Executive Branch Personnel

Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Fristedt, Andi Lipstein

Deputy Commissioner for Policy, Legislation, & International Affairs, Office of the Commissioner, Food & Drug Administration, Department of Health & Human Services

Date of Appointment: 03/01/2021

Other Federal Government Positions Held During the Preceding 12 Months:

Deputy Health Policy Director, Senate Committee on Health, Education, Labor & Pensions (1/2017 - 2/2021)

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Fristedt, Andi Lipstein [electronically signed on 01/24/2021 by Fristedt, Andi Lipstein in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).
/s/ Olesh, Stanley, Certifying Official [electronically signed on 04/13/2021 by Olesh, Stanley in Integrity.gov]

Other review conducted by
/s/ Shouman, Mohammad, Ethics Official [electronically signed on 04/08/2021 by Shouman, Mohammad in Integrity.gov]

U.S. Office of Government Ethics Certification

Data Revised 04/08/2021

Data Revised 03/31/2021

Data Revised 03/29/2021

Data Revised 03/25/2021

Data Revised 03/12/2021

Data Revised 03/06/2021

Data Revised 03/02/2021

Data Revised 03/01/2021

Data Revised 02/27/2021

Data Revised 02/11/2021

Data Revised 02/10/2021

Data Revised 02/09/2021

Data Revised 02/08/2021

Data Revised 01/27/2021

Comments of Reviewing Officials (public annotations):

PART	#	REFERENCE	COMMENT
N/A	N/A	General	(01/26/2021, Shouman, Mohammad): End Initial Review: 01/26/2021. MS.
N/A	N/A	General	(04/08/2021, Shouman, Mohammad): Updates made after following up with the filer. MS.

1. Filer's Positions Held Outside United States Government

None

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Filer's IRA #1		No			
1.1	Vanguard Total Bond Market ETF (BND)		Yes	$1,001 - $15,000		None (or less than $201)
1.2	Fidelity 500 Index Fund (FXAIX)		Yes	$50,001 - $100,000		None (or less than $201)
1.3	T. Rowe Price Communications & Technology Fund, Investor Class (PRMTX)	See Endnote	Yes	$15,001 - $50,000		None (or less than $201)
1.4	Vanguard Total Stock Market ETF (VTI)		Yes	$15,001 - $50,000		None (or less than $201)
1.5	Vanguard Windsor II Fund, Investor Shares (VWNFX)		Yes	$15,001 - $50,000		None (or less than $201)
2	Filer's IRA #2		No			
2.1	Invesco FTSE RAFI US 1000 ETF (PRF)		Yes	$1,001 - $15,000		None (or less than $201)
3	Filer's IRA #3		No		Cash Payments	$1,847
3.1	DoubleLine Total Return Bond Fund, Class I (Institutional) (DBLTX)		Yes	$15,001 - $50,000		None (or less than $201)
3.2	Fidelity New Millennium Fund (FMILX)		Yes	$15,001 - $50,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.3	Fidelity Puritan Fund (FPURX)	Yes	$15,001 - $50,000		None (or less than $201)
3.4	Vanguard Total Stock Market ETF (VTI)	Yes	$1,001 - $15,000		None (or less than $201)

3. Filer's Employment Agreements and Arrangements

None

4. Filer's Sources of Compensation Exceeding $5,000 in a Year

None

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Spouse's IRA	No			
1.1	Vanguard Total Stock Market ETF (VTI)	Yes	$50,001 - $100,000		None (or less than $201)

6. Other Assets and Income

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	U.S. bank #1 (cash)		N/A	$50,001 - $100,000	Interest	$201 - $1,000
2	U.S. bank #2 (cash)		N/A	$50,001 - $100,000		None (or less than $201)
3	Exxon Mobil Corporation (XOM)	See Endnote	N/A	$1,001 - $15,000	Dividends	$201 - $1,000
4	DC College Savings Plan #1		No			
4.1	DC College Savings 2031 Portfolio		Yes	$50,001 - $100,000		None (or less than $201)
5	DC College Savings Plan #2		Yes			
5.1	DC College Savings 2031 Portfolio		Yes	$15,001 - $50,000		None (or less than $201)
6	DC College Savings Plan #3		No			
6.1	DC College Savings 2034 Portfolio		Yes	$50,001 - $100,000		None (or less than $201)
7	Brokerage account #1		No			
7.1	Fidelity Total Market Index Fund (FSKAX)		Yes	$50,001 - $100,000		$2,501 - $5,000
8	Brokerage account #2		No			
8.1	Vanguard Total Stock Market ETF (VTI)		Yes	$50,001 - $100,000		$1,001 - $2,500
9	Brokerage account #3		No			
9.1	Vanguard Federal Money Market Fund (VMFXX)		Yes	$50,001 - $100,000		None (or less than $201)
9.2	Matthews Asia Dividend Fund, Investor Class (MAPIX)	See Endnote	Yes	$15,001 - $50,000		None (or less than $201)
9.3	Matthews China Dividend Fund, Investor Class (MCDFX)	See Endnote	Yes	$15,001 - $50,000		None (or less than $201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.4	Vanguard Total Stock Market ETF (VTI)		Yes	$50,001 - $100,000		None (or less than $201)
9.5	Vanguard Treasury Money Market Fund (VUSXX)		Yes	$250,001 - $500,000		$2,501 - $5,000
9.6	Vanguard Total International Stock ETF (VXUS)		Yes	$100,001 - $250,000		$1,001 - $2,500
10	Brokerage account #4		No			
10.1	Vanguard Health Care Fund, Investor Shares (VGHCX)	See Endnote	Yes	$15,001 - $50,000		$201 - $1,000
11	Brokerage account #5		No			
11.1	Vanguard Federal Money Market Fund (VMFXX)		Yes	$1,001 - $15,000		None (or less than $201)
11.2	Fidelity Real Estate Investment Portfolio (FRESX)		Yes	$15,001 - $50,000		$1,001 - $2,500
11.3	T. Rowe Price Health Sciences Fund, Investor Class (PRHSX)	See Endnote	Yes	$15,001 - $50,000		$1,001 - $2,500
11.4	Vanguard Total Stock Market ETF (VTI)		Yes	$250,001 - $500,000		$5,001 - $15,000
12	Estate of a family member (value not readily ascertainable)		N/A			None (or less than $201)
13	Family trust	See Endnote	No			
13.1	Trust account #1		No			
13.1.1	U.S. brokerage account (cash)		N/A	$1,001 - $15,000		None (or less than $201)
13.1.2	Fidelity Magellan Fund (FMAGX)		Yes	$1,001 - $15,000		None (or less than $201)
13.1.3	Goldman Sachs Financial Square Treasury Instruments Fund, Institutional Shares (FTIXX)		Yes	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
13.1.4	Russell Investment Co. Multi-Asset Growth Strategy Fund, Class S (RMGSX)	Yes	$15,001 - $50,000		None (or less than $201)
13.1.5	Russell Investment Co. Emerging Markets Fund, Class S (REMSX)	Yes	$15,001 - $50,000		None (or less than $201)
13.1.6	Russell Investment Co. U.S. Small Cap Equity Fund, Class S (RLESX)	Yes	$15,001 - $50,000		None (or less than $201)
13.1.7	Russell Investment Co. International Developed Markets Fund, Class S (RINTX)	Yes	$15,001 - $50,000		None (or less than $201)
13.1.8	Russell Investment Co. U.S. Strategic Equity Fund, Class S (RSESX)	Yes	$15,001 - $50,000		None (or less than $201)
13.2	Trust account #2	No			
13.2.1	Delaware Value Fund, Institutional Class (DDVIX)	Yes	$15,001 - $50,000		None (or less than $201)
13.2.2	AMG TimesSquare Mid Cap Growth Fund, Class I (TQMIX)	Yes	$1,001 - $15,000		None (or less than $201)
13.2.3	Harbor Capital Appreciation Fund, Institutional Class (HACAX)	Yes	$15,001 - $50,000		None (or less than $201)
13.2.4	Invesco Developing Markets Fund, Class Y (ODVYX)	Yes	$1,001 - $15,000		None (or less than $201)
13.2.5	Jensen Quality Growth Fund, Class I (JENIX)	Yes	$1,001 - $15,000		None (or less than $201)
13.2.6	John Hancock Disciplined Value Mid Cap Fund, Class I (JVMIX)	Yes	$1,001 - $15,000		None (or less than $201)
13.2.7	MFS Municipal High Income Fund, Class I (MMIIX)	Yes	$1,001 - $15,000		None (or less than $201)
13.2.8	MFS Emerging Markets Debt Fund, Class I (MEDIX)	Yes	$1,001 - $15,000		None (or less than $201)
13.2.9	Wells Fargo Emerging Markets Equity Fund, Institutional Class (EMGNX)	Yes	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
13.2.10	American Funds EuroPacific Growth Fund, Class F-2 (AEPFX)		Yes	$1,001 - $15,000		None (or less than $201)
13.2.11	T. Rowe Price Summit Municipal Intermediate Fund, Investor Class (PRSMX)		Yes	$1,001 - $15,000		None (or less than $201)
13.2.12	T. Rowe Price Overseas Stock Fund, Investor Class (TROSX)		Yes	$1,001 - $15,000		None (or less than $201)
13.2.13	Artisan Small Cap Fund, Advisor Class (APDSX)		Yes	$1,001 - $15,000		None (or less than $201)
13.2.14	Tributary Small Company Fund, Institutional Plus Shares (FOSBX)		Yes	$1,001 - $15,000		None (or less than $201)
13.2.15	Victory Sycamore Small Company Opportunity Fund, Class I (VSOIX)		Yes	$1,001 - $15,000		None (or less than $201)
13.3	Trust account #3		No			
13.3.1	U.S. brokerage account (cash)		N/A	$15,001 - $50,000		None (or less than $201)
13.3.2	Abbott Laboratories (ABT)	See Endnote	N/A	$1,001 - $15,000		None (or less than $201)
13.3.3	Advanced Micro Devices, Inc. (AMD)	See Endnote	N/A	$1,001 - $15,000		None (or less than $201)
13.3.4	Apple, Inc. (AAPL)	See Endnote	N/A	$15,001 - $50,000		None (or less than $201)
13.3.5	Danaher Corporation (DHR)	See Endnote	N/A	$1,001 - $15,000		None (or less than $201)
13.3.6	Dollar General Corporation (DG)		N/A	$1,001 - $15,000		None (or less than $201)
13.3.7	Linde, PLC (LIN)	See Endnote	N/A	$1,001 - $15,000		None (or less than $201)
13.3.8	Lowe's Companies, Inc. (LOW)	See Endnote	N/A	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
13.3.9	Microsoft Corporation (MSFT)	See Endnote	N/A	$1,001 - $15,000		None (or less than $201)
13.3.10	NextEra Energy, Inc. (NEE)	See Endnote	N/A	$1,001 - $15,000		None (or less than $201)
13.3.11	Nike, Inc. (NKE)	See Endnote	N/A	$1,001 - $15,000		None (or less than $201)
13.3.12	T-Mobile US, Inc. (TMUS)	See Endnote	N/A	$1,001 - $15,000		None (or less than $201)
13.3.13	Thermo Fisher Scientific, Inc. (TMO)	See Endnote	N/A	$1,001 - $15,000		None (or less than $201)
13.3.14	Tractor Supply Co. (TSCO)	See Endnote	N/A	$1,001 - $15,000		None (or less than $201)
13.3.15	Zoetis, Inc. (ZTS)	See Endnote	N/A	$1,001 - $15,000		None (or less than $201)
13.3.16	Goldman Sachs Group, Inc., bonds		N/A	$1,001 - $15,000		None (or less than $201)
13.3.17	Verizon Communications, Inc., bonds	See Endnote	N/A	$1,001 - $15,000		None (or less than $201)
13.3.18	Cisco Systems, Inc., bonds	See Endnote	N/A	$1,001 - $15,000		None (or less than $201)
13.3.19	Bank of America Corporation, bonds		N/A	$1,001 - $15,000		None (or less than $201)
13.3.20	Gilead Sciences, Inc., bonds	See Endnote	N/A	$1,001 - $15,000		None (or less than $201)
13.3.21	Apple, Inc., bonds	See Endnote	N/A	$1,001 - $15,000		None (or less than $201)
13.3.22	Oracle Corporation, bonds	See Endnote	N/A	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
13.3.23	JPMorgan Chase & Co., bonds		N/A	$1,001 - $15,000		None (or less than $201)
13.3.24	Home Depot, Inc., bonds		N/A	$1,001 - $15,000		None (or less than $201)
13.3.25	Treasury notes #1		N/A	$1,001 - $15,000		None (or less than $201)
13.3.26	Treasury notes #2		N/A	$15,001 - $50,000		None (or less than $201)
13.3.27	Treasury notes #3		N/A	$15,001 - $50,000		None (or less than $201)
13.3.28	Treasury Inflation-Protected Securities		N/A	$15,001 - $50,000		None (or less than $201)
13.3.29	Treasury bonds		N/A	$1,001 - $15,000		None (or less than $201)
13.3.30	iShares Russell 2000 Growth ETF (IWO)		Yes	$15,001 - $50,000		None (or less than $201)
13.3.31	iShares Russell 2000 ETF (IWM)		Yes	$50,001 - $100,000		None (or less than $201)
13.3.32	iShares Russell Mid-Cap Growth ETF (IWP)		Yes	$50,001 - $100,000		None (or less than $201)
13.3.33	Invesco Preferred ETF (PGX)		Yes	$1,001 - $15,000		None (or less than $201)
13.3.34	iShares MSCI Taiwan ETF (EWT)	See Endnote	Yes	$1,001 - $15,000		None (or less than $201)
13.3.35	iShares iBoxx $ High Yield Corporate Bond ETF (HYG)		Yes	$15,001 - $50,000		None (or less than $201)
13.3.36	iShares J.P. Morgan USD Emerging Markets Bond ETF (EMB)		Yes	$50,001 - $100,000		None (or less than $201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
13.3.37	iShares Core MSCI Emerging Markets ETF (IEMG)		Yes	$50,001 - $100,000		None (or less than $201)
13.3.38	Technology Select Sector SPDR Fund (XLK)	See Endnote	Yes	$15,001 - $50,000		None (or less than $201)
13.3.39	iShares MBS ETF (MBB)		Yes	$15,001 - $50,000		None (or less than $201)
13.3.40	Consumer Discretionary Select Sector SPDR Fund (XLY)	See Endnote	Yes	$15,001 - $50,000		None (or less than $201)
13.3.41	SPDR S&P 500 ETF Trust (SPY)		Yes	$15,001 - $50,000		None (or less than $201)
13.3.42	iShares Russell Mid-Cap ETF (IWR)		Yes	$100,001 - $250,000		None (or less than $201)
13.3.43	Communication Services Select Sector SPDR Fund (XLC)	See Endnote	Yes	$50,001 - $100,000		None (or less than $201)
13.3.44	SPDR Bloomberg Barclays High Yield Bond ETF (JNK)		Yes	$50,001 - $100,000		None (or less than $201)
13.3.45	Vanguard FTSE Emerging Markets ETF (VWO)		Yes	$15,001 - $50,000		None (or less than $201)
13.3.46	Vanguard Long-Term Bond ETF (BLV)		Yes	$15,001 - $50,000		None (or less than $201)
13.3.47	Vanguard Short-Term Corporate Bond ETF (VCSH)		Yes	$1,001 - $15,000		None (or less than $201)
13.3.48	Vanguard Intermediate-Term Corporate Bond ETF (VCIT)		Yes	$50,001 - $100,000		None (or less than $201)
13.3.49	Vanguard Total Bond Market ETF (BND)		Yes	$50,001 - $100,000		None (or less than $201)
13.3.50	Morgan Stanley (MS)	See Endnote	N/A	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
13.3.51	First Republic Bank (FRC)	See Endnote	N/A	$1,001 - $15,000		None (or less than $201)
13.3.52	Deere & Co. (DE)	See Endnote	N/A	$1,001 - $15,000		None (or less than $201)
13.3.53	Lam Research Corporation (LRCX)	See Endnote	N/A	$1,001 - $15,000		None (or less than $201)
13.3.54	Eaton Corporation, PLC (ETN)	See Endnote	N/A	$1,001 - $15,000		None (or less than $201)
13.3.55	Caterpillar, Inc. (CAT)	See Endnote	N/A	$1,001 - $15,000		None (or less than $201)
13.3.56	iShares MSCI South Korea ETF (EWY)	See Endnote	Yes	$1,001 - $15,000		None (or less than $201)
13.3.57	Vanguard Short-Term Bond ETF (BSV)	See Endnote	Yes	$15,001 - $50,000		None (or less than $201)
13.3.58	Materials Select Sector SPDR Fund (XLB)	See Endnote	Yes	$15,001 - $50,000		None (or less than $201)
13.3.59	PayPal Holdings, Inc. (PYPL)	See Endnote	N/A	$1,001 - $15,000		None (or less than $201)
13.4	Trust account #4		No			
13.4.1	U.S. brokerage account #1 (cash)		N/A	$100,001 - $250,000		None (or less than $201)
13.4.2	U.S. brokerage account #2 (cash)		N/A	$100,001 - $250,000		None (or less than $201)
13.4.3	U.S. bank #3 (cash)		N/A	$250,001 - $500,000		None (or less than $201)
13.4.4	U.S. bank #4 (cash)		N/A	$250,001 - $500,000		None (or less than $201)
13.4.5	U.S. bank #5 (cash)		N/A	$250,001 - $500,000		None (or less than $201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
13.4.6	U.S. bank #6 (cash)		N/A	$250,001 - $500,000		None (or less than $201)
13.5	Trust account #5		No			
13.5.1	MPLX, LP (MPLX)	See Endnote	N/A	$15,001 - $50,000		None (or less than $201)
13.5.2	Plains All American Pipeline, LP (PAA)	See Endnote	N/A	$1,001 - $15,000		None (or less than $201)
13.5.3	Diversified Healthcare Trust, 5.625% Senior Notes due 2042 (DHCNI)	See Endnote	N/A	$1,001 - $15,000		None (or less than $201)
13.5.4	Telephone & Data Systems, Inc., 7.000% Senior Notes due 2060 (TDJ)	See Endnote	N/A	$1,001 - $15,000		None (or less than $201)
13.5.5	American Century Investments Ultra Fund, Investor Class (TWCUX)		Yes	$100,001 - $250,000		None (or less than $201)
13.5.6	Fidelity Contrafund (FCNTX)		Yes	$250,001 - $500,000		None (or less than $201)
13.5.7	Fidelity Municipal Income Fund (FHIGX)		Yes	$50,001 - $100,000		None (or less than $201)
13.5.8	Fidelity Municipal Money Market Fund (FTEXX)		Yes	$1,001 - $15,000		None (or less than $201)
13.5.9	Hartford Floating Rate Fund, Class A (HFLAX)		Yes	$15,001 - $50,000		None (or less than $201)
13.5.10	U.S. brokerage account (cash)		N/A	$1,001 - $15,000		None (or less than $201)
13.6	Trust account #6		No			
13.6.1	U.S. brokerage account (cash)		N/A	$1,001 - $15,000		None (or less than $201)
13.6.2	Abbott Laboratories (ABT)	See Endnote	N/A	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
13.6.3	Accenture, PLC (ACN)	See Endnote	N/A	$1,001 - $15,000		None (or less than $201)
13.6.4	Aflac, Inc. (AFL)	See Endnote	N/A	$1,001 - $15,000		None (or less than $201)
13.6.5	Air Products & Chemicals, Inc. (APD)	See Endnote	N/A	$1,001 - $15,000		None (or less than $201)
13.6.6	American Tower Corporation (AMT)	See Endnote	N/A	$1,001 - $15,000		None (or less than $201)
13.6.7	Amgen, Inc. (AMGN)	See Endnote	N/A	$1,001 - $15,000		None (or less than $201)
13.6.8	Analog Devices, Inc. (ADI)	See Endnote	N/A	$1,001 - $15,000		None (or less than $201)
13.6.9	Apple, Inc. (AAPL)	See Endnote	N/A	$1,001 - $15,000		None (or less than $201)
13.6.10	AT&T, Inc. (T)	See Endnote	N/A	$1,001 - $15,000		None (or less than $201)
13.6.11	Automatic Data Processing, Inc. (ADP)	See Endnote	N/A	$1,001 - $15,000		None (or less than $201)
13.6.12	Becton, Dickinson & Co. (BDX)	See Endnote	N/A	$1,001 - $15,000		None (or less than $201)
13.6.13	BlackRock, Inc. (BLK)		N/A	$1,001 - $15,000		None (or less than $201)
13.6.14	Chevron Corporation (CVX)	See Endnote	N/A	$1,001 - $15,000		None (or less than $201)
13.6.15	Chubb Limited (CB)	See Endnote	N/A	$1,001 - $15,000		None (or less than $201)
13.6.16	Cisco Systems, Inc. (CSCO)	See Endnote	N/A	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
13.6.17	Clorox Co. (CLX)	See Endnote	N/A	$1,001 - $15,000		None (or less than $201)
13.6.18	Colgate-Palmolive Co. (CL)	See Endnote	N/A	$1,001 - $15,000		None (or less than $201)
13.6.19	Comcast Corporation (CMCSA)	See Endnote	N/A	$1,001 - $15,000		None (or less than $201)
13.6.20	Emerson Electric Co. (EMR)	See Endnote	N/A	$1,001 - $15,000		None (or less than $201)
13.6.21	Eversource Energy (ES)	See Endnote	N/A	$1,001 - $15,000		None (or less than $201)
13.6.22	FactSet Research Systems, Inc. (FDS)		N/A	$1,001 - $15,000		None (or less than $201)
13.6.23	General Dynamics Corporation (GD)	See Endnote	N/A	$1,001 - $15,000		None (or less than $201)
13.6.24	Illinois Tool Works, Inc. (ITW)	See Endnote	N/A	$1,001 - $15,000		None (or less than $201)
13.6.25	Johnson & Johnson (JNJ)	See Endnote	N/A	$1,001 - $15,000		None (or less than $201)
13.6.26	JPMorgan Chase & Co. (JPM)		N/A	$1,001 - $15,000		None (or less than $201)
13.6.27	Linde, PLC (LIN)	See Endnote	N/A	$1,001 - $15,000		None (or less than $201)
13.6.28	Lowe's Companies, Inc. (LOW)	See Endnote	N/A	$1,001 - $15,000		None (or less than $201)
13.6.29	L3Harris Technologies, Inc. (LHX)	See Endnote	N/A	$1,001 - $15,000		None (or less than $201)
13.6.30	McDonald's Corporation (MCD)	See Endnote	N/A	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
13.6.31	Medtronic, PLC (MDT)	See Endnote	N/A	$1,001 - $15,000		None (or less than $201)
13.6.32	Microsoft Corporation (MSFT)	See Endnote	N/A	$15,001 - $50,000		None (or less than $201)
13.6.33	NextEra Energy, Inc. (NEE)	See Endnote	N/A	$1,001 - $15,000		None (or less than $201)
13.6.34	Nike, Inc. (NKE)	See Endnote	N/A	$1,001 - $15,000		None (or less than $201)
13.6.35	Norfolk Southern Corporation (NSC)	See Endnote	N/A	$1,001 - $15,000		None (or less than $201)
13.6.36	Novartis AG (NVS)	See Endnote	N/A	$1,001 - $15,000		None (or less than $201)
13.6.37	Paychex, Inc. (PAYX)	See Endnote	N/A	$1,001 - $15,000		None (or less than $201)
13.6.38	PepsiCo, Inc. (PEP)	See Endnote	N/A	$1,001 - $15,000		None (or less than $201)
13.6.39	Phillips 66 Co. (PSX)	See Endnote	N/A	$1,001 - $15,000		None (or less than $201)
13.6.40	Procter & Gamble Co. (PG)	See Endnote	N/A	$1,001 - $15,000		None (or less than $201)
13.6.41	Raytheon Technologies Corporation (RTX)	See Endnote	N/A	$1,001 - $15,000		None (or less than $201)
13.6.42	Target Corporation (TGT)	See Endnote	N/A	$1,001 - $15,000		None (or less than $201)
13.6.43	UnitedHealth Group, Inc. (UNH)	See Endnote	N/A	$1,001 - $15,000		None (or less than $201)
13.6.44	U.S. Bancorp (USB)		N/A	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
13.6.45	VF Corporation (VFC)	See Endnote	N/A	$1,001 - $15,000		None (or less than $201)
13.6.46	Walmart, Inc. (WMT)	See Endnote	N/A	$1,001 - $15,000		None (or less than $201)
13.6.47	WEC Energy Group, Inc. (WEC)	See Endnote	N/A	$1,001 - $15,000		None (or less than $201)
13.6.48	3M Co. (MMM)	See Endnote	N/A	$1,001 - $15,000		None (or less than $201)

7. Transactions

(N/A) - Not required for this type of report

8. Liabilities

None

9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

Endnotes

PART	#	ENDNOTE
2.	1.3	Divested within the first week of appointment. Interim recusal observed. MS.

PART	#	ENDNOTE
6.	3	Divested within the first week of appointment. Interim recusal observed. MS.
6.	9.2	Diversified mutual fund under 5 C.F.R. 2640.102(a), (k). MS.
6.	9.3	Divested within the first week of appointment. Interim recusal observed. MS.
6.	10.1	Divested within the first week of appointment. Interim recusal observed. MS.
6.	11.3	Divested within the first week of appointment. Interim recusal observed. MS.
6.	13	The current values of the underlying assets are reported. My dependent children and I will each be receiving a single mandatory distribution. My dependent children and I will then have a combined 50% remainder interest in the trust.
6.	13.3.2	Divested within the first two weeks of appointment. Interim recusal observed. MS.
6.	13.3.3	Divested within the first two weeks of appointment. Interim recusal observed. MS.
6.	13.3.4	Divested within the first two weeks of appointment. Interim recusal observed. MS.
6.	13.3.5	Divested within the first two weeks of appointment. Interim recusal observed. MS.
6.	13.3.7	Divested within the first two weeks of appointment. Interim recusal observed. MS.
6.	13.3.8	Divested within the first two weeks of appointment. Interim recusal observed. MS.
6.	13.3.9	Divested within the first two weeks of appointment. Interim recusal observed. MS.
6.	13.3.10	Divested within the first two weeks of appointment. Interim recusal observed. MS.
6.	13.3.11	Divested within the first two weeks of appointment. Interim recusal observed. MS.
6.	13.3.12	Divested within the first two weeks of appointment. Interim recusal observed. MS.
6.	13.3.13	Divested within approximately the first two weeks of appointment. Interim recusal observed. MS.
6.	13.3.14	Divested within the first two weeks of appointment. Interim recusal observed. MS.
6.	13.3.15	Divested within the first two weeks of appointment. Interim recusal observed. MS.
6.	13.3.17	Divested within the first two weeks of appointment. Interim recusal observed. MS.
6.	13.3.18	Divested within the first two weeks of appointment. Interim recusal observed. MS.

PART	#	ENDNOTE
6.	13.3.20	Divested within the first two weeks of appointment. Interim recusal observed. MS.
6.	13.3.21	Divested within the first two weeks of appointment. Interim recusal observed. MS.
6.	13.3.22	Divested within the first two weeks of appointment. Interim recusal observed. MS.
6.	13.3.34	Divested within the first two weeks of appointment. Interim recusal observed. MS.
6.	13.3.38	Diversified mutual fund under 5 C.F.R. 2640.102(a), (k) and OGE Legal Advisory LA-19-06. MS.
6.	13.3.40	Diversified mutual fund under 5 C.F.R. 2640.102(a), (k). MS.
6.	13.3.43	Divested within the first two weeks of appointment. Interim recusal observed. MS.
6.	13.3.50	This asset was purchased shortly before appointment. The purchase was voided shortly after appointment.
6.	13.3.51	This asset was purchased shortly before appointment. The purchase was voided shortly after appointment.
6.	13.3.52	This asset was purchased shortly before appointment. The purchase was voided shortly after appointment.
6.	13.3.53	This asset was purchased shortly before appointment. The purchase was voided shortly after appointment.
6.	13.3.54	This asset was purchased shortly before appointment. The purchase was voided shortly after appointment.
6.	13.3.55	This asset was purchased shortly before appointment. The purchase was voided shortly after appointment.
6.	13.3.56	This asset was purchased shortly before appointment. The purchase was voided shortly after appointment.
6.	13.3.57	This asset was purchased shortly before appointment. The purchase was voided shortly after appointment.
6.	13.3.58	This asset was purchased shortly before appointment. The purchase was voided shortly after appointment.
6.	13.3.59	This asset was purchased shortly before appointment. The purchase was voided shortly after appointment.
6.	13.5.1	Divested within the first week of appointment. Interim recusal observed. MS.
6.	13.5.2	Divested within the first week of appointment. Interim recusal observed. MS.
6.	13.5.3	Divested within the first week of appointment. Interim recusal observed. MS.
6.	13.5.4	Divested within the first week of appointment. Interim recusal observed. MS.
6.	13.6.2	Divested within the first week of appointment. Interim recusal observed. MS.

PART	#	ENDNOTE
6.	13.6.3	Divested within the first week of appointment. Interim recusal observed. MS.
6.	13.6.4	Divested within the first week of appointment. Interim recusal observed. MS.
6.	13.6.5	Divested within the first week of appointment. Interim recusal observed. MS.
6.	13.6.6	Divested within the first week of appointment. Interim recusal observed. MS.
6.	13.6.7	Divested within the first week of appointment. Interim recusal observed. MS.
6.	13.6.8	Divested within the first week of appointment. Interim recusal observed. MS.
6.	13.6.9	Divested within the first week of appointment. Interim recusal observed. MS.
6.	13.6.10	Divested within the first week of appointment. Interim recusal observed. MS.
6.	13.6.11	Divested within the first week of appointment. Interim recusal observed. MS.
6.	13.6.12	Divested within the first week of appointment. Interim recusal observed. MS.
6.	13.6.14	Divested within the first week of appointment. Interim recusal observed. MS.
6.	13.6.15	Divested within the first week of appointment. Interim recusal observed. MS.
6.	13.6.16	Divested within the first week of appointment. Interim recusal observed. MS.
6.	13.6.17	Divested within the first week of appointment. Interim recusal observed. MS.
6.	13.6.18	Divested within the first week of appointment. Interim recusal observed. MS.
6.	13.6.19	Divested within the first week of appointment. Interim recusal observed. MS.
6.	13.6.20	Divested within the first week of appointment. Interim recusal observed. MS.
6.	13.6.21	Divested within the first week of appointment. Interim recusal observed. MS.
6.	13.6.23	Divested within the first week of appointment. Interim recusal observed. MS.
6.	13.6.24	Divested within the first week of appointment. Interim recusal observed. MS.
6.	13.6.25	Divested within the first week of appointment. Interim recusal observed. MS.
6.	13.6.27	Divested within the first week of appointment. Interim recusal observed. MS.

PART	#	ENDNOTE
6.	13.6.28	Divested within the first week of appointment. Interim recusal observed. MS.
6.	13.6.29	Divested within the first week of appointment. Interim recusal observed. MS.
6.	13.6.30	Divested within the first week of appointment. Interim recusal observed. MS.
6.	13.6.31	Divested within the first week of appointment. Interim recusal observed. MS.
6.	13.6.32	Divested within the first week of appointment. Interim recusal observed. MS.
6.	13.6.33	Divested within the first week of appointment. Interim recusal observed. MS.
6.	13.6.34	Divested within the first week of appointment. Interim recusal observed. MS.
6.	13.6.35	Divested within the first week of appointment. Interim recusal observed. MS.
6.	13.6.36	Divested within the first week of appointment. Interim recusal observed. MS.
6.	13.6.37	Divested within the first week of appointment. Interim recusal observed. MS.
6.	13.6.38	Divested within the first week of appointment. Interim recusal observed. MS.
6.	13.6.39	Divested within the first week of appointment. Interim recusal observed. MS.
6.	13.6.40	Divested within the first week of appointment. Interim recusal observed. MS.
6.	13.6.41	Divested within the first week of appointment. Interim recusal observed. MS.
6.	13.6.42	Divested within the first week of appointment. Interim recusal observed. MS.
6.	13.6.43	Divested within the first week of appointment. Interim recusal observed. MS.
6.	13.6.45	Divested within the first week of appointment. Interim recusal observed. MS.
6.	13.6.46	Divested within the first week of appointment. Interim recusal observed. MS.
6.	13.6.47	Divested within the first week of appointment. Interim recusal observed. MS.
6.	13.6.48	Divested within the first week of appointment. Interim recusal observed. MS.

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than $200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding $5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than $5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than $1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than $200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of $5,000 or less (unless more than $200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of $1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over $10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed $10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than $415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than $415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of $166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).