

Executive Branch Personnel

Public Financial Disclosure Report: Periodic Transaction Report (OGE Form 278-T)

Filer's Information

Apelbaum, Perry

Senior Counsel, Antitrust Division, Department of Justice -- Simple

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Apelbaum, Perry [electronically signed on 05/07/2022 by Apelbaum, Perry in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Fisher, Tracy, Certifying Official [electronically signed on 05/09/2022 by Fisher, Tracy in Integrity.gov]

Other review conducted by

/s/ Fisher, Tracy, Ethics Official [electronically signed on 05/09/2022 by Fisher, Tracy in Integrity.gov]

U.S. Office of Government Ethics Certification

Transactions

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
1	Thermo Fisher Scientific, Inc. (TMO)	Purchase	05/26/2022	No	\$1,001 - \$15,000
2	Repligen Corp. (RGEN)	Purchase	04/26/2022	No	\$1,001 - \$15,000
3	Deere & Co. (DE)	Purchase	04/26/2022	No	\$1,001 - \$15,000
4	Danaher Corp. (DHR)	Purchase	04/26/2022	No	\$1,001 - \$15,000
5	Biontech SE ADR (BNTX)	Purchase	04/26/2022	No	\$1,001 - \$15,000
6	Datadog Inc. Cl A (DDOG)	Purchase	04/26/2022	No	\$1,001 - \$15,000
7	Cloudflare Inc Cl A (NET)	Purchase	04/26/2022	No	\$1,001 - \$15,000
8	Schrodinger Inc Com (SDGR)	Sale	04/26/2022	No	\$1,001 - \$15,000
9	Beam Inc (BEAM)	Purchase	04/26/2022	No	\$1,001 - \$15,000
10	The Trade Desk, Inc. (TTD)	Purchase	04/26/2022	No	\$1,001 - \$15,000
11	Airbnb Inc. Cl A (ABNB)	Purchase	04/26/2022	No	\$1,001 - \$15,000
12	Moderna (MRNA)	Purchase	04/26/2022	No	\$1,001 - \$15,000
13	Zscaler, Inc. (ZS)	Purchase	04/26/2022	No	\$1,001 - \$15,000
14	Shopify (SHOP)	Purchase	04/26/2022	No	\$1,001 - \$15,000
15	Square, Inc. (SQ)	Sale	04/26/2022	No	\$1,001 - \$15,000
16	Twitter, Inc. (TWTR)	Sale	04/26/2022	No	\$1,001 - \$15,000
17	Teladoc Health, Inc. (TDOC)	Sale	04/26/2022	No	\$1,001 - \$15,000
18	Ameresco, Inc. (AMRC)	Purchase	04/26/2022	No	
19	Roku, Inc. (ROKU)	Sale	04/26/2022	No	\$1,001 - \$15,000
20	Spotify Technology SA (SPOT)	Sale	04/26/2022	No	\$1,001 - \$15,000
21	Mercadolibre (MELI)	Purchase	04/26/2022	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
22	Intuitive Surgical, Inc. (ISRG)	Purchase	04/26/2022	No	\$1,001 - \$15,000
23	NVIDIA Corp. (NVDA)	Sale	04/26/2022	No	\$1,001 - \$15,000
24	Regeneron Pharmaceuticals, Inc. (REGN)	Purchase	04/26/2022	No	\$1,001 - \$15,000
25	Thermo Fisher Scientific, Inc. (TMO)	Purchase	04/26/2022	No	\$1,001 - \$15,000
26	Repligen Corp. (RGEN)	Purchase	04/26/2022	No	\$1,001 - \$15,000
27	Danaher Corp. (DHR)	Purchase	04/26/2022	No	\$1,001 - \$15,000
28	Ginko Bioworks Holds Inc Cl A (DNA)	Purchase	04/26/2022	No	\$1,001 - \$15,000
29	Global-E Online Ltd (GLBE)	Purchase	04/26/2022	No	\$1,001 - \$15,000
30	Biontech SE ADR (BNTX)	Purchase	04/26/2022	No	\$1,001 - \$15,000
31	Datadog Inc Cl A (DDOG)	Purchase	04/26/2022	No	\$1,001 - \$15,000
32	Cloudflare Inc Cl A (NET)	Purchase	04/19/2022	No	\$1,001 - \$15,000
33	Schrodinger Inc Com (SDGR)	Sale	04/26/2022	No	\$1,001 - \$15,000
34	Beam Inc (BEAM)	Purchase	04/26/2022	No	\$1,001 - \$15,000
35	The Trade Desk, Inc. (TTD)	Purchase	04/26/2022	No	\$1,001 - \$15,000
36	Airbnb Inc Cl A (ABNB)	Purchase	04/26/2022	No	\$1,001 - \$15,000
37	Moderna (MRNA)	Purchase	04/26/2022	No	\$1,001 - \$15,000
38	Zscaler, Inc. (ZS)	Purchase	04/26/2022	No	\$1,001 - \$15,000
39	Square, Inc. (SQ)	Sale	04/26/2022	No	\$1,001 - \$15,000
40	Proto Labs, Inc. (PRLB)	Purchase	04/26/2022	No	\$1,001 - \$15,000
41	Proto Labs, Inc. (PRLB)	Purchase	04/26/2022	No	\$1,001 - \$15,000
42	Twitter, Inc. (TWTR)	Sale	04/26/2022	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
43	Teladoc Health, Inc. (TDOC)	Sale	04/26/2022	No	\$1,001 - \$15,000
44	Ameresco, Inc. (AMRC)	Purchase	04/26/2022	No	\$1,001 - \$15,000
45	Roku, Inc. (ROKU)	Sale	04/26/2022	No	\$1,001 - \$15,000
46	Spotify (SPOT)	Sale	04/26/2022	No	\$1,001 - \$15,000
47	Mercadolibre (MELI)	Purchase	04/26/2022	No	\$1,001 - \$15,000
48	Intuitive Surgical, Inc. (ISRG)	Purchase	04/26/2022	No	\$1,001 - \$15,000
49	NVIDIA Corp. (NVDA)	Sale	04/26/2022	No	\$1,001 - \$15,000
50	Regeneron Pharmaceuticals, Inc. (REGN)	Purchase	04/26/2022	No	\$1,001 - \$15,000
51	Thermo Fisher Scientific, Inc. (TMO)	Purchase	04/26/2022	No	\$1,001 - \$15,000
52	Repligen Corp. (RGEN)	Purchase	04/26/2022	No	\$1,001 - \$15,000
53	Danaher Corp. (DHR)	Purchase	04/26/2022	No	\$1,001 - \$15,000
54	Biontech ADR (BNTX)	Purchase	04/26/2022	No	\$1,001 - \$15,000
55	Datadog Inc Cl A (DDOG)	Purchase	04/26/2022	No	\$1,001 - \$15,000
56	Cloudflare Inc Cl A (NET)	Purchase	04/26/2022	No	\$1,001 - \$15,000
57	Beam Inc (BEAM)	Purchase	04/26/2022	No	\$1,001 - \$15,000
58	Silvergate Cap Corp Cl A (SI)	Purchase	04/26/2022	No	\$1,001 - \$15,000
59	The Trade Desk, Inc. (TTD)	Purchase	04/26/2022	No	\$1,001 - \$15,000
60	Airbnb Inc Cl A (ABNB)	Purchase	04/26/2022	No	\$1,001 - \$15,000
61	Moderna (MRNA)	Purchase	04/26/2022	No	\$1,001 - \$15,000
62	Zscaler, Inc. (ZS)	Purchase	04/26/2022	No	\$1,001 - \$15,000
63	Square, Inc. (SQ)	Sale	04/26/2022	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
64	ARK Genomic Revolution ETF (ARKG)	Sale	04/26/2022	No	\$1,001 - \$15,000
65	Proto Labs, Inc. (PRLB)	Purchase	04/26/2022	No	\$1,001 - \$15,000
66	Twitter, Inc. (TWTR)	Sale	04/26/2022	No	\$1,001 - \$15,000
67	Teladoc Health, Inc. (TDOC)	Sale	04/26/2022	No	\$1,001 - \$15,000
68	Ameresco, Inc. (AMRC)	Purchase	04/26/2022	No	\$1,001 - \$15,000
69	Roku, Inc. (ROKU)	Sale	04/26/2022	No	\$1,001 - \$15,000
70	Spotify Technology SA (SPOT)	Sale	04/26/2022	No	\$1,001 - \$15,000
71	Mercadolibre (MELI)	Purchase	04/26/2022	No	\$1,001 - \$15,000
72	Intuitive Surgical, Inc. (ISRG)	Purchase	04/26/2022	No	\$1,001 - \$15,000
73	NVIDIA Corp. (NVDA)	Sale	04/26/2022	No	\$1,001 - \$15,000
74	Regeneron Pharmaceuticals, Inc. (REGN)	Purchase	04/26/2022	No	\$1,001 - \$15,000

Endnotes

Summary of Contents

The 278-T discloses purchases, sales, or exchanges of securities in excess of \$1,000 made on behalf of the filer, the filer's spouse, or dependent child. Transactions are required to be disclosed within 30 days of receiving notification of a transaction but not later than 45 days after the transaction. Filers need not disclose (1) mutual funds and other excepted investment funds; (2) certificates of deposit, savings or checking accounts, and money market accounts; (3) U.S. Treasury bills, notes, and bonds; (4) Thrift Savings Plan accounts; (5) real property; and (6) transactions that are solely by and between the filer, the filer's spouse, and the filer's dependent children.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to

determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.
