Executive Branch Personnel
Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Apol, David

General Counsel and Acting Director, Office of Government Ethics

Report Year: 2019

Other Federal Government Positions Held During the Preceding 12 Months:

General Counsel (1/2014 - Present)

General Counsel and Acting Director (7/2017 - 7/2018)

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Apol, David [electronically signed on 04/19/2019 by Apol, David in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).
/s/ Kane-Piasecki, Cheryl, Certifying Official [electronically signed on 04/22/2019 by Kane-Piasecki, Cheryl in Integrity.gov]

Other review conducted by
/s/ Kane-Piasecki, Cheryl, Ethics Official [electronically signed on 04/22/2019 by Kane-Piasecki, Cheryl in Integrity.gov]

U.S. Office of Government Ethics Certification

/s/ Granahan, Megan, Certifying Official [electronically signed on 04/23/2019 by Granahan, Megan in Integrity.gov]

1. Filer's Positions Held Outside United States Government

None

2. Filer's Employment Assets & Income and Retirement Accounts

None

3. Filer's Employment Agreements and Arrangements

None

4. Filer's Sources of Compensation Exceeding $5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Apple 401k				
1.1	BlackRock LifePath 2020 Investor A	Yes	$100,001 - $250,000		None (or less than $201)
1.2	Fidelity Contra Fund	Yes	$100,001 - $250,000		None (or less than $201)
1.3	Vanguard Extended Market Index Fund	Yes	$50,001 - $100,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.4	Dodge and Cox International Stock Fund	Yes	$50,001 - $100,000		None (or less than $201)
2	IRA	No		Interest	$5,001 - $15,000
2.1	iShares TIPS Bond ETF	Yes	$250,001 - $500,000		None (or less than $201)
2.2	Deer Park Total Return (DPFNX)	Yes	$100,001 - $250,000		$5,001 - $15,000
2.3	US Cash Reserve Account	Yes	$15,001 - $50,000		None (or less than $201)
3	Georgetown University			Salary	

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Managed Equity Account	No	$5,000,001 - $25,000,000	Dividends Capital Gains Interest	$100,001 - $1,000,000
1.1	Alger Capital App. (ALARX)	Yes	$500,001 - $1,000,000		None (or less than $201)
1.2	Sterling Capital Equity Income (BEGIX)	Yes	$500,001 - $1,000,000		$50,001 - $100,000
1.3	Barron Real Estate Fund (BREIX)	Yes	$100,001 - $250,000		$15,001 - $50,000
1.4	Cambair Opportunity Investor (CAMOX)	Yes	$500,001 - $1,000,000		$50,001 - $100,000
1.5	Diamond Hill Long/Short Fund DHLSX)	Yes	$500,001 - $1,000,000		$15,001 - $50,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.6	ISHARES MSCI Emerging Mkts (EEM)	Yes	$500,001 - $1,000,000		$5,001 - $15,000
1.7	iShares MSCI EAFE Index (EFA)	Yes	$1,000,001 - $5,000,000		$15,001 - $50,000
1.8	Goldman Sachs High Yd Muni (GHYIX)	Yes	$500,001 - $1,000,000		$201 - $1,000
1.9	ISHARES Cohen & Steers REIT (ICF)	Yes	$500,001 - $1,000,000		$15,001 - $50,000
1.10	IShares Core S & P Mid Cap (IJH)	Yes	$250,001 - $500,000		$5,001 - $15,000
1.11	IShares Core S&P 500 (IVV)	Yes	$1,000,001 - $5,000,000		$15,001 - $50,000
1.12	IShares Russell 2000 (IWM)	Yes	$500,001 - $1,000,000		$5,001 - $15,000
1.13	Oppenheiver Dev Mkts Fd (ODVYX)	Yes	$100,001 - $250,000		$1,001 - $2,500
1.14	Pimco All Asset Inst (PAAIX)	Yes	$250,001 - $500,000		$15,001 - $50,000
1.15	Riverpark/Wedgewood (RWGFX)	Yes	$500,001 - $1,000,000		$100,001 - $1,000,000
1.16	Wasatch Emerging Markets Small Cap (WAEMX)	Yes	$100,001 - $250,000		$1,001 - $2,500
1.17	WCM Focused Intl Growth Fd (WCMIX)	Yes	$500,001 - $1,000,000		$15,001 - $50,000
1.18	U.S. brokerage cash account	N/A	$1,000,001 - $5,000,000	Interest	$201 - $1,000
1.19	Deer Park Total Return (DPFNX)	Yes	$250,001 - $500,000		$15,001 - $50,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.20	Athena Value Fund (ATVIX)	Yes	$250,001 - $500,000		$15,001 - $50,000
1.21	ISHARES CORE S&P SMALL-CAP ETF (IJR)	Yes	None (or less than $1,001)		$15,001 - $50,000
1.22	William Blair Macro Allocation Fund (WMCIX)	Yes	$500,001 - $1,000,000		None (or less than $201)
1.23	IShares TR EFE Sm l Cap Fd ((SCZ)	Yes	$250,001 - $500,000		None (or less than $201)
2	Tax Free Bond Account	No	$1,000,001 - $5,000,000	Interest	$100,001 - $1,000,000
2.1	COLORADO ST BLDG EXCELLENT SCHS TODAY	N/A	$15,001 - $50,000	Interest	$1,001 - $2,500
2.2	COOK CNTY ILL HIGH SCH DIST NO 204	N/A	None (or less than $1,001)	Interest	$201 - $1,000
2.3	FAIRFAX CNTY VA INDL DEV AUTH REV REV BDS	N/A	$15,001 - $50,000	Interest	$1,001 - $2,500
2.4	FAIRFAX CNTY VA ECONOMIC DEV AUTH	N/A	$100,001 - $250,000	Interest	$2,501 - $5,000
2.5	FARMERS BRANCH TEX GO BDS SER. 2014	N/A	None (or less than $1,001)	Interest	$1,001 - $2,500
2.6	FOLEY ALA GO WTS SER. 2014	N/A	$50,001 - $100,000	Interest	$2,501 - $5,000
2.7	FRISCO TEX CTFS OF OBLIG SER. 2013	N/A	$15,001 - $50,000	Interest	$201 - $1,000
2.8	GRAND RIVER DAM AUTH OKLA REV REV BDS	N/A	$50,001 - $100,000	Interest	$2,501 - $5,000
2.9	HAMPTON VA REF PUB IMPT BDS SER. Afi	N/A	$15,001 - $50,000	Interest	$1,001 - $2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.10	ILLINOIS FIN AUTH REV REV BDS MEMORIAL	N/A	$15,001 - $50,000	Interest	$1,001 - $2,500
2.11	JEA FLA WTR & SWR SYS REV REV BDS SER.	N/A	$100,001 - $250,000	Interest	$2,501 - $5,000
2.12	LAKE CNTY ILL FST PRESV DIST GO REF	N/A	None (or less than $1,001)	Interest	$201 - $1,000
2.13	LEESBURG VA GO REF BDS SER. 2014	N/A	$50,001 - $100,000	Interest	$2,501 - $5,000
2.14	LOUDOUN CNTY VA GO PUB IMPT BDS SER	N/A	$100,001 - $250,000	Interest	$5,001 - $15,000
2.15	MIAMI-DADE CNTY FLA SPL OBLIG CAP ASSET	N/A	$15,001 - $50,000	Interest	$1,001 - $2,500
2.16	NEBRASKA PUB PWR DIST REV REV BDS	N/A	$50,001 - $100,000	Interest	$2,501 - $5,000
2.17	NEW YORK ST DORM AUTH ST PERS INCOME	N/A	$100,001 - $250,000	Interest	$2,501 - $5,000
2.18	NORFOLK VA GO REF BDS 2010 SER G	N/A	$50,001 - $100,000	Interest	$2,501 - $5,000
2.19	OSAGE SCH LAKE OZARK MO GO SCH BDS SER. C	N/A	$50,001 - $100,000	Interest	$1,001 - $2,500
2.20	POQUOSON VA GO REF BDS SER. 2012	N/A	$15,001 - $50,000	Interest	$201 - $1,000
2.21	RICHMOND VA GO BDS SER. 2009A	N/A	$50,001 - $100,000	Interest	$2,501 - $5,000
2.22	SALT RIVER PROJ ARIZ AGRIC IMPT & PWR		$15,001 - $50,000	Interest	$1,001 - $2,500
2.23	UNIVERSITY VA UNIV REVS GEN REV PLEDGE	N/A	$50,001 - $100,000	Interest	$1,001 - $2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.24	VIRGINIA COLLEGE BLDG AUTH VA EDL	N/A	None (or less than $1,001)	Interest	$1,001 - $2,500
2.25	VIRGINIA ST RES AUTH CLEAN WTR REV	N/A	$50,001 - $100,000	Dividends	$201 - $1,000
2.26	VIRGINIA ST RES AUTH INFRASTRUCTURE REV	N/A	$15,001 - $50,000	Interest	$2,501 - $5,000
2.27	VIRGINIA ST PUB SCH AUTH SCH FING REF	N/A	$15,001 - $50,000	Interest	$201 - $1,000
2.28	US bank money market acct	N/A	$100,001 - $250,000	Interest	$201 - $1,000
2.29	PENNSYLVANIA ST GO BDS	N/A	$15,001 - $50,000	Interest	$1,001 - $2,500
2.30	CONNECTICUT ST SPL TAX OBLIG REV	No	$100,001 - $250,000	Interest	$5,001 - $15,000
2.31	UPPER OCCOQUAN SEW AUTH VA	N/A	$50,001 - $100,000	Interest	$2,501 - $5,000
2.32	BROWARD CNTY FLA SCH BRD	N/A	$50,001 - $100,000	Interest	$2,501 - $5,000
2.33	REGIONAL TRANSN AUTH ILL GO BDS	N/A	$50,001 - $100,000	Interest	$2,501 - $5,000
2.34	NEW MEXICO ST SEVERANCE TAX	N/A	$50,001 - $100,000	Interest	$2,501 - $5,000
2.35	CAPITAL REGION ARPT COMMN VA	N/A	None (or less than $1,001)	Interest	$201 - $1,000
2.36	MIAMI -DADE CNTY FLA GO REF BDS	N/A	$50,001 - $100,000	Interest	$1,001 - $2,500
2.37	TYLER TEX INDPT SCH DIST	N/A	$50,001 - $100,000	Interest	$2,501 - $5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.38	MISS ST UNIV EDL BLDG CORP REV BD	N/A	$50,001 - $100,000	Interest	$2,501 - $5,000
2.39	FAIRFAX CNTY VA GO BDS SER	N/A	$50,001 - $100,000	Interest	$1,001 - $2,500
2.40	ARLINGTON CNTY VA INDL DEV AUTH REV	N/A	$50,001 - $100,000	Interest	$2,501 - $5,000
2.41	HAMPTON ROADS VA TRANS	N/A	$100,001 - $250,000	Interest	None (or less than $201)
2.42	ALEXANDRIA VA GO REF BDS SER. 2017	N/A	$100,001 - $250,000	Interest	$2,501 - $5,000
2.43	NEW MEXICO FIN AUTH REV BDS SER. 2017	N/A	$15,001 - $50,000	Interest	$1,001 - $2,500
2.44	MIAMI-DADE CNTY FLA TRAN SYS SALES	N/A	$100,001 - $250,000	Interest	$5,001 - $15,000
2.45	FLORIDA ST BRD ED LOTTERY REV REF BDS	N/A	$100,001 - $250,000	Interest	$2,501 - $5,000
2.46	RICHMOND VA GO PUB IMPT REF BDS SER. +	N/A	$50,001 - $100,000	Interest	$1,001 - $2,500
2.47	VIRGINIA COMMONWEALTH TRANSN BRD		$50,001 - $100,000		None (or less than $201)
3	US Bank Accounts	N/A	$100,001 - $250,000	Interest	None (or less than $201)
4	US Bank Savings Acct	N/A	$100,001 - $250,000	Interest	None (or less than $201)
5	Columbia Global Equity Value Fund	Yes	$15,001 - $50,000		$1,001 - $2,500
6	JP Morgan Strategic Income Fund (JSOCX)	Yes	$15,001 - $50,000		$201 - $1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7	Gabelli Asset Fund (GABAX)	Yes	$50,001 - $100,000		$5,001 - $15,000
8	Deutshe Global Int'l Fund (SCOBX)	Yes	$15,001 - $50,000		$201 - $1,000
9	Janus Global Research (JANWX)	Yes	$1,001 - $15,000		$201 - $1,000
10	Janus Research Fund (JNRFX) (previously identified as Janus Fund)	Yes	$15,001 - $50,000		$201 - $1,000
11	Morgan Stanley Account	N/A	$15,001 - $50,000		$201 - $1,000
11.1	Invesco Global Core Equity (AWSAX)	Yes	$1,001 - $15,000		None (or less than $201)
11.2	Putnam Voyager (PVOMX)	Yes	$1,001 - $15,000		None (or less than $201)
12	Invesco Diversified Dividend A (LCEAX)	Yes	$15,001 - $50,000		None (or less than $201)
13	Vanguard Intermediate Tax Exempt Bond Fd	Yes	$1,001 - $15,000		$201 - $1,000
14	Vanguard Windsor II Fund	Yes	$50,001 - $100,000		$5,001 - $15,000
15	Virginia College Prepaid Savings Plan	Yes	$15,001 - $50,000		None (or less than $201)
16	America Funds VCSP Money Market fund	Yes	$100,001 - $250,000		None (or less than $201)
17	Northwest Mutual Whole Life Policy	N/A	$100,001 - $250,000	Dividends	$5,001 - $15,000
18	US Savings Bonds	N/A	$15,001 - $50,000	Interest	None (or less than $201)

7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	FAIRFAX CNTY VA SWR REV REV REF BDS SER.	Purchase	02/16/18	$100,001 - $250,000
2	HAMPTON ROADS SANTN DIST VA WASTEWATER	Sale	6/11/2018	$100,001 - $250,000
3	LOUDOUN CNTY VA GO PUB IMPT BDS SER.	Purchase	6/20/2018	$100,001 - $250,000
4	MARICOPA CNTY ARIZ INDL DEV AUTH HOSP 05.00000% 09/01/2029 REV BDS	Purchase	12/06/2018	$100,001 - $250,000
5	FAIRFAX CNTY VA SWR REV REV REF BDS SER.	Sale	12/06/2018	$100,001 - $250,000
6	Touchstone Small Cap Fd	Purchase	01/25/18	$500,001 - $1,000,000
7	Ishares Core Small Cap ETF	Sale	1/25/18	$500,001 - $1,000,000
8	Boston Partners Long Short Research	Sale	12/13/18	$500,001 - $1,000,000
9	iShares Russell 2000 Index	Purchase	12/13/18	$250,001 - $500,000
10	Harbor International Instl	Sale	12/14/18	$500,001 - $1,000,000
11	ASG Managed Future Str	Sale	12/13/18	$500,001 - $1,000,000
12	Riverpark/Wedgewood Retail	Sale	12/17/18	$500,001 - $1,000,000
13	WCM Focused Intl Growth	Purchase	12/17/18	$250,001 - $500,000
14	Ishares MSCI EAFE ETF	Purchase	12/18/18	$250,001 - $500,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
15	Dreihaus Itnl Small Cap Growth	Sale	12/20/18	$250,001 - $500,000
16	Riverpark/Wedgewood Retail	Purchase	12/20/18	$500,001 - $1,000,000
17	Wasatech Emerging Mkts Small Cap	Sale	12/20/18	$100,001 - $250,000
18	William Blair Macro Allocation	Purchase	12/21/18	$500,001 - $1,000,000
19	Ishares Tr EAFE Sml Cap	Purchase	12/24/18	$250,001 - $500,000
20	Wasatch Emerging Mkts Small Cap	Purchase	12/26/18	$100,001 - $250,000
21	HAMPTON RDS VA TRANSN 05.00000% 07/01/2030 ACCOUNTABILITY COMMN REV	Purchase	3/23/2018	$100,001 - $250,000
22	COOK CNTY ILL HIGH SCH DIST NO 204	Sale	3/23/2018	$15,001 - $50,000
23	CAPITAL REGION ARPT COMMN VA ARPT REV	Sale	03/22/2018	$15,001 - $50,000
24	VIRGINIA COMMLTH TRANSN BRD FED	Purchase	09/27/2018	$50,001 - $100,000

8. Liabilities

None

9. Gifts and Travel Reimbursements

None

Endnotes

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than $200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding $5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than $5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than $1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than $200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of $5,000 or less (unless more than $200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of $1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over $10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed $10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than $390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than $390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of $156 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena; (4) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (5) to the National Archives and Records Administration or the General Services Administration in records management inspections; (6) to the Office of Management and Budget during legislative coordination on private relief legislation; (7) to the Department of Justice or in certain legal proceedings when the disclosing agency, an employee of the disclosing agency, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation; (8) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another; (9) to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record; (10) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to an OGE Government-wide system of records; and (11) on the OGE Website and to any person, department or agency, any written ethics agreement filed with OGE by an individual nominated by the President to a position requiring Senate confirmation. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, NW., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).