

# Executive Branch Personnel

## Public Financial Disclosure Report (OGE Form 278e)

### Filer's Information

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Nolan, Janet

Deputy Associate General Counsel, Department of Health & Human Services

Report Year: 2021

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Other Federal Government Positions Held During the Preceding 12 Months:

None

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Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Nolan, Janet [electronically signed on 08/31/2021 by Nolan, Janet in Integrity.gov]

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Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Hancock, Glenn R, Certifying Official [electronically signed on 04/16/2021 by Hancock, Glenn R in Integrity.gov]

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Other review conducted by

/s/ Blanchette, Jason, Ethics Official [electronically signed on 03/12/2021 by Blanchette, Jason in Integrity.gov]

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U.S. Office of Government Ethics Certification

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Data Revised 04/16/2021

Data Revised 04/05/2021

Data Revised 03/31/2021

Data Revised 03/30/2021

Data Revised 03/17/2021

Data Revised 03/12/2021

Data Revised 03/05/2021

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Comments of Reviewing Officials (public annotations):

PART	#	REFERENCE	COMMENT
N/A	N/A	General	(03/05/2021, Blanchette, Jason): IR - 3/5/2021
N/A	N/A	General	(03/31/2021, Hancock, Glenn R): All shares of General Electric (GE) Stock, listed in Part 5 of Filer's 2020 Incumbent OGE Form 278e report were sold in April 2020. Proceeds from sale were below the reporting threshold. GRH
N/A	N/A	General	(04/16/2021, Hancock, Glenn R): No apparent COIs. From the filer - Filer's jointly held Amerifund 529 Plan/Virginia 2 and underlying holdings listed in Part 6 of filer's 2020 annual report was sold in December, 2019. Transaction was inadvertently omitted from this report. GRH
2.	1.13	Carrier Global Corp (CARR)	(03/12/2021, Blanchette, Jason): Per filer, previously did not meet the reporting threshold on prior reports.
5.	3.16	Marriott International, Inc. (MAR)	(03/05/2021, Blanchette, Jason): Value updated based on information provided by filer.
5.	3.19	Otis Worldwide Corporation (OTIS)	(03/12/2021, Blanchette, Jason): Per filer, previously did not meet reporting threshold on prior reports.

PART	#	REFERENCE	COMMENT
6.	3.2	Danaher Corp. (DHR)	(03/12/2021, Blanchette, Jason): Per filer, previously did not meet the reporting threshold on prior reports.
6.	3.3	Facebook, Inc. (FB)	(03/12/2021, Blanchette, Jason): Per filer, previously did not meet the reporting threshold on prior reports.

## 1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Mercy Health Clinic	Gaithersburg, Maryland	Non-Profit	Board member (non-officer)	2/2017	Present

## 2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Fidelity IRA	No			
1.1	DODIX - Dodge and Cox Income	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.2	Fidelity Spartan 500 Index Advtg Instl (FXAIX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
1.3	FIDELITY S/T TRSY INDEX (FUMBX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
1.4	Intl Business Machines Corp (IBM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.5	AT&T, Inc. (T)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.6	Caterpillar, Inc. (CAT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.7	Emerson Electric Co. (EMR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.8	Schlumberger Ltd (SLB)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.9	T. Rowe Price Group, Inc. - Common Stock (TROW)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.10	Target Corp. (TGT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.11	Starbucks Corp. (SBUX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.12	The Walt Disney Co. (DIS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.13	Carrier Global Corp (CARR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.14	iShares MSCI World ETF (URTH)	Yes	\$100,001 - \$250,000		None (or less than \$201)
1.15	Otis Worldwide Corp. (OTIS)	N/A	\$1,001 - \$15,000		None (or less than \$201)

### 3. Filer's Employment Agreements and Arrangements

None

### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

### 5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	McCormick and Co.	N/A		salary, bonus	
2	McCormick and Co., defined benefit plan (value not readily ascertainable)	N/A			None (or less than \$201)
3	Fidelity IRA	No			
3.1	Fidelity Spartan 500 Index Advtg Instl (FXAIX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
3.2	FUMBX (Fidelity Short Term T-Bond Fund)	Yes	\$100,001 - \$250,000		None (or less than \$201)
3.3	Hilton Worldwide Holdings, Inc. (HLT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.4	Starbucks Corp. (SBUX)	N/A	\$15,001 - \$50,000		None (or less than \$201)
3.5	The Walt Disney Co. (DIS)	N/A	\$15,001 - \$50,000		None (or less than \$201)
3.6	OLLI (Ollie's Bargain Outlet Holdings)	N/A	\$15,001 - \$50,000		None (or less than \$201)
3.7	VEU (Vanguard FTSE All-World ex-US Fund)	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.8	The Coca-Cola Co. (KO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.9	DocuSign, Inc. (DOCU)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.10	Baron WealthBuilder Fund Retail Class Shares (BWBFX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
3.11	Fidelity Blue Chip Growth ETF (FBCG)	Yes	\$50,001 - \$100,000		None (or less than \$201)
3.12	Fidelity Advisor International Capital Appreciation Fund (FIVFX)	Yes	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.13	Fidelity Small Cap Index Fund (FSSNX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.14	General Mills, Inc. (GIS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.15	Intel Corp. (INTC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.16	Marriott International, Inc. (MAR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.17	Netflix, Inc. (NFLX)	N/A	\$15,001 - \$50,000		None (or less than \$201)
3.18	New Fortress Energy Inc. (NFE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.19	Otis Worldwide Corporation (OTIS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.20	PepsiCo, Inc. (PEP)	No	\$1,001 - \$15,000		None (or less than \$201)
3.21	Pershing Square Tontine Holdings (PSTH)		\$1,001 - \$15,000		None (or less than \$201)
3.22	Renaissance IPO ETF (IPO)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.23	ServiceNow, Inc. (NOW)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.24	United Parcel Service, Inc. (UPS)	N/A	\$15,001 - \$50,000		None (or less than \$201)
4	McCormick and Co Stock Options	N/A	\$500,001 - \$1,000,000		None (or less than \$201)
5	McCormick & Co Stock (MKC)	N/A	\$500,001 - \$1,000,000	Dividends	\$15,001 - \$50,000

## 6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	1/2 ownership of beach rental property, Avalon, NJ	N/A	\$250,001 - \$500,000	Rent or Royalties	\$5,001 - \$15,000
2	US bank account (cash)	N/A	\$250,001 - \$500,000		None (or less than \$201)
3	Fidelity Joint Brokerage				
3.1	Baron WealthBuilder Fund Retail Class Shares (BWBFX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
3.2	Danaher Corp. (DHR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.3	Facebook, Inc. (FB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.4	Fidelity 500 Index Fund (FXAIX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.5	Salesforce.com, Inc. (CRM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.6	Snowflake Inc (SNOW)	N/A	\$1,001 - \$15,000		None (or less than \$201)

## 7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	Baron WealthBuilder Fund Retail Class Shares (BWBFX)	Purchase	12/01/2020	\$1,001 - \$15,000
2	Salesforce.com, Inc. (CRM)	Purchase	12/04/2020	\$1,001 - \$15,000



#	DESCRIPTION		TYPE	DATE	AMOUNT
3	New Fortress Energy Inc. (NFE)		Sale	12/01/2020	\$1,001 - \$15,000
4	Baron WealthBuilder Fund Retail Class Shares (BWBFX)		Purchase	12/10/2020	\$1,001 - \$15,000
5	Fidelity International Capital Appreciation Fund (FIVFX)		Purchase	12/09/2020	\$1,001 - \$15,000
6	DocuSign, Inc. (DOCU)		Purchase	12/04/2020	\$1,001 - \$15,000
7	Snowflake Inc. (SNOW)		Purchase	12/04/2020	\$1,001 - \$15,000
8	Palantir Technologies (PLTR)		Sale	11/09/2020	\$1,001 - \$15,000
9	ServiceNow, Inc. (NOW)	See Endnote	Purchase	11/11/2020	\$1,001 - \$15,000
10	The Coca-Cola Co. (KO)		Purchase	09/24/2020	\$1,001 - \$15,000
11	General Mills, Inc. (GIS)		Purchase	09/24/2020	\$1,001 - \$15,000
12	PepsiCo, Inc. (PEP)		Purchase	09/24/2020	\$1,001 - \$15,000
13	Palantir Technologies Inc. (PLTR)		Purchase	09/30/2020	\$1,001 - \$15,000
14	McCormick & Co., Inc. (MKC)		Sale	07/17/2020	\$250,001 - \$500,000
15	Ollie's Bargain Outlet Holdings, Inc. (OLLI)		Purchase	07/23/2020	\$15,001 - \$50,000
16	Netflix, Inc. (NFLX)		Purchase	07/17/2020	\$1,001 - \$15,000
17	Pershing Square - PSTHU		Purchase	07/22/2020	\$1,001 - \$15,000
18	Starbucks Corp. (SBUX)		Purchase	07/31/2020	\$1,001 - \$15,000
19	Intel Corp. (INTC)		Purchase	07/28/2020	\$1,001 - \$15,000
20	New Fortress Energy llc (NFE)		Purchase	06/19/2020	\$1,001 - \$15,000
21	Apple Inc. - Common Stock (AAPL)		Sale	04/20/2020	\$15,001 - \$50,000
22	Caterpillar Inc (CAT)		Sale	04/20/2020	\$1,001 - \$15,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
23	Cisco Systems Inc (CSCO)		Sale	04/20/2020	\$1,001 - \$15,000
24	Fidelity Spartan 500 Index Advtg Instl (FXAIX)		Sale	04/20/2020	\$100,001 - \$250,000
25	HILTON WORLDWIDE HLDGS INC (HLT)		Sale	04/20/2020	\$1,001 - \$15,000
26	Walt Disney Co (DIS)		Sale	04/20/2020	\$1,001 - \$15,000
27	Intl Business Machines Corp (IBM)		Sale	04/20/2020	\$1,001 - \$15,000
28	DODGE & COX INCOME		Sale	04/20/2020	\$15,001 - \$50,000
29	Emerson Electric Co (EMR)		Sale	04/20/2020	\$1,001 - \$15,000
30	OLLIES BARGAIN OUTLET HLDGS IN COM		Sale	04/20/2020	\$15,001 - \$50,000
31	RAYTHEON TECHNOLOGIES CORP COM	See Endnote	Sale	04/20/2020	\$1,001 - \$15,000
32	Starbucks Corporation - Common Stock (SBUX)		Sale	04/20/2020	\$1,001 - \$15,000
33	Schlumberger Ltd (SLB)		Sale	04/20/2020	\$1,001 - \$15,000
34	AT&T Inc (T)		Sale	04/20/2020	\$1,001 - \$15,000
35	Target Corp (TGT)		Sale	04/20/2020	\$1,001 - \$15,000
36	T. Rowe Price Group, Inc. - Common Stock (TROW)		Sale	04/20/2020	\$1,001 - \$15,000
37	iShares MSCI World (URTH)		Sale	04/20/2020	\$100,001 - \$250,000
38	VANGUARD INTL EQUITY INDEX FDS ALLWRLD (VEU)		Sale	04/20/2020	\$50,001 - \$100,000
39	Exxon Mobil Corp (XOM)		Sale	04/20/2020	\$1,001 - \$15,000
40	Fidelity Short Term Treasury Bond (FUMBX)		Purchase	02/27/2020	\$50,001 - \$100,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
41	Fidelity Spartan 500 Index Advtg Instl (FXAIX)	Purchase	02/27/2020	\$50,001 - \$100,000
42	iShares MSCI World (URTH)	Purchase	02/27/2020	\$50,001 - \$100,000
43	FPA Crescent Fund (FPACX)	Sale	02/26/2020	\$50,001 - \$100,000
44	First Eagle Global Class (SGIIX)	Sale	02/26/2020	\$50,001 - \$100,000
45	Fidelity Short Term Treasury Bond Index (FUMBX)	Purchase	02/26/2020	\$50,001 - \$100,000
46	BlackRock Global Allocation Instl (MALOX)	Sale	02/26/2020	\$50,001 - \$100,000
47	McCormick Stock Options - Exercise, and sale of resulting shares	Sale	01/31/2020	\$100,001 - \$250,000
48	United Parcel Service, Inc. (UPS)	Purchase	09/22/2020	\$15,001 - \$50,000
49	Baron WealthBuilder Fund Retail Class Shares (BWBFX)	Purchase	11/13/2020	\$1,001 - \$15,000
50	Baron WealthBuilder Fund Retail Class Shares (BWBFX)	Purchase	11/12/2020	\$1,001 - \$15,000
51	Baron WealthBuilder Fund Retail Class Shares (BWBFX)	Purchase	11/20/2020	\$1,001 - \$15,000
52	Baron WealthBuilder Fund Retail Class Shares (BWBFX)	Purchase	10/22/2020	\$15,001 - \$50,000
53	Renaissance IPO ETF (IPO)	Purchase	10/20/2020	\$1,001 - \$15,000
54	Fidelity Blue Chip Growth ETF	Purchase	07/28/2020	\$1,001 - \$15,000
55	Fidelity Blue Chip Growth ETF	Purchase	09/14/2020	\$1,001 - \$15,000
56	Fidelity Small Cap Index fund	Purchase	09/18/2020	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
57	Fidelity Small Cap Index fund	Purchase	12/11/2020	\$1,001 - \$15,000
58	The Bond Fund of America - 529C	Sale	01/02/2020	\$1,001 - \$15,000
59	Capital World Bond Fund - 529C	Sale	01/02/2020	\$15,001 - \$50,000
60	Capital World Growth & Income Fund - 529C	Sale	01/02/2020	\$1,001 - \$15,000
61	The Income Fund of America - 529C	Sale	01/02/2020	\$1,001 - \$15,000
62	The Investment Company of America - 529C	Sale	01/02/2020	\$1,001 - \$15,000
63	The New Economy Fund - 529C	Sale	01/02/2020	\$1,001 - \$15,000
64	US Government Securities Fund - 529C	Sale	01/02/2020	\$1,001 - \$15,000

## 8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Wells Fargo	Mortgage (investment/rental property)	\$500,001 - \$1,000,000	2018	3.875	30 years
2	Suntrust	Exercised Line of Credit	\$100,001 - \$250,000	2018	5%	indefinite

## 9. Gifts and Travel Reimbursements

None

## Endnotes

PART	#	ENDNOTE
7.	9	Cloud computing area of IT - filer can recuse if necessary -- SO
7.	31	On April 3, 2020, United Technologies Corp. (UTX), in which the filer's spouse owned shares, acquired Raytheon Company (RTN). Following the merger, United Technologies Corp. became Raytheon Technologies Corp. (RTX).

# Summary of Contents

## 1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

## 2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

#### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

#### 5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

#### 6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

## 8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

## 9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.



## Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

## Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE) Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).

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