OGE Form 278-T (January 2013) 5 C.F.R. Part 2634 U.S. Office of Government Ethics

## **Executive Branch Personnel PUBLIC FINANCIAL DISCLOSURE REPORT: Periodic Transaction Report**

File	er's Name (Print Last, First, and Middle Initial)	Title of Position for Which		Depa	rtme	nt or .	Agency											
Go	oldberg Beth L	District Director						SBA										
Cer	rtification: I CERTIFY that the statements I have made	Signature of the Filer						Date	(Mon	th, Do	ıy, Yed	ar)		Notic	e of E	xtens	sion	
	this form and any attachments are true, complete, and	Dath Caldhara						2018	8-06-	15 15:	40:41				Check	if grant	ted	
corr	rect to the best of my knowledge.	Beth Goldberg						2011	0 00					Indicat	e numb	er of d	ays:	
Sig	gnature of Intermediate Reviewing Official (if req	uired by agency)	Date (Mont	th, Day, Year	r)			Agen	cy Us	se Onl	ly			OGE	Use (	Only	-	
								06/1	5/201	18								
Sig	nature of Agency's Final Reviewing Official		Date (Mont	th, Day, Year	r)			Com	ment	s of R	eview	ing O	fficia	ls				
M	Marilyn Barnes		2018-06-2	2 15:15:36														
Sig	gnature of Reviewing Official at U.S. Office of Go	vernment Ethics (if required)	Date (Mont	th, Day, Year	r)													
You	port any purchase, sale, or exchange by you, your spouse, u do not need to report: (1) mutual funds and other except es, and bonds; (4) Thrift Savings Plan accounts; (5) real p	ed investment funds; (2) certificate	es of deposit,	savings or che	ecking ou, yo Tra	g acco our sp ansac	ounts oouse tion	, and n	noney	marke	t accou	ınts; (3	3) U.S.		ury bill	ls,		
Do	eadlines		Transaction	Notification	Т	ype (	(x)				AII	iouiii C	)1 11a1	Isaction	1 (A)		ı	
You	u must file your report within 30 days of when you receiv		Date	Received	e e		ge						- 0	**00	)1 - 00	- 10	001 -	000
trai	<b>nsaction</b> but not later than 45 days after the transaction. T not apply to any voluntary additional disclosures you mak	hese deadlines  See the instructions	(Mo./Day/Yr.)	More Than 30 Days	Purchase	မ	Exchange	01 - 000	000	\$50,001 - \$100,000	\$100,001	,000)	\$500,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,0001	Over \$50,000,000
uo i	Identification of Assets	c. See the instructions.		Ago*	Pur	Sale	Ex	\$1,001 - \$15,000	\$15,001	\$50, \$100	\$100 \$250	\$250 \$500	\$500	Over \$1,0	\$1,0 \$5,0	\$5,0 \$25,	\$25, \$50,	Ove \$50,
	Central Airlines Co.		10/1/12		X					X								
Ex.	BMSL Propulsion, Inc.		9/4/12	X	X	1			X							<u> </u>		
'	ABBV - Abbvie Inc		05/02/2018			×		X										
2	AFL - Aflac Incorporated		05/02/2018		×			×										
3	AJG - Arthur J. Gallagher & Co		05/02/2018		×			×										
4	AMGN - Amgen		05/02/2018			×		×										
5	AMP - Ameriprise Financial Services		05/02/2018		×			×										
6	APD - Air Products and Chemicals		05/02/2018			×		×										
7	BLK - Blackrock		05/02/2018		×			×										
	* Extensions of the due date should be noted in the "Noti ** This category applies only if the underlying asset is so or dependent children, use the other higher categories of	lely that of your spouse or depend	rm. dent child. If the	he underlying	asset	t is ei	ther l	neld by	you c	or joint	ly held	by yo	u with	your s	pouse			

	dberg							actions co		nue	d							Page	Numb	er 2					
				Transaction Amount of Transaction Type (x)											ransaction (x)										
Identification of Assets								Transaction Date (Mo./Day/Yr.)	Notification Received More Than 30 Days Ago*	Purchase	Sale	Exchange	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000**	\$1,000,001 -	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000		
1 B	BMY - Bristol-Myers Squibb Company									05/02/2018		×			×										
<sup>2</sup> C	CCI - Crown Castle International Corp									05/02/2018			×		×										
<sup>3</sup> C	3 CCL - Carnival Corp									05/02/2018			×		×										
4 C	CVX - Chev	ron Corp								05/02/2018		×			×										
5 D	OOG - DOW	V 30 Short Pro	oshares							05/02/2018			×		×										
6 E	TN - Eator	n Corp								05/02/2018		×			×										
7 E	XC - Exelo	on Corp								05/02/2018		×			×										
8 F	- Ford Mo	tor Company								05/02/2018		×			×										
9 G	GILD - Gilea	ad Sciences Ir	nc							05/02/2018		×			×										
10 H	HD - Home	Depot								05/02/2018		×			×										
11 H	HPQ - Hewl	ett-Packard C	Company							05/02/2018		×			×										
12 IE	BM - Interna	ational Busine	ess Machine	es	i					05/02/2018			×		×										
13 IF	P - Internati	ional Paper C	Company							05/02/2018		×			×										
14  \	VZ - Invesc	o Plc								05/02/2018		×			×										
<sup>15</sup> J	NJ - Johns	on & Johnsor	1							05/02/2018		×			×										
<sup>16</sup> K	(AR - Kar A	Auction Servic	es Inc							05/02/2018		×			×										

<sup>\*</sup> Extensions of the due date should be noted in the "Notice of Extension" section of this form.

\*\* This category applies only if the underlying asset is solely that of your spouse or dependent child. If the underlying asset is either held by you or jointly held by you with your spouse or dependent children, use the other higher categories of value, as appropriate.

	Filer's Name  Goldberg Beth L										actions co		nue	d							Page	Numb	er 3	_				
									Transaction Amount of Tr												ansaction (x)							
Identification of Assets								Transaction Date (Mo./Day/Yr.)	Notification Received More Than 30 Days Ago*	Purchase	Sale	Exchange	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 -	Over \$1,000,000**	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over					
1	1 KO - Coca-Cola Company									05/02/2018			×		×													
2	LLY - Eli Lilly and Company									05/02/2018	8	×			×													
3	LPT - Libert	y Property Tru	ust							05/02/2018		×			×													
4	LYB - Lyono	dellbasell Indu	stries Nv							05/02/2018	3	×			×													
5	M - Macy's	Inc								05/02/2018		×			×													
6	MDLZ - Mor	ndelez Intl Cm	ın A							05/02/2018		×			×													
7	MET - Metli	fe Inc								05/02/2018		×			×													
8	MMC - Mars	sh & Mclennar	n Companie	es						05/02/2018		×			×													
9	MO - Altria	Group								05/02/2018		×			×													
10	MPC - Mara	athon Petroleu	ım Corp							05/02/2018		×			×													
11	MRK - Merc	ck & Company	,							05/02/2018			×		×													
12	MSFT - Mic	rosoft Corp								05/02/2018		×			×													
13	OGE - Oge	Energy Corp								05/02/2018		×			×													
14	OXY - Occid	dental Petrole	um Corp							05/02/2018		×			×													
15	PG - Procte	er & Gamble C	ompany							05/02/2018			×		×													
16	PLD - Prolo	gis Inc								05/02/2018		×			×													

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Filer's Name  Goldberg Beth L								Transactions continued (Use only if needed)														Page Number 4								
											Transaction Amount of Transaction											ansaction (x)								
Identification of Assets							Transaction Date (Mo./Day/Yr.	Received	Purchase	Sale	Exchange	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000**	\$1,000,001 -	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000								
1 PM - Pi	hilip Mo	orris Interna	ational Inc							05/02/2018	3		×		×															
PNC - I	PNC Ba	ank								05/02/201	8	×			×															
3 PRU - I	Pruden	itial Financi	al Inflation F	Ret	tail					05/02/2018	3	×			×															
4 PSX - F	Phillips	66								05/02/201	8	×			×															
<sup>5</sup> QCOM	l - Qual	comm Inc								05/02/2018	3	×			×															
6 SLB - S	Schluml	berger N.V.								05/02/2018	3	×			×															
<sup>7</sup> T - AT8	&T Inc									05/02/2018	3		×		×															
8 TGT - 1	Target (	Corp								05/02/201	3		×		×															
9 TRI - TI	homsoi	n Reuters C	Corp							05/02/2018	3	×			×															
<sup>10</sup> TXN - 1	Texas I	nstruments	5							05/02/2018	3		×		×															
<sup>11</sup> USB - U	U.S. Ba	ancorp								05/02/2018	3	×			×															
<sup>12</sup> UTX - U	United <sup>-</sup>	Technologic	es Corp							05/02/2018	3		×		×															
13 VOD - 1	Vodafo	ne Grp Plc	Ads							05/02/201	3		×		×															
14 VZ - Ve	erizon C	Communica	ations Inc							05/02/2018	3		×		X															
15 WFC - 1	WFC - Wells Fargo & Company							05/02/2018	3	×			×																	
<sup>16</sup> WMT -	WMT - Wal-Mart Stores									05/02/201	3		×		×															

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	er's Name Oldberg Beth L	_			Transactions continued (Use only if needed)														Page Number 5						
									1																
							ansact					Am	ount c	f Tran	saction	(x)									
	Identifica	ation of	f Assets	Transaction Date (Mo./Day/Yr.,	Notification Received More Than 30 Days Ago*	Purchase	Sale	Exchange	1,001 - 15,000	\$15,001 - \$50,000	50,001 - 100,000	100,001 - 250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	)ver 1,000,000**	1,000,001 - 5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000						
1	XOM - Exxon Mobil Corp		11000	05/02/2018		×			X	\$ \$	\$ \$	\$ \$	\$ \$	\$ \$	\$	\$ \$	\$ \$	\$ \$	\$						
2	CVS - CVS Corp			05/10/2018	3		×		X																
3	ARCC - Ares Capital Corp			05/18/2018	3	×			×																
4	MDT - Medtronic Inc			05/22/2018	3	×			×																
5	MPC - Marathon Petroleum Corp	)		05/22/2018	3		×		×																
6	ARBNX - The Arbitrage Fund Cl	lass I		05/23/2018	3		×		×																
7	CMNIX - Calamos Market Neutra	al Fd C	OI I	05/23/2018	3	×			×																
8	PDVYX - Putnam Diversified Inc	ome T	rust Class Y	05/23/2018	3	×			×																
9	ARBNX - The Arbitrage Fund Cl	lass I		05/23/2018	3		×		×																
10	PDVYX - Putnam Diversified Inc	ome T	rust Class Y	05/23/2018	3	×			×																
11	VUG - Growth ETF Vanguard			05/23/2018	3	×			×																
12	QCOM - Qualcomm Inc			05/24/2018	3	×			×																
13																									
14				_																					
15																									
16																									

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## Reviewer Comments and Submission History for Beth Goldberg

06/15/2018 15:40:42 Filing submitted