Executive Branch Personnel

Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Witkowsky, Anne

Assistant Secretary (Conflict and Stabilization Operations) and Coordinator for Reconstruction and Stabilization, Department of State

Other Federal Government Positions Held During the Preceding 12 Months:
None

Names of Congressional Committees Considering Nomination:

- Committee on Foreign Relations

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Witkowsky, Anne [electronically signed on 03/11/2021 by Witkowsky, Anne in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).
/s/ Huitema, David, Certifying Official [electronically signed on 04/29/2021 by Huitema, David in Integrity.gov]

Other review conducted by

U.S. Office of Government Ethics Certification

/s/ Apol, David, Certifying Official [electronically signed on 05/10/2021 by Apol, David in Integrity.gov]

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME		CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Edgemoor Club		Bethesda, Maryland	Non-Profit	Membership Chair, Board of Governors	10/2018	10/2020
2	Luther I Replogle Foundation		Washington, District of Columbia	Foundation	Member, Board of Directors	4/2006	Present
3	Freedom House	See Endnote	Washington, District of Columbia	Non-Profit	Task Force Co-Director and Non-Resident Senior Democracy Fellow	5/2020	Present
4	Marshall Legacy Institute		Arlington, Virginia	Non-Profit	Member, Board of Directors	8/2017	Present
5	Summit Institute		Eden, Utah	Non-Profit	Member, Board of Directors	2/2019	Present
6	Women in International Security		Washington, District of Columbia	Non-Profit	Member, Board of Directors	1/2021	Present
7	Family Trust #1		Bethesda, Maryland	Trust	Trustee	9/2015	Present
8	Family Trust #2		Bethesda, Maryland	Trust	Trustee	9/2015	Present
9	Family Trust #3		Bethesda, Maryland	Trust	Trustee	12/1999	Present
10	Family Trust #4		Chicago, Illinois	Trust	Co-Trustee	9/2008	Present

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Michigan State University - October 6-15, 2020		N/A		Honorarium	$5,000
2	Freedom House		N/A		Task Force Co-Director (on contract)	$75,000
3	Dartmouth College - Panel participation and guest discussions	See Endnote	N/A		Honorarium	$3,500
4	IRA#1		No			
4.1	VANGUARD RUSSELL 2000ETF (VTWO)		Yes	$100,001 - $250,000		None (or less than $201)
4.2	VANGUARD INDX S&P500 ETF (VOO)		Yes	$100,001 - $250,000		None (or less than $201)
4.3	COLUMBIA ACORN INSTL (ACRNX)		Yes	$100,001 - $250,000		None (or less than $201)
4.4	AMER FDS EUROPACIFIC A (AEPGX)		Yes	$100,001 - $250,000		None (or less than $201)
4.5	AMER FDS GRWTH FD AMR A (AGHX)		Yes	$50,001 - $100,000		None (or less than $201)
4.6	American Funds NEW WORLD FUND CLASS A (NEWFX)		Yes	$50,001 - $100,000		None (or less than $201)
4.7	AMERICAN FDS GLBL BAL A (GBLAX)		Yes	$15,001 - $50,000		None (or less than $201)
4.8	AMER FDS WASH MUTUAL A (AWSHX)		Yes	$1,001 - $15,000		None (or less than $201)
5	IRA#2		No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.1	American Funds NEW WORLD FUND CL F2 (NFFFX)	Yes	$50,001 - $100,000		None (or less than $201)
5.2	MFS VALUE I (MEIIIX)	Yes	$50,001 - $100,000		None (or less than $201)
5.3	T ROWE PRICE INTL OVRSES (TROSX)	Yes	$15,001 - $50,000		None (or less than $201)
5.4	VICTORY SYCAMORE SMALL I (VSOIX)	Yes	$15,001 - $50,000		None (or less than $201)
5.5	BAIRD AGGREGATE FD CL I (BAGIX)	Yes	$15,001 - $50,000		None (or less than $201)
5.6	T ROWE PRICE MID-CAP VAL (TRMCX)	Yes	$15,001 - $50,000		None (or less than $201)
5.7	T ROWE PRICE BLE CHP GRW (TRBCX)	Yes	$15,001 - $50,000		None (or less than $201)
5.8	T ROWE PRICE MID CAP GRW (RPMGX)	Yes	$15,001 - $50,000		None (or less than $201)
5.9	BARON SMALL CAP FD CL I (BSFIX)	Yes	$15,001 - $50,000		None (or less than $201)
5.10	AMER FDS WASH MUTUAL F2 (WMFFX)	Yes	$15,001 - $50,000		None (or less than $201)
5.11	HARBOR CAP APPREC I (HACAX)	Yes	$15,001 - $50,000		None (or less than $201)
6	Center for Strategic and International Studies - TIAA/CREF Retirement	No			
6.1	CREF Growth R2	Yes	$100,001 - $250,000		None (or less than $201)
6.2	CREF Stock R2	Yes	$100,001 - $250,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.3	CREF Global Equities R2	Yes	$100,001 - $250,000		None (or less than $201)
7	Freedom House, fees receivable	N/A	$15,001 - $50,000		None (or less than $201)

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Center for Strategic and International Studies-TIAA/CREF Retirement	Washington, District of Columbia	Filer will continue to retain investments in this defined contribution plan; the plan sponsor ended contributions upon filer's departure from filer's Senior Fellow position at CSIS in 2007.	10/2000
2	Freedom House	Washington, District of Columbia	Pursuant to my contract, I will receive the balance of consulting fees owed to me for services rendered through April 15, 2021. All amounts owed to me will be paid prior to my assuming the duties of Assistant Secretary.	5/2020

4. Filer's Sources of Compensation Exceeding $5,000 in a Year

#	SOURCE NAME		CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	Freedom House	See Endnote	Washington, District of Columbia	Co-Director, Task Force on US Strategy to Support Democracy and Counter Authoritarianism

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Arnold & Porter (Law Firm Partnership)	N/A		partnership share	
2	RAND Corporation - November 21, 2019	N/A		Honorarium	$1,000
3	Law Firm Capital Account	N/A	$500,001 - $1,000,000		None (or less than $201)
4	IRA#1 Spouse	No			
4.1	MEIIX, MFS VALUE I	Yes	$50,001 - $100,000		None (or less than $201)
4.2	NFFFX, A/F NEW WORLD FUND CL F2	Yes	$50,001 - $100,000		None (or less than $201)
4.3	T ROWE PRICE INTL OVRSES (TROSX)	Yes	$50,001 - $100,000		None (or less than $201)
4.4	VICTORY SYCAMORE SMALL (VSOIX)	Yes	$15,001 - $50,000		None (or less than $201)
4.5	BAIRD AGGREGATE FD CL I (BAGIX)	Yes	$15,001 - $50,000		None (or less than $201)
4.6	T ROWE PRICE MID-CAP VAL (TRMCX)	Yes	$15,001 - $50,000		None (or less than $201)
4.7	T ROWE PRICE BLE CHP GRW (TRBCX)	Yes	$15,001 - $50,000		None (or less than $201)
4.8	T ROWE PRICE MID CAP GRW (RMPGX)	Yes	$15,001 - $50,000		None (or less than $201)
4.9	BARON SMALL CAP FD CL I (BSFIX)	Yes	$15,001 - $50,000		None (or less than $201)
4.10	AMER FDS WASH MUTUAL F2 (WMFFX)	Yes	$15,001 - $50,000		None (or less than $201)
4.11	HARBOR CAP APPREC I (HACAX)	Yes	$15,001 - $50,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5	IRA#2 Spouse	No			
5.1	T ROWE PRICE DIVRSFD INV (PRSGX)	Yes	$50,001 - $100,000		None (or less than $201)
5.2	NEW WORLD FUND CLASS A (NEWFX	Yes	$50,001 - $100,000		None (or less than $201)
5.3	T ROWE PRICE INTL STK FD (PRITX)	Yes	$15,001 - $50,000		None (or less than $201)
5.4	T ROWE PRICE EQ INDX 500 (PREIX)	Yes	$1,001 - $15,000		None (or less than $201)
5.5	AMER FDS WASH MUTUAL A (AWSHX)	Yes	$1,001 - $15,000		None (or less than $201)
5.6	AMERICAN FDS GLBL BAL A (GBLAX)	Yes	$1,001 - $15,000		None (or less than $201)
6	Arnold & Porter (Profit Sharing and 401(K) Plan and Trust)	No			
6.1	Fidelity Contrafund K6 (FLCNX)	Yes	$500,001 - $1,000,000		None (or less than $201)
6.2	Vanguard Growth Index Institutional (VIGIX)	Yes	$1,000,001 - $5,000,000		None (or less than $201)
6.3	T. Rowe Price Mid-Cap Growth I (RPTIX)	Yes	$500,001 - $1,000,000		None (or less than $201)
6.4	American Funds Europacific Growth R6 (RERGX)	Yes	$500,001 - $1,000,000		None (or less than $201)
6.5	Dodge & Cox Income (DODIX)	Yes	$500,001 - $1,000,000		None (or less than $201)
7	Arnold & Porter Cash Balance Plan and Trust	N/A	$500,001 - $1,000,000		None (or less than $201)

6. Other Assets and Income

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Farm Property, Rice Township, IL (leased for cash rent)	See Endnote	N/A	$100,001 - $250,000	Rent or Royalties	$2,501 - $5,000
2	Apple, Inc. (AAPL)		N/A	$500,001 - $1,000,000	Dividends	$2,501 - $5,000
3	Berkshire Hathaway Inc. (BRKB)		N/A	$100,001 - $250,000		None (or less than $201)
4	Union Pacific Corp. (UNP)		N/A	$100,001 - $250,000	Dividends	$2,501 - $5,000
5	Illinois Tool Works, Inc. (ITW)		N/A	$100,001 - $250,000	Dividends	$2,501 - $5,000
6	MERCK & CO INC		N/A	$100,001 - $250,000	Dividends	$2,501 - $5,000
7	PROCTER & GAMBLE CO (PG)		N/A	$100,001 - $250,000	Dividends	$2,501 - $5,000
8	Intel Corp. (INTC)		N/A	$100,001 - $250,000	Dividends	$1,001 - $2,500
9	AMAZON COM INC		N/A	$100,001 - $250,000		None (or less than $201)
10	Abbott Laboratories (ABT)		N/A	$50,001 - $100,000	Dividends	$1,001 - $2,500
11	COCA-COLA COMPANY		N/A	$50,001 - $100,000	Dividends	$2,501 - $5,000
12	Microsoft Corp. (MSFT)		N/A	$50,001 - $100,000	Dividends	$201 - $1,000
13	The Sherwin-Williams Co. (SHW)		N/A	$50,001 - $100,000	Dividends	$201 - $1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
14	NOVARTIS AG	N/A	$50,001 - $100,000	Dividends	$201 - $1,000
15	Cisco Systems, Inc. (CSCO)	N/A	$50,001 - $100,000	Dividends	$1,001 - $2,500
16	SYSCO CORPORATION	N/A	$50,001 - $100,000	Dividends	$1,001 - $2,500
17	Dominion Energy, Inc. (D)	N/A	$50,001 - $100,000	Dividends	$1,001 - $2,500
18	FEDEX CORPORATION	N/A	$50,001 - $100,000	Dividends	$201 - $1,000
19	NextEra Energy, Inc. (NEE)	N/A	$50,001 - $100,000	Dividends	$1,001 - $2,500
20	ALPHABET INC	N/A	$50,001 - $100,000		None (or less than $201)
21	ALPHABET INC VOTING	N/A	$50,001 - $100,000		None (or less than $201)
22	International Business Machines Corp. (IBM)	N/A	$50,001 - $100,000	Dividends	$2,501 - $5,000
23	AbbVie, Inc. (ABBV)	N/A	$15,001 - $50,000	Dividends	$1,001 - $2,500
24	T. Rowe Price Group, Inc. (TROW)	N/A	$15,001 - $50,000	Dividends	$1,001 - $2,500
25	AMERICAN EXPRESS COMPANY	N/A	$15,001 - $50,000	Dividends	$201 - $1,000
26	JPMORGAN CHASE & CO	N/A	$15,001 - $50,000	Dividends	$201 - $1,000
27	Walgreens Boots Alliance, Inc. (WBA)	N/A	$15,001 - $50,000	Dividends	$201 - $1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
28	CATERPILLAR INC	N/A	$15,001 - $50,000	Dividends	$201 - $1,000
29	Bristol-Myers Squibb (BMY)	N/A	$15,001 - $50,000	Dividends	$201 - $1,000
30	BP PLC SPONS ADR	N/A	$1,001 - $15,000	Dividends	$201 - $1,000
31	ALCON INC	N/A	$1,001 - $15,000		None (or less than $201)
32	VANGUARD TOTL STK MK ETF	Yes	$250,001 - $500,000		$2,501 - $5,000
33	VANGUARD INDX S&P500 ETF	Yes	$100,001 - $250,000		$1,001 - $2,500
34	ISHARES SELECT DIV ETF	Yes	$50,001 - $100,000		$2,501 - $5,000
35	iShares Russell 2000 ETF (IWM)	Yes	$50,001 - $100,000		$201 - $1,000
36	ISHARES GLBL CLN ENR ETF	Yes	$50,001 - $100,000		$201 - $1,000
37	CONSUMR DISC SECTOR ETF	Yes	$50,001 - $100,000		$201 - $1,000
38	FT NASDAQ CLN EDGE ETF	Yes	$15,001 - $50,000		None (or less than $201)
39	VANGUARD INDX RL EST ETF	Yes	$15,001 - $50,000		$1,001 - $2,500
40	MATERIALS SECTOR SPD ETF	Yes	$15,001 - $50,000		$201 - $1,000
41	VANGUARD TOTAL WORLD ETF	Yes	$15,001 - $50,000		$201 - $1,000
42	INVESCO TR ENERGY ETF	Yes	$15,001 - $50,000		$201 - $1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
43	Vanguard Mid-Cap Index Fund ETF Shares (VO)	Yes	$15,001 - $50,000		$201 - $1,000
44	VANGUARD FTSE EMRG ETF	Yes	$1,001 - $15,000		$201 - $1,000
45	THE NEW ECONOMY FND CL A	Yes	$250,001 - $500,000		$201 - $1,000
46	NEW WORLD FUND CLASS A	Yes	$100,001 - $250,000		$201 - $1,000
47	AMER FDS SMALLCAP WLD A	Yes	$250,001 - $500,000		None (or less than $201)
48	INTL GRWTH & INCM FD A	Yes	$100,001 - $250,000		$1,001 - $2,500
49	AMER FDS FUNDMNTL INV A	Yes	$100,001 - $250,000		$1,001 - $2,500
50	Victory Sycamore Small Company Opportunity Fund Class I Shares (VSOIX)	Yes	$50,001 - $100,000		$201 - $1,000
51	AMER FDS NEW PERSPECT A	Yes	$100,001 - $250,000		$201 - $1,000
52	AMER FDS WASH MUTUAL A	Yes	$50,001 - $100,000		$2,501 - $5,000
53	AMER FDS GRWTH FD AMR A	Yes	$15,001 - $50,000		$201 - $1,000
54	T Rowe Price Mid-Cap Value Fund, Inc (TRMCX)	Yes	$1,001 - $15,000		$201 - $1,000
55	American High-Income Municipal Bond Fund Class A Shares (AMHIX)	Yes	$100,001 - $250,000		$2,501 - $5,000
56	T ROWE PRICE MID CAP GRW	Yes	$1,001 - $15,000		None (or less than $201)
57	Vanguard 500 Index Fund Admiral Shares (VFIAX)	Yes	$100,001 - $250,000		$1,001 - $2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
58	VANGUARD/WINDSOR II	Yes	$100,001 - $250,000		$1,001 - $2,500
59	AMERICAN FDS GLBL BAL A	Yes	$15,001 - $50,000		$201 - $1,000
60	AMER FDS CAP WRLD G/I A	Yes	$100,001 - $250,000		$1,001 - $2,500
61	NORTHERN SM CAP INDEX FD	Yes	$50,001 - $100,000		$201 - $1,000
62	VANGUARD INTL GROWTH INV	Yes	$15,001 - $50,000		None (or less than $201)
63	AMER MUTUAL FD INC CL A	Yes	$50,001 - $100,000		$1,001 - $2,500
64	AMER FDS T/E BOND A	Yes	$50,001 - $100,000		$1,001 - $2,500
65	ARTISAN SMALL CAP CL AD	Yes	$50,001 - $100,000		None (or less than $201)
66	Invesco Oppenheimer Developing Markets Fund Class Y Shares (ODVYX)	Yes	$50,001 - $100,000		None (or less than $201)
67	VANGUARD GWTH & INCM INV	Yes	$50,001 - $100,000		$201 - $1,000
68	CAPITAL INCOME BLDR CL A	Yes	$15,001 - $50,000		$1,001 - $2,500
69	T ROWE PRICE NEW ASIA FD	Yes	$15,001 - $50,000		$201 - $1,000
70	HOWARD CNTY MD REF BDS MET DIST PROJ 2019 G/O UNLTD B/E SER B OID CPN 3.000% DUE 08/15/45 DTD 05/29/19 FC 08/15/19 CALL1 08/15/28 @ 100.000	N/A	$50,001 - $100,000		$1,001 - $2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
71	HOWARD CNTY MD GO RFDG BDS MET DIST PROJ 2019 B G/O UNLTD B/E OID CPN 3.000% DUE 08/15/42 DTD 05/29/19 FC 08/15/19 CALL1 08/15/28 @ 100.000 44256PVD2	N/A	$50,001 - $100,000	Interest	$1,001 - $2,500
72	ANNE ARUNDEL CNTY MD CONS GEN IMPT GO BDS 2018 G/O LTD B/E CPN 5.000% DUE 10/01/47 DTD 03/29/18 FC 10/01/18 CALL1 10/01/27 @ 100.000	N/A	$15,001 - $50,000	Interest	$1,001 - $2,500
73	MARYLAND ST HLTH & HIGH EFA REV FO R ISSUES DTD PRIOR TO 12/17/10 SEE CPN 5.000% DUE 05/15/45 DTD 06/29/17 FC 11/15/17 CALL1 05/15/27 @ 100.000	N/A	$15,001 - $50,000	Interest	$1,001 - $2,500
74	MONTGOMERY CNTY MD HSG OPPTYS COMMN PG REV REF SER B B/E CPN 2.625% DUE 01/01/33 DTD 08/14/19 FC 01/01/20 CALL1 01/01/28 @ 100.000	N/A	$15,001 - $50,000	Interest	$201 - $1,000
75	BALTIMORE CNTY MD GO CONS PUB IMPT BDS 2019 G/O UNLTD B/E CPN 4.000% DUE 03/01/37 DTD 03/18/19 FC 09/01/19 CALL1 03/01/29 @ 100.000	N/A	$15,001 - $50,000	Interest	$201 - $1,000
76	MARYLAND ST STAD AUTH REV CONSTR RVTLZTN PG A NON PREF ST INTRCPT CPN 5.000% DUE 05/01/47 DTD 02/07/18 FC 05/01/18 CALL1 05/01/28 @ 100.000	N/A	$15,001 - $50,000	Interest	$201 - $1,000
77	MARYLAND ST STAD AUTH REV CONSTR RVTLZTN PG 2018 A PREF ST INTRCPT CPN 5.000% DUE 05/01/47 DTD 02/07/18 FC 05/01/18 PRE 05/01/28 @ 100.000	N/A	None (or less than $1,001)	Interest	$201 - $1,000
78	MARYLAND ST ECON DEV CORP LSE REV MD PUB HLTH LABORATORY B\E CPN 4.000% DUE 06/01/29 DTD 12/08/11 FC 06/01/12 CALL1 06/01/21 @ 100.000	N/A	$1,001 - $15,000	Interest	$201 - $1,000
79	US brokerage Money Market account	N/A	$1,001 - $15,000	Interest	None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
80	US Credit Union (Cash)	N/A	$500,001 - $1,000,000	Interest	$201 - $1,000
81	Residential real estate, Eden, Utah	N/A	$1,000,001 - $5,000,000	Rent or Royalties	$1,001 - $2,500
82	Vanguard Prime Money Market Fund Admiral Shares (VMRXX)	Yes	$250,001 - $500,000		None (or less than $201)
83	NV 529 Plan (Vanguard Growth Portfolio)	No			
83.1	VANGUARD TOT STK MKT-INS SEL	Yes	$1,001 - $15,000		None (or less than $201)
83.2	Vanguard Total International Stock Index Fund	Yes	$1,001 - $15,000		None (or less than $201)
83.3	Vanguard Total Bond Market II Index Fund Institutional Shares	Yes	$1,001 - $15,000		None (or less than $201)
83.4	Vanguard Total International Bond Index Fund Institutional Shares	Yes	$1,001 - $15,000		None (or less than $201)
84	NV 529 Plan (Vanguard Moderate Growth Portfolio)	No			
84.1	Vanguard Total Bond Market II Index Fund Institutional Shares	Yes	$1,001 - $15,000		None (or less than $201)
84.2	VANGUARD TOT STK MKT-INS SEL	Yes	$1,001 - $15,000		None (or less than $201)
84.3	Vanguard Total International Stock Index Fund	Yes	$1,001 - $15,000		None (or less than $201)
84.4	Vanguard Total International Bond Index Fund Institutional Shares	Yes	$1,001 - $15,000		None (or less than $201)
85	NV 529 Plan (Vanguard Target Enrollment 2020/2021 Portfolio)	No			
85.1	Vanguard Total Bond Market II Index Fund Institutional Shares	Yes	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
85.2	4532 NEVADA SHORT TERM RESERVES FUND	Yes	$1,001 - $15,000		None (or less than $201)
85.3	Vanguard Total International Bond Index Fund Institutional Shares	Yes	$1,001 - $15,000		None (or less than $201)
85.4	VANGUARD TOT STK MKT-INS SEL	Yes	$1,001 - $15,000		None (or less than $201)
85.5	Vanguard Total International Stock Index Fund	Yes	$1,001 - $15,000		None (or less than $201)
86	MD 529	No		cash distribution	$619
86.1	Portfolio for Education Today	Yes	$15,001 - $50,000		None (or less than $201)
87	MD 529 Portfolio 2021	Yes	$15,001 - $50,000		None (or less than $201)
88	T Rowe Price Equity Index 500 Fund (PREIX)	Yes	$50,001 - $100,000		$201 - $1,000
89	MD 529 Plan	No		Cash distribution	$219
89.1	Portfolio for Education Today	Yes	$15,001 - $50,000		None (or less than $201)
90	MD 529 Plan	No		Cash distribution	$576
90.1	Portfolio for Education Today	Yes	$15,001 - $50,000		None (or less than $201)
91	US bank (Cash)	N/A	$15,001 - $50,000		None (or less than $201)
92	Family Trust #1	No			
92.1	IWM, ISHARES RUSSELL 2000 ETF	Yes	$1,001 - $15,000		None (or less than $201)
92.2	VOO, VANGUARD INDX S&P500 ETF	Yes	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
92.3	AWSHX, AMER FDS WASH MUTUAL A	Yes	$1,001 - $15,000		None (or less than $201)
93	Family Trust #2	No			
93.1	VOO, VANGUARD INDX S&P500 ETF	Yes	$15,001 - $50,000		$201 - $1,000
93.2	IWM, ISHARES RUSSELL 2000 ETF	Yes	$1,001 - $15,000		$201 - $1,000
93.3	VTI, VANGUARD TOTL STK MK ETF	Yes	$1,001 - $15,000		None (or less than $201)
93.4	QCLN, FT NASDAQ CLN EDGE ETF	Yes	$1,001 - $15,000		None (or less than $201)
93.5	VWO, VANGUARD FTSE EMRG ETF	Yes	$1,001 - $15,000		None (or less than $201)
93.6	AGTHX, AMER FDS GRWTH FD AMR A	Yes	$1,001 - $15,000		None (or less than $201)
93.7	AWSHX, AMER FDS WASH MUTUAL A	Yes	$15,001 - $50,000		None (or less than $201)
93.8	IGAAX, INTL GRWTH & INCM FD A	Yes	$1,001 - $15,000		None (or less than $201)
93.9	CAIBX, CAPITAL INCOME BLDR CL A	Yes	None (or less than $1,001)		None (or less than $201)
94	Family Trust #3	No			
94.1	VTI, VANGUARD TOTL STK MK ETF	Yes	$1,001 - $15,000		None (or less than $201)
94.2	QCLN, FT NASDAQ CLN EDGE ETF	Yes	$1,001 - $15,000		None (or less than $201)
94.3	VWO, VANGUARD FTSE EMRG ETF	Yes	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
94.4	AWSHX, AMER FDS WASH MUTUAL A	Yes	$15,001 - $50,000		$201 - $1,000
94.5	AGTHX, AMER FDS GRWTH FD AMR A	Yes	$15,001 - $50,000		None (or less than $201)
94.6	IGAAX, INTL GRWTH & INCM FD A	Yes	$1,001 - $15,000		None (or less than $201)
94.7	CWGIX, AMER FDS CAP WRLD G/I A	Yes	$1,001 - $15,000		None (or less than $201)
95	Family Trust #4	No			
95.1	Residential real estate, Chicago, IL	N/A	$500,001 - $1,000,000	Rent or Royalties	$15,001 - $50,000
96	Alcon INC	N/A	$1,001 - $15,000	Capital Gains	$201 - $1,000
97	MARYLAND ST G/O UNLTD ST & LOCAL FACS LN 2ND CPN:	N/A	$1,001 - $15,000	Interest	$201 - $1,000
98	MONTGOMERY CNTY MD CONS PUB IMPT SER A G/O UNLTD CPN: 3.0000%	N/A	$15,001 - $50,000	Capital Gains Interest	$1,001 - $2,500
99	RDS'A ROYAL DUTCH SHELL PLC ADR CL A	N/A	$15,001 - $50,000	Capital Gains	$2,501 - $5,000
100	Bristol-Myers Squibb (BMY)	N/A	$1,001 - $15,000	Dividends	$201 - $1,000
101	Verizon Communications, Inc. (VZ)	N/A	$15,001 - $50,000	Capital Gains	$5,001 - $15,000
102	Chevron Corp. (CVX)	N/A	$15,001 - $50,000	Capital Gains	$201 - $1,000
103	Family Member Illinois 529 College Savings Plan	No			
103.1	Bright Directions 529 Education Savings Plan	N/A		Cash Distributions	$31,591
103.2	Bright Directions 529 Education Savings Plan	N/A		Cash Distribution	$28,554

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
103.3	Bright Directions 529 Education Savings Plan	N/A		Cash Distribution	$19,819
103.4	Bright Directions 529 Education Savings Plan	N/A		Cash Distribution	$25,711

7. Transactions

(N/A) - Not required for this type of report

8. Liabilities

None

9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

Endnotes

PART	#	ENDNOTE
1.	3	Position ends April 15, 2020, after Task Force report is launched.
2.	3	Dates of participation: 9/22/20; 10/22/20; 11/5/20; 11/9/20; 11/16/20
4.	1	Filer will no longer have position as of April 15, after the Task Force report is launched.
6.	1	This is in a land trust. Chicago Title Land Trust Company is the trustee.

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than $200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding $5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than $5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than $1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than $200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of $5,000 or less (unless more than $200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of $1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over $10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed $10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than $415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than $415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of $166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

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