

Executive Branch Personnel

Public Financial Disclosure Report:

Periodic Transaction Report (OGE Form 278-T)

Filer's Information

Cisneros, Gilbert R

Under Secretary for Personnel and Readiness, DoD - Department of Defense -- DAEO Agency

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.
/s/ Cisneros, Gilbert R [electronically signed on 09/14/2022 by Cisneros, Gilbert R in Integrity.gov] - Filer received a 15 day filing extension.

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).
/s/ Raab, Dean, Certifying Official [electronically signed on 09/28/2022 by Raab, Dean in Integrity.gov]

Other review conducted by
/s/ Raab, Dean, Ethics Official [electronically signed on 09/28/2022 by Raab, Dean in Integrity.gov]

U.S. Office of Government Ethics Certification
/s/ Granahan, Megan, Certifying Official [electronically signed on 10/27/2022 by Granahan, Megan in Integrity.gov]

Data Revised 09/28/2022

Transactions

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
1	DoubleLine Low Duration Bond Fund Class I Shares (DBLSX)	Purchase	08/01/2022	No	\$1,001 - \$15,000
2	Nuveen Short Duration High Yield Municipal Bond Fund Class I Shares (NVHIX)	Purchase	08/01/2022	No	\$1,001 - \$15,000
3	iShares 7-10 Year Treasury Bond ETF (IEF)	Purchase	08/04/2022	No	\$500,001 - \$1,000,000
4	Nuveen Short Duration High Yield Municipal Bond Fund Class I Shares (NVHIX)	Purchase	08/09/2022	No	\$250,001 - \$500,000
5	Bay Area Toll Authority CA Toll Bridge Revenue Bonds	Purchase	08/05/2022	No	\$500,001 - \$1,000,000
6	Imperial CA Irrigation District Bonds	Purchase	08/05/2022	No	\$100,001 - \$250,000
7	The PNC Financial Services Group, Inc. (PNC)	Purchase	08/04/2022	No	\$1,001 - \$15,000
8	Truist Financial Corp	Purchase	08/04/2022	No	\$1,001 - \$15,000
9	Cimpress Plc (CMPR)	Purchase	08/10/2022	No	\$1,001 - \$15,000
10	Arcutis Biotherapeutics Inc.	Purchase	08/10/2022	No	\$1,001 - \$15,000
11	Teledyne Technologies, Inc. (TDY)	Purchase	08/15/2022	No	\$15,001 - \$50,000
12	WEX, Inc. (WEX)	Purchase	08/18/2022	No	\$1,001 - \$15,000
13	BioCryst Pharmaceuticals, Inc. (BCRX)	Purchase	08/24/2022	No	\$1,001 - \$15,000
14	ITT, Inc. (ITT)	Purchase	08/24/2022	No	\$1,001 - \$15,000
15	Citigroup, Inc. (C)	Sale	08/04/2022	No	\$1,001 - \$15,000
16	KLA Corp. (KLAC)	Sale	08/09/2022	No	\$1,001 - \$15,000

#	DESCRIPTION		TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
17	LPL Financial Holdings, Inc. (LPLA)		Sale	08/09/2022	No	\$1,001 - \$15,000
18	Rapid7, Inc. (RPD)		Sale	08/12/2022	No	\$1,001 - \$15,000
19	KLA Corp. (KLAC)		Sale	08/15/2022	No	\$15,001 - \$50,000
20	LPL Financial Holdings, Inc. (LPLA)		Sale	08/15/2022	No	\$1,001 - \$15,000
21	LPL Financial Holdings, Inc. (LPLA)		Sale	08/18/2022	No	\$1,001 - \$15,000
22	Cerence Inc.		Sale	08/18/2022	No	\$1,001 - \$15,000
23	Nice Ltd.		Sale	08/18/2022	No	\$1,001 - \$15,000
24	ON Semiconductor Corp. (ON)		Sale	08/24/2022	No	\$1,001 - \$15,000
25	ChemoCentryx, Inc. (CCXI)		Sale	08/24/2022	No	\$1,001 - \$15,000
26	ON Semiconductor Corp. (ON)		Sale	08/26/2022	No	\$1,001 - \$15,000
27	ON Semiconductor Corp. (ON)		Sale	08/26/2022	No	\$1,001 - \$15,000
28	ON Semiconductor Corp. (ON)		Sale	08/30/2022	No	\$1,001 - \$15,000
29	Call Amazon.com, Inc. (AMZN)	See Endnote	Purchase	08/01/2022	No	\$1,001 - \$15,000
30	Put EOG Resources, Inc. (EOG)	See Endnote	Purchase	08/05/2022	No	\$1,001 - \$15,000
31	Apple, Inc. (AAPL)		Sale	08/23/2022	No	\$100,001 - \$250,000
32	The Walt Disney Co. (DIS)		Sale	08/23/2022	No	\$15,001 - \$50,000
33	Call Pioneer Natural Resources Co. (PXD)	See Endnote	Purchase	09/01/2022	No	\$15,001 - \$50,000
34	Call Amazon.com, Inc. (AMZN)	See Endnote		08/01/2022	No	\$1,001 - \$15,000
35	Put NVIDIA Corp. (NVDA)	See Endnote		08/30/2022	No	\$1,001 - \$15,000
36	Put Apple, Inc. (AAPL)	See Endnote		08/30/2022	No	\$1,001 - \$15,000
37	Put Advanced Micro Devices, Inc. (AMD)	See Endnote		08/30/2022	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
38	Put Applied Materials, Inc. (AMAT)	See Endnote	08/30/2022	No	\$1,001 - \$15,000
39	Put JPMorgan Chase & Co. (JPM)	See Endnote	08/30/2022	No	\$1,001 - \$15,000
40	Put Pioneer Natural Resources Co. (PXD)	See Endnote	08/30/2022	No	\$1,001 - \$15,000
41	Put Netflix, Inc. (NFLX)	See Endnote	08/30/2022	No	\$1,001 - \$15,000
42	Put Starbucks Corp. (SBUX)	See Endnote	08/30/2022	No	\$1,001 - \$15,000
43	Put NIKE, Inc. (NKE)	See Endnote	08/30/2022	No	\$1,001 - \$15,000
44	Put Amazon.com, Inc. (AMZN)	See Endnote	08/30/2022	No	\$1,001 - \$15,000
45	Put Goldman Sachs Group Inc (GS)	See Endnote	08/30/2022	No	\$1,001 - \$15,000
46	Put Alphabet, Inc. (GOOG)	See Endnote	08/30/2022	No	\$1,001 - \$15,000
47	Put EOG Resources, Inc. (EOG)	See Endnote	08/30/2022	Yes	\$1,001 - \$15,000
48	Put lululemon athletica, Inc. (LULU)	See Endnote	08/30/2022	No	\$1,001 - \$15,000
49	Put Tesla, Inc. (TSLA)	See Endnote	08/30/2022	No	\$1,001 - \$15,000
50	Call SPDR S&P 500 ETF Trust (SPY)	See Endnote	08/30/2022	No	\$1,001 - \$15,000
51	Call iShares Russell 2000 ETF (IWM)	See Endnote	09/01/2022	No	\$1,001 - \$15,000
52	Call Pioneer Natural Resources Co. (PXD)	See Endnote	09/01/2022	No	\$15,001 - \$50,000

Endnotes

PART	#	ENDNOTE
Transactions	29	Strike price \$132.50, exp. 8/19/22
Transactions	30	Strike price \$86, exp. 8/19/22
Transactions	33	Strike price \$175, exp. 9/16/22

PART	#	ENDNOTE
Transactions	34	opened written call option position, strike price \$140, exp. 9/16/22
Transactions	35	opened written put option position, strike price \$130, exp. 9/16/2022
Transactions	36	opened written put option position, strike price \$145, exp. 9/16/2022
Transactions	37	opened written put option position, strike price \$75, exp. 9/16/2022
Transactions	38	opened written put option position, strike price \$85, exp. 9/16/2022
Transactions	39	opened written put option position, strike price \$105, exp. 9/16/2022
Transactions	40	opened written put option position, strike price \$230, exp. 9/16/2022
Transactions	41	opened written put option position, strike price \$195, exp. 9/16/2022
Transactions	42	opened written put option position, strike price \$75, exp. 9/16/2022
Transactions	43	opened written put option position, strike price \$97.50, exp. 9/16/2022
Transactions	44	opened written put option position, strike price \$111, exp. 9/16/2022
Transactions	45	opened written put option position, strike price \$305, exp. 9/16/2022
Transactions	46	opened written put option position, strike price \$98, exp. 9/16/2022
Transactions	47	opened written put option position, strike price \$112, exp. 9/16/2022
Transactions	48	opened written put option position, strike price \$255, exp. 9/16/2022
Transactions	49	opened written put option position, strike price \$235, exp. 9/16/2022
Transactions	50	opened written call option position, strike price \$420, exp. 9/16/2022
Transactions	51	opened written call option position, strike price \$195, exp. 9/16/2022
Transactions	52	opened written call option position, strike price \$175, exp. 9/16/2022

Summary of Contents

The 278-T discloses purchases, sales, or exchanges of securities in excess of \$1,000 made on behalf of the filer, the filer's spouse, or dependent child. Transactions are required to be disclosed within 30 days of receiving notification of a transaction but not later than 45 days after the transaction. Filers need not disclose (1) mutual funds and other excepted investment funds; (2) certificates of deposit, savings or checking accounts, and money market accounts; (3) U.S. Treasury bills, notes, and bonds; (4) Thrift Savings Plan accounts; (5) real property; and (6) transactions that are solely by and between the filer, the filer's spouse, and the filer's dependent children.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.
