Executive Branch Personnel

Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Mantica, Paul

Division Director, Department of Energy

Report Year: 2024

Other Federal Government Positions Held During the Preceding 12 Months:
None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Mantica, Paul [electronically signed on 03/09/2024 by Mantica, Paul in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).
/s/ LOCKE, STEPHANIE, Certifying Official [electronically signed on 04/23/2024 by LOCKE, STEPHANIE in Integrity.gov]

Other review conducted by
/s/ LOCKE, STEPHANIE, Ethics Official [electronically signed on 04/23/2024 by LOCKE, STEPHANIE in Integrity.gov]

U.S. Office of Government Ethics Certification

Data Revised 04/23/2024

Data Revised 04/16/2024

1. Filer's Positions Held Outside United States Government

None

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	MSU 403(b) Supplemental retirement program	No			
1.1	Vang Inst Tg Rtm 30 Inst	Yes	$100,001 - $250,000		None (or less than $201)
2	MSU 403(b) Base retirement program pre-2012	No			
2.1	CREF Stock R3	Yes	$500,001 - $1,000,000		None (or less than $201)
2.2	TIAA Traditional	N/A	$100,001 - $250,000		None (or less than $201)
3	MSU 403(B) Base Retirement	No			
3.1	CREF Stock R3	Yes	$500,001 - $1,000,000		None (or less than $201)
3.2	TIAA Traditional	N/A	$100,001 - $250,000		None (or less than $201)
3.3	New Perspective Fund Class R6 Shares (RNPGX)	Yes	$1,001 - $15,000		None (or less than $201)
4	ORAU 403(B) Retirement Plan	No			
4.1	CREF Stock R3	Yes	$15,001 - $50,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.2	TIAA Traditional	N/A	$15,001 - $50,000		None (or less than $201)
5	ORAU Supplemental Plan	No			
5.1	CREF Bond R2	Yes	$1,001 - $15,000		None (or less than $201)
6	MSU 403(B) Supplemental Retirement Program - Pre 2012	No			
6.1	CREF Stock R3	Yes	$1,001 - $15,000		None (or less than $201)

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Michigan State University	East Lansing, Michigan	I will continue to participate in this defined contribution plan, and the plan sponsor has not made contributions since my retirement date 12/31/2022.	8/1995
2	ORAU	Oak Ridge, Tennessee	I will continue to participate in these defined contribution plans, but the plan sponsor is no longer making contributions to these plans.	1/1990
3	Michigan State University	East Lansing, Michigan	Eligible for health insurance benefit for myself and spouse upon retirement on 12/31/2022. Elected to decline this benefit for calendar year 2024.	1/2023

4. Filer's Sources of Compensation Exceeding $5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Michigan State University	See Endnote	N/A		salary	
2	Michigan State University 403(b) Base Retirement Program		No			
2.1	Vanguard Institutional Target Retirement 2030 Fund (VTTWX)		Yes	$100,001 - $250,000		None (or less than $201)
3	Michigan State University 403(b) supplemental retirement program		No			
3.1	Vanguard Institutional Target Retirement 2030 Fund (VTTWX)		Yes	$250,001 - $500,000		None (or less than $201)
4	Michigan State University 403(b) Base Retirement Program pre-2012		No			
4.1	CREF Growth R3		Yes	$100,001 - $250,000		None (or less than $201)
4.2	CREF Equity Index R3		Yes	$100,001 - $250,000		None (or less than $201)
5	MSU 403(B) Supplemental retirement Pre 2012		No			
5.1	CREF Equity R3		Yes	$15,001 - $50,000		None (or less than $201)
5.2	CREF Growth R3		Yes	$15,001 - $50,000		None (or less than $201)
6	MSU College of Law Deferred Annuity		No			
6.1	CREF Equity Index R2		Yes	$50,001 - $100,000		None (or less than $201)
6.2	TIAA Real Estate		Yes	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7	MSU College of Law Defined Contribution		No			
7.1	CREF Equity Index R2		Yes	$50,001 - $100,000		None (or less than $201)
7.2	CREF Social Choice R2		Yes	$50,001 - $100,000		None (or less than $201)
8	Kalijarvi, Chuzi, Newman & Fitch	See Endnote	N/A		salary	
9	University of Maryland		N/A		Salary	

6. Other Assets and Income

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	U.S. Bank Account #1 (cash)		N/A	$50,001 - $100,000	Interest	$201 - $1,000
2	Merrill - ModMF Account	See Endnote	No			$5,001 - $15,000
2.1	Brown Advisory Sustainable Growth Fund Institutional Class Shares (BAFWX)		Yes	$50,001 - $100,000		
2.2	Calvert US Large-Cap Value Responsible Index Fund Class I Shares (CFJIX)		Yes	$15,001 - $50,000		
2.3	Calvert Small Cap Fund Class I Shares (CSVIX)		Yes	$15,001 - $50,000		
2.4	Vanguard Total International Bond Index Fund ETF Shares (BNDX)		Yes	$1,001 - $15,000		
2.5	Community Reinvestment Act Qualified Investment Fund Institutional Class Shares (CRANX)		Yes	$15,001 - $50,000		
2.6	Delaware Corporate Bond Fund Institutional Class Shares (DGCIX)		Yes	$15,001 - $50,000		

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.7	iShares ESG MSCI EAFE ETF (ESGD)	Yes	$15,001 - $50,000		
2.8	iShares US Treasury Bond ETF (GOVT)	Yes	$15,001 - $50,000		
2.9	Nuveen ESG Large-Cap Growth ETF (NULG)	Yes	$50,001 - $100,000		
2.10	Nuveen ESG Large-Cap Value ETF (NULV)	Yes	$15,001 - $50,000		
2.11	TIAA-CREF Core Impact Bond Fund Advisor Class Shares (TSBHX)	Yes	$50,001 - $100,000		
2.12	ISHARES INC ISHARES ESG AWARE MSCI EM ETF (ESGE)	Yes	$15,001 - $50,000		
2.13	Goldman Sachs International Equity ESG Fund Institutional Shares (GSIEX)	Yes	$15,001 - $50,000		
2.14	Schwab Long-Term US Treasury ETF (SCHQ)	Yes	$1,001 - $15,000		
3	Merrill Blackstone Real Estate Income Trust (BREIT) Class S	Yes	$50,001 - $100,000		$15,001 - $50,000
4	Merrill Partners Group Private Equity (PGPE) Class A	Yes	$100,001 - $250,000		$15,001 - $50,000
5	Merrill ACAP Strategic Fund	Yes	$15,001 - $50,000		None (or less than $201)
6	Merrill Starwood Real Estate Income Trust Class S	Yes	$50,001 - $100,000		$2,501 - $5,000
7	Merrill Blackstone Private Credit Fund Class S	Yes	$50,001 - $100,000		None (or less than $201)
8	Federated Institutional Prime Value Obligations Fund Institutional Shares (PVOXX)	Yes	$100,001 - $250,000		$2,501 - $5,000

7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	Delaware Corporate Bond Fund Institutional Class Shares (DGCIX)	Purchase	01/04/2023	$1,001 - $15,000
2	Community Reinvestment Act Qualified Investment Fund Institutional Class Shares (CRANX)	Purchase	01/04/2023	$1,001 - $15,000
3	iShares Core MSCI EAFE ETF (IEFA)	Purchase	01/04/2023	$1,001 - $15,000
4	iShares Russell 2000 ETF (IWM)	Purchase	01/04/2023	$1,001 - $15,000
5	iShares MSCI KLD 400 Social ETF (DSI)	Purchase	01/04/2023	$1,001 - $15,000
6	iShares Core MSCIEmerging Markets ETF (IEMG)	Purchase	01/04/2023	$1,001 - $15,000
7	iShares US Treasury Bond ETF (GOVT)	Purchase	01/04/2023	$1,001 - $15,000
8	Nuveen ESG Large-Cap Value ETF (NULV)	Purchase	01/04/2023	$1,001 - $15,000
9	iShares Russell 1000 Value ETF (IWD)	Purchase	01/04/2023	$1,001 - $15,000
10	iShares Russell 1000 Growth ETF (IWF)	Purchase	01/04/2023	$1,001 - $15,000
11	Vanguard Total Bond Market Index Fund ETF Shares (BND)	Purchase	01/04/2023	$1,001 - $15,000
12	Brown Advisory Sustainable Growth Fund Institutional Class Shares (BAFWX)	Purchase	01/17/2023	$1,001 - $15,000
13	TIAA-CREF Core Impact Bond Fund Advisor Class Shares (TSBHX)	Purchase	01/17/2023	$1,001 - $15,000
14	iShares Russell 1000 Growth ETF (IWF)	Sale	01/17/2023	$15,001 - $50,000
15	iShares Core MSCIEmerging Markets ETF (IEMG)	Sale	01/17/2023	$1,001 - $15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
16	iShares Russell 1000 Value ETF (IWD)	Sale	01/17/2023	$1,001 - $15,000
17	iShares Core MSCI EAFE ETF (IEFA)	Sale	01/17/2023	$15,001 - $50,000
18	Vanguard Total Bond Market Index Fund ETF Shares (BND)	Sale	01/17/2023	$15,001 - $50,000
19	iShares Russell 2000 ETF (IWM)	Sale	01/17/2023	$1,001 - $15,000
20	ishares esg aware msci em ETF (ESGE)	Purchase	01/17/2023	$1,001 - $15,000
21	Nuveen ESG Large-Cap Growth ETF (NULG)	Purchase	01/17/2023	$1,001 - $15,000
22	iShares ESG MSCI EAFE ETF (ESGD)	Purchase	01/17/2023	$15,001 - $50,000
23	iShares MSCI KLD 400 Social ETF (DSI)	Sale	01/17/2023	$1,001 - $15,000
24	Calvert Small Cap Fund Class I Shares (CSVIX)	Purchase	01/17/2023	$1,001 - $15,000
25	Calvert US Large-Cap Value Responsible Index Fund Class I Shares (CFJIX)	Purchase	01/17/2023	$1,001 - $15,000
26	Brown Advisory Sustainable Growth Fund Institutional Class Shares (BAFWX)	Purchase	01/18/2023	$15,001 - $50,000
27	TIAA-CREF Core Impact Bond Fund Advisor Class Shares (TSBHX)	Purchase	01/18/2023	$15,001 - $50,000
28	Vanguard Total International Bond Index Fund ETF Shares (BNDX)	Purchase	01/18/2023	$1,001 - $15,000
29	iShares US Treasury Bond ETF (GOVT)	Purchase	01/18/2023	$1,001 - $15,000
30	iShares Broad USD High Yield Corporate Bond ETF (USHY)	Sale	01/18/2023	$1,001 - $15,000
31	iShares ESG MSCI EAFE ETF (ESGD)	Sale	01/18/2023	$1,001 - $15,000
32	Nuveen ESG Large-Cap Value ETF (NULV)	Purchase	01/18/2023	$1,001 - $15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
33	Calvert US Large-Cap Value Responsible Index Fund Class I Shares (CFJIX)	Purchase	01/18/2023	$1,001 - $15,000
34	Community Reinvestment Act Qualified Investment Fund Institutional Class Shares (CRANX)	Sale	03/10/2023	$1,001 - $15,000
35	Community Reinvestment Act Qualified Investment Fund Institutional Class Shares (CRANX)	Sale	03/10/2023	$1,001 - $15,000
36	TIAA-CREF Core Impact Bond Fund Advisor Class Shares (TSBHX)	Sale	03/10/2023	$1,001 - $15,000
37	Community Reinvestment Act Qualified Investment Fund Institutional Class Shares (CRANX)	Sale	03/10/2023	$1,001 - $15,000
38	TIAA-CREF Core Impact Bond Fund Advisor Class Shares (TSBHX)	Sale	03/10/2023	$1,001 - $15,000
39	Brown Advisory Sustainable Growth Fund Institutional Class Shares (BAFWX)	Sale	03/10/2023	$1,001 - $15,000
40	Delaware Corporate Bond Fund Institutional Class Shares (DGCIX)	Sale	03/10/2023	$1,001 - $15,000
41	Delaware Corporate Bond Fund Institutional Class Shares (DGCIX)	Sale	03/10/2023	$1,001 - $15,000
42	Delaware Corporate Bond Fund Institutional Class Shares (DGCIX)	Sale	03/10/2023	$1,001 - $15,000
43	Delaware Corporate Bond Fund Institutional Class Shares (DGCIX)	Sale	03/10/2023	$1,001 - $15,000
44	Delaware Corporate Bond Fund Institutional Class Shares (DGCIX)	Sale	03/10/2023	$1,001 - $15,000
45	iShares Core International Aggregate Bond ETF (IAGG)	Purchase	03/10/2023	$1,001 - $15,000
46	iShares Russell 1000 Growth ETF (IWF)	Purchase	03/10/2023	$1,001 - $15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
47	Vanguard Total International Bond Index Fund ETF Shares (BNDX)	Sale	03/10/2023	$1,001 - $15,000
48	iShares Russell 2000 ETF (IWM)	Purchase	03/10/2023	$1,001 - $15,000
49	Vanguard Intermediate-Term Corporate Bond Index Fund ETF Class Shares (VCIT)	Purchase	03/10/2023	$1,001 - $15,000
50	iShares MSCI KLD 400 Social ETF (DSI)	Purchase	03/10/2023	$1,001 - $15,000
51	Vanguard Total Bond Market Index Fund ETF Shares (BND)	Purchase	03/10/2023	$1,001 - $15,000
52	iShares US Treasury Bond ETF (GOVT)	Sale	03/10/2023	$1,001 - $15,000
53	Vanguard Mortgage-Backed Securities Index Fund ETF Class Shares (VMBS)	Purchase	03/10/2023	$1,001 - $15,000
54	ishares esg aware msci em etf vsp (ESGE)	Sale	03/10/2023	$1,001 - $15,000
55	Nuveen ESG Large-Cap Growth ETF (NULG)	Sale	03/10/2023	$1,001 - $15,000
56	ishares esg aware msci em etf vsp (ESGE)	Sale	03/10/2023	$1,001 - $15,000
57	Vanguard Intermediate-Term Treasury Index Fund ETF Class Shares (VGIT)	Purchase	03/10/2023	$1,001 - $15,000
58	iShares Core MSCIEmerging Markets ETF (IEMG)	Purchase	03/10/2023	$1,001 - $15,000
59	iShares Russell 1000 Value ETF (IWD)	Purchase	03/10/2023	$15,001 - $50,000
60	Nuveen ESG Large-Cap Value ETF (NULV)	Sale	03/10/2023	$1,001 - $15,000
61	Nuveen ESG Large-Cap Growth ETF (NULG)	Sale	03/10/2023	$1,001 - $15,000
62	Calvert US Large-Cap Value Responsible Index Fund Class I Shares (CFJIX)	Sale	03/10/2023	$1,001 - $15,000
63	Calvert US Large-Cap Value Responsible Index Fund Class I Shares (CFJIX)	Sale	03/10/2023	$1,001 - $15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
64	Calvert Small Cap Fund Class I Shares (CSVIX)	Sale	03/10/2023	$1,001 - $15,000
65	Calvert Small Cap Fund Class I Shares (CSVIX)	Sale	03/10/2023	$1,001 - $15,000
66	Delaware Corporate Bond Fund Institutional Class Shares (DGCIX)	Purchase	04/13/2023	$1,001 - $15,000
67	TIAA-CREF Core Impact Bond Fund Advisor Class Shares (TSBHX)	Purchase	04/13/2023	$1,001 - $15,000
68	iShares Russell 1000 Value ETF (IWD)	Sale	04/13/2023	$15,001 - $50,000
69	Vanguard Total International Bond Index Fund ETF Shares (BNDX)	Purchase	04/13/2023	$1,001 - $15,000
70	Vanguard Total Bond Market Index Fund ETF Shares (BND)	Sale	04/13/2023	$1,001 - $15,000
71	iShares MSCI KLD 400 Social ETF (DSI)	Sale	04/13/2023	$1,001 - $15,000
72	iShares Core International Aggregate Bond ETF (IAGG)	Sale	04/13/2023	$1,001 - $15,000
73	Vanguard Intermediate-Term Treasury Index Fund ETF Class Shares (VGIT)	Sale	04/13/2023	$1,001 - $15,000
74	iShares Russell 2000 ETF (IWM)	Sale	04/13/2023	$1,001 - $15,000
75	ishares esg aware msci em etf (ESGE)	Purchase	04/13/2023	$1,001 - $15,000
76	iShares Core MSCIEmerging Markets ETF (IEMG)	Sale	04/13/2023	$1,001 - $15,000
77	Nuveen ESG Large-Cap Value ETF (NULV)	Purchase	04/13/2023	$15,001 - $50,000
78	Vanguard Mortgage-Backed Securities Index Fund ETF Class Shares (VMBS)	Sale	04/13/2023	$1,001 - $15,000
79	Vanguard Intermediate-Term Corporate Bond Index Fund ETF Class Shares (VCIT)	Sale	04/13/2023	$1,001 - $15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
80	Nuveen ESG Large-Cap Growth ETF (NULG)	Purchase	04/13/2023	$1,001 - $15,000
81	iShares Russell 1000 Growth ETF (IWF)	Sale	04/13/2023	$1,001 - $15,000
82	Calvert Small Cap Fund Class I Shares (CSVIX)	Purchase	04/13/2023	$1,001 - $15,000
83	Calvert US Large-Cap Value Responsible Index Fund Class I Shares (CFJIX)	Purchase	04/13/2023	$1,001 - $15,000
84	Delaware Corporate Bond Fund Institutional Class Shares (DGCIX)	Purchase	04/14/2023	$1,001 - $15,000
85	Community Reinvestment Act Qualified Investment Fund Institutional Class Shares (CRANX)	Purchase	04/14/2023	$1,001 - $15,000
86	TIAA-CREF Core Impact Bond Fund Advisor Class Shares (TSBHX)	Purchase	04/14/2023	$1,001 - $15,000
87	Federated Institutional Prime Value Obligations Fund Institutional Shares (PVOXX)	Purchase	06/20/2023	$100,001 - $250,000
88	TIAA-CREF Core Impact Bond Fund Advisor Class Shares (TSBHX)	Purchase	07/21/2023	$1,001 - $15,000
89	Brown Advisory Sustainable Growth Fund Institutional Class Shares (BAFWX)	Sale	07/21/2023	$1,001 - $15,000
90	Goldman Sachs International Equity ESG Fund Institutional Shares (GSIEX)	Purchase	07/21/2023	$1,001 - $15,000
91	Nuveen ESG Large-Cap Growth ETF (NULG)	Sale	07/21/2023	$1,001 - $15,000
92	iShares ESG MSCI EAFE ETF (ESGD)	Sale	07/21/2023	$15,001 - $50,000
93	Schwab Long-Term US Treasury ETF (SCHQ)	Purchase	07/21/2023	$1,001 - $15,000
94	iShares US Treasury Bond ETF (GOVT)	Sale	07/21/2023	$1,001 - $15,000
95	iShares US Treasury Bond ETF (GOVT)	Sale	07/21/2023	$1,001 - $15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
96	Calvert US Large-Cap Value Responsible Index Fund Class I Shares (CFJIX)	Sale	07/21/2023	$1,001 - $15,000
97	TIAA-CREF Core Impact Bond Fund Advisor Class Shares (TSBHX)	Purchase	07/24/2023	$1,001 - $15,000
98	Goldman Sachs International Equity ESG Fund Institutional Shares (GSIEX)	Purchase	07/24/2023	$1,001 - $15,000
99	Goldman Sachs International Equity ESG Fund Institutional Shares (GSIEX)	Sale	09/08/2023	$1,001 - $15,000
100	Delaware Corporate Bond Fund Institutional Class Shares (DGCIX)	Sale	09/08/2023	$1,001 - $15,000
101	Community Reinvestment Act Qualified Investment Fund Institutional Class Shares (CRANX)	Sale	09/08/2023	$1,001 - $15,000
102	Delaware Corporate Bond Fund Institutional Class Shares (DGCIX)	Sale	09/08/2023	$1,001 - $15,000
103	TIAA-CREF Core Impact Bond Fund Advisor Class Shares (TSBHX)	Sale	09/08/2023	$1,001 - $15,000
104	Community Reinvestment Act Qualified Investment Fund Institutional Class Shares (CRANX)	Sale	09/08/2023	$1,001 - $15,000
105	Vanguard Intermediate-Term Treasury Index Fund ETF Class Shares (VGIT)	Purchase	09/08/2023	$1,001 - $15,000
106	iShares US Treasury Bond ETF (GOVT)	Sale	09/08/2023	$1,001 - $15,000
107	Vanguard Mortgage-Backed Securities Index Fund ETF Class Shares (VMBS)	Purchase	09/08/2023	$1,001 - $15,000
108	Schwab Long-Term US Treasury ETF (SCHQ)	Sale	09/08/2023	$1,001 - $15,000
109	iShares Core MSCI EAFE ETF (IEFA)	Purchase	09/08/2023	$1,001 - $15,000
110	iShares 20+ Year Treasury Bond ETF (TLT)	Purchase	09/08/2023	$1,001 - $15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
111	Vanguard Total Bond Market Index Fund ETF Shares (BND)	Purchase	09/08/2023	$1,001 - $15,000
112	Vanguard Intermediate-Term Corporate Bond Index Fund ETF Class Shares (VCIT)	Purchase	09/08/2023	$1,001 - $15,000
113	Delaware Corporate Bond Fund Institutional Class Shares (DGCIX)	Purchase	10/09/2023	$1,001 - $15,000
114	TIAA-CREF Core Impact Bond Fund Advisor Class Shares (TSBHX)	Purchase	10/09/2023	$1,001 - $15,000
115	Goldman Sachs International Equity ESG Fund Institutional Shares (GSIEX)	Purchase	10/09/2023	$1,001 - $15,000
116	Calvert US Large-Cap Value Responsible Index Fund Class I Shares (CFJIX)	Purchase	10/09/2023	$1,001 - $15,000
117	Schwab Long-Term US Treasury ETF (SCHQ)	Purchase	10/09/2023	$1,001 - $15,000
118	Vanguard Intermediate-Term Corporate Bond Index Fund ETF Class Shares (VCIT)	Sale	10/09/2023	$1,001 - $15,000
119	Vanguard Total Bond Market Index Fund ETF Shares (BND)	Sale	10/09/2023	$1,001 - $15,000
120	iShares 20+ Year Treasury Bond ETF (TLT)	Sale	10/09/2023	$1,001 - $15,000
121	iShares Core MSCI EAFE ETF (IEFA)	Sale	10/09/2023	$1,001 - $15,000
122	Vanguard Mortgage-Backed Securities Index Fund ETF Class Shares (VMBS)	Sale	10/09/2023	$1,001 - $15,000
123	iShares US Treasury Bond ETF (GOVT)	Purchase	10/09/2023	$1,001 - $15,000
124	Vanguard Intermediate-Term Treasury Index Fund ETF Class Shares (VGIT)	Sale	10/09/2023	$1,001 - $15,000
125	TIAA-CREF Core Impact Bond Fund Advisor Class Shares (TSBHX)	Purchase	10/11/2023	$1,001 - $15,000
126	Community Reinvestment Act Qualified Investment Fund Institutional Class Shares (CRANX)	Purchase	10/11/2023	$1,001 - $15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
127	Goldman Sachs International Equity ESG Fund Institutional Shares (GSIEX)	Purchase	10/11/2023	$1,001 - $15,000
128	Delaware Corporate Bond Fund Institutional Class Shares (DGCIX)	Purchase	10/11/2023	$1,001 - $15,000
129	TIAA-CREF Core Impact Bond Fund Advisor Class Shares (TSBHX)	Purchase	10/19/2023	$1,001 - $15,000
130	Community Reinvestment Act Qualified Investment Fund Institutional Class Shares (CRANX)	Purchase	10/19/2023	$1,001 - $15,000
131	Delaware Corporate Bond Fund Institutional Class Shares (DGCIX)	Sale	10/19/2023	$1,001 - $15,000
132	Delaware Corporate Bond Fund Institutional Class Shares (DGCIX)	Sale	10/19/2023	$1,001 - $15,000
133	Calvert Small Cap Fund Class I Shares (CSVIX)	Purchase	10/19/2023	$1,001 - $15,000

8. Liabilities

None

9. Gifts and Travel Reimbursements

None

Endnotes

PART	#	ENDNOTE
5.	1	Spouse held Program Presenter - On Call position in 2023
5.	8	resigned position May 2023
6.	2	The income accounts for the dividend income generated in the underlying assets. Filer stated that it would create undue hardship to determine the income value for each underlying asset.

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than $200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding $5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than $5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than $1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than $200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of $5,000 or less (unless more than $200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of $1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over $10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed $10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than $480 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than $480 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of $192 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. § 13101 et seq., as amended by the Representative Louise McIntosh Slaughter Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13989 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE) Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).