

Executive Branch Personnel

Public Financial Disclosure Report:

Periodic Transaction Report (OGE Form 278-T)

Filer's Information

Klein, Jennifer L

Gender Policy Council Co-Chair, White House - Biden-Harris Administration

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Klein, Jennifer L [electronically signed on 04/28/2022 by Klein, Jennifer L in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Kozmycz, Marina M, Certifying Official [electronically signed on 05/11/2022 by Kozmycz, Marina M in Integrity.gov]

Other review conducted by

/s/ Kozmycz, Marina M, Ethics Official [electronically signed on 05/11/2022 by Kozmycz, Marina M in Integrity.gov]

U.S. Office of Government Ethics Certification

/s/ Granahan, Megan, Certifying Official [electronically signed on 06/01/2022 by Granahan, Megan in Integrity.gov]

Data Revised - Workflow re-designation - 05/11/2022

Transactions

#	DESCRIPTION		TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
1	1.110 DUKE ENERGY CAROLINAS LLC 1ST MORTGAGE BOND DUE 08/15/2029 02.450% FA 15 SOLICITED YIELD 2.357 % TO PAR CALL YIELD 2.360 % TO MATURITY CALLABLE 05/15/29 AT 100.000		Purchase	01/25/2022	No	\$100,001 - \$250,000
2	1.111 STANFORD UNIV CALIF DUE 06/01/2027 01.289% JD 01 SOLICITED FIMS 8690012-0001 UWEB YIELD 1.75 % TO MATURITY YIELD 1.764 % TO PAR CALL CALLABLE 04/01/27 AT 100.000		Purchase	01/25/2022	No	\$50,001 - \$100,000
3	1.112 MERCK & CO INC NEW SENIOR UNSECURED DUE 06/10/2027 01.700% JD 10 SOLICITED SOLD PURSUANT TO REGISTRATION STATEMENT OR WHERE PROSPECTUS OTHERWISE REQUIRED		Purchase	01/25/2022	No	\$50,001 - \$100,000
4	1.96 WELLS FARGO & CO		Sale	03/08/2022	No	\$15,001 - \$50,000
5	5.25 BERKSHIRE HATHAWAY FINANCE SENIOR UNSECURED NOTES DUE 3/12/2030 01.850% MS 12 SOLICITED YIELD 2.243 % TO MATURITY YIELD 2.255 % TO PAR CALL CALLABLE 12/12/29 AT 100.000	See Endnote	Purchase	01/25/2022	No	\$50,001 - \$100,000
6	5.26 APPLE INC SENIOR UNSECURED NOTE DUE 8/05/228 01.400% FA 05 SOLICITED YIELD 2.026 % TO MATURITY YIELD 2.042 % TO PAR CALL CALLABLE 06/05/28 AT 100.000	See Endnote	Purchase	01/25/2022	No	\$50,001 - \$100,000
7	5.27 DUKE ENERGY CORP NEW SENIOR NOTES DUE 08/15/2027 03.150% FA 15 SOLICITED YIELD 3.202 % TO MATURITY YIELD 3.205 % TO PAR CALL CALLABLE 05/15/27 AT 100.000	See Endnote	Purchase	03/16/2022	No	\$50,001 - \$100,000

#	DESCRIPTION		TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
8	5.28 FEDEX CORP SENIOR BANK NOTE DUE 02/15/2028 03.400% FA 15 SOLICITED YIELD 2.928 % PAR CALL YIELD 2.946 % TO MATURITY CALLABLE 11/15/27 AT 100.000	See Endnote	Purchase	03/17/2022	No	\$50,001 - \$100,000
9	5.29 BRISTOL-MYERS SQUIBB CO DUE 11/13/2027 01.125% MN 13 SOLICITED YIELD 2.530 % TO MATURITY YIELD 2.571 % TO PAR CALL CALLABLE 09/13/27 AT 100.000 MARK UP \$250.00	See Endnote	Purchase	03/17/2022	No	\$15,001 - \$50,000
10	5.21 WELLS FARGO & CO SENIOR NOTES	See Endnote	Sale	03/08/2022	No	\$15,001 - \$50,000
11	7.36 PEPSICO INC SENIOR UNSECURED NOTE DUE 03/19/2030 02.750% MS 19 SOLICITED YIELD 2.248% TO PAR CALL YIELD 2.261% TO MATURITY CALLABLE 12/19/29 AT 100.000	See Endnote	Purchase	01/25/2022	No	\$100,001 - \$250,000
12	7.37 YALE UNIV DUE 04/15/2030 01.482% AO 15 SOLICITED YIELD 2.033% TO MATURITY YIELD 2.050% TO PAR CALL CALLABLE 01/15/30 AT 100.000 MARK UP \$500.00	See Endnote	Purchase	01/25/2022	No	\$50,001 - \$100,000
13	7.38 DUKE ENERGY CORP NEW SENIOR NOTES DUE 08/15/2027 03.150% FA 15 SOLICITED YIELD 3.202 % TO MATURITY YIELD 3.205 % TO PAR CALL CALLABLE 05/15/27 AT 100.000	See Endnote	Purchase	03/17/2022	No	\$50,001 - \$100,000
14	7.39 FEDEX CORP SENIOR BANK NOTE DUE 02/15/2028 03.400% FA 15 SOLICITED YIELD 2.928 % PAR CALL YIELD 2.946 % TO MATURITY CALLABLE 11/15/27 AT 100.000	See Endnote	Purchase	03/17/2022	No	\$50,001 - \$100,000
15	7.40 BRISTOL-MYERS SQUIBB CO DUE 11/13/2027 01.125% MN 13 SOLICITED YIELD 2.530 % TO MATURITY YIELD 2.571 % TO PAR CALL CALLABLE 09/13/27 AT 100.000 MARK UP \$250.00	See Endnote	Purchase	03/17/2022	No	\$15,001 - \$50,000

Endnotes

PART	#	ENDNOTE
Transactions	5	ASSET IS HELD IN TRUST #3
Transactions	6	ASSET IS HELD IN TRUST #3
Transactions	7	ASSET IS HELD IN TRUST #3
Transactions	8	ASSET IS HELD IN TRUST #3
Transactions	9	ASSET IS HELD IN TRUST #3
Transactions	10	ASSET IS HELD IN TRUST #3
Transactions	11	ASSET IS HELD IN TRUST #6
Transactions	12	ASSET IS HELD IN TRUST #6
Transactions	13	ASSET IS HELD IN TRUST #6
Transactions	14	ASSET IS HELD IN TRUST #6
Transactions	15	ASSET IS HELD IN TRUST #6

Summary of Contents

The 278-T discloses purchases, sales, or exchanges of securities in excess of \$1,000 made on behalf of the filer, the filer's spouse, or dependent child. Transactions are required to be disclosed within 30 days of receiving notification of a transaction but not later than 45 days after the transaction. Filers need not disclose (1) mutual funds and other excepted investment funds; (2) certificates of deposit, savings or checking accounts, and money market accounts; (3) U.S. Treasury bills, notes, and bonds; (4) Thrift Savings Plan accounts; (5) real property; and (6) transactions that are solely by and between the filer, the filer's spouse, and the filer's dependent children.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the

information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.
