

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Goldberg, Beth L

District Director, SBA

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

[/s/ Beth Goldberg \[electronically signed by Beth Goldberg on 2020-07-14 11:31:13 in FOnline\]](#)

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below)

[/s/ Marilyn Barnes, Super Administrator \[electronically signed by Marilyn Barnes on 2020-07-14 11:42:00 in FOnline\]](#)

Other review conducted by:

U.S. Office of Government Ethics Certification

1. Filer's Positions Held Outside United States Government

#	NAME	CITY, STATE	ORG TYPE	POSITION	FROM	TO
1	Auric Ventures, Ltd.	New York, NY, US	Consulting Firm	President	01/01/1988	Present
2	EKG Realty LLC	Marlboro, NJ, US	Real Estate	Partner	01/01/2009	Present
3	KF Finance	Marlboro, NJ, US	Real Estate	Partner	12/01/2009	Present
4	Irrevocable Trust by Sheldon Spring	Riverdale, NY, US	Family Trust	Trustee	01/01/1994	Present
5	Auric Properties Inc.	New York, NY, US	Real Estate Brokerage	President	05/25/1993	Present

2. Filer's Employment Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	(J) (IRE) Condominium Manalapan, NJ, US	N /A	\$100,001 - \$250,000	Rent or Royalties	\$15,001 - \$50,000
2	(J) (Partnership) EKG Realty LLC Manalapan, NJ, US Rental Income	N /A			
2.1	(Cash)	No	\$50,001 - \$100,000	Rent or Royalties, Interest	\$15,001 - \$50,000
3	(J) (Partnership) KF Finance Marlboro, NJ, US Real Estate	N /A			
3.1	(Cash)	No	\$100,001 - \$250,000	Interest	\$201 - \$1,000
4	(Trade) Auric Ventures, Ltd New York, NY, US Consulting	N /A	\$250,001 - \$500,000	Fees	\$5,850.00
4.1	(Cash)	No	\$1,001 - \$15,000		None (or less than \$201)
4.2	(Other) Defined Contribution Plan - Schwab (see comments)	No	value not readily ascertainable		None (or less than \$201)
4.3	(Other) Defined Contribution Plan - TD Ameritrade (2519 and 2813)	No	value not readily ascertainable	Dividends, Interest, Capital Gains	\$1,001 - \$2,500

3. Filer's Employment Agreements and Arrangements

None

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	(S) (Pension) NYC Teachers Retirement System NYC Department of Education	N /A	value not readily ascertainable		None (or less than \$201)
2	(S) (Other) Daniel Meyer SEP Accounts (5913 and 6076)	No	value not readily ascertainable	Dividends, Interest, Capital Gains	None (or less than \$201)
3	(S) (IRA) Charles Schwab	N /A			
3.1	(Stock) NAT-Nordic American Tanker Shipping Limited	No	None (or less than \$1,001)	Dividends	\$1,001 - \$2,500
4	(S) (Other Investment Vehicle) United Federation of Teachers Tax Deferred Annuity	N /A			
4.1	(Other) owns units in Diversified Equity Fund	No	\$500,001 - \$1,000,000	Dividends, Interest, Capital Gains	None (or less than \$201)
5	(S) (Spouse EI) New York City Department of Education Staten Island, NY, US	N /A			

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	(J) (Stock) RBS Royal Bank Scotland Group Plc	N/A	\$1,001 - \$15,000	Capital Gains	None (or less than \$201)
2	(J) (Stock) CYCC Cyclacel Pharmaceuticals	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
3	(J) (Stock) ISBC Investors Bancorp, Inc	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
4	(J) (Stock) NJR New Jersey Resource Corp	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
5	(J) (Cash Deposit/Savings) PNC Marlboro, NJ, US Checking accounts and money market accounts	N/A	\$250,001 - \$500,000	Interest	\$201 - \$1,000
6	(J) (Cash Deposit/Savings) Investor's Savings Bank Marlboro, NJ, US checking accounts and liquid money markets	N/A	\$50,001 - \$100,000	Interest	\$201 - \$1,000
7	(J) (Cash Deposit/Savings) Chase Manhattan Bank New York, NY, US checking, savings accounts and annuity	N/A	\$250,001 - \$500,000	Interest	\$201 - \$1,000
8	(S) (Cash Deposit/Savings) Santander Bank Marlboro, NJ, US Checking accounts and money market accounts	N/A	\$100,001 - \$250,000	Interest	\$201 - \$1,000
9	(J) (Mutual Fund) GOLDX Gabelli Gold Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
10	(J) (Mutual Fund) JABAX Janus Balanced Fund	Yes	\$15,001 - \$50,000		\$2,501 - \$5,000
11	(J) (Other) Beth Goldberg Meyer and Marilyn Spring (122 and 557)	No	value not readily ascertainable		None (or less than \$201)
12	(J) (Other) Irrevokable Trust of Sheldon Spring (415)	No	value not readily ascertainable		None (or less than \$201)
13	(J) (Other) Beth Goldberg Meyer and Zachary G. Meyer (5905)	No	value not readily ascertainable	Dividends, Interest, Capital Gains	\$201 - \$1,000
14	(J) (Other) Beth Goldberg Meyer and Ilyssa R. Meyer (5904)	No	value not readily ascertainable	Dividends, Interest, Capital Gains	\$201 - \$1,000

15	(S) (Other) Daniel A. Meyer (9902 and 6099)	No	value not readily ascertainable	Dividends, Interest, Capital Gains	\$15,001 - \$50,000
16	(Other) Beth Goldberg Meyer (9898)	No	value not readily ascertainable	Dividends, Interest, Capital Gains	\$201 - \$1,000
17	(J) (Other) Beth Goldberg Meyer and Daniel Meyer, Jt Acct (TD 5135)	No	value not readily ascertainable	Dividends, Capital Gains	\$5,001 - \$15,000
18	(J) (Other) Beth Goldberg Meyer and Daniel A. Meyer (TD 0421)	Yes	value not readily ascertainable		\$201 - \$1,000
19	(Other) Beth Goldberg Meyer (TD 9898)	Yes	value not readily ascertainable		\$1,001 - \$2,500
20	(J) (Other) Beth Goldberg Meyer and Daniel Meyer - Stonetown	Yes	value not readily ascertainable		None (or less than \$201)
21	(IRA) TD Ameritrade (5907)	N/A			
21.1	(Cash)	No	value not readily ascertainable		None (or less than \$201)
22	(IRA) TD Ameritrade (5909)	No			
22.1	(Cash)	No	value not readily ascertainable	Dividends, Interest, Capital Gains	None (or less than \$201)
23	(S) (IRA) TD Ameritrade (5912)	N/A			
23.1	(Cash)	No	value not readily ascertainable	Dividends, Interest, Capital Gains	None (or less than \$201)

7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	AAP - Advance Auto Parts Inc	purchase	05/13/2019	\$1,001 - \$15,000
2	AAPL - Apple Inc	purchase	01/22/2019	\$1,001 - \$15,000
3	AKAM - Akamai Technologies	sale	04/15/2019	\$1,001 - \$15,000
4	Alternative Investment: Peachtree Hotel Value & Income Fund	purchase	04/30/2019	\$1,001 - \$15,000
5	Alternative Investment: Peachtree Hotel Value & Income Fund	purchase	04/22/2019	\$50,001 - \$100,000

6	AMX - America Movil S.A.B. DE C.V.	sale	08/21/2019	\$1,001 - \$15,000
7	AMX - America Movil S.A.B. DE C.V.	sale	08/21/2019	\$1,001 - \$15,000
8	AMZN - Amazon.com Inc	purchase	11/05/2019	\$1,001 - \$15,000
9	AMZN - Amazon.com Inc	purchase	11/05/2019	\$1,001 - \$15,000
10	AMZN - Amazon.com Inc	sale	02/15/2019	\$1,001 - \$15,000
11	AOFIX - Alger Small Cap Focus Fund Class I	purchase	10/02/2019	\$1,001 - \$15,000
12	AOFIX - Alger Small Cap Focus Fund Class I	purchase	10/02/2019	\$1,001 - \$15,000
13	APC - Anadarko Petroleum Corp	sale	08/09/2019	\$1,001 - \$15,000
14	ARCC - Ares Capital Corp	sale	07/12/2019	\$1,001 - \$15,000
15	ARKK - Ark Innovation ETF	sale	10/02/2019	\$1,001 - \$15,000
16	ARKK - Ark Innovation ETF	sale	10/02/2019	\$1,001 - \$15,000
17	ARKK - Ark Innovation ETF	purchase	06/25/2019	\$1,001 - \$15,000
18	ARKK - Ark Innovation ETF	purchase	06/28/2019	\$1,001 - \$15,000
19	AVGO - Broadcom Ltd	purchase	05/22/2019	\$1,001 - \$15,000
20	BABA - Alibaba Group Holding	purchase	04/15/2019	\$1,001 - \$15,000
21	BAC - Bank of America Corp	purchase	12/16/2019	\$1,001 - \$15,000
22	BBT - BB&T Corp	sale	05/22/2019	\$1,001 - \$15,000
23	BMJ - Bristol-Myers Squibb Company	sale	07/15/2019	\$1,001 - \$15,000
24	BSIIX - Blackrock Strategic Income Opportunities Portfol	purchase	09/09/2019	\$1,001 - \$15,000
25	BSIIX - Blackrock Strategic Income Opportunities Portfol	purchase	10/02/2019	\$1,001 - \$15,000
26	C - Citigroup Inc	purchase	12/16/2019	\$1,001 - \$15,000
27	CAT - Caterpillar Inc	purchase	08/19/2019	\$1,001 - \$15,000
28	Caterpillar Bonds	sale	02/15/2019	\$1,001 - \$15,000
29	CELG - Celgene Corp	sale	02/13/2019	\$1,001 - \$15,000
30	CMCSA - Comcast Corp A	purchase	05/22/2019	\$1,001 - \$15,000
31	CMG - Chipotle Mexican Grill	sale	10/22/2019	\$1,001 - \$15,000
32	CMS - Cms Energy Corp	sale	10/28/2019	\$1,001 - \$15,000

33	CSCO - Cisco Systems Inc	sale	12/16/2019	\$1,001 - \$15,000
34	CSCO - Cisco Systems Inc	sale	03/06/2019	\$1,001 - \$15,000
35	DOG - Dow 30 Short Proshares	sale	05/22/2019	\$1,001 - \$15,000
36	EMN - Eastman Chemical Company	purchase	10/28/2019	\$1,001 - \$15,000
37	EMR - Emerson Electric Company	sale	08/13/2019	\$1,001 - \$15,000
38	EMR - Emerson Electric Company	sale	03/28/2019	\$1,001 - \$15,000
39	FB - Facebook Inc	purchase	04/12/2019	\$1,001 - \$15,000
40	FIS - Fidelity National Information Services	purchase	08/08/2019	\$1,001 - \$15,000
41	GEMIX - Goldman Sachs Emerging Markets Equity Fund Insti	sale	02/14/2019	\$1,001 - \$15,000
42	GOOG - Alphabet Class C	sale	02/15/2019	\$1,001 - \$15,000
43	GOOG - Alphabet Class C	purchase	01/02/2019	\$1,001 - \$15,000
44	HCP - HCP Inc	sale	02/14/2019	\$1,001 - \$15,000
45	IAU - Gold Trust Ishares	purchase	02/14/2019	\$1,001 - \$15,000
46	IJH - S&P Mid-Cap 400 Ishares Core ETF	purchase	11/12/2019	\$1,001 - \$15,000
47	IJH - S&P Mid-Cap 400 Ishares Core ETF	purchase	11/12/2019	\$1,001 - \$15,000
48	IJH - S&P Mid-Cap 400 Ishares Core ETF	purchase	10/02/2019	\$1,001 - \$15,000
49	IJH - S&P Mid-Cap 400 Ishares Core ETF	purchase	10/02/2019	\$1,001 - \$15,000
50	INFO - IHS Markit Ltd	purchase	02/13/2019	\$1,001 - \$15,000
51	IOFIX - Alphacentric Income Opportunities Fund - Class I	purchase	09/09/2019	\$1,001 - \$15,000
52	IOFIX - Alphacentric Income Opportunities Fund - Class I	purchase	06/25/2019	\$1,001 - \$15,000
53	IVZ - Invesco Plc	sale	02/14/2019	\$1,001 - \$15,000
54	JABAX - Janus Henderson Balanced Fund - T Shares	sale	09/10/2019	\$1,001 - \$15,000
55	JBALX - Janus Henderson Balanced Fund - I Shares	purchase	11/12/2019	\$1,001 - \$15,000
56	JBALX - Janus Henderson Balanced Fund - I Shares	purchase	11/12/2019	\$1,001 - \$15,000
57	JBALX - Janus Henderson Balanced Fund - I Shares	purchase	03/15/2019	\$1,001 - \$15,000
58	John Deere Capital Corp 2025%	sale	04/17/2019	\$1,001 - \$15,000
59	JPM - JP Morgan Chase & CO	sale	03/28/2019	\$1,001 - \$15,000

60	KAR - Kar Auction Services Inc	sale	04/29/2019	\$1,001 - \$15,000
61	KEY - Keycorp	purchase	03/21/2019	\$1,001 - \$15,000
62	KO - Coca-Cola Company	sale	08/08/2019	\$1,001 - \$15,000
63	LDLFX - Lord Abbett Investment Trust Short Duration Inco	purchase	09/09/2019	\$1,001 - \$15,000
64	LHX - L3Harris Technologies Inc.	exchange	07/01/2019	\$1,001 - \$15,000
65	LLL	exchange	07/01/2019	\$1,001 - \$15,000
66	LLY - Eli Lilly and Company	sale	02/25/2019	\$1,001 - \$15,000
67	LSWWX - Loomis Sayles Global Allocation Fund Class Y	purchase	11/12/2019	\$1,001 - \$15,000
68	LSWWX - Loomis Sayles Global Allocation Fund Class Y	purchase	11/12/2019	\$1,001 - \$15,000
69	M - Macy's Inc	sale	08/19/2019	\$1,001 - \$15,000
70	MERIX - The Merger Fund Institutional CI	purchase	08/09/2019	\$15,001 - \$50,000
71	MERIX - The Merger Fund Institutional CI	purchase	08/21/2019	\$1,001 - \$15,000
72	MMC - Marsh & McLennan Companies	sale	03/28/2019	\$1,001 - \$15,000
73	MRK - Merck & Company	sale	02/14/2019	\$1,001 - \$15,000
74	NAT - Nordic American Tanker Shipping Ltd	sale	05/01/2019	\$1,001 - \$15,000
75	NAT - Nordic American Tanker Shipping Ltd	sale	05/01/2019	\$1,001 - \$15,000
76	NFLX - Netflix Inc	sale	11/08/2019	\$1,001 - \$15,000
77	NFLX - Netflix Inc	sale	11/08/2019	\$1,001 - \$15,000
78	NIHD - NII Holdings	sale	03/19/2019	\$1,001 - \$15,000
79	OGE - Oge Energy Corp	sale	03/21/2019	\$1,001 - \$15,000
80	OXY - Occidental Petroleum Corp	sale	06/24/2019	\$1,001 - \$15,000
81	PFE - Pfizer Inc	sale	08/12/2019	\$1,001 - \$15,000
82	PRCXX - Federated Money Market	purchase	02/14/2019	\$1,001 - \$15,000
83	PRCXX - Federated Money Market	purchase	02/14/2019	\$1,001 - \$15,000
84	PUTIX - Pimco Strategic Bond Fund Class Instl	sale	09/09/2019	\$1,001 - \$15,000
85	PUTIX - Pimco Strategic Bond Fund Class Instl	sale	10/02/2019	\$1,001 - \$15,000
86	PYPL - Paypal Holdings	sale	07/01/2019	\$1,001 - \$15,000

87	PYPL - Paypal Holdings	sale	07/08/2019	\$1,001 - \$15,000
88	QCOM - Qualcomm Inc	sale	03/28/2019	\$1,001 - \$15,000
89	REGL - S&P Midcap 400 Divd Proshares	sale	10/02/2019	\$1,001 - \$15,000
90	REGL - S&P Midcap 400 Divd Proshares	sale	10/02/2019	\$1,001 - \$15,000
91	REGL - S&P Midcap 400 Divd Proshares	purchase	06/21/2019	\$1,001 - \$15,000
92	REGL - S&P Midcap 400 Divd Proshares	sale	04/05/2019	\$1,001 - \$15,000
93	REGN - Regeneron Pharmaceutical	sale	03/13/2019	\$1,001 - \$15,000
94	RHT - Red Hat Inc	sale	04/12/2019	\$1,001 - \$15,000
95	RSP - S&P 500 EW Invesco ETF	purchase	11/12/2019	\$1,001 - \$15,000
96	RSP - S&P 500 EW Invesco ETF	purchase	11/12/2019	\$1,001 - \$15,000
97	RSP - S&P 500 EW Invesco ETF	sale	09/09/2019	\$1,001 - \$15,000
98	RSP - S&P 500 EW Invesco ETF	purchase	06/21/2019	\$1,001 - \$15,000
99	RSP - S&P 500 EW Invesco ETF	purchase	06/25/2019	\$1,001 - \$15,000
100	RSP - S&P 500 EW Invesco ETF	purchase	06/28/2019	\$1,001 - \$15,000
101	RSP - S&P 500 EW Invesco ETF	purchase	06/28/2019	\$1,001 - \$15,000
102	SDY - S&P Dividend SPDR	purchase	11/12/2019	\$1,001 - \$15,000
103	SDY - S&P Dividend SPDR	purchase	11/12/2019	\$1,001 - \$15,000
104	SDY - S&P Dividend SPDR	sale	08/21/2019	\$1,001 - \$15,000
105	SDY - S&P Dividend SPDR	sale	06/25/2019	\$1,001 - \$15,000
106	SLB - Schlumberger N.V.	sale	05/13/2019	\$1,001 - \$15,000
107	SLYV - S&P Smallcap Value ETF SPDR	sale	06/25/2019	\$1,001 - \$15,000
108	SLYV - S&P Smallcap Value ETF SPDR	sale	06/28/2019	\$1,001 - \$15,000
109	SMDV - Russell 2000 Divd Proshares	sale	04/05/2019	\$1,001 - \$15,000
110	SO - Southern Company	purchase	02/14/2019	\$1,001 - \$15,000
111	SRPT - Sarepta Therapeutics	sale	06/28/2019	\$1,001 - \$15,000
112	STLD - Steel Dynamics Inc	purchase	06/24/2019	\$1,001 - \$15,000
113	SYV - MFS Value Equity ETF SPDR	sale	10/02/2019	\$1,001 - \$15,000

114	SYV - MFS Value Equity ETF SPDR	purchase	06/21/2019	\$1,001 - \$15,000
115	SYV - MFS Value Equity ETF SPDR	sale	06/25/2019	\$1,001 - \$15,000
116	SYV - MFS Value Equity ETF SPDR	purchase	06/28/2019	\$1,001 - \$15,000
117	SYV - MFS Value Equity ETF SPDR	purchase	02/14/2019	\$1,001 - \$15,000
118	TBSXX: Federated Money Market Tax Free Obligations	sale	04/29/2019	\$15,001 - \$50,000
119	TBSXX: Federated Money Market Tax Free Obligations	sale	04/29/2019	\$1,001 - \$15,000
120	Time Warner Cable Inc Note	sale	02/14/2019	\$1,001 - \$15,000
121	TPR - Tapestry Inc	sale	12/16/2019	\$1,001 - \$15,000
122	TPR - Tapestry Inc	purchase	04/29/2019	\$1,001 - \$15,000
123	UBER - Uber Technologies Inc	purchase	06/28/2019	\$1,001 - \$15,000
124	UNH - Unitedhealth Group Inc	sale	02/15/2019	\$1,001 - \$15,000
125	US Treasury Note 3.125% 5/15/2019	sale	05/15/2019	\$1,001 - \$15,000
126	VBIAX - Vanguard Balanced Index Fd Admiral Shs	exchange	07/29/2019	\$15,001 - \$50,000
127	VBINX - Vanguard Balanced Index Fund	exchange	07/29/2019	\$15,001 - \$50,000
128	VLO - Valero Energy Corp	purchase	12/16/2019	\$1,001 - \$15,000
129	VLO - Valero Energy Corp	purchase	07/15/2019	\$1,001 - \$15,000
130	VUG - Growth ETF Vanguard	sale	11/08/2019	\$1,001 - \$15,000
131	VUG - Growth ETF Vanguard	sale	11/12/2019	\$1,001 - \$15,000
132	VUG - Growth ETF Vanguard	sale	09/09/2019	\$1,001 - \$15,000
133	VUG - Growth ETF Vanguard	purchase	06/25/2019	\$1,001 - \$15,000
134	VUG - Growth ETF Vanguard	purchase	06/28/2019	\$1,001 - \$15,000
135	VUG - Growth ETF Vanguard	purchase	05/01/2019	\$1,001 - \$15,000
136	VUG - Growth ETF Vanguard	purchase	05/01/2019	\$1,001 - \$15,000
137	VUG - Growth ETF Vanguard	sale	02/14/2019	\$1,001 - \$15,000
138	WAGTX - Seven Canyons Innovators Fund Investor Class	sale	09/16/2019	\$1,001 - \$15,000
139	WDC - Western Digital Cp	purchase	11/20/2019	\$1,001 - \$15,000
140	WFC - Wells Fargo & Company	purchase	02/25/2019	\$1,001 - \$15,000

8. Liabilities

#	CREDITOR NAME	TYPE OF LIABILITY	AMOUNT	YEAR INCURRED	INTEREST RATE	TERM
1	Chase Student Loans; Indianapolis, IN, US	Student Loan	\$15,001 - \$50,000	2007	2..5	25
2	Chase Student Loans; Indianapolis, IN, US	Student Loan	\$15,001 - \$50,000	2008	5.0	25
3	Chase Student Loans; Indianapolis, IN, US	Student Loan	\$15,001 - \$50,000	2009	3.25	25
4	Chase Student Loans; Indianapolis, IN, US	Student Loans	\$15,001 - \$50,000	2010	4.5	25
5	American Education Services; Harrisburg, PA, US	Student Loan	\$15,001 - \$50,000	2011	Libor + 2.25	20
6	Navient; Wilkes- Barre, PA, US	Student Loan	\$15,001 - \$50,000	2010	Libor + 2.0	8
7	Citizen's Bank; Providence, RI, US	Student Loan	\$15,001 - \$50,000	2016	Libor + 2.95	20
8	Stonetown 6, LLP; Denver, CO, US	Capital Commitment	\$50,001 - \$100,000	2019	N/A	On-Demand

9. Gifts and Reimbursements

None

Endnotes

PART	#	ENDNOTE
2	1	Title is held by EKG Realy, LLC My brother and I are the partners
2	4.1	Chase Manhattan Business Banking Account
2	4.2	The underlying assets are cash value between \$25,000 and \$50,000 and Nordic American Tanker stock valued between between \$1000- \$15,000
2	4.3	TD Ameritrade Statements will be attached
5	1	See Information Provided under separate cover by the NYC Teachers Retirement System
5	2	TD Ameritrade Statements will be included
5	3.1	The asset was transferred from Charles Schwab to TD Account # 2813 (Beth's IRA) on April 29, 2019. The asset was still held on December 31, 2019. The asset was transferred from Charles Schwab to TD Account # 5913 (Spouse's IRA) on April 29, 2019 and then was sold on May 1, 2019. The transaction was not reported as there was a loss. All Charles Schwab accounts were closed.
5	4.1	Statement Provided
6	1	The asset was transferred from Charles Schwab to TD account 5904 (joint with my daughter) on April 25, 2019. This asset was still held on December 31, 2019. The asset was transferred from Charles Schwab to TD account 5905 (joint with my son) on May 8, 2019. The asset was still held on December 31, 2019.
6	2	The asset was transferred from Charles Schwab to TD account 5904 (joint with my daughter) on April 25, 2019 and sold on August 21, 2019. It was not reported on any required transaction report as it was sold at a loss. The asset was transferred from Charles Schwab to TD account 5905 (joint with my son) on May 8, 2019 and was sold on August 21, 2019. It was not reported on any required transaction report as it was sold at a loss.
6	5	Two accounts are held joint with my spouse, Dr. Daniel Meyer Two accounts are held joint with my brother, Alan Goldberg
6	6	Two accounts are joint with my children
6	7	I hold accounts by myself and jointly with my husband, my children, my brother and my aunt. In addition to the personal accounts I have two partnership accounts: EKG Realty(checking and savings) and KF Finance (checking and savings), as well as my consulting firm Auric Ventures, Ltd. (checking and savings) Also accounts have been opened in Riverdale, NY and Marlboro, NJ
6	9	The asset was transferred from Charles Schwab and transferred to TD account # 5135. The asset was still held on December 31, 2019.
6	10	The asset was moved from Charles Schwab to TD Account # 5904 (joint with my daughter) on April 25, 2019. The asset was still owned on December 31, 2019. The asset was moved from Charles Schwab to

TD Account # 5905 (joint with my son) on May 8, 2019. The asset was still owned on December 31, 2019.

6	11	Merrill Lynch Statement Attached Account # xx122 and #xx557
6	12	Merrill Lynch statement Account # xx415
6	13	TD Ameritrade Statements will be included.
6	14	TD Ameritrade Statements will be attached.
6	15	TD Ameritrade Statement will be attached.
6	16	TD Ameritrade Statements will be attached.
6	17	This asset was moved to this account from Charles Schwab on April 26, 2019 to TD Account #5135. It was still held on December 31, 2019.
6	18	This account hold our joint interest in a private real estate fund: Peachtree Hotel and Income Fund. The investment was made on April 22, 2019. Please see supporting documents.
6	19	I am a limited partner in HPI Fund. My original purchase was on September 11, 2018 for \$25,000. Please see supporting documents.
6	20	We are limited partners in a fund called Stonetown. There was one capital call March 5, 2019 for \$35,000. There is an investment obligation of \$100,000. Please see supporting documentation.
6	21	Ethel K Goldberg Beneficiary IRA TD Ameritrade Statements will be attached
6	21.1	TD Ameritrade Statement will be attached.
6	22	TD Ameritrade statement will be attached.
6	22.1	TD Ameritrade statement will be attached.
6	23	TD Ameritrade statement will be attached.
6	23.1	TD Ameritrade statement will be attached
8	1	I am a co-signer on this loan
8	2	I am co-signer
8	3	I am co-signer
8	4	I am a co-signer
8	5	I am a co-signer
8	6	I am a co-signer.
8	7	I am the co-signer of this loan. This loan was financed on July 6, 2016

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses the following: Positions held by the filer at any time during the reporting period (excluding positions with the United States Government). Reportable positions include those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any for-profit or non-profit organization (whether compensated or uncompensated).

2. Filer's Employment Assets and Income

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities if the value of the asset at the end of the reporting period exceeded \$1,000 or if more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, anticipated payments such as severance payments, deferred compensation, and intellectual property, such as book deals and patents).

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses the following: Agreements or arrangements that the filer had during the reporting period for the following:

- Continuing participation in an employee welfare or benefit plan maintained by a former employer
- Leave of absence
- Future employment
- Continuation of payments by a former employer (e.g., severance payments)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period. The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets and Income

Part 5 discloses the following:

- Source of earned income (excluding honoraria) for the filer's spouse over \$1,000 during the reporting period.
- Sources of honoraria for the filer's spouse over \$200 during the reporting period.

- Assets related to the spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents).

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in investment income during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children. This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 of income was produced). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period. This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period. This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

Part 9 discloses the following:

- Gifts totaling more than \$390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$156 or less. Regardless of the value, this section does not include the following items:

1. anything received from relatives;
2. anything received from the United States Government or from the District of Columbia, state, or local governments;
3. bequests and other forms of inheritance;
4. gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel;
5. gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and

6. anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made:

1. to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18;
2. to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation;
3. to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena;
4. to a source when necessary to obtain information relevant to a conflict of interest investigation or determination;
5. to the National Archives and Records Administration or the General Services Administration in records management inspections;
6. to the Office of Management and Budget during legislative coordination on private relief legislation;
7. to the Department of Justice or in certain legal proceedings when OGE, an employee of OGE, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation;
8. to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another;
9. to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record;
10. to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records;
11. on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation;
12. on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE;
13. on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order;
14. to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm;
15. to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security.

See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, NW., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).

