

# Executive Branch Personnel

## Public Financial Disclosure Report (OGE Form 278e)

### Filer's Information

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DeMartino, Laura

Associate Director, Div of Litigation Technology & Analysis, BCP, Federal Trade Commission

Report Year: 2021

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Other Federal Government Positions Held During the Preceding 12 Months:

None

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Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ DeMartino, Laura [electronically signed on 03/21/2021 by DeMartino, Laura in Integrity.gov]

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Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Pankey, Lorielle L, Certifying Official [electronically signed on 03/25/2021 by Pankey, Lorielle L in Integrity.gov]

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Other review conducted by

/s/ Wong, Jeremy, Ethics Official [electronically signed on 03/25/2021 by Wong, Jeremy in Integrity.gov]

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U.S. Office of Government Ethics Certification

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Data Revised 03/25/2021

Data Revised 03/24/2021

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## 1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	July 23, 2004 CD Irrevocable Insurance Trust		Trust	Trustee	7/2004	Present
2	June 25, 2012 AD Irrevocable Insurance Trust		Trust	Trustee	6/2012	Present
3	Disclaimer Family Trust	See Endnote	Trust	Trustee	1/2008	Present

## 2. Filer's Employment Assets & Income and Retirement Accounts

None

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## 3. Filer's Employment Agreements and Arrangements

None

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## 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

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## 5. Spouse's Employment Assets & Income and Retirement Accounts

None

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## 6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Merrill Lynch Bank Deposit Program - Cash Account (now called Bank of America, NA)	N/A	\$50,001 - \$100,000	Interest	\$201 - \$1,000
2	Varian Medical Systems Inc (VAR)	N/A	\$50,001 - \$100,000		None (or less than \$201)
3	Synchrony Bank (savings account)	N/A	\$250,001 - \$500,000	Interest	\$1,001 - \$2,500
4	PNC Bank (checking/savings accounts)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5	Capital One (Cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6	FIRST EAGLE GLOBAL CLASS I (SGIIX)	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
7	FPA CRESCENT FUND (FPACX)	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
8	JP MORGAN INCOME BUILDER FUND (JNBSX)	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
9	LOOMIS SAYLES GLOBAL EQUITY & INCOME FUND (LSWWX)	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
10	PRUDENTIAL GLOBAL REAL ESTATE FUND (PURZX)	Yes	None (or less than \$1,001)		None (or less than \$201)
11	VANGUARD DIVIDEND APPRECIATION FUND (VIG)	Yes	\$250,001 - \$500,000		\$2,501 - \$5,000
12	VANGUARD EXTD MKT ETF (VXF)	Yes	None (or less than \$1,001)		\$5,001 - \$15,000
13	VANGUARD FTSE DEVELOPED MARKETS ETF (VEA)	Yes	None (or less than \$1,001)		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
14	VANGUARD LARGE-CAP ETF (VV)	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
15	PAX WORLD GLBL ENVIROMENTAL MARKETS FUND (PGINX)	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
16	VAREX IMAGING CORP (VREX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
17	INTL BKT CLIRN ISS CIBC (MLVHS)	N/A	None (or less than \$1,001)		None (or less than \$201)
18	SP500 CLIRNS ISSUER BNS (MLVHV)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
19	R2000 CLIRN ISSUER RBC (MLVHB)	N/A	None (or less than \$1,001)		None (or less than \$201)
20	SP500 CLIRN ISSUER WFC (MLVHA)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
21	INTL BKT LIRN ISS CS (MLVCV)	N/A	None (or less than \$1,001)		\$1,001 - \$2,500
22	SX5E LEV STEPUP ISS HSBC (MLUFU)	N/A	None (or less than \$1,001)		None (or less than \$201)
23	INTL BKT CLIRN ISS BNS (MLVHC)	N/A	None (or less than \$1,001)		None (or less than \$201)
24	ISHARES CORE S&P SMALL CAP ETF (IJR)	Yes	\$100,001 - \$250,000		None (or less than \$201)
25	VANGUARD FTSE EMERGING MARKETS ETF (VWO)	Yes	None (or less than \$1,001)		\$201 - \$1,000
26	JP MORGAN GLOBAL ALLOCATION FD (GAOSX)	Yes	None (or less than \$1,001)		\$201 - \$1,000
27	SP500 CLIRN ISSUER BOFA (MLVJG)	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
28	FPA NEW INCOME INC (FPNIX)	Yes	None (or less than \$1,001)		\$201 - \$1,000
29	PIMCO INCOME FUND (PONPX)	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
30	VIRTUS NEWFLEET MULTI- SECTOR SHT TRM BOND FD (PIMSX)	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
31	BLACKROCK STRATEGIC INCOME OPPRTNTS (BSIIX)	Yes	None (or less than \$1,001)		\$2,501 - \$5,000
32	OAKMARK EQUITY & INCOME FUND - Advisor Class (OAYBX)	Yes	None (or less than \$1,001)		\$5,001 - \$15,000
33	ISHARES CORE S&P U.S. GROWTH ETF (IUSG)	Yes	None (or less than \$1,001)		\$5,001 - \$15,000
34	AMERICAN CENTURY EQUITY INCOME FUND (ACIIX)	Yes	None (or less than \$1,001)		\$201 - \$1,000
35	ISHARES CORE S&P US VALUE ETF (IUSV)	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
36	R2000 ARN ISSUER WFF (MLVXA)	N/A	\$15,001 - \$50,000		None (or less than \$201)
37	SP500 CLIRNS ISSUER BNS (MLVPT)	N/A	\$50,001 - \$100,000		None (or less than \$201)
38	ISHARES CORE S&P MID-CAP (IJH)	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
39	VANGUARD MEGA CAP ETF (MGC)	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
40	VANGUARD INTERNATIONAL DIVIDEND APPRECIATION (VIGI)	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
41	ISHARES INC CORE MSCI EMERGING MKTS ETF (IEMG)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
42	Chase (Cash)	No	\$15,001 - \$50,000		None (or less than \$201)
43	WESTERN ASSET CORE PLUS BOND FD (WACPX)	Yes	None (or less than \$1,001)		\$2,501 - \$5,000
44	Disclaimer Family Trust	No			
44.1	BLACKROCK MULTI-ASSET INCOME PORTFOLIO FUND (BAICX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
44.2	BLACKROCK NATIONAL MUNICIPAL BOND FUND (MDNLX)	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
44.3	PUTNAM ULTRA SHORT DURATION INCOME FUND (PSDTX)	Yes	\$100,001 - \$250,000		\$201 - \$1,000

## 7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	BlackRock Strategic Income Opps Instl (BSIIX)	Purchase	01/03/2020	\$1,001 - \$15,000
2	PIMCO INCOME FUND (PONPX)	Purchase	01/03/2020	\$1,001 - \$15,000
3	FPA CRESCENT FUND (FPACX)	Purchase	01/03/2020	\$1,001 - \$15,000
4	ISHARES CORE S&P U.S. GROWTH ETF (IUSG)	Purchase	01/03/2020	\$1,001 - \$15,000
5	WESTERN ASSET CORE PLUS BOND FUND (WACPX)	Purchase	01/03/2020	\$1,001 - \$15,000
6	AMERICAN CENTURY EQUITY INCOME FUND (ACIIX)	Purchase	01/03/2020	\$1,001 - \$15,000
7	ISHARES CORE S&P U.S. GROWTH ETF (IUSG)	Purchase	01/15/2020	\$15,001 - \$50,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
8	VANGUARD FTSE DEVELOPED MARKETS ETF (VEA)		Purchase	01/03/2020	\$1,001 - \$15,000
9	OAKMARK EQUITY & INCOME FUND (OAYBX)		Purchase	01/03/2020	\$1,001 - \$15,000
10	ISHARES CORE S&P SMALL CAP ETF (IJR)		Sale	01/15/2020	\$1,001 - \$15,000
11	ISHARES CORE S&P U.S. VALUE ETF (IUSV)		Sale	01/15/2020	\$15,001 - \$50,000
12	INTL BKT LIRN ISS CS (MLVCV)	See Endnote	Sale	01/31/2020	\$15,001 - \$50,000
13	ISHARES CORE S&P U.S. GROWTH ETF (IUSG)		Sale	03/19/2020	\$1,001 - \$15,000
14	VANGUARD FTSE DEVELOPED MARKETS ETF (VEA)		Purchase	03/19/2020	\$1,001 - \$15,000
15	ISHARES CORE S&P VALUE ETF (IUSV)		Purchase	03/19/2020	\$1,001 - \$15,000
16	ISHARES CORE S&P SMALL CAP ETF (IJR)		Purchase	03/19/2020	\$1,001 - \$15,000
17	VANGUARD FTSE EMERGING MARKETS ETF (VWO)		Purchase	03/19/2020	\$1,001 - \$15,000
18	VANGUARD FTSE DEVELOPED MARKETS ETF (VEA)		Sale	04/02/2020	\$15,001 - \$50,000
19	VANGUARD DIVIDEND APPRECIATION ETF (VIG)		Purchase	04/02/2020	\$1,001 - \$15,000
20	ISHARES CORE S&P US VALUE ETF (IUSV)		Purchase	04/16/2020	\$1,001 - \$15,000
21	ISHARES CORE S&P U.S. GROWTH ETF (IUSG)		Purchase	04/16/2020	\$1,001 - \$15,000
22	Vanguard FTSE Emerging Markets ETF (VWO)		Sale	04/16/2020	\$1,001 - \$15,000
23	OAKMARK EQUITY & INCOME FUND - Advisor Class (OAYBX)		Sale	05/19/2020	\$50,001 - \$100,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
24	AMERICAN CENTURY EQUITY INCOME FUND (ACIIX)	Sale	05/19/2020	\$50,001 - \$100,000
25	PRUDENTIAL GLOBAL REAL ESTATE FUND (PURZX)	Sale	05/19/2020	\$15,001 - \$50,000
26	JP MORGAN INCOME BUILDER FUND (JNBSX)	Sale	05/19/2020	\$50,001 - \$100,000
27	FPA CRESCENT FUND (FPACX)	Sale	05/19/2020	\$50,001 - \$100,000
28	ISHARES CORE S&P MID-CAP (IJH)	Purchase	05/20/2020	\$100,001 - \$250,000
29	VANGUARD DIVIDEND APPRECIATION FUND (VIG)	Purchase	05/20/2020	\$100,001 - \$250,000
30	ISHARES CORE S&P U.S. GROWTH ETF (IUSG)	Sale	05/20/2020	\$100,001 - \$250,000
31	LOOMIS SAYLES GLOBAL EQUITY & INCOME FUND (LSWWX)	Purchase	05/20/2020	\$50,001 - \$100,000
32	ISHARES CORE S&P US VALUE ETF (IUSV)	Sale	05/20/2020	\$100,001 - \$250,000
33	VANGUARD EXTD MKT ETF (VXF)	Sale	05/19/2020	\$15,001 - \$50,000
34	VANGUARD MEGA CAP ETF (MGC)	Purchase	05/20/2020	\$100,001 - \$250,000
35	VANGUARD INTERNATIONAL DIVIDEND APPRECIATION (VIGI)	Purchase	05/20/2020	\$100,001 - \$250,000
36	ISHARES CORE S&P SMALL CAP ETF (IJR)	Purchase	05/20/2020	\$50,001 - \$100,000
37	VIRTUS NEWFLEET MULTI- SECTOR SHT TRM BOND FD (PIMSX)	Sale	05/20/2020	\$50,001 - \$100,000
38	WESTERN ASSET CORE PLUS BOND FD (WACPX)	Sale	05/20/2020	\$50,001 - \$100,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
39	VANGUARD FTSE DEVELOPED MARKETS ETF (VEA)	Sale	05/20/2020	\$50,001 - \$100,000
40	FPA NEW INCOME INC (FPNIX)	Sale	05/20/2020	\$15,001 - \$50,000
41	ISHARES INC CORE MSCI EMERGING MKTS ETF (IEMG)	Purchase	05/20/2020	\$50,001 - \$100,000
42	JP MORGAN GLOBAL ALLOCATION FD (GAOSX)	Sale	05/20/2020	\$15,001 - \$50,000
43	BLACKROCK STRATEGIC INCOME OPPRTNTS (BSIIX)	Sale	05/20/2020	\$50,001 - \$100,000
44	FPA CRESCENT FUND (FPACX)	Sale	05/20/2020	\$15,001 - \$50,000
45	OAKMARK EQUITY & INCOME FUND - Advisor Class (OAYBX)	Sale	05/20/2020	\$15,001 - \$50,000
46	AMERICAN CENTURY EQUITY INCOME FUND (ACIIX)	Sale	05/20/2020	\$15,001 - \$50,000
47	PAX WORLD GLBL ENVIROMENTAL MARKETS FUND (PGINX)	Sale	05/20/2020	\$1,001 - \$15,000
48	VANGUARD FTSE EMERGING MARKETS ETF (VWO)	Sale	05/20/2020	\$15,001 - \$50,000
49	PRUDENTIAL GLOBAL REAL ESTATE FUND (PURZX)	Sale	05/20/2020	\$1,001 - \$15,000
50	PIMCO INCOME FUND (PONPX)	Purchase	05/20/2020	\$1,001 - \$15,000
51	FIRST EAGLE GLOBAL CLASS I (SGIIX)	Purchase	05/20/2020	\$15,001 - \$50,000
52	BLACKROCK MULTI-ASSET INCOME PORTFOLIO FUND (BAICX)	Purchase	06/25/2020	\$15,001 - \$50,000
53	BLACKROCK NATIONAL MUNICIPAL BOND FUND (MDNLX)	Purchase	06/25/2020	\$100,001 - \$250,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
54	PUTNAM ULTRA SHORT DURATION INCOME FUND (PSDTX)		Purchase	06/25/2020	\$100,001 - \$250,000
55	INTL BKT CLIRN ISS BNS (MLVHC)	See Endnote	Sale	06/26/2020	\$15,001 - \$50,000
56	SP500 CLIRN ISSUER WFC (MLVHA)	See Endnote	Sale	06/26/2020	\$1,001 - \$15,000
57	R2000 CLIRN ISSUER RBC (MLVHB)	See Endnote	Sale	06/26/2020	\$1,001 - \$15,000
58	SX5E LEV STEPUP ISS HSBC (MLUFU)	See Endnote	Sale	07/30/2020	\$15,001 - \$50,000
59	INTL BKT CLIRN ISS CIBC (MLVHS)	See Endnote	Sale	07/30/2020	\$1,001 - \$15,000
60	SP500 CLIRNS ISSUER BNS (MLVHV)	See Endnote	Sale	07/30/2020	\$1,001 - \$15,000
61	SP500 CLIRN ISSUER BOFA (MLVJG)	See Endnote	Sale	09/25/2020	\$50,001 - \$100,000
62	LOOMIS SAYLES GLOBAL ALLOCATION FD (LSWWX)		Purchase	12/23/2020	\$1,001 - \$15,000

## 8. Liabilities

None

## 9. Gifts and Travel Reimbursements

None

## Endnotes

PART	#	ENDNOTE
1.	3	This was left off of the filer's prior reports because the filer only recently discovered the existence of this trust.
7.	12	Note was redeemed on 1/31/20.
7.	55	Note was redeemed on 6/26/20.
7.	56	Note redeemed on 6/26/20.
7.	57	Note redeemed on 6/26/20.
7.	58	Note was redeemed on July 30, 2020.
7.	59	Note was redeemed on July 30, 2020.
7.	60	Note was redeemed on July 30, 2020.
7.	61	Note was redeemed on September 25, 2020.

# Summary of Contents

## 1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

## 2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

#### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

#### 5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

#### 6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

## 8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

## 9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

## Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

## Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE) Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

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