

Executive Branch Personnel

Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Oldale, Alison

Deputy Director (Antitrust), Bureau of Economics, Federal Trade Commission

Report Year: 2021

Other Federal Government Positions Held During the Preceding 12 Months:

None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Oldale, Alison [electronically signed on 06/17/2021 by Oldale, Alison in Integrity.gov] - Filer received a 30 day filing extension.

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Pankey, Lorielle L, Certifying Official [electronically signed on 07/30/2021 by Pankey, Lorielle L in Integrity.gov]

Other review conducted by

/s/ Pankey, Lorielle L, Ethics Official [electronically signed on 07/30/2021 by Pankey, Lorielle L in Integrity.gov]

U.S. Office of Government Ethics Certification

Data Revised 07/30/2021

Data Revised 07/29/2021

1. Filer's Positions Held Outside United States Government

None

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Competition Commission, defined benefit plan (value not readily ascertainable) eligible at 67, no further information available from scheme administrators at present (long term IT issues from failed electronic records migration)/monthly	N/A			None (or less than \$201)
2	No sponsor - personal plan only, Personal pension plan to which I contributed while living in UK administered by Aviva Life Services UK Limited. Contributions were made by me out of general income (post tax) and were not tied to any employment	No	\$1,001 - \$15,000		None (or less than \$201)
2.1	Series 2 Av Global Equity S2 - Aviva #3303	Yes	\$1,001 - \$15,000		None (or less than \$201)
3	FTI Consulting Inc 401(k) Plan	No	\$100,001 - \$250,000	Dividends Capital Gains Interest	\$15,001 - \$50,000
3.1	Goldman Sachs Small Cap Value Insights - FTI 401(k)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.2	JP Morgan Mid Cap Growth Fund Select Class - FTI 401(k)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.3	State Street International Index NL Series Fund Class C - FTI 401(k)	Yes	\$15,001 - \$50,000		\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.4	State Street S& P MidCap Index NL Series Fund Class C - FTI 401(k)	Yes	None (or less than \$1,001)		\$2,501 - \$5,000
3.5	FTISVF IVR Fund Code:21044 (FTI Stable Value Fund) Asset Category:MONEY MARKET/STABLE VALUE	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.6	T Rowe Price Cap Appreciation - FTI 401(k)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.7	Vanguard Institutional Index Fund Institutional Plus - FTI 401(k)	See Endnote	Yes	\$15,001 - \$50,000	\$2,501 - \$5,000
3.8	Virtus Vontobel Emerging Markets Opportunities Fund Class I - FTI 401(k)	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
3.9	AB Small Cap Growth Portfolio Class I - FTI 401(k)	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
3.10	MFS Mid Cap Value Fund Class R6 - FTI 401(k)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.11	BlackRock High Yield Bond Portfolio Class K - FTI 401(k)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.12	TCW Total Return Bond Fund Class I - FTI 401(k)	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
3.13	Invesco Growth and Income Fund Class R6 - FTI 401(k)	Yes	\$1,001 - \$15,000		None (or less than \$201)

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	FTI Inc	Annapolis, Maryland	I will continue to participate in this defined contribution plan. The plan sponsor will not make further contributions after my separation.	10/2012

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
2	Competition Commission	London, Outside U.S.	I will continue to participate in this defined benefit plan.	6/2009

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	No sponsor, UK based personal pension plan managed by Clerical Medical Investment Group Limited	No	\$50,001 - \$100,000		None (or less than \$201)
1.1	Clerical Medical North American Equity Pension Fund	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.2	Clerical Medical International Growth Pension Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.3	Clerical Medical Far Eastern Equity Pension Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
2	Burges Salmon LLP, UK-based defined contribution pension plan managed by Standard Life Assurance Limited	No	\$100,001 - \$250,000		None (or less than \$201)
2.1	Standard Life International Equity Pension Fund	Yes	\$50,001 - \$100,000		None (or less than \$201)
2.2	Standard Life Asia Pacific ex Japan Equity Pension Fund	Yes	\$15,001 - \$50,000		None (or less than \$201)

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Checking account - Bank of America #8887	N/A	\$15,001 - \$50,000		None (or less than \$201)
2	Checking account - First Direct #0963	N/A	\$50,001 - \$100,000		None (or less than \$201)
3	Checking account - Barclays #2546	N/A	\$50,001 - \$100,000		None (or less than \$201)
4	Checking account - Beobank #2872	N/A	\$1,001 - \$15,000		None (or less than \$201)
5	Savings account - Beobank #2771	N/A	\$15,001 - \$50,000		None (or less than \$201)
6	Mutual Fund - M&G Global High Yield Fund #9461	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
7	Mutual Fund - Liverpool Victoria With Profits Growth Bond #320Z	Yes	\$250,001 - \$500,000		None (or less than \$201)
8	Investment account - Alliance Trust Cash Savings #1672	See Endnote	N/A	\$15,001 - \$50,000	None (or less than \$201)
9	Investment Account - Hargreaves Lansdown #0792	N/A	\$100,001 - \$250,000		None (or less than \$201)
9.1	Mutual fund - ASI Global Equity (Acc) [HL #0792]	Yes	\$15,001 - \$50,000		None (or less than \$201)
9.2	Mutual fund - Vanguard FTSE Dev World ex-UK Eq Index Acc [HL #0792]	Yes	\$15,001 - \$50,000		None (or less than \$201)
9.3	Mutual fund - Vanguard US Equity index (Acc) [HL #0792]	Yes	\$15,001 - \$50,000		None (or less than \$201)
9.4	Mutual fund - M&G Global Themes (Acc) Inclusive [HL #0792]	Yes	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.5	Securities - Sage Group Ordinary 1 4/77p [HL #0792]	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.6	Mutual fund - Vanguard US Equity Index (Inc) [HL #0792]	Yes	\$15,001 - \$50,000		None (or less than \$201)
10	Investment Account - Reyker Securities Plc #0363	No	\$1,001 - \$15,000		None (or less than \$201)
10.1	Securities - Marks and Spencer [Reyker #0363]	N/A	\$1,001 - \$15,000		None (or less than \$201)
11	Cash Fixed Term Savings account - Nationwide Building Society 3 Year Fixed Rate Bond (GBP)	N/A	\$15,001 - \$50,000		None (or less than \$201)
12	Checking account - Royal Bank of Scotland #6469	N/A	\$15,001 - \$50,000		None (or less than \$201)
13	Savings account - Earl Shilton Building Society Child Trust Fund #BMO-CTF	N/A	\$1,001 - \$15,000		None (or less than \$201)
14	Savings account - Earl Shilton Building Society Child Trust Fund #SKO-CTF	N/A	\$1,001 - \$15,000		None (or less than \$201)
15	Vanguard Managed Fund #4208	No	\$500,001 - \$1,000,000	Dividends Capital Gains Interest	\$5,001 - \$15,000
15.1	Total Intl Bond IX Admiral [Vanguard #4208]	Yes	\$1,001 - \$15,000		None (or less than \$201)
15.2	Total Intl Stock IX Admiral [Vanguard #4208]	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
15.3	Total Bond Mkt Index Adm [Vanguard #4208]	Yes	\$15,001 - \$50,000		\$201 - \$1,000
15.4	Total Stock Mkt Idx Adm [Vanguard #4208]	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
16	Maryland College Investment Plan: Portfolio 2030	Yes	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
17	Maryland College Investment Plan: Portfolio 2027	Yes	\$15,001 - \$50,000		None (or less than \$201)
18	Vanguard Individual Retirement Account #1174	No	\$100,001 - \$250,000	Dividends Capital Gains Interest	\$5,001 - \$15,000
18.1	Total Bond Market Index Admiral [Vanguard #1174]	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
18.2	Total Intl Bond Index Admiral [Vanguard #1174]	Yes	\$15,001 - \$50,000		\$201 - \$1,000
18.3	Total Intl Stock Index Adm [Vanguard #1174]	Yes	None (or less than \$1,001)		\$2,501 - \$5,000
18.4	Total Stock Market Index Admiral [Vanguard #1174]	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
19	Investment account - Alliance Trust Cash Savings #1696	See Endnote	N/A		None (or less than \$201)
20	Investment Account - Hargreaves Lansdown #1967	N/A	\$50,001 - \$100,000	Interest Capital Gains Dividends	\$50,001 - \$100,000

7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	AB Small Cap Growth Portfolio Class I - FTI 401(k) [MULTIPLE]	Sale	02/10/2020	\$1,001 - \$15,000
2	Virtus Vontobel Emerging Markets Opportunities Fund Class I - FTI 401(k) [MULTIPLE]	Sale	02/10/2020	\$1,001 - \$15,000
3	State Street International Index NL Series Fund Class C - FTI 401(k) [MULTIPLE]	Sale	02/10/2020	\$1,001 - \$15,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
4	State Street S&P MidCap Index NL Series Fund Class C - FTI 401(k) [MULTIPLE]		Sale	02/10/2020	\$1,001 - \$15,000
5	TCW Total Return Bond Fund Class I - FTI 401(k) [MULTIPLE]		Sale	02/10/2020	\$1,001 - \$15,000
6	Vanguard Institutional Index Fund Institutional Plus - FTI 401(k) [MULTIPLE]		Sale	02/10/2020	\$1,001 - \$15,000
7	BlackRock High Yield Bond Portfolio Class K - FTI 401(k) [MULTIPLE]		Purchase	02/10/2020	\$1,001 - \$15,000
8	T Rowe Price Cap Appreciation - FTI 401(k) [MULTIPLE]		Purchase	02/10/2020	\$1,001 - \$15,000
9	JP Morgan Mid Cap Growth Fund Select Class - FTI 401(k) [MULTIPLE]		Purchase	02/10/2020	\$1,001 - \$15,000
10	MFS Mid Cap Value Fund Class R6 - FTI 401(k) [MULTIPLE]		Purchase	02/10/2020	\$1,001 - \$15,000
11	Total Intl Stock Index Adm [Vanguard #1174]		Sale	10/15/2020	\$50,001 - \$100,000
12	Total Stock Market Index Admiral [Vanguard #1174]		Sale	10/15/2020	\$1,001 - \$15,000
13	Government Securities - NS&I (UK) Bond (GBP)	See Endnote	Sale	07/01/2019	\$1,001 - \$15,000
14	Government Securities 2 - NS&I (UK) Bond (GBP)	See Endnote	Sale	07/01/2019	\$1,001 - \$15,000
15	Invesco Growth and Income Fund Class R6 - FTI 401(k)	See Endnote	Purchase		\$1,001 - \$15,000
16	JP Morgan US Equity FD CL R5 (FTI Consulting Inc 401(k) Plan)	See Endnote	Sale		\$1,001 - \$15,000

8. Liabilities

None

9. Gifts and Travel Reimbursements

None

Endnotes

PART	#	ENDNOTE
2.	3.7	This is the corrected name of the fund filer had on her prior annual report as Vanguard 500 Index FD ADM.
6.	8	As of December 31, 2017 Alliance Trust Savings has closed for business. The status of the account held by Alliance Trust is not available to the filer. There have been no transfers of funds held within the account, nor has there been any additional activity within the account since 2017. Out of an abundance of caution the account is disclosed here, although any current information regarding its status is not readily available.
6.	19	As of December 31, 2017 Alliance Trust Savings has closed for business. The status of the account held by Alliance Trust is not available to the filer. There have been no transfers of funds held within the account, nor has there been any additional activity within the account since 2017. Out of an abundance of caution the account is disclosed here, although any current information regarding its status is not readily available.
7.	13	The NS&I bond was sold in July of 2019. The information of the sale was never made available to the filer. No proceeds from the sale were received. The filer no longer has ownership over the bond.
7.	14	The second NS&I bond was sold in July of 2019. The information of the sale was never made available to the filer. No proceeds from the sale were received. The filer no longer has ownership over the bond.
7.	15	Filer has not been provided the precise date of purchase but knows it happened in Q3 of CY 2020, on or before 9/30/20.
7.	16	Filer has not been provided the precise date of sale but knows it happened in Q4 of CY 2020, on or before 12/31/20.

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE) Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

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