Executive Branch Personnel
Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Kaye, Janice A

DAEO, Office of the U.S. Trade Representative

Report Year: 2018

Other Federal Government Positions Held During the Preceding 12 Months:
None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Kaye, Janice A [electronically signed on 03/07/2018 by Kaye, Janice A in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).
/s/ Ricker, Monique T, Certifying Official [electronically signed on 03/14/2018 by Ricker, Monique T in Integrity.gov]

Other review conducted by

U.S. Office of Government Ethics Certification
/s/ Skalla, Daniel L, Certifying Official [electronically signed on 03/20/2018 by Skalla, Daniel L in Integrity.gov]

1. Filer's Positions Held Outside United States Government

None

2. Filer's Employment Assets & Income and Retirement Accounts

None

3. Filer's Employment Agreements and Arrangements

None

4. Filer's Sources of Compensation Exceeding $5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

None

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Charles Schwab cash account	N/A	$15,001 - $50,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2	US bank accounts (checking, savings, money market)	N/A	$100,001 - $250,000	Interest	$201 - $1,000
3	Extra Space Storage REIT (EXR)	N/A	$1,001 - $15,000		None (or less than $201)
4	American Tower Corp REIT (AMT)	N/A	$1,001 - $15,000		None (or less than $201)
5	AT&T Inc stock (T)	N/A	$1,001 - $15,000		None (or less than $201)
6	Alphabet Inc stock (GOOGL)	N/A	$1,001 - $15,000		None (or less than $201)
7	Amgen Inc stock (AMGN)	N/A	$1,001 - $15,000		None (or less than $201)
8	Apple Inc stock (AAPL)	N/A	$1,001 - $15,000		None (or less than $201)
9	Arch Capital Group Ltd stock (ACGL)	N/A	$1,001 - $15,000		None (or less than $201)
10	Bristol-Myers Squibb Co stock (BMY)	N/A	$1,001 - $15,000		None (or less than $201)
11	Chevron Corp stock (CVX)	N/A	$1,001 - $15,000		None (or less than $201)
12	Clorox Co stock (CLX)	N/A	$1,001 - $15,000		None (or less than $201)
13	CME Group Inc stock (CME)	N/A	$1,001 - $15,000		None (or less than $201)
14	DowDuPont Inc stock (DWDP)	N/A	$1,001 - $15,000		None (or less than $201)
15	Facebook Inc stock (FB)	N/A	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
16	General Electric Co stock (GE)	N/A	$1,001 - $15,000		None (or less than $201)
17	JPMorgan Chase & Co stock (JPM)	N/A	$1,001 - $15,000		None (or less than $201)
18	JPMorgan Chase Alerian MLP Index (AMJ)	Yes	$1,001 - $15,000		$201 - $1,000
19	Mondelez International stock (MDLZ)	N/A	$1,001 - $15,000		None (or less than $201)
20	Gilead Sciences Inc stock (GILD)	N/A	$1,001 - $15,000		None (or less than $201)
21	Nike Inc stock (NK)	N/A	$1,001 - $15,000		None (or less than $201)
22	Praxair Inc stock (PX)	N/A	$1,001 - $15,000		None (or less than $201)
23	Schlumberger Ltd stock (SLB)	N/A	$1,001 - $15,000		None (or less than $201)
24	Pepsico Inc stock (PEP)	N/A	$1,001 - $15,000		None (or less than $201)
25	Vanguard FTSE All-World ex-US ETF (VEU)	Yes	$1,001 - $15,000		None (or less than $201)
26	iShares Core U.S. Aggregate Bond ETF (AGG)	Yes	$15,001 - $50,000		$201 - $1,000
27	iShares JPMorgan USD Emerging Markets Bond ETF (EMB)	Yes	$1,001 - $15,000		$201 - $1,000
28	SPDR Bloomberg Barclays Convertible Securities ETF (CWB)	Yes	$1,001 - $15,000		$201 - $1,000
29	iShares US Preferred Stock ETF (PFF)	Yes	$15,001 - $50,000		$201 - $1,000
30	Accenture PLC stock (ACN)	N/A	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
31	Fortive Corp stock (FTV)	N/A	$1,001 - $15,000		None (or less than $201)
32	Honeywell International Inc stock (HON)	N/A	$1,001 - $15,000		None (or less than $201)
33	Palo Alto Networks stock (PANW)	N/A	$1,001 - $15,000		None (or less than $201)
34	PNC Financial Services Group Inc stock (PNC)	N/A	$1,001 - $15,000		None (or less than $201)
35	Union Pacific Corp stock (UNP)	N/A	$1,001 - $15,000		None (or less than $201)
36	Xilinx Inc stock (XLNX)	N/A	$1,001 - $15,000		None (or less than $201)
37	iShares Core S&P Mid-Cap ETF (IJH)	Yes	$1,001 - $15,000		None (or less than $201)
38	iShares MSCI EAFE ETF (EFA)	Yes	$15,001 - $50,000		$201 - $1,000
39	iShares Russell 2000 ETF (IWM)	Yes	$1,001 - $15,000		None (or less than $201)
40	Vanguard FTSE Emerging Markets Stock Index Fund (VWO)	Yes	$1,001 - $15,000		None (or less than $201)
41	Carnival Corp stock (CCL)	N/A	$1,001 - $15,000		None (or less than $201)
42	Charles Schwab Corp 5.95% perpetual preferred stock (SCHW.PRD)	N/A	$1,001 - $15,000		$201 - $1,000
43	Church & Dwight Co stock (CHD)	N/A	$1,001 - $15,000		None (or less than $201)
44	Cisco Systems Inc stock (CSC)	N/A	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
45	Danaher Corp stock (DHR)	N/A	$1,001 - $15,000		None (or less than $201)
46	Dominion Energy Inc stock (D)	N/A	$1,001 - $15,000		None (or less than $201)
47	Eversource Energy stock (ES)	N/A	$1,001 - $15,000		None (or less than $201)
48	Genuine Parts Co stock (GPC)	N/A	$1,001 - $15,000		None (or less than $201)
49	Intel Corp stock (INTC)	N/A	$1,001 - $15,000		None (or less than $201)
50	Johnson & Johnson stock (JNJ)	N/A	$1,001 - $15,000		None (or less than $201)
51	Lowes Co stock (LOW)	N/A	$1,001 - $15,000		None (or less than $201)
52	Microchip Technology stock (MCHP)	N/A	$1,001 - $15,000		None (or less than $201)
53	Microsoft Corp stock (MSFT)	N/A	$1,001 - $15,000		$201 - $1,000
54	Nextera Energy Inc stock (NEE)	N/A	$1,001 - $15,000		None (or less than $201)
55	Pfizer Inc stock (PFE)	N/A	$1,001 - $15,000		$201 - $1,000
56	Roper Technologies stock (ROP)	N/A	$1,001 - $15,000		None (or less than $201)
57	Sempra Energy stock (SRE)	N/A	$1,001 - $15,000		None (or less than $201)
58	Shire PLC stock (SHPG)	N/A	$1,001 - $15,000		None (or less than $201)
59	Southern Co 6.25% notes due 10/15/75 (SOJA)	N/A	$1,001 - $15,000	Interest	$201 - $1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
60	TD Ameritrade Holding Corp stock (AMTD)	N/A	$1,001 - $15,000		None (or less than $201)
61	TJX Co Inc stock (TJX)	N/A	$1,001 - $15,000		None (or less than $201)
62	United Technologies Corp stock (UTX)	N/A	$1,001 - $15,000		None (or less than $201)
63	UnitedHealth Group Inc stock (UNH)	N/A	$1,001 - $15,000		None (or less than $201)
64	Verizon Communications stock (VZ)	N/A	$1,001 - $15,000		None (or less than $201)
65	Visa Inc stock (V)	N/A	$1,001 - $15,000		None (or less than $201)
66	Wells Fargo & Co perpetual preffered stock (WFC.PRW)	N/A	$1,001 - $15,000		$201 - $1,000
67	iShares Cohen & Steers REIT ETF (ICF)	Yes	$1,001 - $15,000		None (or less than $201)
68	iShares iBoxx $ Investment Grade Corporate Bond ETF (LQD)	Yes	$15,001 - $50,000		$201 - $1,000
69	iShares Select Dividend ETF (DVY)	Yes	$1,001 - $15,000		$201 - $1,000
70	iShares TIPS Bond ETF (TIP)	Yes	$1,001 - $15,000		$201 - $1,000
71	iShares 7-10 Year Treasury Bond ETF (IEF)	Yes	$1,001 - $15,000		None (or less than $201)
72	Schwab International Small Cap Equity ETF (SCHC)	Yes	$1,001 - $15,000		$201 - $1,000
73	SPDR Bloomberg Barclays Short Term High Yield Bond ETF (SJNK)	Yes	$1,001 - $15,000		$201 - $1,000
74	Enterprise Products stock (EPD)	N/A	$1,001 - $15,000		None (or less than $201)

7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	Church & Dwight Co stock (CHD)	Purchase	01/24/2017	$1,001 - $15,000
2	Sempra Energy stock (SRE)	Purchase	01/24/2017	$1,001 - $15,000
3	Roper Technologies stock (ROP)	Purchase	01/24/2017	$1,001 - $15,000
4	Lowes Co stock (LOW)	Purchase	01/24/2017	$1,001 - $15,000
5	TJX Co Inc stock (TJX)	Purchase	01/24/2017	$1,001 - $15,000
6	JPMorgan Diversified Fund (JDVAX)	Sale	01/20/2017	$15,001 - $50,000
7	iShares Cohen & Steers REIT ETF (ICF)	Purchase	01/24/2017	$1,001 - $15,000
8	iShares 7-10 Year Treasury Bond ETF (IEF)	Purchase	01/24/2017	$1,001 - $15,000
9	iShares iBoxx $ Investment Grade Corporate Bond ETF (LQD)	Purchase	01/24/2017	$1,001 - $15,000
10	iShares S&P Global Telecom ETF (IXP)	Purchase	01/24/2017	$1,001 - $15,000
11	iShares US Preferred Stock ETF (PFF)	Purchase	01/24/2017	$1,001 - $15,000
12	iShares Core US Aggregate Bond ETF (AGG)	Purchase	01/24/2017	$1,001 - $15,000
13	SPDR Bloomberg Barclays Convertible Securities ETF (CWB)	Purchase	01/24/2017	$1,001 - $15,000
14	Fortive Corp stock (FTV)	Purchase	01/04/2017	$1,001 - $15,000
15	Palo Alto Networks stock (PANW)	Purchase	01/04/2017	$1,001 - $15,000
16	iShares MSCI EAFE ETF (EFA)	Purchase	01/04/2017	$1,001 - $15,000
17	iShares Russell 2000 ETF (IWM)	Purchase	01/04/2017	$1,001 - $15,000
18	iShares Core S&P Mid-Cap ETF (IJH)	Purchase	01/04/2017	$1,001 - $15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
19	Vanguard FTSE Emerging Markets Stock Index Fund (VWO)	Purchase	01/04/2017	$1,001 - $15,000
20	Cerner Corp stock (CERN)	Purchase	01/04/2017	$1,001 - $15,000
21	Danaher Corp stock (DHR)	Purchase	01/04/2017	$1,001 - $15,000
22	McKesson Corp stock (MCK)	Purchase	01/04/2017	$1,001 - $15,000
23	VF Corp stock (VFC)	Purchase	01/04/2017	$1,001 - $15,000
24	Shire PLC stock (SHPG)	Purchase	01/04/2017	$1,001 - $15,000
25	Enterprise Products stock (EPD)	Purchase	02/08/2017	$1,001 - $15,000
26	Johnson & Johnson stock (JNJ)	Purchase	02/10/2017	$1,001 - $15,000
27	Verizon Communications stock (VZ)	Purchase	02/10/2017	$1,001 - $15,000
28	Nextera Energy Inc stock (NEE)	Purchase	02/10/2017	$1,001 - $15,000
29	Newell Brands Inc stock (NWL)	Purchase	02/01/2017	$1,001 - $15,000
30	JPMorgan Chase Alerian MLP Index (AMJ)	Purchase	02/08/2017	$1,001 - $15,000
31	SPDR Bloomberg Barclays Short Term High Yield Bond ETF (SJNK)	Purchase	02/10/2017	$1,001 - $15,000
32	American Funds Capital Income Builder Fund (CAIBX)	Sale	02/08/2017	$15,001 - $50,000
33	Oppenheimer Equity Fund (OEQAX)	Sale	02/08/2017	$100,001 - $250,000
34	iShares TIPS Bond ETF (TIP)	Purchase	02/10/2017	$1,001 - $15,000
35	AT&T Inc stock (T)	Purchase	02/10/2017	$1,001 - $15,000
36	Arch Capital Group Ltd stock (ACGL)	Purchase	02/10/2017	$1,001 - $15,000
37	Bristol-Myers Squibb Co stock (BMY)	Purchase	02/10/2017	$1,001 - $15,000
38	Clorox Co stock (CLX)	Purchase	02/10/2017	$1,001 - $15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
39	Pepsico Inc stock (PEP)	Purchase	02/10/2017	$1,001 - $15,000
40	General Electric Co stock (GE)	Purchase	02/10/2017	$1,001 - $15,000
41	Schlumberger Ltd stock (SLB)	Purchase	02/10/2017	$1,001 - $15,000
42	Gilead Sciences Inc stock (GILD)	Purchase	02/28/2017	$1,001 - $15,000
43	Cisco Systems Inc stock (CSC)	Purchase	02/27/2017	$1,001 - $15,000
44	Intel Corp stock (INTC)	Purchase	02/27/2017	$1,001 - $15,000
45	Pfizer Inc stock (PFE)	Purchase	02/27/2017	$1,001 - $15,000
46	Chevron Corp stock (CVX)	Purchase	02/27/2017	$1,001 - $15,000
47	iShares JP Morgan USD Emerging Markets Bond ETF (EMB)	Purchase	02/27/2017	$1,001 - $15,000
48	United Technologies Corp stock (UTX)	Purchase	02/27/2017	$15,001 - $50,000
49	Microsoft Corp stock (MSFT)	Purchase	03/03/2017	$1,001 - $15,000
50	Genuine Parts Co stock (GPC)	Purchase	03/03/2017	$1,001 - $15,000
51	iShares Core US Aggregate Bond ETF (AGG)	Purchase	03/03/2017	$15,001 - $50,000
52	iShares iBoxx $ Investment Grade Corporate Bond ETF (LQD)	Purchase	03/03/2017	$1,001 - $15,000
53	iShares TIPS Bond ETF (TIP)	Purchase	03/03/2017	$1,001 - $15,000
54	JPMorgan Growth and Income Fund (VGRIX)	Sale	03/01/2017	$1,001 - $15,000
55	Oppenheimer Capital Income Fund (OPPEX)	Sale	03/01/2017	$15,001 - $50,000
56	Oppenheimer Equity Income Fund (OAEIX)	Sale	03/01/2017	$15,001 - $50,000
57	iShares JP Morgan USD Emerging Markets Bond ETF (EMB)	Purchase	03/03/2017	$1,001 - $15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
58	iShares US Preferred Stock ETF (PFF)	Purchase	03/03/2017	$1,001 - $15,000
59	Apple Inc stock (AAPL)	Purchase	03/03/2017	$1,001 - $15,000
60	CME Group Inc stock (CME)	Purchase	03/03/2017	$1,001 - $15,000
61	Facebook Inc stock (FB)	Purchase	03/03/2017	$1,001 - $15,000
62	Nike Inc stock (NK)	Purchase	03/03/2017	$1,001 - $15,000
63	Praxair Inc stock (PX)	Purchase	03/03/2017	$1,001 - $15,000
64	Charles Schwab Corp 5.95% perpetual preferred stock (SCHW.PRD)	Purchase	03/15/2017	$1,001 - $15,000
65	Southern Co 6.25% notes due 10/15/75 (SOJA)	Purchase	03/15/2017	$1,001 - $15,000
66	Wells Fargo & Co perpetual preffered stock (WFC.PRW)	Purchase	03/15/2017	$1,001 - $15,000
67	Mondelez International stock (MDLZ)	Purchase	04/11/2017	$1,001 - $15,000
68	Amgen Inc stock (AMGN)	Purchase	04/11/2017	$1,001 - $15,000
69	E I Du Pont De Nemours And Co stock (DD) (merged now DWDP)	Purchase	04/11/2017	$1,001 - $15,000
70	PNC Financial Services Group Inc stock (PNC)	Purchase	04/11/2017	$1,001 - $15,000
71	Union Pacific Corp stock (UNP)	Purchase	04/11/2017	$1,001 - $15,000
72	Xilinx Inc stock (XLNX)	Purchase	04/11/2017	$1,001 - $15,000
73	Eversource Energy stock (ES)	Purchase	04/11/2017	$1,001 - $15,000
74	iShares JPMorgan USD Emerging Markets Bond ETF (EMB)	Purchase	04/11/2017	$1,001 - $15,000
75	TD Ameritrade Holding Corp stock (AMTD)	Purchase	04/11/2017	$1,001 - $15,000
76	First Eagle Global Fund (SGIIX)	Sale	04/07/2017	$15,001 - $50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
77	Visa Inc stock (V)	Purchase	04/11/2017	$1,001 - $15,000
78	SPDR Bloomberg Barclays Short Term High Yield Bond ETF (SJNK)	Purchase	04/11/2017	$1,001 - $15,000
79	Alphabet Inc stock (GOOGL)	Purchase	04/11/2017	$1,001 - $15,000
80	McKesson Corp stock (MCK)	Sale	05/01/2017	$1,001 - $15,000
81	VF Corp stock (VFC)	Sale	05/09/2017	$1,001 - $15,000
82	Carnival Corp stock (CCL)	Purchase	06/06/2017	$1,001 - $15,000
83	Accenture PLC stock (ACN)	Purchase	07/06/2017	$1,001 - $15,000
84	Microchip Technology stock (MCHP)	Purchase	07/25/2017	$1,001 - $15,000
85	Apple Inc stock (AAPL)	Sale	09/12/2017	$1,001 - $15,000
86	iShares Global Telecom ETF (IXP)	Sale	09/19/2017	$1,001 - $15,000
87	iShares Core U.S. Aggregate Bond ETF (AGG)	Purchase	09/25/2017	$1,001 - $15,000
88	Dominion Energy Inc stock (D)	Purchase	09/25/2017	$1,001 - $15,000
89	UnitedHealth Group Inc stock (UNH)	Purchase	09/25/2017	$1,001 - $15,000
90	IShares iBoxx $ Investment Grade Corporate Bond ETF (LQD)	Purchase	09/25/2017	$1,001 - $15,000
91	Cerner Corp stock (CERN)	Sale	10/03/2017	$1,001 - $15,000
92	iShares Select Dividend ETF (DVY)	Purchase	11/06/2017	$1,001 - $15,000
93	Honeywell International Inc stock (HON)	Purchase	10/31/2017	$1,001 - $15,000
94	Schwab International Small Cap Equity ETF (SCHC)	Purchase	11/06/2017	$1,001 - $15,000
95	Newell Brands Inc stock (NWL)	Sale	11/08/2017	$1,001 - $15,000
96	Vanguard FTSE All-World ex-US ETF (VEU)	Purchase	12/14/2017	$1,001 - $15,000
97	JPMorgan Chase & Co stock (JPM)	Purchase	12/18/2017	$1,001 - $15,000

8. Liabilities

None

9. Gifts and Travel Reimbursements

None

Endnotes

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than $200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities that (1) ended the reporting period with a value greater than $1,000 or (2) produced more than $200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding $5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than $5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than $1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than $200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities that (1) ended the reporting period with a value greater than $1,000 or (2) produced more than $200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, that (1) ended the reporting period with a value greater than $1,000 or (2) produced more than $200 in investment income during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of $5,000 or less (unless more than $200 of income was produced). Additional exceptions apply. Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of $1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over $10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed $10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than $390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than $390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of $156 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena; (4) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (5) to the National Archives and Records Administration or the General Services Administration in records management inspections; (6) to the Office of Management and Budget during legislative coordination on private relief legislation; (7) to the Department of Justice or in certain legal proceedings when the disclosing agency, an employee of the disclosing agency, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation; (8) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another; (9) to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record; (10) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to an OGE Government-wide system of records; and (11) on the OGE Website and to any person, department or agency, any written ethics agreement filed with OGE by an individual nominated by the President to a position requiring Senate confirmation. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of three hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, NW., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).