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KOPN - Kopin Cp

Executive Branch Personnel PUBLIC FINANCIAL DISCLOSURE REPORT:

Periodic Transaction Report

U.S. Office of Government	Ethics		Periodic 'l	ransactio	on R	epo	rt												
Filer's Name (Print L	h Filing		Department or Agency																
DeVries	Bailey	Associate Administrator OII						SBA											
Certification: I CERTIFY that the statements I have made Signature of the Filer								Date (Month, Day, Year)						Notice of Extension					
on this form and any atta correct to the best of my	chments are true, complete, and knowledge.	Bailey DeVries					2023-12-17 11:24:05						Check if granted Indicate number of days:						
Signature of Intermediate Reviewing Official (if required by agency)				Date (Month, Day, Year)					Agency Use Only					OGE Use Only					
								12/1	17/202	23									
Signature of Agency's Final Reviewing Official			Date (Month, Day, Year)					Comments of Reviewing Officials											
Marilyn Barnes				7 10:06:11															
Signature of Reviewi	ng Official at U.S. Office of Gove	rnment Ethics (if required)	Date (Mon	t <mark>h, Day, Yea</mark> l	r)														
You do not need to report	e, or exchange by you, your spouse, or rt: (1) mutual funds and other excepted rift Savings Plan accounts; (5) real pro	investment funds; (2) certificat	es of deposit,	savings or che	ecking ou, yo	g acco our sp	ounts	, and r	noney	marke	et accor	action unts; (3	exceed 3) U.S.	led \$1. Treas	,000. ury bil	ls,			
	Transaction Type (x)						Amount of Transaction (x)												
transaction but not later do not apply to any volu	t within 30 days of when you receive a than 45 days after the transaction. The ntary additional disclosures you make. Identification of Assets	ese deadlines	Date	Notification Received More Than 30 Days Ago*		Sale	ge	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000**	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	
Ex. Central Airlines Co.			10/1/12		X					Х									
Ex. BMSL Propulsion, Inc ¹ Tidal Cyber, Inc.			9/4/12 11/17/2023	X	X X			×	X										
² Krista Software, Ir	nC.		11/28/2023		×			×											
³ Base Operations			12/15/2023		×				×										

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X

* Extensions of the due date should be noted in the "Notice of Extension" section of this form. ** This category applies only if the underlying asset is solely that of your spouse or dependent child. If the underlying asset is either held by you or jointly held by you with your spouse or dependent children, use the other higher categories of value, as appropriate.

10/12/2023

Reviewer Comments and Submission History for Bailey DeVries

bailey.devries@sba.gov - Bailey DeVries

12/17/2023 11:24:06 Filing Submitted

Filer Comments for Bailey DeVries

Transaction: Tidal Cyber, Inc.

Comment: This investment is actually less than \$1,000. It was \$740 but reporting regardless. My husband's minority equity investment in early-stage startup Tidal Cyber, In. through his capital interest in Grotech Ventures IV, LLC.

Transaction: Krista Software, Inc.

Comment: My husband's minority equity investment in early-stage startup Krista Software, Inc. through his capital interest in Grotech Ventures IV, LLC.

Transaction: Base Operations

Comment: My husband's minority equity investment in early-stage startup Base Operations through his capital interest in Grotech Ventures IV, LLC.

Transaction: KOPN - Kopin Cp

Comment: Purchased 3,000.000 shares of publicly traded KOPIN CORP COM (KOPN) at \$1.2278. **thought this was already submitted back in October. When reviewing filings before reporting for this period realized this was not submitted and the submission in now approximately two weeks late.