

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

LeVine, Suzan G

Acting Assistant Secretary/Principal Deputy Assistant Secretary, Department of Labor

Date of Termination: 08/20/2021

Other Federal Government Positions Held During the Preceding 12 Months:

None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ LeVine, Suzan G [electronically signed on 08/07/2021 by LeVine, Suzan G in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Gray, Sabrina A, Certifying Official [electronically signed on 08/20/2021 by Gray, Sabrina A in Integrity.gov]

Other review conducted by

/s/ Myers, Vanessa J, Ethics Official [electronically signed on 08/19/2021 by Myers, Vanessa J in Integrity.gov]

U.S. Office of Government Ethics Certification

Data Revised 08/18/2021

Data Revised 08/07/2021

Comments of Reviewing Officials (public annotations):

PART	#	REFERENCE	COMMENT
N/A	N/A	General	(08/07/2021, LeVine, Suzan G): The filer agreed to update the reported information if it changes before or on the indicated termination date.

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME		CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Washington state Employment Security Department		Olympia, Washington	State Government	Commissioner	7/2018	1/2021
2	Publicis Groupe	See Endnote	Paris, Outside U.S.	Corporation	Supervisory Board Member	5/2019	Present

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Washington state Employment Security Department		N/A		Salary	\$33,404
2	Publicis Groupe	See Endnote	N/A		Director Fees	\$0
3	Publicis Groupe, deferred director fees (cash)	See Endnote	N/A		Director's fees for calendar year 2020	\$70,304
4	Washington State Employment Security Department		No			
4.1	2035 Retirement Strategy Fund		Yes	\$15,001 - \$50,000		None (or less than \$201)
5	Washington State Employment Security Department, defined benefit plan		N/A	\$15,001 - \$50,000		None (or less than \$201)
6	IRA-Self		No	\$100,001 - \$250,000		None (or less than \$201)
6.1	SPY - PDR S&P 500 ETF TR TR Unit		Yes	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.2	T Rowe Price Dividend Growth Fund, Inc (PRDGX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
6.3	HLIEX - Equity Income Fund-1	Yes	\$15,001 - \$50,000		None (or less than \$201)
6.4	PIMCO CommoditiesPLUS Strategy Fund Class I-2 Shares (PCLPX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.5	Goldman Sachs International Equity ESG Fund Institutional Shares (GSIEX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.6	FHCIX - Fidelity Adv Health Care Cl I	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.7	iShares Core MSCI EAFE ETF (IEFA)	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.8	TIAA-CREF Core Bond Fund Advisor Class Shares (TIBHX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.9	Fidelity Advisor Growth Opportunities Fund Class I Shares (FAGCX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.10	PFPMX-Parnassus FD Mid Cap Instl	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.11	JSCSX - US Small Company Fund - 1	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.12	AEPFX - Europacific growth fund-F2	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.13	iShares MSCI Eurozone ETF (EZU)	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.14	Global X Autonomous & Electric Vehicles ETF (DRIV)	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.15	NFFFX - New World Fund-F2	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.16	Invesco Oppenheimer Developing Markets Fund Class Y Shares (ODVYX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.17	PONPX - PIMCO Income I-2	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.18	HLIPX - Core Plus Bond Fund - 1	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.19	GBOSX - Global Bond Opportunities - I	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.20	PICYX - Pioneer Bond Fund Y	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.21	APOHX-Short Duration Infl Protection Bond	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.22	PHYTX - High Yield (I)	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.23	Cohen & Steers Institutional Realty Shares, Inc (CSRIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.24	Brokerage money market	Yes	\$1,001 - \$15,000		None (or less than \$201)

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Publicis Groupe	Paris, Outside U.S.	This was the standard board compensation practice for CY 2020. As of 1/31/2021, I became a non-compensated board member.	5/2019
2	Washington State Employment Security Department	Olympia, Washington	I separated at the end of January and am no longer in this defined contribution plan.	7/2018
3	Washington State Employment Security Department	Olympia, Washington	I rolled it over to my personal IRA	7/2018

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Cellartracker (Wine inventory and tasting note sharing web service)	N/A		LLC distribution	
2	SEP-IRA	No	\$500,001 - \$1,000,000		None (or less than \$201)
2.1	SPDR S&P 500 ETF TR TR Unit SPY	Yes	\$50,001 - \$100,000		None (or less than \$201)
2.2	T Rowe Price Dividend Growth Fund, Inc (PRDGX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
2.3	Global X Autonomous & Electric Vehicles ETF (DRIV)	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.4	Equity Income Fund - I HLIEX	Yes	\$50,001 - \$100,000		None (or less than \$201)
2.5	Europacific Growth Fund - F2 AEPFX	Yes	\$15,001 - \$50,000		\$50,001 - \$100,000
2.6	PIMCO Income I-2 PONPX	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.7	Core Plus Bond Fund - I HLIPX	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.8	Invesco Oppenheimer Developing Markets Fund Class Y Shares (ODVYX)	Yes	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.9	Putnam Ult Shrt Duration Inc - Y PSDYX	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.10	JPMorgan Global Bond Opportunities Fund Class I Shares (GBOSX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.11	iShares Core MSCI EAFE ETF (IEFA)	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.12	US Small Company Fund - I JSCSX	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.13	New World Fund - F2 NFFFX	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.14	TIAA-CREF Core Bond Fund Advisor Class Shares (TIBHX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.15	iShares MSCI Eurozone ETF (EZU)	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.16	Short Duration INFL Protection Bond APOHX	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.17	Pioneer Bond Fund Y PICYX	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.18	Goldman Sachs International Equity ESG Fund Institutional Shares (GSIEX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.19	Fidelity Advisor Growth Opportunities Fund Class I Shares (FAGCX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.20	Fidelity Adv Health Care Cl I FHCIX	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.21	PIMCO CommoditiesPLUS Strategy Fund Class I-2 Shares (PCLPX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.22	High Yield (I) PHYTX	Yes	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.23	Parnassus FD Mid Cap Instl PFFPMX	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.24	Brokerage Money Market	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.25	Ishares TR Core S&P MCP ETF IJH	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.26	Cohen & Steers Institutional Realty Shares CSRIX	Yes	\$15,001 - \$50,000		None (or less than \$201)

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Investment Account-Northwestern Mutual	Yes	\$1,000,001 - \$5,000,000		
1.1	Brokerage Money Market	Yes	\$100,001 - \$250,000		None (or less than \$201)
1.2	Northern Stock Index Fund NOSIX	Yes	\$250,001 - \$500,000		None (or less than \$201)
1.3	Pioneer Bond Fund Y PICYX	Yes	\$100,001 - \$250,000		None (or less than \$201)
1.4	Equity Income Fund - I HLIEX	Yes	\$100,001 - \$250,000		None (or less than \$201)
1.5	AMCAP Fund-F2 - AMCFX	Yes	\$100,001 - \$250,000		None (or less than \$201)
1.6	PIMCO Income I-2 PONPX	Yes	\$100,001 - \$250,000		None (or less than \$201)
1.7	New Perpective Fund - F2 ANWFX	Yes	\$100,001 - \$250,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.8	Europacific Growth Fund - F2 AEPFX	Yes	\$100,001 - \$250,000		None (or less than \$201)
1.9	Short Term Municipal Institutional Class MALMX	Yes	\$100,001 - \$250,000		None (or less than \$201)
1.10	DWS Enhanced Commodity Strategy - INST SKIRX	Yes	\$100,001 - \$250,000		None (or less than \$201)
1.11	Global Real Estate Securities (I) POSIX	Yes	\$50,001 - \$100,000		None (or less than \$201)
1.12	Parnassus FD Mid Cap Instl PPFMX	Yes	\$50,001 - \$100,000		None (or less than \$201)
1.13	Capital World Growth and Incom - F2 WGIFX	Yes	\$50,001 - \$100,000		None (or less than \$201)
1.14	Templeton Global Bond Fund - Advisor Class TGBAX	Yes	\$50,001 - \$100,000		None (or less than \$201)
1.15	New World Fund - F2 NFFFX	Yes	\$50,001 - \$100,000		None (or less than \$201)
1.16	Northern Mid-Cap Index Portfolio NOMIX	Yes	\$50,001 - \$100,000		None (or less than \$201)
1.17	US Small Company Fund - I JSCSX	Yes	\$50,001 - \$100,000		None (or less than \$201)
1.18	Short Duration INFL Protection Bond APOHX	Yes	\$50,001 - \$100,000		None (or less than \$201)
1.19	MatthewsPacific Tiger Fund Institutional Class Shares (MIPTX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
1.20	High Yield (I) PHYTX	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.21	JPMorgan Opportunistic Equity Long/Short Fund Class I Shares (JOEQX)	Yes	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2	529 College Savings Plan-Child 1-Northwestern	No	\$250,001 - \$500,000	Cash Payments	\$43,629
2.1	American Funds College Enrollment Fund Class 529-A Shares (CENAX)	Yes	\$100,001 - \$250,000		
3	529 College Savings Plan- Child 2-Northwestern Mutual	No	\$250,001 - \$500,000	Cash Payment	\$10,000
3.1	American Funds College 2024 Fund Class 529-A Shares (CFTAX)	Yes	\$250,001 - \$500,000		None (or less than \$201)
4	Personal Annuity-Northwestern Mutual	No	\$100,001 - \$250,000		None (or less than \$201)
4.1	Index 500 Stock (MSA/Blackrock Advisors)	Yes	\$15,001 - \$50,000		None (or less than \$201)
4.2	Select Bond (MSA/Wells Capital Management Inc.)	Yes	\$15,001 - \$50,000		None (or less than \$201)
4.3	Research International Core (MSA/MFS)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.4	Focused Appreciation (MSA/Loomis Sayles Company)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.5	Emerging Markets Equity (MSA/Aberdeen Asset MGRS)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.6	Multi Sector Bond (MSA/PIMCO)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.7	International Growth (MSA/FIAM LLC)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.8	Domestic Equity (MSA/Delaware)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.9	Short-Term Bond (MSA/T Rowe Price)	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.10	Index 400 Stock (MSA/Northerntrust investments)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.11	Small Cap Value (MSA/T Rowe Price)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.12	Mid Cap Value (MSA/American Century)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.13	Credit Suisse Commodity Return Strategy	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.14	Global Real Estate Securities (Russell Investments)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.15	Government Money Market (MSA/Blackrock Advisors LLC)	Yes	\$1,001 - \$15,000		None (or less than \$201)
5	Publicis Groupe (PUB)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
6	Personal loan to Craigie Street Bistro	N/A	\$1,001 - \$15,000		None (or less than \$201)
7	US Credit Union (cash)	N/A	\$100,001 - \$250,000	Interest	None (or less than \$201)
8	US Bank (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9	Peleton Interactive Inc. (PTON)	N/A	\$1,001 - \$15,000		None (or less than \$201)

7. Transactions

None

8. Liabilities

None

9. Gifts and Travel Reimbursements

None

Endnotes

PART	#	ENDNOTE
1.	2	As of January 31, 2021, I have become a non-compensated member of the Publicis Groupe Supervisory Board. Additionally, the compensation model for the board is that it is received in July for the prior calendar (fiscal) year. Thus, compensation for board service in 2020 will be delivered in July of 2021. This is the standard operating procedure for this board.
2.	2	I was uncompensated during this period. However - as you'll see in the next Publicis note, I did receive deferred compensation for my board service in calendar year 2020, prior to my time in the Federal government.
2.	3	Pay received in July 2021 for CY2020 meetings. This is the standard practice for the entity. I have been uncompensated since I began work for the Federal Government.

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).
