

**Executive Branch Personnel PUBLIC FINANCIAL DISCLOSURE REPORT:
 Periodic Transaction Report**

Filer's Name (<i>Print Last, First, and Middle Initial</i>)		Title of Position for Which Filing		Department or Agency	
Frost Kathryn		Deputy Associate Administrator, Office of Capital Access		SBA	
Certification: I CERTIFY that the statements I have made on this form and any attachments are true, complete, and correct to the best of my knowledge.		Signature of the Filer		Date (<i>Month, Day, Year</i>)	
		Kathryn Frost		2023-10-16 19:38:03	
Signature of Intermediate Reviewing Official (<i>if required by agency</i>)		Date (<i>Month, Day, Year</i>)		Agency Use Only	
				10/16/2023	
Signature of Agency's Final Reviewing Official		Date (<i>Month, Day, Year</i>)		Comments of Reviewing Officials	
Marilyn Barnes		2023-10-20 16:19:05			
Signature of Reviewing Official at U.S. Office of Government Ethics (<i>if required</i>)		Date (<i>Month, Day, Year</i>)			

Transactions
 Report any purchase, sale, or exchange by you, your spouse, or dependent child of stocks, bonds, commodity futures, and other securities if the amount of the transaction exceeded \$1,000. You do not need to report: (1) mutual funds and other excepted investment funds; (2) certificates of deposit, savings or checking accounts, and money market accounts; (3) U.S. Treasury bills, notes, and bonds; (4) Thrift Savings Plan accounts; (5) real property; and (6) transactions that are solely by and between you, your spouse, or dependent child.

Ex.	Identification of Assets	Transaction Date (<i>Mo./Day/Yr.</i>)	Notification Received More Than 30 Days Ago*	Transaction Type (x)			Amount of Transaction (x)												
				Purchase	Sale	Exchange	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000**	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000		
Ex.	Central Airlines Co.	10/1/12		X															
Ex.	BMSL Propulsion, Inc.	9/4/12	X	X				X											
1	VMBS - Mortgage-Backed Securities Vanguard	09/18/2023			X														
2	SCHX - Schwab U.S. Large-Cap ETF	09/18/2023			X														
3	SCHH - Schwab U.S. REIT ETF	09/18/2023			X														
4	SCHI - Schwab 5-10 Year Corp Bond ETF	09/18/2023			X														
5	SCHF - Schwab Intl Equity ETF	09/18/2023			X														
6	SCHE - Schwab Emrg Mkts Equity ETF	09/18/2023			X														
7	SCHA - Schwab U.S. Small-Cap ETF	09/18/2023			X														

* Extensions of the due date should be noted in the "Notice of Extension" section of this form.
 ** This category applies only if the underlying asset is solely that of your spouse or dependent child. If the underlying asset is either held by you or jointly held by you with your spouse or dependent children, use the other higher categories of value, as appropriate.

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				Purchase	Sale	Exchange	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000**	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000
1	FNDF - Schwab Fundamental Intl Large CO ETF	09/18/2023			X		X										
2	FNDX - Schwab Fundamental U.S. Large CO ETF	09/18/2023			X		X										
3	FNDE - Schwab Fundamental Emrg Mkts Large CO ETF	09/18/2023			X		X										
4	FNDA - Schwab Fundamental U.S. Small CO ETF	09/18/2023			X		X										
5																	
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