesh, Stanley in Integrity.gov]

Other review conducted by
/s/ Olesh, Stanley, Ethics Official [electronically signed on 02/24/2022 by Olesh, Stanley in Integrity.gov]

U.S. Office of Government Ethics Certification

Data Revised 02/24/2022

Data Revised 01/10/2022

Data Revised 12/08/2021

Data Revised 11/27/2021

Data Revised 11/26/2021

Data Revised 11/24/2021

Comments of Reviewing Officials (public annotations):

PART	#	REFERENCE	COMMENT
N/A	N/A	General	(02/24/2022, Olesh, Stanley): 2/1/22 - CD granted by OGE
N/A	N/A	General	(02/24/2022, Olesh, Stanley): 2/23/22 - filer confirmed all items on EA are divested -- SO

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Migration Policy Institute	Washington, District of Columbia	Non-Profit	Senior Fellow	7/2017	12/2021
2	International Social Services - USA	Baltimore, Maryland	Non-Profit	Member, Board of Directors	3/2018	12/2021
3	Georgetown University	Washington, District of Columbia	University/College	Consultant	3/2020	12/2020

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Migration Policy Institute	N/A		Salary	$314,995
2	Migration Policy Inst. 403b plan (managed by American United Life Insurance Co)	No			
2.1	TIAACREF Lifecycle Index 2020	Yes	$50,001 - $100,000		None (or less than $201)
3	Georgetown university - CREF retirement plan - Social Choice R3	Yes	$50,001 - $100,000		None (or less than $201)
4	Georgetown University Consulting	N/A		Consulting fees	$16,000
5	Rollover IRA - Fidelity Management Trust Co. Custodian	No	$500,001 - $1,000,000		None (or less than $201)
5.1	Fidelity International Small Cap Fund (FISMX)	Yes	$50,001 - $100,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.2	Fidelity Total Market Index Fund (FSKAX)	Yes	$100,001 - $250,000		None (or less than $201)
5.3	Parnassus Mid Cap Fund Institutional (PFPMX)	Yes	$100,001 - $250,000		None (or less than $201)
5.4	CitiGroup Inc Note (mat. 12/8/21)	N/A	$15,001 - $50,000		None (or less than $201)
5.5	AMERICAN HONDA FIN CORP Note (mat. 05/20/22)	N/A	$15,001 - $50,000		None (or less than $201)
5.6	Boeing Co - Note (mat. 10/30/22)	N/A	$15,001 - $50,000		None (or less than $201)
5.7	BROADCOM CORP - Note (mat. 01/15/23)	N/A	$15,001 - $50,000		None (or less than $201)
5.8	MPLX LP Note (mat. 03/15/23)	N/A	$15,001 - $50,000		None (or less than $201)
5.9	RYDER SYS INC Note (mat. 9/1/24)	N/A	$15,001 - $50,000		None (or less than $201)
5.10	HARLEY DAVIDSON INC NOTE (7/28/25)	N/A	$15,001 - $50,000		None (or less than $201)
5.11	JABIL INC NOTE (mat. 4/15/26)	N/A	$15,001 - $50,000		None (or less than $201)
5.12	OFFICE PROPERTIES INCOME TR NOTE (mat. 6/15/26)	N/A	$15,001 - $50,000		None (or less than $201)
5.13	CROWN CASTLE INTL CORP NOTE (7/15/26)	N/A	$15,001 - $50,000		None (or less than $201)
5.14	ORACLE CORP NOTE (mat. 7/15/26)	N/A	$15,001 - $50,000		None (or less than $201)
5.15	OWENS CORNING NEW NOTE (mat. 8/15/26)	N/A	$15,001 - $50,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.16	GOLDMAN SACHS GRP (mat. 8/17/26)	N/A	$15,001 - $50,000		None (or less than $201)
5.17	DXC TECHNOLOGY CO - NOTE (mat. 9/17/26)	N/A	$15,001 - $50,000		None (or less than $201)

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	American United Life Insurance Company/Migration Policy Institute	Washington, District of Columbia	Defined contribution Retirement Plan	7/2017
2	TIAA/Georgetown University	Washington, District of Columbia	Funds remaining in retirement account established when employed by Georgetown University	9/2007

4. Filer's Sources of Compensation Exceeding $5,000 in a Year

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	Migration Policy Institute	Washington, District of Columbia	Employment
2	Georgetown University	Washington, District of Columbia	Consulting agreement for work done for Georgetown Center on Poverty and Inequality.

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Fidelity IRA	No			
1.1	Fidelity Dividend Growth (FDGFX)	Yes	$1,001 - $15,000		$201 - $1,000
1.2	Ariel Fund (ARGFX)	Yes	$15,001 - $50,000		$201 - $1,000
1.3	Ariel Appreciation (CAAPX)	Yes	$50,001 - $100,000		$5,001 - $15,000
2	FIDELITY GOVERNMENT CASH RESERVES (FDRXX)	N/A	None (or less than $1,001)	Interest	None (or less than $201)
3	FIDELITY DIVERSIFIED INTERNATIONAL (FDIVX)	Yes	$1,001 - $15,000		None (or less than $201)
4	JANUS HENDERSON MID CAP VALUE T (JMCVX)	Yes	$50,001 - $100,000		$201 - $1,000

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	MFS Investment Management Multimarket Income Trust	Yes	$15,001 - $50,000		$1,001 - $2,500
2	Suntrust Personal Checking Account	N/A	$15,001 - $50,000		None (or less than $201)
3	Maryland College Investment Plan 529 Portfolio for College	Yes	$50,001 - $100,000		None (or less than $201)
4	Fidelity Dividend Growth	Yes	$15,001 - $50,000		$201 - $1,000
5	AT&T INC NOTE CALL MAKE WHOLE 2.62500% 12/01/2022	N/A	$15,001 - $50,000	Interest	$201 - $1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6	BALTIMORE GAS &ELEC CO NOTE 3.35000% 07/01/2023 CALL MAKE WHOLE	N/A	$15,001 - $50,000	Interest	$1,001 - $2,500
7	BK OF AMERICA CORP MTN 3.30000% 01/11/2023	N/A	$15,001 - $50,000	Interest	$1,001 - $2,500
8	BOEING CO NOTE CALL MAKE WHOLE 2.12500% 03/01/2022	N/A	$15,001 - $50,000	Interest	$201 - $1,000
9	CITIGROUP INC NOTE 3.37500% 03/01/2023	N/A	$50,001 - $100,000	Interest	$2,501 - $5,000
10	DISTRICT COLUMBIA TOB SETTLEMENT FING 06.50000% 05/15/2033 CORP ASSET BACKED BDS SER.2001	N/A	$1,001 - $15,000	Interest	None (or less than $201)
11	E TRADE FINANCIAL CORP NOTE 2.95000% 08/24/2022 CALL MAKE WHOLE	N/A	$15,001 - $50,000	Interest	$1,001 - $2,500
12	EQUINIX INC NOTE CALL MAKE WHOLE 1.00000% 09/15/2025	N/A	$15,001 - $50,000	Interest	$201 - $1,000
13	GENERAL MTRS FINL CO INC NOTE 2.90000% 02/26/2025 CALL MAKE WHOLE	N/A	$15,001 - $50,000	Interest	$1,001 - $2,500
14	GOLDMAN SACHS GROUP INC MTN 3.85000% 07/08/2024 CALL MAKE WHOLE	N/A	$15,001 - $50,000	Interest	$201 - $1,000
15	HEWLETT PACKARD ENTERPRISE CO NOTE 1.45000% 04/01/2024 CALL MAKE WHOLE	N/A	$15,001 - $50,000	Interest	$201 - $1,000
16	HYATT HOTELS CORP NOTE CALL MAKE WHOLE 3.37500% 07/15/2023	N/A	$50,001 - $100,000	Interest	$1,001 - $2,500
17	INVESCO FINANCE PLC NOTE CALL MAKE WHOLE 3.12500% 11/30/2022	N/A	$15,001 - $50,000	Interest	$201 - $1,000
18	JABIL INC NOTE CALL MAKE WHOLE 1.70000% 04/15/2026	N/A	$15,001 - $50,000	Interest	$201 - $1,000
19	JUNIPER NETWORKS NOTE CALL MAKE WHOLE 1.20000% 12/10/2025	N/A	$15,001 - $50,000	Interest	$201 - $1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
20	KIMCO REALTY CORP NOTE CALL MAKE WHOLE 2.70000% 03/01/2024	N/A	$15,001 - $50,000	Interest	$1,001 - $2,500
21	KINDER MORGAN ENERGY PARTNERS NOTE 3.50000% 09/01/2023 CALL MAKE WHOLE	N/A	$15,001 - $50,000	Interest	$1,001 - $2,500
22	MPLX LP NOTE CALL MAKE WHOLE 3.37500% 03/15/2023	N/A	$15,001 - $50,000	Interest	$1,001 - $2,500
23	MARRIOTT INTL INC NEW NOTE 3.25000% 09/15/2022 CALL MAKE WHOLE	N/A	$15,001 - $50,000	Interest	$201 - $1,000
24	MARRIOTT INTL INC NEW NOTE 3.12500% 02/15/2023 CALL MAKE WHOLE	N/A	$15,001 - $50,000	Interest	$1,001 - $2,500
25	MARVELL TECHNOLOGY GROUP LTD NOTE 4.20000% 06/22/2023 CALL MAKE WHOLE ISIN #US57385LAA61 SEDOL #BFXXB29	N/A	$15,001 - $50,000	Interest	$1,001 - $2,500
26	MICRON TECHNOLOGY INC NOTE 2.49700% 04/24/2023 CALL MAKE WHOLE	N/A	$15,001 - $50,000	Interest	$201 - $1,000
27	MORGAN STANLEY SER F MTN 2.62500% 11/17/2021	N/A	$15,001 - $50,000	Interest	$1,001 - $2,500
28	NETAPP INC NOTE CALL MAKE WHOLE 1.87500% 06/22/2025	N/A	$15,001 - $50,000	Interest	$201 - $1,000
29	NORTHERN TR CORP NOTE 2.37500% 08/02/2022	N/A	$15,001 - $50,000	Interest	$201 - $1,000
30	ORACLE CORP NOTE CALL MAKE WHOLE 2.65000% 07/15/2026	N/A	$15,001 - $50,000	Interest	$201 - $1,000
31	VISA INC NOTE CALL MAKE WHOLE 2.80000% 12/14/2022	N/A	$15,001 - $50,000	Interest	$201 - $1,000
32	VORNADO RLTY L P NOTE CALL MAKE WHOLE 2.15000% 06/01/2026	N/A	$15,001 - $50,000	Interest	$201 - $1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
33	WALGREEN CO NOTE CALL MAKE WHOLE 3.10000% 09/15/2022	N/A	$15,001 - $50,000	Interest	$201 - $1,000
34	WELLS FARGO &CO MTN 4.12500% 08/15/2023	N/A	$50,001 - $100,000	Interest	$2,501 - $5,000
35	ARTISAN INTL VALUE FUND ADVISOR	Yes	$100,001 - $250,000		$1,001 - $2,500
36	BERKSHIRE HATHAWAY INC COM USD0.0033 CLASS B	N/A	$100,001 - $250,000	Dividends	None (or less than $201)
37	FIDELITY DIVERSIFIED INTERNATIONAL FDIVX 3159108002	Yes	$100,001 - $250,000		$201 - $1,000
38	HANCOCK WHITNEY CORP	N/A	$15,001 - $50,000	Dividends	$201 - $1,000
39	MICROSOFT CORP	N/A	$250,001 - $500,000	Dividends	$2,501 - $5,000
40	REALTY INCOME CORP COM	N/A	$50,001 - $100,000	Capital Gains Dividends	$2,501 - $5,000
41	PARNASSUS MID CAP FD INSTITUTIONAL	Yes	$100,001 - $250,000		$201 - $1,000
42	PARNASSUS CORE EQUITY INSTL	Yes	$250,001 - $500,000		$5,001 - $15,000
43	INVESCO QQQ TR UNIT SER 1	Yes	$500,001 - $1,000,000		$5,001 - $15,000
44	SPARK NEW ZEALAND ADR	N/A	$1,001 - $15,000		$201 - $1,000
45	SPDR PORTFOLIO S&P 500 ETF	Yes	$15,001 - $50,000		$201 - $1,000
46	SPDR PORTFOLIO S&P 400 MID CAP ETF	Yes	$100,001 - $250,000		$2,501 - $5,000
47	SPDR S&P500 ETF TRUST TRUST UNIT DEPOSITARY RECEIPT	Yes	$500,001 - $1,000,000		$15,001 - $50,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
48	SUN COMMUNITIES INC	N/A	$100,001 - $250,000	Capital Gains Dividends	$2,501 - $5,000
49	WEITZ PARTNERS VALUE FD INSTL CLASS	Yes	$50,001 - $100,000		$5,001 - $15,000
50	SELECT SECTOR SPDR TRUST TECHNOLOGY SELECT SECTOR USD DIS	Yes	$15,001 - $50,000		None (or less than $201)
51	HEALTH CARE SELECT SECTOR SPDR	Yes	$15,001 - $50,000		$201 - $1,000
52	FIDELITY GOVERNMENT CASH RESERVES FDRXX**	Yes	$1,001 - $15,000		None (or less than $201)
53	ARIEL FUND ARGFX	Yes	$15,001 - $50,000		$1,001 - $2,500
54	ARIEL APPRECIATION FUND CL I CAAIX	Yes	$50,001 - $100,000		$5,001 - $15,000
55	FIDELITY DIVERSIFIED INTERNATIONAL FDIVX	Yes	$15,001 - $50,000		$1,001 - $2,500
56	FIDELITY MUNICIPAL MONEY MARKET FTEXX	N/A	$1,001 - $15,000		None (or less than $201)
57	FIDELITY 500 INDEX FUND FXAIX	Yes	$15,001 - $50,000		$1,001 - $2,500
58	PARNASSUS CORE EQUITY INSTL PRILX	Yes	$50,001 - $100,000		$15,001 - $50,000
59	Etrade Financial Corp Note 2.950% 269246BP8	N/A	None (or less than $1,001)	Interest	$1,001 - $2,500
60	Goldman Sachs Group Inc Note 2.6% 38141GWG5	N/A	None (or less than $1,001)	Interest	$1,001 - $2,500
61	Goldman Sachs Group Inc Note 3% 3814GWC4	N/A	None (or less than $1,001)	Interest	$1,001 - $2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
62	Hyatt Hotels Corp Note Call Make Whole 448579AE2	N/A	None (or less than $1,001)	Interest	$2,501 - $5,000
63	Invesco Finance PLC Note Call Make Whole 46132FAA8	N/A	None (or less than $1,001)	Interest	$1,001 - $2,500
64	Kinder Morgan Energy Partners Note 49455OBQ8	N/A	None (or less than $1,001)	Interest	$1,001 - $2,500
65	Lam Research Corp Note Call Make Whole 512807AR9	N/A	None (or less than $1,001)	Interest	$1,001 - $2,500
66	Mariott Int Inc New Note 3.25% 571903AK9	N/A	None (or less than $1,001)	Interest	$1,001 - $2,500
67	Marvell Technology Group Ltd. Note 57385LAA6	N/A	None (or less than $1,001)	Interest	$1,001 - $2,500
68	Morgan Stanley SER FMTN 2.625% 61746BED4	N/A	None (or less than $1,001)	Interest	$1,001 - $2,500
69	MPLX LP Note Call Make Whole 3.375% 55336VAQ3	N/A	None (or less than $1,001)	Interest	$1,001 - $2,500
70	Netapp Inc. Note Call Make Whole 3.375% 6411ODAE4	N/A	None (or less than $1,001)	Interest	$1,001 - $2,500
71	Northern TR Corp Note 2.375% 665859AN4	N/A	None (or less than $1,001)	Interest	$1,001 - $2,500
72	Omnicom Group, Inc Note Call Make Whole 681919AZ9	N/A	None (or less than $1,001)	Interest	$1,001 - $2,500
73	Schwab Charles Corp Note Call Make Whole 808513AD7	N/A	None (or less than $1,001)	Interest	$2,501 - $5,000
74	Verizon Communications Inc. 2.45% 92343VBJ2	N/A	None (or less than $1,001)	Interest	$1,001 - $2,500
75	Visa Inc. Note Call Make Whole 2.8% 92826CAC6	N/A	None (or less than $1,001)	Interest	$1,001 - $2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
76	Wells Fargo and Co. 4.6% 04/01/2021 94974BEV8	N/A	None (or less than $1,001)	Interest	$2,501 - $5,000
77	University MD Sys Auxillary FAC 914402M66	N/A	None (or less than $1,001)	Interest	$1,001 - $2,500
78	Ariel Appreciation Fund CL I CAAIX 040337834	Yes	$50,001 - $100,000		$5,001 - $15,000
79	Ariel Fund ARGFX 040337017	Yes	$15,001 - $50,000		$2,501 - $5,000
80	Fidelity Government Cash Reserves FDRXX 316067017	Yes	$1,001 - $15,000		$2,501 - $5,000
81	BK of AM Corp. 2.503%	N/A	$15,001 - $50,000	Interest	$201 - $1,000
82	BMW BK NORTH AMERICAN UTAH 1.25%	N/A	None (or less than $1,001)	Interest	$201 - $1,000
83	America Honda FIN Corp SER A MTN 1.7%	N/A	None (or less than $1,001)	Interest	$201 - $1,000
84	USAA 500 Index Fund Member Shares	Yes	$1,001 - $15,000		$201 - $1,000
85	HESI-Transocean Punitive Damages Combined Settlement Fund	N/A		one-time class action settlement	$1,228

7. Transactions

(N/A) - Not required for this type of report

8. Liabilities

None

9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

Endnotes

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than $200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding $5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than $5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than $1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than $200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of $5,000 or less (unless more than $200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of $1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over $10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed $10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than $415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than $415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of $166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).