Executive Branch Personnel

Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Stanton, Catherine A

General Counsel, Armed Services Board of Contract Appeals

Report Year: 2021

Other Federal Government Positions Held During the Preceding 12 Months:
None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Stanton, Catherine A [electronically signed on 02/19/2021 by Stanton, Catherine A in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).
/s/ Thrasher, John J, Certifying Official [electronically signed on 03/08/2021 by Thrasher, John J in Integrity.gov]

Other review conducted by
/s/ Gardin, Jeffrey D, Ethics Official [electronically signed on 03/04/2021 by Gardin, Jeffrey D in Integrity.gov]

U.S. Office of Government Ethics Certification

/s/ Granahan, Megan, Certifying Official [electronically signed on 03/10/2021 by Granahan, Megan in Integrity.gov]

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Fairview Woods Community Association HOA	Fairfax Station, Virginia	Homeowners' Association	President	6/2017	8/2020

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	MSCI Emerging Markets ETF See Endnote	Yes	$1,001 - $15,000		None (or less than $201)
2	Ishares Core S&P Mid-Cap ETF	Yes	$1,001 - $15,000		None (or less than $201)
3	Ishares Core S&P Small Cap ETF IV	Yes	$1,001 - $15,000		None (or less than $201)
4	Vanguard FTSE Developed Markets ETF	Yes	$1,001 - $15,000		None (or less than $201)
5	Longboard Managed Futures Strategy I	Yes	$15,001 - $50,000		None (or less than $201)
6	SPDR Fund Communications ETF	Yes	$1,001 - $15,000		None (or less than $201)
7	Select Sector Industrialspdr ETF IV	Yes	$1,001 - $15,000		None (or less than $201)
8	SPDR Fund Technology ETF	Yes	$1,001 - $15,000		None (or less than $201)
9	SPDR Fund Consumer Staples ETF	Yes	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
10	Select Sector Health CareSPDR ETF		Yes	$1,001 - $15,000		None (or less than $201)
11	SPDR Fund Consumer Discretionary ETF		Yes	$1,001 - $15,000		None (or less than $201)

3. Filer's Employment Agreements and Arrangements

None

4. Filer's Sources of Compensation Exceeding $5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	MSCI Emerging Markets ETF	See Endnote	Yes	$15,001 - $50,000		None (or less than $201)
2	Ishares Core S&P Mid-Cap ETF		Yes	$15,001 - $50,000		None (or less than $201)
3	Ishares Core S&P Small-Cap ETF IV		Yes	$15,001 - $50,000		None (or less than $201)
4	Arrow Managed Futures Strategy A		Yes	$1,001 - $15,000		None (or less than $201)
5	Vanguard FTSE Developed Markets ETF		Yes	$15,001 - $50,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6	Longboard Managed Futures Strategy I	Yes	$15,001 - $50,000		None (or less than $201)
7	SPDR Fund Materials Select SE ETF IV	Yes	$1,001 - $15,000		None (or less than $201)
8	SPDR Fund Communications ETF	Yes	$1,001 - $15,000		None (or less than $201)
9	Energy Select Sector SPDR ETF	Yes	$1,001 - $15,000		None (or less than $201)
10	Select Sector Industrials SPDR ETF IV	Yes	$1,001 - $15,000		None (or less than $201)
11	SPDR Fund Technology ETF	Yes	$15,001 - $50,000		None (or less than $201)
12	SPDR Fund Consumer Staples ETF	Yes	$1,001 - $15,000		None (or less than $201)
13	Real Estate Select Sctr SPDR ETF	Yes	$1,001 - $15,000		None (or less than $201)
14	Select Sector Health Care SPDR ETF	Yes	$1,001 - $15,000		None (or less than $201)
15	SPDR Fund Consumer Discretionary ETF	Yes	$1,001 - $15,000		None (or less than $201)

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	USAA cash accounts (J)	No	$15,001 - $50,000	Interest	None (or less than $201)
2	USAA 529 Plan (Nevada) - In College Portfolio (F) See Endnote	Yes	$50,001 - $100,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3	CIT Bank cash account (J)	No	$100,001 - $250,000	Interest	$1,001 - $2,500
4	MSCI Emerging Markets ETF (J)	Yes	$15,001 - $50,000		$201 - $1,000
5	Ishares Core S&P Mid-Cap ETF (J)	Yes	$1,001 - $15,000		$1,001 - $2,500
6	Ishares Core S&P Small Cap ETF IV (J)	Yes	$15,001 - $50,000		None (or less than $201)
7	Vanguard FTSE Developed Markets ETF (J)	Yes	$1,001 - $15,000		$1,001 - $2,500
8	LONGBOARD MANAGED FUTURES STRATEGY I (J)	Yes	$50,001 - $100,000		$1,001 - $2,500
9	SPDR FUND COMMUNICATIONS ETF (J)	Yes	$1,001 - $15,000		None (or less than $201)
10	SELECT SECTOR INDUSTRIALSPDR ETF IV (J)	Yes	$1,001 - $15,000		None (or less than $201)
11	SPDR FUND TECHNOLOGY ETF (J)	Yes	$1,001 - $15,000		None (or less than $201)
12	SPDR FUND CONSUMER STAPLES ETF (J)	Yes	$1,001 - $15,000		None (or less than $201)
13	SELECT SECTOR HEALTH CARESPDR ETF (J)	Yes	$1,001 - $15,000		None (or less than $201)
14	SPDR FUND CONSUMER DISCRETIONARY ETF (J)	Yes	$1,001 - $15,000		None (or less than $201)
15	SCHWAB US AGGREGATE BONDETF (J)	Yes	None (or less than $1,001)		$201 - $1,000

7. Transactions

#	DESCRIPTION		TYPE	DATE	AMOUNT
1	SCHWAB US SMALL CAP ETF (FR)	See Endnote	Purchase	01/15/2020	$1,001 - $15,000
2	SCHWAB INT DEV MARKETS ETF (FR)		Purchase	01/15/2020	$1,001 - $15,000
3	VANGUARD S&P500 ETF (FR)		Purchase	01/15/2020	$1,001 - $15,000
4	SCHWAB US SMALL CAP ETF (J)	See Endnote	Sale	03/17/2020	$15,001 - $50,000
5	SCHWAB INT DEV MARKETS ETF (J)		Sale	03/17/2020	$15,001 - $50,000
6	VANGUARD S&P500 ETF (J)		Sale	03/17/2020	$15,001 - $50,000
7	SCHWAB US SMALL CAP ETF (SR)	See Endnote	Sale	03/17/2020	$15,001 - $50,000
8	SCHWAB INT DEV MARKETS ETF (SR)		Sale	03/17/2020	$1,001 - $15,000
9	VANGUARD S&P500 ETF (SR)		Sale	03/17/2020	$1,001 - $15,000
10	VANGUARD S&P500 ETF (FR)		Sale	03/17/2020	$1,001 - $15,000
11	SCHWAB INT DEV MARKETS ETF (FR)		Sale	03/17/2020	$1,001 - $15,000
12	VANGUARD S&P500 ETF (FR)		Sale	03/17/2020	$15,001 - $50,000
13	SCHWAB SHORT TERM US TREASURY ETF IV (J)		Purchase	03/24/2020	$50,001 - $100,000
14	SCHWAB SHORT TERM US TREASURY ETF IV (SR)		Purchase	03/24/2020	$15,001 - $50,000
15	SCHWAB SHORT TERM US TREASURY ETF IV (FR)		Purchase	03/24/2020	$15,001 - $50,000
16	SCHWAB US AGGREGATE BONDETF (J)		Purchase	03/31/2020	$15,001 - $50,000
17	SCHWAB SHORT TERM US TREASURY ETF IV (J)		Sale	03/31/2020	$15,001 - $50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
18	SCHWAB US AGGREGATE BONDETF (SR)	Purchase	03/31/2020	$15,001 - $50,000
19	SCHWAB SHORT TERM US TREASURY ETF IV (SR)	Sale	03/31/2020	$15,001 - $50,000
20	SCHWAB US AGGREGATE BONDETF (FR)	Purchase	03/31/2020	$15,001 - $50,000
21	SCHWAB SHORT TERM US TREASURY ETF IV (FR)	Sale	03/31/2020	$15,001 - $50,000
22	SELECT SECTOR HEALTH CARESPDR ETF (J)	Purchase	04/14/2020	$1,001 - $15,000
23	SCHWAB US AGGREGATE BONDETF (SR)	Purchase	04/14/2020	$15,001 - $50,000
24	SCHWAB SHORT TERM US TREASURY ETF IV (SR)	Purchase	04/14/2020	$15,001 - $50,000
25	SELECT SECTOR HEALTH CARESPDR ETF (SR)	Purchase	04/14/2020	$1,001 - $15,000
26	SELECT SECTOR HEALTH CARESPDR ETF (FR)	Purchase	04/14/2020	$1,001 - $15,000
27	SCHWAB US AGGREGATE BONDETF (FR)	Sale	04/14/2020	$1,001 - $15,000
28	SPDR FUND CONSUMER STAPLES ETF (J)	Purchase	04/21/2020	$1,001 - $15,000
29	SPDR FUND CONSUMER STAPLES ETF (J)	Purchase	04/21/2020	$1,001 - $15,000
30	SCHWAB US AGGREGATE BONDETF (J)	Sale	04/21/2020	$1,001 - $15,000
31	SCHWAB SHORT TERM US TREASURY ETF IV (J)	Sale	04/21/2020	$1,001 - $15,000
32	SPDR FUND CONSUMER STAPLES ETF (SR)	Purchase	04/21/2020	$1,001 - $15,000
33	SPDR FUND TECHNOLOGY ETF (SR)	Purchase	04/21/2020	$1,001 - $15,000
34	SCHWAB US AGGREGATE BONDETF (SR)	Sale	04/21/2020	$1,001 - $15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
35	SCHWAB SHORT TERM US TREASURY ETF IV (SR)	Sale	04/21/2020	$1,001 - $15,000
36	SPDR FUND CONSUMER STAPLES ETF (FR)	Purchase	04/21/2020	$1,001 - $15,000
37	SPDR FUND TECHNOLOGY ETF (FR)	Purchase	04/21/2020	$1,001 - $15,000
38	SCHWAB US AGGREGATE BONDETF (FR)	Sale	04/21/2020	$1,001 - $15,000
39	SCHWAB SHORT TERM US TREASURY ETF IV (FR)	Sale	04/21/2020	$1,001 - $15,000
40	SPDR FUND CONSUMER DISCRETIONARY ETF (J)	Purchase	04/28/2020	$1,001 - $15,000
41	SPDR FUND COMMUNICATIONS ETF (J)	Purchase	04/28/2020	$1,001 - $15,000
42	SCHWAB US AGGREGATE BONDETF (J)	Sale	04/28/2020	$1,001 - $15,000
43	SCHWAB SHORT TERM US TREASURY ETF IV (J)	Sale	04/28/2020	$1,001 - $15,000
44	SPDR FUND CONSUMER DISCRETIONARY ETF (SR)	Purchase	04/28/2020	$1,001 - $15,000
45	SPDR FUND COMMUNICATIONS ETF (SR)	Purchase	04/28/2020	$1,001 - $15,000
46	SCHWAB US AGGREGATE BONDETF (SR)	Sale	04/28/2020	$1,001 - $15,000
47	SCHWAB SHORT TERM US TREASURY ETF IV (SR)	Sale	04/28/2020	$1,001 - $15,000
48	SPDR FUND CONSUMER DISCRETIONARY ETF (FR)	Purchase	04/28/2020	$1,001 - $15,000
49	SPDR FUND COMMUNICATIONS ETF (FR)	Purchase	04/28/2020	$1,001 - $15,000
50	SCHWAB US AGGREGATE BONDETF (J)	Sale	05/05/2020	$15,001 - $50,000
51	SCHWAB SHORT TERM US TREASURY ETF IV (J)	Sale	05/05/2020	$15,001 - $50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
52	MSCI EMERGING MARKETS ETF (J)	Purchase	05/05/2020	$1,001 - $15,000
53	ISHARES CORE S&P MID-CAPETF (J)	Purchase	05/05/2020	$1,001 - $15,000
54	ISHARES CORE S&P SMALL CAP ETF IV (J)	Purchase	05/05/2020	$1,001 - $15,000
55	MSCI EMERGING MARKETS ETF (SR)	Purchase	05/05/2020	$15,001 - $50,000
56	ISHARES CORE S&P MID-CAPETF (SR)	Purchase	05/05/2020	$1,001 - $15,000
57	ISHARES CORE S&P SMALL CAP ETF IV (SR)	Purchase	05/05/2020	$15,001 - $50,000
58	SPDR FUND MATERIALS SELECT SE ETF IV (SR)	Purchase	05/05/2020	$1,001 - $15,000
59	ENERGY SELECT SECTOR SPDR ETF (SR)	Purchase	05/05/2020	$1,001 - $15,000
60	VANGUARD FTSE DEVELOPED MARKETS ETF (SR)	Purchase	05/05/2020	$15,001 - $50,000
61	SCHWAB US AGGREGATE BONDETF (SR)	Sale	05/05/2020	$15,001 - $50,000
62	SCHWAB SHORT TERM US TREASURY ETF IV (SR)	Sale	05/05/2020	$15,001 - $50,000
63	MSCI EMERGING MARKETS ETF (FR)	Purchase	05/05/2020	$1,001 - $15,000
64	ISHARES CORE S&P MID-CAPETF (FR)	Purchase	05/05/2020	$1,001 - $15,000
65	ISHARES CORE S&P SMALL CAP ETF IV (FR)	Purchase	05/05/2020	$1,001 - $15,000
66	VANGUARD FTSE DEVELOPED MARKETS ETF (FR)	Purchase	05/05/2020	$1,001 - $15,000
67	SCHWAB US AGGREGATE BONDETF (FR)	Sale	05/05/2020	$15,001 - $50,000
68	SCHWAB SHORT TERM US TREASURY ETF IV (FR)	Sale	05/05/2020	$15,001 - $50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
69	SPDR FUND CONSUMER STAPLES ETF (J)	Sale	05/12/2020	$1,001 - $15,000
70	SCHWAB US AGGREGATE BONDETF (SR)	Purchase	05/12/2020	$1,001 - $15,000
71	SCHWAB SHORT TERM US TREASURY ETF IV (SR)	Purchase	05/12/2020	$1,001 - $15,000
72	SPDR FUND CONSUMER STAPLES ETF (SR)	Sale	05/12/2020	$1,001 - $15,000
73	SPDR FUND CONSUMER STAPLES ETF (FR)	Sale	05/12/2020	$1,001 - $15,000
74	ISHARES CORE S&P SMALL CAP ETF IV (J)	Sale	05/19/2020	$1,001 - $15,000
75	SCHWAB US AGGREGATE BONDETF (J)	Purchase	05/19/2020	$1,001 - $15,000
76	SCHWAB SHORT TERM US TREASURY ETF IV (J)	Purchase	05/19/2020	$1,001 - $15,000
77	SCHWAB US AGGREGATE BONDETF (SR)	Purchase	05/19/2020	$1,001 - $15,000
78	SCHWAB SHORT TERM US TREASURY ETF IV (SR)	Purchase	05/19/2020	$1,001 - $15,000
79	ISHARES CORE S&P SMALL CAP ETF IV (SR)	Sale	05/19/2020	$15,001 - $50,000
80	SCHWAB US AGGREGATE BONDETF (FR)	Purchase	05/19/2020	$1,001 - $15,000
81	SCHWAB SHORT TERM US TREASURY ETF IV (FR)	Purchase	05/19/2020	$1,001 - $15,000
82	ISHARES CORE S&P SMALL CAP ETF IV (FR)	Sale	05/19/2020	$1,001 - $15,000
83	SELECT SECTOR INDUSTRIALSPDR ETF IV (J)	Purchase	05/22/2020	$1,001 - $15,000
84	SELECT SECTOR INDUSTRIALSPDR ETF IV (SR)	Purchase	05/22/2020	$1,001 - $15,000
85	SCHWAB US AGGREGATE BONDETF (SR)	Sale	05/22/2020	$1,001 - $15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
86	SCHWAB SHORT TERM US TREASURY ETF IV (SR)	Sale	05/22/2020	$1,001 - $15,000
87	SELECT SECTOR INDUSTRIALSPDR ETF IV (FR)	Purchase	05/22/2020	$1,001 - $15,000
88	SPDR FUND CONSUMER STAPLES ETF (J)	Purchase	06/01/2020	$1,001 - $15,000
89	SCHWAB SHORT TERM US TREASURY ETF (J)	Sale	06/01/2020	$1,001 - $15,000
90	SCHWAB US AGGREGATE BONDETF (J)	Sale	06/01/2020	$1,001 - $15,000
91	SPDR FUND CONSUMER STAPLES ETF (SR)	Purchase	06/01/2020	$1,001 - $15,000
92	SELECT SECTOR UTI SELECTSPDR ETF (SR)	Purchase	06/01/2020	$1,001 - $15,000
93	SCHWAB SHORT TERM US TREASURY ETF (SR)	Sale	06/01/2020	$1,001 - $15,000
94	SCHWAB US AGGREGATE BONDETF (SR)	Sale	06/01/2020	$1,001 - $15,000
95	SPDR FUND CONSUMER STAPLES ETF (FR)	Purchase	06/01/2020	$1,001 - $15,000
96	ENERGY SELECT SECTOR SPDR ETF (SR)	Sale	06/26/2020	$1,001 - $15,000
97	SCHWAB US AGGREGATE BONDETF (J)	Purchase	07/01/2020	$1,001 - $15,000
98	SCHWAB SHORT TERM US TREASURY ETF (J)	Purchase	07/01/2020	$1,001 - $15,000
99	SELECT SECTOR INDUSTRIALSPDR ETF (J)	Sale	07/01/2020	$1,001 - $15,000
100	ISHARES CORE S&P MID-CAPETF (J)	Sale	07/01/2020	$1,001 - $15,000
101	VANGUARD FTSE DEVELOPED MARKETS ETF (J)	Sale	07/01/2020	$1,001 - $15,000
102	SCHWAB US AGGREGATE BONDETF (SR)	Purchase	07/01/2020	$15,001 - $50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
103	SCHWAB SHORT TERM US TREASURY ETF (SR)	Purchase	07/01/2020	$15,001 - $50,000
104	SPDR FUND MATERIALS SELECT SECTR ETF (SR)	Sale	07/01/2020	$1,001 - $15,000
105	SELECT SECTOR INDUSTRIALSPDR ETF (SR)	Sale	07/01/2020	$1,001 - $15,000
106	ISHARES CORE S&P MID-CAPETF (SR)	Sale	07/01/2020	$1,001 - $15,000
107	ISHARES CORE S&P MID-CAPETF (SR)	Sale	07/01/2020	$15,001 - $50,000
108	VANGUARD FTSE DEVELOPED MARKETS ETF (SR)	Sale	07/01/2020	$15,001 - $50,000
109	SCHWAB US AGGREGATE BONDETF (FR)	Purchase	07/01/2020	$1,001 - $15,000
110	SCHWAB SHORT TERM US TREASURY ETF (FR)	Purchase	07/01/2020	$1,001 - $15,000
111	SELECT SECTOR INDUSTRIALSPDR ETF (FR)	Sale	07/01/2020	$1,001 - $15,000
112	ISHARES CORE S&P MID-CAPETF (FR)	Sale	07/01/2020	$1,001 - $15,000
113	VANGUARD FTSE DEVELOPED MARKETS ETF (FR)	Sale	07/01/2020	$1,001 - $15,000
114	VANGUARD FTSE DEVELOPED MATS ETF IV (J)	Purchase	07/17/2020	$1,001 - $15,000
115	SCHWAB SHORT TERM US TREASURY ETF IV (J)	Sale	07/17/2020	$1,001 - $15,000
116	SCHWAB US AGGREGATE BONDETF (J)	Sale	07/17/2020	$1,001 - $15,000
117	VANGUARD FTSE DEVELOPED MATS ETF IV (SR)	Purchase	07/17/2020	$15,001 - $50,000
118	SPDR FUND MATERIALS SELECT SE ETF IV (SR)	Purchase	07/17/2020	$1,001 - $15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
119	SPDR FUND MATERIALS SELECT SE ETF IV (SR)	Sale	07/17/2020	$1,001 - $15,000
120	SCHWAB US AGGREGATE BONDETF (SR)	Sale	07/17/2020	$1,001 - $15,000
121	VANGUARD FTSE DEVELOFR)PED MATS ETF IV (FR)	Purchase	07/17/2020	$1,001 - $15,000
122	SCHWAB SHORT TERM US TREASURY ETF IV (FR)	Sale	07/17/2020	$1,001 - $15,000
123	SCHWAB US AGGREGATE BONDETF (FR)	Sale	07/17/2020	$1,001 - $15,000
124	ARROW MANAGED FUTURES STRATEGY A (SR)	Purchase	07/30/2020	$1,001 - $15,000
125	SCHWAB SHORT TERM US TREASURY ETF IV (SR)	Sale	07/30/2020	$1,001 - $15,000
126	SCHWAB US AGGREGATE BONDETF (SR)	Sale	07/30/2020	$1,001 - $15,000
127	ISHARES CORE S&P MID-CAPETF (J)	Purchase	08/07/2020	$1,001 - $15,000
128	SCHWAB SHORT TERM US TREASURY ETF IV (J)	Sale	08/07/2020	$1,001 - $15,000
129	SCHWAB US AGGREGATE BONDETF (J)	Sale	08/07/2020	$1,001 - $15,000
130	ISHARES CORE S&P MID-CAPETF (SR)	Purchase	08/07/2020	$15,001 - $50,000
131	ENERGY SELECT SECTOR SPDR ETF (SR)	Purchase	08/07/2020	$1,001 - $15,000
132	SCHWAB SHORT TERM US TREASURY ETF IV (SR)	Sale	08/07/2020	$1,001 - $15,000
133	SCHWAB US AGGREGATE BONDETF (SR)	Sale	08/07/2020	$1,001 - $15,000
134	ISHARES CORE S&P MID-CAPETF (FR)	Purchase	08/07/2020	$1,001 - $15,000
135	SCHWAB SHORT TERM US TREASURY ETF IV (FR)	Sale	08/07/2020	$1,001 - $15,000
136	SCHWAB US AGGREGATE BONDETF (FR)	Sale	08/07/2020	$1,001 - $15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
137	ISHARES CORE S&P SMALL CAP ETF IV (J)	Purchase	08/14/2020	$1,001 - $15,000
138	SELECT SECTOR INDUSTRIALSPDR ETF IV (J)	Purchase	08/14/2020	$1,001 - $15,000
139	SCHWAB US AGGREGATE BONDETF (J)	Sale	08/14/2020	$1,001 - $15,000
140	SCHWAB SHORT TERM US TREASURY ETF IV (J)	Sale	08/14/2020	$1,001 - $15,000
141	ISHARES CORE S&P SMALL CAP ETF IV (SR)	Purchase	08/14/2020	$1,001 - $15,000
142	SELECT SECTOR INDUSTRIALSPDR ETF IV (SR)	Purchase	08/14/2020	$1,001 - $15,000
143	SCHWAB US AGGREGATE BONDETF (SR)	Sale	08/14/2020	$1,001 - $15,000
144	ISHARES CORE S&P SMALL CAP ETF IV (FR)	Purchase	08/14/2020	$1,001 - $15,000
145	SELECT SECTOR INDUSTRIALSPDR ETF IV (FR)	Purchase	08/14/2020	$1,001 - $15,000
146	SCHWAB US AGGREGATE BONDETF (FR)	Sale	08/14/2020	$1,001 - $15,000
147	SCHWAB SHORT TERM US TREASURY ETF IV (FR)	Sale	08/14/2020	$1,001 - $15,000
148	ISHARES CORE S&P SMALL CAP ETF IV (SR)	Purchase	08/28/2020	$1,001 - $15,000
149	SCHWAB SHORT TERM US TREASURY ETF IV (SR)	Sale	08/28/2020	$1,001 - $15,000
150	SELECT SECTOR UTI SELECTSPDR ETF IV (SR)	Sale	09/25/2020	$1,001 - $15,000
151	REAL ESTATE SELECT SCTR SPDR ETF (SR)	Purchase	10/13/2020	$1,001 - $15,000
152	ARROW M (ANAGED FUTURES STRATEGY A (SR)	Purchase	10/13/2020	$1,001 - $15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
153	ARROW MANAGED FUTURES STRATEGY A (SR)	Purchase	11/16/2020	$1,001 - $15,000
154	ENERGY SELECT SECTOR SPDR ETF (SR)	Purchase	11/16/2020	$1,001 - $15,000
155	LONGBOARD MANAGED FUTURES STRATEGY I (J)	Purchase	12/04/2020	$1,001 - $15,000
156	LONGBOARD MANAGED FUTURES STRATEGY I (FR)	Purchase	12/04/2020	$1,001 - $15,000

8. Liabilities

None

9. Gifts and Travel Reimbursements

None

Endnotes

PART	#	ENDNOTE
2.	1	All assets in this section are in Filer's Roth IRA.
5.	1	All assets in this section are in Spouse's Roth IRA.
6.	2	In last year's report, this was the "Conservative Portfolio" account.
7.	1	Throughout, FR = Filer's Roth IRA.
7.	4	Throughout, J = Joint Brokerage Account

PART	#	ENDNOTE
7.	7	Throughout, SR = Spouse's Roth IRA

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than $200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding $5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than $5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than $1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than $200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of $5,000 or less (unless more than $200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of $1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over $10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed $10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than $415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than $415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of $166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

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