

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Finegan, Philip Cole

United States Attorney for the District of Colorado, Department of Justice - Executive Office for United States Attorneys

Other Federal Government Positions Held During the Preceding 12 Months:

None

Names of Congressional Committees Considering Nomination:

- Committee on the Judiciary
-

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Finegan, Philip Cole [electronically signed on 05/17/2021 by Finegan, Philip Cole in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Lofthus, Lee, Certifying Official [electronically signed on 10/15/2021 by Lofthus, Lee in Integrity.gov]

Other review conducted by

/s/ Macklin, Jay, Ethics Official [electronically signed on 09/29/2021 by Macklin, Jay in Integrity.gov]

U.S. Office of Government Ethics Certification

/s/ Apol, David, Certifying Official [electronically signed on 10/19/2021 by Apol, David in Integrity.gov]

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Hogan Lovells US LLP	Denver, Colorado	Law Firm	Equity Partner	2/2007	Present
2	Family Trust #1	See Endnote	Trust	Trustee	4/2013	Present
3	Palace Lofts Condo Association	Denver, Colorado	Homeowner's Association	Board Member	4/2020	Present

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Hogan Lovells US LLP	No			
1.1	Hogan Lovells US LLP, 401(k)	No			
1.1.1	Fidelity 500 Index Fund (FXAIX)	Yes	\$1,000,001 - \$5,000,000		None (or less than \$201)
1.1.2	Fidelity Contrafund Class K Shares (FCNKX)	Yes	\$500,001 - \$1,000,000		None (or less than \$201)
1.1.3	Fidelity U.S. Bond Index (FXNEX)	Yes	\$500,001 - \$1,000,000		None (or less than \$201)
1.1.4	PIMCO Total Return Fund Institutional Class (PTTRX)	Yes	\$500,001 - \$1,000,000		None (or less than \$201)
1.2	Hogan Lovells US LLP, cash balance pension plan	N/A	\$50,001 - \$100,000	Distribution	\$20,125
1.3	Partnership share (draws and distributions)	N/A		Business Income	\$1,045,533

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.4	Hogan Lovells US LLP, Bonus for 2019 & 2020 (rec'd 2020-present)				Bonus	\$905,840
1.5	Hogan Lovells US LLP, Capital account		N/A	\$500,001 - \$1,000,000		None (or less than \$201)
1.6	Hogan Lovells US LLP, Anticipated Partnership Share		N/A	\$250,001 - \$500,000		None (or less than \$201)
1.7	Hogan Lovells US LLP, Anticipated Bonus (balance of 2020 Bonus owed)	See Endnote	N/A	\$100,001 - \$250,000		None (or less than \$201)
1.8	Hogan Lovells US LLP, cash balance pension plan anticipated contribution		N/A	\$15,001 - \$50,000		None (or less than \$201)
2	Traditional IRA		No			
2.1	U.S. bank (cash)		N/A	\$1,001 - \$15,000		None (or less than \$201)
2.2	U.S. Equity Closed-End, 32 (FOGERX)		Yes	\$1,001 - \$15,000		None (or less than \$201)
2.3	Pruco Life Insurance Variable Annuity		No	\$500,001 - \$1,000,000		None (or less than \$201)
2.3.1	T Rowe Price Large-Cap Growth Fund Investor Class Share (TRGOX)		Yes	\$250,001 - \$500,000		None (or less than \$201)
2.3.2	AST BlackRock / Loomis Sayles Bond Portfolio		Yes	\$50,001 - \$100,000		None (or less than \$201)
2.3.3	AST Mid-cap Value Portfolio		Yes	\$50,001 - \$100,000		None (or less than \$201)
2.3.4	AST Mid-Cap Growth Portfolio		Yes	\$50,001 - \$100,000		None (or less than \$201)
3	2015 Fund LLC (Private Equity Funds)		No	\$100,001 - \$250,000		None (or less than \$201)
3.1	Acon Equity Fund IV, LP (underlying assets are not ascertainable)		No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.2	AEP IV BioMatrix AIV, LP (underlying assets are not ascertainable)	No			
3.3	LCM Healthcare Fund I, LP (underlying assets are not ascertainable)	No			
3.4	Camden Partners Strategic Fund VI, LP Alpine Investors VI, LP (underlying assets are not ascertainable)	No			
3.5	ABS Capital Partners VIII, LP (underlying assets are not ascertainable)	No			
3.6	KSL Partners V, LP (underlying assets are not ascertainable)	No			
3.7	Mountaingate Capital Fund 1, LP (underlying assets are not ascertainable)	No			
3.8	Riverstone Global Energy & Power Fund VI, LP (underlying assets are not ascertainable)	No			
3.9	Alpine Investors VI, LP (underlying assets are not ascertainable)	No			

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Hogan Lovells US LLP	Denver, Colorado	I will continue to participate in this defined contribution plan. The plan sponsor will not make further contributions after my separation.	2/2007
2	Hogan Lovells US LLP	Denver, Colorado	I will receive the remainder of my bonus for my 2020 performance by September 2021. I will not receive any bonus for the 2021 calendar year	2/2007

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
3	Hogan Lovells US LLP	Denver, Colorado	I will continue to participate in my Cash Balance Pension Plan with the firm. Following my departure, a final contribution will be made to the Cash Balance Plan on my behalf using money deducted from funds that would otherwise be due to me. That contribution will be prorated based on my time with the firm, through the date of my resignation. The firm will then distribute my Cash Balance Plan balance to me; it will provide distribution paperwork within 30 days of my departure, and the distribution will be processed within 10 business days of my return of executed forms.	2/2007
4	Hogan Lovells US LLP	Denver, Colorado	Following my withdrawal from the firm, the firm will refund the entirety of my capital account balance to the lending institution that financed my capital contribution. Certain amounts from my capital account will be withheld for account reconciliation. Any excess amounts not being used for account reconciliation will be refunded to me no later than 18 months after my departure.	2/2007
5	Hogan Lovells US LLP	Denver, Colorado	Pursuant to firm practice, following my resignation from Hogan Lovells US LLP. I will also receive a pro rata partnership share distribution based on the value of my partnership interests for services performed in 2021 through the date of my withdrawal. This payment will be calculated as of the date of his withdrawal, and will be based on estimated profits. The firm may withhold certain amounts from this final partnership share distribution for account reconciliation and tax purposes; any excess amounts withheld by the firm that end up not being used for account reconciliation or tax purposes will be refunded to me no later than 18 months after my departure.	2/2007

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	Hogan Lovells US LLP	Washington, District of Columbia	Legal services
2	The Anschutz Corporation	Denver, Colorado	Legal services
3	Bancroft III, Hugh, Bancroft, Christopher, Kavadas, Kathryn	Brookline, Massachusetts	Legal services
4	City & County of Denver, Co	Denver, Colorado	Legal services
5	Great Western Operating Company LLC	Dallas, Texas	Legal services
6	Outfront Media Inc.	Denver, Colorado	Legal services
7	Trammell Crow Company, LLC	Dallas, Texas	Legal services
8	United HealthCare Services, Inc.	Minneapolis, Minnesota	Legal services
9	Broadmoor Hotel, Inc.	Colorado Springs, Colorado	Legal services
10	Colorado Outdoor Advertising Association	Denver, Colorado	Legal services
11	Corporex Companies, LLC	Covington, Kentucky	Legal services
12	Denver Metro Chamber of Commerce	Denver, Colorado	Legal services
13	Industrial Property Trust Inc.	Denver, Colorado	Legal services
14	Armstrong Hospitality Group Ltd.	Vancouver, BC, Outside U.S.	Legal services

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	ICF International			Consulting Fees	
2	SEP IRA	No			
2.1	First Trust Capital Strength Portfolio Series 47 (FEAONX)	Yes	None (or less than \$1,001)		None (or less than \$201)
2.2	First Trust First Trust Dividend & Income Port 75 (FDJYBX)	Yes	None (or less than \$1,001)		None (or less than \$201)
2.3	First Trust U.S. Equity Closed-end Portfolio Series 33 (FJRFCX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.4	First Trust U.S. Equity Closed-end Portfolio Series 37 (FLQDFX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.5	First Trust Capital Strength Portfolio Series 48 (FYDLAX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.6	First Trust Capital Strength Portfolio Series 52 (FUVXMX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.7	First Trust Dividend & Income Select Closed-End Portfolio Series 76 (FENBHX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.8	First Trust Dividend & Income Select Closed-End Portfolio Series 87 (FWLMUX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.9	First Trust Dividend & Income Select Closed-End Portfolio Series 37 (FLQDFX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.10	First Trust SMID Capital Strength Portfolio Series 45 (FGITNX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.11	First Trust Capital Strength Portfolio Series 56	Yes	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.12	SMID Capital Strength Portfolio Series 49	Yes	\$15,001 - \$50,000		None (or less than \$201)

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	U.S. bank (cash) #1	N/A	\$50,001 - \$100,000		None (or less than \$201)
2	U.S. bank (cash) #2	N/A	\$1,001 - \$15,000		None (or less than \$201)
3	U.S. bank money market account (cash) #1	N/A	\$100,001 - \$250,000		None (or less than \$201)
4	U.S. bank money market account (cash) #2	N/A	\$100,001 - \$250,000		None (or less than \$201)
5	Lincoln Choice Plus Annuity	No			
5.1	LVIP Dimensional US Equity MV	Yes	\$100,001 - \$250,000		None (or less than \$201)
5.2	LVIP Blended LrgCap Growth MV Large Cap	Yes	\$100,001 - \$250,000		None (or less than \$201)
5.3	LVIP Blended Mid Cap MV U.S. Mid Cap	Yes	\$100,001 - \$250,000		None (or less than \$201)
5.4	LVIP Delaware Bond Fixed Income	Yes	\$50,001 - \$100,000		None (or less than \$201)
5.5	Delaware Diversified Income Fixed Income	Yes	\$1,001 - \$15,000		None (or less than \$201)
6	Lincoln Choice Plus Annuity	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.1	LVIP Clearbridge QS Select Large Cap	Yes	\$100,001 - \$250,000		None (or less than \$201)
6.2	LVIP BIKRk Dividend Value MV	Yes	\$100,001 - \$250,000		None (or less than \$201)
6.3	LVIP Blended Mid Cap MV	Yes	\$100,001 - \$250,000		None (or less than \$201)
6.4	LVIP Dimensional/VG Total Bnd	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.5	LVIP Delaware Bond	Yes	\$1,001 - \$15,000		None (or less than \$201)
7	Deke Digital LLC (data and software services)	No			
7.1	Deke North Inc. (acts as a cost center for contract software development)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8	Mobile Accord Inc.(providing business consulting services)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9	Lincoln Plus Variable Annuity	No			
9.1	LEVEL ADVANTAGE B-SHARE, 6 Year S&P 500 20% Protection	N/A	\$250,001 - \$500,000		None (or less than \$201)
10	Geospiza Inc.(intuitive climate risk assessment platform)	N/A	\$1,001 - \$15,000		None (or less than \$201)
11	CP Block A Member LLC (Commercial Real estate and parking operations located in Denver, CO, Interest of 0.8992810%)	N/A	\$250,001 - \$500,000		None (or less than \$201)
12	Fasken Oil & Ranch Ltd. (Oil and Gas Lease) Midland, TX	N/A	\$1,001 - \$15,000	Rent or Royalties	\$1,001 - \$2,500
13	Prudential Premier Variable Annuity	No			
13.1	AST T. Rowe Price Large-Cap Growth Portfolio	Yes	\$100,001 - \$250,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
13.2	AST Mid-Cap Growth Portfolio	Yes	\$50,001 - \$100,000		None (or less than \$201)
13.3	AST Mid-Cap Value Portfolio	Yes	\$50,001 - \$100,000		None (or less than \$201)
13.4	AST BlackRock/Loomis Sayles Bond	Yes	\$50,001 - \$100,000		None (or less than \$201)
14	RMA Account:	No			
14.1	U.S. bank (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
14.2	Capital Strength, 48 (FYDLAX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
14.3	U.S. Equity Closed-End, 32 (FOGERX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
14.4	Diversified High Income Closed-End, 40 (FPQJOX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
14.5	Dividend & Income Select Closed-End, 82 (FSPYUX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
14.6	SMid Capital Strength, 48 (FQTZNX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
14.7	Municipal Income Select, Closed-End, 116 (FBEKTX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
14.8	Covered Call Select Closed-End, 81 (FQCIXX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
15	Managed Investment Account #1	No			
15.1	Fidelity Government Cash Reserves	Yes	\$15,001 - \$50,000		None (or less than \$201)
15.2	Agilent Technologies, Inc. (A)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
15.3	Apple Inc.	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$1,001 - \$2,500
15.4	AbbVie, Inc. (ABBV)	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.5	Adobe Systems Inc.	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.6	Amgen Inc. (AMGN)	N/A	\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500
15.7	Amazon.com, Inc. (AMZN)	N/A	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
15.8	Amphenol Corporation (APH)	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.9	Broadcom, Inc. (AVGO)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
15.10	CDW Corp. (CDW)	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.11	Copart Inc. Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.12	Charles River Laboratories International, Inc. (CRL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.13	CISCO SYSTEMS INC	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
15.14	CINTAS CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.15	COGNIZANT TECHNOLOGY SOLUTIONS CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.16	CHEVRON CORP NEW COM	N/A	\$15,001 - \$50,000		None (or less than \$201)
15.17	DICKS SPORTING GOODS	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
15.18	ELECTRONIC ARTS INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.19	ESTEE LAUDER COMPANIES INC COM	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.20	EPAM SYS INC COM	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.21	FASTENAL COM STK	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.22	FACEBOOK INC-CLASS A	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.23	FISERV INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.24	ALPHABET INC CAP STK CL C	N/A	\$15,001 - \$50,000	Capital Gains	\$201 - \$1,000
15.25	GLOBAL PAYMENTS INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.26	HOME DEPOT INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.27	INTERNATIONAL BUS MACH CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.28	IPG PHOTONICS CORPORATION COM	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.29	IQVIA HLDGS INC COM	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.30	JPMORGAN CHASE & CO	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$2,501 - \$5,000
15.31	KLA CORP COM NEW	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.32	COCA-COLA CO	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
15.33	LITHIA MTRS INC CL A	N/A	\$15,001 - \$50,000		None (or less than \$201)
15.34	LULULEMON ATHLETICA INC COM	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.35	MASTERCARD	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.36	MONSTER BEVERAGE CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.37	METTLER-TOLEDO	N/A	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
15.38	NORDSON CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.39	NETFLIX INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.40	NIKE INC CLASS B COM	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.41	INSPERITY INC	N/A	\$15,001 - \$50,000		None (or less than \$201)
15.42	OLD DOMINION FREIGHT	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.43	ONEMAIN HLDGS INC COM	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.44	PAYCOM SOF1WARE INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.45	PFIZER INC	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
15.46	PROCTER AND GAMBLE CO	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
15.47	PHILIP MORRIS	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
15.48	PNC FINANCIAL SERVICES	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.49	POOL CORP COM	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.50	ROYAL DUTCH SHELL ADR	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
15.51	RH COM	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.52	RAYTHEON TECHNOLOGIES CORP	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
15.53	STARBUCKS CORP COM	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.54	SHERWIN-WILLIAMS CO	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.55	CONSTELLATION BRANDS INC COM	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.56	AT&T INC COM USD1	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
15.57	TRIMBLE INC COM	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.58	THE TRADE DESK INC COM	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.59	TTEC HLDGS INC COM	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.60	UNITED RENTALS INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.61	US BANCORP	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
15.62	WATERS CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
15.63	WILLIAMS-SONOMA INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.64	YETI HLDGS INC COM	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.65	ZOETIS INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.66	BUFFALO RIDGE MET DIST COLO GO REF	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
15.67	COLORADO WTR RES & PWR DEV AUTH WTR RES	N/A	\$50,001 - \$100,000	Interest	\$201 - \$1,000
15.68	GRAND RIVER HOSP DIST COLO GO BONDS	N/A	\$15,001 - \$50,000		None (or less than \$201)
15.69	LARIMER CNTY COLO CTFS PARTN CTFS	N/A	\$50,001 - \$100,000		None (or less than \$201)
15.70	PLATTE RIV PWR AUTH COLO PWR REV PWR REV	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
15.71	WELL AUGMENTATION SUBDIST CENT COLO	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
15.72	WESTERN ST COLO UNIV AUXILIARY FACS REV	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
15.73	Accenture Plc (ACN)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$5,001 - \$15,000
15.74	Abbvie	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$1,001 - \$2,500
15.75	CME Group, Inc. (CME)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
15.76	Capital One Financial Corp. (COF)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
15.77	IBM	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
15.78	Johnson & Johnson	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
15.79	PepsiCo, Inc. (PEP)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
15.80	Honeywell International, Inc. (HON)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$1,001 - \$2,500
15.81	Mondalez International Inc.	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
15.82	Allergan Plc (AGN)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$1,001 - \$2,500
15.83	WellCare Health Plans, Inc. (WCG)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
15.84	Baxter International, Inc. (BAX)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
15.85	Applied Materials, Inc. (AMAT)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
15.86	Advanced Micro Devices, Inc. (AMD)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
15.87	Boston Scientific Corp. (BSX)	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
16	Managed Investment Account #2	No			
16.1	Fidelity Government Cash Reserves (FDRXX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
16.2	ALABAMA STATE GO REF BONDS SER.2014-A	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
16.3	BENTON & LINN CNTYS NO 509J	N/A	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
16.4	BEXAR COUNTY TEX CTFS OF OBLIG SER.2013 A	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
16.5	CALIFORNIA STATE VAR PURP GO BDS	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
16.6	CALIFORNIA STATE PUBLIC WKS BRD LEASE REV	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
16.7	CLEAR CREEK TEX INDEPENDENT SCHOOL DISTRICT UNLTD	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
16.8	COLORADO ST BLDG EXCELLENT SCHS TODAY	N/A	\$15,001 - \$50,000		None (or less than \$201)
16.9	FLORIDA ST BRD ED PUB ED CAP OUTLAY	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
16.10	KANSAS CITY MO SAN SWR SYS REV IMPT AND	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
16.11	KATY TEXAS INDEPENDENT SCHOOL DISTRICT ULTD TAX BDS	N/A	\$15,001 - \$50,000		None (or less than \$201)
16.12	MISSISSIPPI ST GO REF BDS SER.2012F	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
16.13	OHIO STATE WATER DEV AUTHORITY REV REV BDS SER.	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
16.14	OKLAHOMA CITY OKLA GO BDS SER. 2017	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
16.15	PALM BEACH COUNTY FL SCHOOL BOARD CTFS PARTN	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
16.16	PLANO TEXAS GO BDS SER.	N/A	\$15,001 - \$50,000	Interest	\$2,501 - \$5,000
16.17	PRINCE GEORGES COUNTY MD GO CONSOLIDATED	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
16.18	SANTA CRUZ CALIF CITY HIGH SCH DIST	N/A	\$15,001 - \$50,000	Interest	\$2,501 - \$5,000
16.19	TEXAS WATER DEV BRD ST	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
16.20	UNIVERSITY SOUTH CAROLINA ATHLETIC FACILITIES REV	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
16.21	WALLER TEX INDPT SCH DIST UNLTD TAX BLDG	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
16.22	Georgia St 5.000 02/01/20 MATd373384R32	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
16.23	Indianapolis Ind Loc Pub Impt	N/A	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
16.24	Metropolitan Transn Auth N Y 5.000 11/15/20 MATd59259NF65	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
17	Mineral Lease (Midland County, Texas)	N/A	\$1,001 - \$15,000	Rent or Royalties	\$2,501 - \$5,000
18	Family Trust #2	No			
18.1	Pegasus Rino Owner, LLC (hotel property)	N/A	\$50,001 - \$100,000		None (or less than \$201)
19	Family Trust #3				
19.1	Prudential (Whole life Insurance)	N/A	\$500,001 - \$1,000,000		None (or less than \$201)

7. Transactions

(N/A) - Not required for this type of report

8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Citibank	Capital Loan for Equity Interest held in Hogan Lovells	\$500,001 - \$1,000,000	2007	2.3%	Annual, interest only; upon my resignation from the firm, I would simply return my equity interest to the firm and the loan would be terminated.

9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

Endnotes

PART	#	ENDNOTE
1.	2	Underlying assets are not reportable.
2.	1.7	This payment was received subsequent to the signing date of this report.

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

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