Executive Branch Personnel PUBLIC FINANCIAL DISCLOSURE REPORT:

Periodic Transaction Report

| | h Filing | | | Department or Agency | | | | | | | | | | | | | | | | | | |
|---|---|---|---|---|--|-----------------------|--|------------------------|--|--------------------------------------|---------------------|----------|----------|---------------|--------------|--------|-----|---|----------------------|--|--|--|
| Goldberg | Beth | L | District Director | | | | | | SBA Date (Month, Day, Year) Notice of Extension | | | | | | | | | | | | | |
| Certification: I C | ERTIFY that the statemer | nts I have made | Signature of the Filer | | | Date | (Mont | th, Da | y, Yec | | Notice of Extension | | | | | | | | | | | |
| on this form and any attachments are true, complete, and correct to the best of my knowledge. Beth Goldberg | | | | 2022 | 2-08-1 | 52:21 | | | Check if granted Indicate number of days: | | | | | | | | | | | | | |
| Signature of Inte | rmediate Reviewing (| Official (if requi | red by agency) | Date (Mon | th, Day, Year | ~) | | | Agen | cy Us | e Onl | у | | | OGE Use Only | | | | | | | |
| | | | | | | | | | 08/1 | 2/202 | 2 | | | | | | | | | | | |
| Signature of Age | CERTIFY that the statements I have made any attachments are true, complete, and to f my knowledge. Signature of the Filer Beth Goldberg Itermediate Reviewing Official (<i>if required by agency</i>) gency's Final Reviewing Official ITNES Itermediate at U.S. Office of Government Ethics (<i>if required by agency</i>) Sase, sale, or exchange by you, your spouse, or dependent child of stocks, to report: (1) mutual funds and other excepted investment funds; (2) certi (4) Thrift Savings Plan accounts; (5) real property; and (6) transactions to a tater than 45 days after the transaction. These deadlines by voluntary additional disclosures you make. See the instructions. Identification of Assets s Co. ion, Inc. atalyst/Millburn Hedge Strategy Fund Class I bey Capital Futures Strategy Fund- Class I Sha | | Date (Mon | | Comments of Reviewing Officials | | | | | | | | | | | | | | | | | |
| Marilyn Barn | es | | | 2022-08-2 | 6 16:24:07 | | | | | | | | | | | | | | | | | |
| Signature of Rev | iewing Official at U.S. | . Office of Gove | rnment Ethics (if required |) Date (Mon | t <mark>h, Day, Year</mark> | ·) | | | | | | | | | | | | | | | | |
| | | ounter (5) real race | | | | | | | | | | | ints; (3 | <i>)</i> 0.3. | Tieas | iny on | 15, | | | | | |
| notes, and bonus, (4 |) Thrift Savings Plan acco | ounts; (5) real prop | | | | u, yo Tra | ur sp nsact | ouse, | | | | | | | saction | 2 | 15, | | | | | |
| Deadlines You must file your r transaction but not | report within 30 days of v later than 45 days after th voluntary additional discl | when you receive r ne transaction. The losures you make. | berty; and (6) transactions that notification of a se deadlines | Transaction Date | nd between yo Notification Received More Than 30 Days | u, yo Tra T | ur sp nsact ype (: | ouse ion x) ස | or der | benden | t child | l. Am | ount o | of Tran | saction | і (x) | | .5,000,001 - .0,000,000 | ver (0,000,000 | | | |
| Deadlines You must file your r transaction but not do not apply to any | report within 30 days of v later than 45 days after th voluntary additional discl Identifica | when you receive r ne transaction. The losures you make. | berty; and (6) transactions that notification of a se deadlines | Transaction Date (Mo./Day/Yr.) | nd between yo Notification Received More Than | Purchase L no of 'no | ur sp nsact | ouse ion x) ස | | benden | 220,000 - 200,000 | l. Am | ount o | of Tran | sactior | і (x) | | \$25,000,001 - \$50,000,000 | Over \$50,000,000 | | | |
| Deadlines You must file your r transaction but not | report within 30 days of v later than 45 days after th voluntary additional discl Identifica Co. | when you receive r ne transaction. The losures you make. | berty; and (6) transactions that notification of a se deadlines | Transaction Date | nd between yo Notification Received More Than 30 Days | u, yo Tra T | ur sp nsact ype (: | ouse ion x) ස | or der | benden | t child | l. Am | ount o | of Tran | saction | і (x) | | \$25,000,001 - \$50,000,000 | Over \$50,000,000 | | | |
| Deadlines You must file your r transaction but not do not apply to any Ex. Central Airlines C Ex. BMSL Propulsion | report within 30 days of v later than 45 days after th voluntary additional discl Identifica Co. | when you receive r the transaction. The losures you make. ation of Assets | outification of a se deadlines See the instructions. | Transaction Date (<i>Mo./Day/Yr.</i>) | nd between yo Notification Received More Than 30 Days Ago* X | X Purchase L | ur sp nsact ype (: | ouse ion x) ස | or der | \$15,000 - \$20,000 - \$20,000 | 220,000 - 200,000 | l. Am | ount o | of Tran | saction | і (x) | | \$25,000,001 - \$50,000,000 | Over \$50,000,000 | | | |
| Deadlines You must file your r transaction but not do not apply to any Ex. Central Airlines C Ex. BMSL Propulsion | report within 30 days of v later than 45 days after th voluntary additional discl Identifica Co. 1, Inc. | when you receive r the transaction. The losures you make. ation of Assets rategy Fund Clas | outification of a se deadlines See the instructions. | Transaction Date (Mo./Day/Yr.) | nd between yo Notification Received More Than 30 Days Ago* X | X Purchase L | ale Sale | ouse ion x) ස | or der | - 100,518 250,000 X | 220,000 - 200,000 | l. Am | ount o | of Tran | saction | і (x) | | \$25,000,001 - \$50,000,000 | Over \$50,000,000 | | | |
| Deadlines You must file your r transaction but not do not apply to any Ex. Central Airlines C Ex. BMSL Propulsion 1 MBXIX - Cata 2 ABYIX - Abbe | report within 30 days of v later than 45 days after th voluntary additional discl Identifica Co. 1, Inc. Ilyst/Millburn Hedge Str ey Capital Futures Strat | when you receive r the transaction. The losures you make. ation of Assets rategy Fund Class regy Fund- Class | berty; and (6) transactions that totification of a se deadlines See the instructions. s I I Sha | are solely by a Transaction Date (<i>Mo./Day/Yr.</i>) 10/1/12 9/4/12 07/01/2022 | nd between yo Notification Received More Than 30 Days Ago* X | X Purchase L | ale Sale | ouse ion x) ස | 01 - 100,12 \$15,000 | - 100,518 250,000 X | 220,000 - 200,000 | l. Am | ount o | of Tran | saction | і (x) | | \$25,000,000 - \$50,000,000 | Over \$50,000,000 | | | |
| Deadlines You must file your r transaction but not do not apply to any Ex. Central Airlines C Ex. BMSL Propulsion 1 MBXIX - Cata 2 ABYIX - Abbe 3 ABYIX - Abbe | report within 30 days of v later than 45 days after th voluntary additional discl Identifica Co. 1, Inc. Ilyst/Millburn Hedge Str ey Capital Futures Strat | when you receive r the transaction. The losures you make. ation of Assets rategy Fund Class regy Fund- Class regy Fund- Class | berty; and (6) transactions that totification of a se deadlines See the instructions. s I I Sha | are solely by a Transaction Date (<i>Mo./Day/Yr.</i>) 10/1/12 9/4/12 07/01/2022 | nd between yo Notification Received More Than 30 Days Ago* X | X X Purchase <u>L</u> | ale Sale | ouse ion x) ස | 01 - 100,12 \$15,000 | - 1000 x X X | 220,000 - 200,000 | l. Am | ount o | of Tran | saction | і (x) | | \$25,000,000 - \$50,000,000 | Over \$50,000,000 | | | |
| Deadlines You must file your r transaction but not do not apply to any Ex. Central Airlines C Ex. BMSL Propulsion ¹ MBXIX - Cata ² ABYIX - Abbe ³ ABYIX - Abbe | report within 30 days of v later than 45 days after th voluntary additional discl Identifica Co. n, Inc. Ilyst/Millburn Hedge Str ey Capital Futures Strat | when you receive r ne transaction. The losures you make. ation of Assets rategy Fund Class regy Fund- Class regy Fund- Class PDR | berty; and (6) transactions that totification of a se deadlines See the instructions. s I I Sha | are solely by a Transaction Date (<i>Mo./Day/Yr.</i>) 10/1/12 9/4/12 07/01/2022 07/01/2022 | nd between yo Notification Received More Than 30 Days Ago* X | X X Purchase <u>L</u> | ur sp nsact ype (: Sale Xale | ouse ion x) ස | or dep - 100' 18 - 100' 18 | - 1000 x X X | 220,000 - 200,000 | l. Am | ount o | of Tran | saction | і (x) | | \$25,000,000 - \$50,000,000 | Over 550,000,000 | | | |
| Deadlines You must file your r transaction but not do not apply to any Ex. Central Airlines C Ex. BMSL Propulsion ¹ MBXIX - Cata ² ABYIX - Abbe ³ ABYIX - Abbe | report within 30 days of v later than 45 days after th voluntary additional discl Identifica Co. n, Inc. Nyst/Millburn Hedge Str ey Capital Futures Strat ey Capital Futures Strat il & Gas Expl & Prod Sl 00 Energy Sector SPDR | when you receive r ne transaction. The losures you make. ation of Assets rategy Fund Class regy Fund- Class regy Fund- Class PDR | berty; and (6) transactions that totification of a se deadlines See the instructions. s I I Sha | are solely by a Transaction Date (<i>Mo./Day/Yr.</i>) 10/1/12 9/4/12 07/01/2022 07/01/2022 07/06/2022 | nd between yo Notification Received More Than 30 Days Ago* X | X X Purchase | ur sp nsact ype (: Sale Xale | ouse ion x) ස | or dep - 100'18 X | - 1000 x X X | 250,000 - 200,000 | l. Am | ount o | of Tran | saction | і (x) | | S25,000,000 - S25,000,000 - S50,000,000 | Over 000,000 | | | |

* Extensions of the due date should be noted in the "Notice of Extension" section of this form. ** This category applies only if the underlying asset is solely that of your spouse or dependent child. If the underlying asset is either held by you or jointly held by you with your spouse or dependent children, use the other higher categories of value, as appropriate.

OGE Form 278-T (January 2013) 5 C.F.R. Part 2634 U.S. Office of Government Ethics

| S COI | | ued | | | | | | | | Page | Numbe | er 2 | | |
|-----------------|-------|------------------|------|----------------------|---|---------------------|----------------------|--------------------------|------------------------|-----------------------|--------------------------|-------------------------------|--------------------------------|----------------------|
| | | nsacti ype (x | | | | | An | saction | saction (x) | | | | | |
| tion 1 an | lase | Í | je j | × \$1,001 - \$15,000 | \$ \$15,000 \$15,000 \$15,001 - \$50,000 | 50,001 - 100,000 | 100,001 - 250,000 | \$250,001 - \$500,000 | 500,001 - 1,000,000 | Over \$1,000,000** | 1,000,001 - 5,000,000 | \$5,000,001 - \$25,000,000 | \$25,000,001 - \$50,000,000 | Over © 50 000 000 |
| | | X | | | | \$5 \$1 | <u>s</u> s | <u>69</u> 69 | <u>s</u> s | ~ ~ | <u>s</u> s | <u>s</u> s | 88 | Ó ¥ |
| | × | | | X | | | | | | | | | | |
| | × | | | X | | | | | | | | | | |
| | × | | | × | | | | | | | | | | |
| | × | | | X | | | | | | | | | | |
| | × | | | × | | | | | | | | | | |
| | | × | | X | | | | | | | | | | |
| | | × | | × | | | | | | | | | | |
| | | × | | × | | | | | | | | | | |
| | × | | | X | | | | | | | | | | |
| | × | | | × | | | | | | | | | | |
| | | × | | X | | | | | | | | | | |
| | × | | | X | | | | | | | | | | |
| | × | | | × | | | | | | | | | | |
| | | × | | × | | | | | | | | | | |
| | | × | | × | | | | | | | | | | |
| e u | Inder | | | | | | | | | | | | | |

OGE Form 278-T (January 2013) 5 C.F.R. Part 2634 U.S. Office of Government Ethics

| | er's Name | Beth | L | | | | | | actions co | | nuec | 1 | | | | | | | Page | Numbo | er 3 | | |
|----|---|----------------|--|--------------------------------------|--|--------------|--|--------------------------------|-------------------|--------------------|---------------------|----------------------|----------------------|----------------------------|-----------------------|--------------------------|-------------------------------|--------------------------------|----------------------|---------------|---------------------|---------------------------|-------------------------|
| | | | | | | | | | | | ansact | | | | | Ar | saction (x) | | | | | | |
| | Identification of Assets | | | Transaction Date (Mo./Day/Yr.) | Notification Received More Than 30 Days | Purchase | Sale | | 1,001 - 15,000 | 15,001 - 50,000 | 50,001 - 100,000 | 100,001 - 250,000 | 250,001 - 500,000 | \$500,001 - \$1,000,000 | Over \$1,000,000** | 1,000,001 - 5,000,000 | \$5,000,001 - \$25,000,000 | \$25,000,001 - \$50,000,000 | Over s so ooo ooo | | | | |
| 1 | MSFT - Mic | | lucification | | 1105010 | | | 07/27/2022 | Ago* | | × | | × × | <u>s s</u> | <u> </u> | \$1 \$2 | <u>~~</u> | \$ \$ | e C | 88 | \$ \$ | 88 | 03 |
| 2 | CAT - Cate | rpillar Inc | | | | | | 07/27/2022 | 2 | | × | | × | | | | | | | | | | |
| 3 | MRNA - Mo | oderna Inc | | | | | | 07/27/2022 | | × | | | × | | | | | | | | | | |
| 4 | MS - Morga | an Stanley | | | | | | 07/27/2022 | 2 | × | | | × | | | | | | | | | | |
| 5 | LYB - Lyon | dellbasell Ind | dustries NV | | | | | 07/27/2022 | | × | | | × | | | | | | | | | | |
| 6 | ADI - Analo | g Devices | | | | | | 07/27/2022 | 2 | × | | | × | | | | | | | | | | |
| 7 | JPM - JP N | lorgan Chase | e & Company | ıy | | | | 07/27/2022 | | × | | | × | | | | | | | | | | |
| 8 | DOCU - Do | ocusign Inc | | | | | | 07/27/2022 | 2 | × | | | × | | | | | | | | | | |
| 9 | KMX - Carr | max Inc | | | | | | 07/27/2022 | | × | | | × | | | | | | | | | | |
| 10 | META - Me | ta Platforms | Inc | | | | | 07/27/2022 | | × | | | × | | | | | | | | | | |
| 11 | INTU - Intui | it Inc | | | | | | 07/27/2022 | 2 | × | | | × | | | | | | | | | | |
| 12 | AAPL - App | ole Inc | | | | | | 07/27/2022 | | × | | | × | | | | | | | | | | |
| 13 | AMZN - Am | nazon.com In | IC | | | | | 07/27/2022 | 2 | × | | | | × | | | | | | | | | |
| 14 | CARG - Ca | rgurus Inc Cl | IA | | | | | 07/27/2022 | | × | | | × | | | | | | | | | | |
| 15 | GOOG - Al | phabet CI C | | | | | | 07/27/2022 | | × | | | × | | | | | | | | | | |
| 16 | TSM - Taiw | van Semicono | ductor ADR | | | | | 07/27/2022 | 2 | × | | | × | | | | | | | | | | |
| 16 | TSM - Taiw * Extensions ** This categ | of the due dat | te should be not the should be | erlyi | ing asset | is solely th | Extension" secti at of your spou as appropriate. | 07/27/2022 on of this form. | | × | | et is ei | × | eld by j | you or | jointly | y held | [1 | l by you | by you with y | by you with your sp | l by you with your spouse | by you with your spouse |

OGE Form 278-T (January 2013) 5 C.F.R. Part 2634 U.S. Office of Government Ethics

| Filer's Name Goldberg Beth L | | actions co se only if need | | nuec | 1 | | | | | | | Page | Numbe Z | | | |
|---|--------------------------------------|--|----------|--------|----------|-------------------------------|------------------|-------------------------|--------------------|--------------------|----------------------------|-----------------------|------------------------------|---------------------------|--------------------------------|------|
| | | | | ansact | | | | | An | saction (x) | | | | | | |
| | Transaction Date (Mo./Day/Yr.) | Notification Received More Than 30 Days | Purchase | Sale | Exchange | ,001 - 5,000 | 5,001 - 0,000 | \$50,001 - \$100,000 | 00,001 - 50,000 | 50,001 - 00,000 | \$500,001 - \$1,000,000 | Over \$1,000,000** | \$1,000,001 - \$5,000,000 | ;,000,001 - :5,000,000 | \$25,000,001 - \$50,000,000 | Over |
| Identification of Assets 1 WDAY - Workday Inc | 07/27/2022 | Ago* | × | S | ш | $\times \frac{^{81}}{^{812}}$ | \$1 \$5 | \$5 \$1 | \$1 \$2 | \$ 23 | \$5 \$1 | 0 \$1 | \$1 \$5 | \$3 \$2 | \$2 \$3 | Ó |
| ² CRM - Salesforce Inc | 07/27/2022 | | × | | | × | | | | | | | | | | |
| ³ KKR - KKR & Co. LP | 07/27/2022 | | × | | | × | | | | | | | | | | |
| ⁴ ABYIX - Abbey Capital Futures Strategy Fund- Class I Sha | 07/27/2022 | | | × | | × | | | | 1 | | | | | | |
| ⁵ JEPIX - Jpmorgan Equity Premium Income Fund Class I | 07/27/2022 | | × | | | × | | | | | | | | | | |
| ⁶ LSWWX - Loomis Sayles Global Allocation Fund Class Y | 07/29/2022 | | × | | | × | | | | | | | | | | Γ |
| 7 KWEB - KS Trust KS CSI China Internet ETF | 07/29/2022 | | | × | | × | | | | | | | | | | Γ |
| ⁸ KWEB - KS Trust KS CSI China Internet ETF | 07/29/2022 | | | × | | × | | | | | | | | | | |
| ⁹ PG - Procter & Gamble Company | 07/29/2022 | | | × | | × | | | | | | | | | | |
| 10 XLE - S&P 500 Energy Sector SPDR | 07/29/2022 | | × | | | × | | | | | | | | | | |
| LSWWX - Loomis Sayles Global Allocation Fund Class Y | 07/29/2022 | | × | | | × | | | | | | | | | | |
| ¹² XBI - S&P Biotech SPDR | 08/01/2022 | | | × | | × | | | | | | | | | | |
| ¹³ DVY - Select Dividend Ishares ETF | 08/03/2022 | | | × | | × | | | | | | | | | | |
| IGV - North American Tech-Software Ishares ETF | 08/03/2022 | | × | | | × | | | | | | | | | | |
| ¹⁵ DVY - Select Dividend Ishares ETF | 08/04/2022 | | | × | | | × | | | | | | | | | |
| ¹⁶ RSP - S&P 500 EW Invesco ETF | 08/04/2022 | | × | | | | × | | | | | | | | | |

Reviewer Comments and Submission History for Beth Goldberg

beth.goldberg@sba.gov - Beth Goldberg

08/12/2022 11:52:22 Filing Submitted