



DEPARTMENT OF DEFENSE
OFFICE OF THE GENERAL COUNSEL

1600 DEFENSE PENTAGON
WASHINGTON, DC 20301-1600

The Honorable Emory A. Rounds, III
Director
U.S. Office of Government Ethics
1201 New York Avenue, N.W., Suite 500
Washington DC 20005-3917

MAY 25 2021

Dear Mr. Rounds:

I am enclosing a supplement to Ms. Brenda S. Fulton's nominee public financial disclosure report, signed on April 8, 2021. I have reviewed the additional information. Based on my review of this supplemental disclosure, I continue to believe that Ms. Fulton is in compliance with applicable laws and regulations governing conflicts of interest.

Sincerely,

A handwritten signature in blue ink, appearing to read "Scott F. Thompson", with a long horizontal flourish extending to the right.

Scott F. Thompson
Alternate Designated Agency Ethics Official

Enclosures



May 25, 2021

Mr. Scott F. Thompson
Alternate Designated Agency Ethics Official
Office of the General Counsel
Department of Defense
Washington, DC 20301

Re: Supplement to the Financial Disclosure Report of Brenda S. Fulton

Dear Mr. Thompson:

The purpose of this document is to supplement my OGE 278e Public Financial Disclosure report by reporting my defined benefit plan with my former employer Merck & Co. that was inadvertently omitted from my report that I signed on April 8, 2021. I confirm that I do not participate in any other retirement benefit plan or incentive program with this former employer.

Part 2

Description	EIF	Value	Income Type	Income Amount
Merck & Co. Defined Benefit Plan; eligible for \$16,804/mo at age 62.	N/A	\$500,001 - \$1,000,000		None (or less than \$201)

Part 3

Employer or Party	City, State	Status and Terms	Date
Merck & Co	Whitehouse Station, NJ	I will continue to participate in this defined benefit plan.	12/2009

I understand that this supplement will be attached to my financial disclosure report and released upon request with that report.

Sincerely,



Brenda S. Fulton

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Fulton, Brenda S.

Assistant Secretary for Manpower and Reserve Affairs, Department of Defense

Other Federal Government Positions Held During the Preceding 12 Months:

National Defense University Board of Visitors, Department of Defense (3/2017 - 2/2021)

Names of Congressional Committees Considering Nomination:

- Committee on Armed Services
-

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Fulton, Brenda S. [electronically signed on 04/08/2021 by Fulton, Brenda S. in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Thompson, Scott F, Certifying Official [electronically signed on 05/03/2021 by Thompson, Scott F in Integrity.gov]

Other review conducted by

/s/ Thompson, Scott F, Ethics Official [electronically signed on 05/03/2021 by Thompson, Scott F in Integrity.gov]

U.S. Office of Government Ethics Certification

/s/ Apol, David, Certifying Official [electronically signed on 05/11/2021 by Apol, David in Integrity.gov]

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO	
1	New Jersey Motor Vehicle Commission	Trenton, New Jersey	State Government	Chair and Chief Administrator	3/2018	Present	
2	American Association of Motor Vehicle Administrators (AAMVA)	Arlington, Virginia	Non-Profit	Member, International Board of Directors	8/2018	8/2020	
3	Joy to the Kids	See Endnote	Ashburn, Virginia	Non-Profit	Member, Board of Directors	8/2020	Present

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	New Jersey Motor Vehicle Commission	N/A		Salary	\$222,114
2	IRA #1	No			
2.1	MICROSOFT CORP	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.2	JOHNSON & JOHNSON COM	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.3	CISCO SYSTEMS INC	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.4	JPMORGAN CHASE & CO	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.5	COMCAST CORP NEW CL A	N/A	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.6	HOME DEPOT INC	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.7	MEDTRONIC PLC	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.8	COCA COLA CO COM	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.9	MCDONALDS CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.10	CHUBB LTD CHF	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.11	INTEL CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.12	ACCENTURE PLC IRELAND CL A	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.13	LINDE PLC EUR	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.14	TEXAS INSTRUMENTS	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.15	UNION PACIFIC CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.16	AMGEN INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.17	VF CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.18	TRUIST FINL CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.19	PROCTER & GAMBLE CO	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.20	BLACKROCK INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.21	ANALOG DEVICES INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.22	LOCKHEED MARTIN CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.23	DIAGEO PLC NEW GB SPON ADR	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.24	RAYTHEON TECHNOLOGIES CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.25	PHILLIPS 66	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.26	MARSH & MCLENNAN COS INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.27	UNITED PARCEL SERVICE INC CL B	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.28	NOVARTIS AG SPON ADR	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.29	ROCKWELL AUTOMATION INC NEW	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.30	REPUBLIC SERVICES INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.31	NEXTERA ENERGY INC COM	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.32	CRANE CO	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.33	AMER ELECTRIC POWER CO	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.34	EOG RESOURCES INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.35	AUTOMATIC DATA PROCESSNG INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.36	U.S. brokerage money market account (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3	IRA #2	No			
3.1	MICROSOFT CORP	N/A	\$15,001 - \$50,000		None (or less than \$201)
3.2	AMAZON.COM INC	N/A	\$15,001 - \$50,000		None (or less than \$201)
3.3	FACEBOOK INC CL A	N/A	\$15,001 - \$50,000		None (or less than \$201)
3.4	ALPHABET INC CL A	N/A	\$15,001 - \$50,000		None (or less than \$201)
3.5	APPLE INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.6	VISA INC CL A	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.7	UNITEDHEALTH GROUP INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.8	ADOBE INC. (DELAWARE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.9	ACCENTURE PLC IRELAND CL A	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.10	TJX COS INC NEW	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.11	O REILLY AUTOMOTIVE INC	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.12	PARKER HANNIFIN CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.13	SALESFORCE.COM INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.14	AMERIPRISE FINANCIAL INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.15	FIDELITY NATL INFORMATION SVCS	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.16	AMERICAN TOWER CORP REIT	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.17	LOWES COMPANIES INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.18	HOME DEPOT INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.19	THERMO FISHER SCIENTIFIC INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.20	HONEYWELL INTL INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.21	COMCAST CORP NEW CL A	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.22	MEDTRONIC PLC	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.23	SHERWIN WILLIAMS CO	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.24	DOLLAR GEN CORP NEW	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.25	DANAHER CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.26	INTERCONTINENTALEXCHANGE GROUP	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.27	ABBOTT LABS	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.28	BOSTON SCIENTIFIC CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.29	APPLIED MATERIALS INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.30	LAUDER ESTEE COS CL A	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.31	TEXAS INSTRUMENTS	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.32	COSTCO WHOLESALE CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.33	ROCKWELL AUTOMATION INC NEW	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.34	U.S. brokerage money market account (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4	IRA #3	No			
4.1	ADYEN N V ADR	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.2	INFINEON TECHNOLOGIES ADR	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.3	ATLAS COPCO AB NEW REPSTG COM SER A SPON ADR	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.4	TAIWAN SEMICONDUCTOR MFG CO LTD ADR	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.5	AIA GROUP LTD SPON ADR	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.6	LOREAL CO ADR FRANCE ADR	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.7	DBS GROUP HLDGS LTD. SPON ADR	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.8	BHP GROUP LTD SPON ADR	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.9	DASSAULT SYSTEMS S A SPON ADR	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.10	ALLIANZ SE ADR	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.11	SCHNEIDER ELEC SE UNSPONSORED ADR	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.12	SAP SE SPON ADR	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.13	TENCENT HLDGS LTD ADR	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.14	ROCHE HLDG LTD SPONS ADR SWITZADR	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.15	CHECK POINT SOFTWARE TECH LTD ILS	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.16	RIO TINTO PLC SPON ADR	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.17	NESTLE S A SPONSORED ADR REPSTG REG SHS SWITZ ADR	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.18	UNICHARM CORP SPON ADR	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.19	EPIROC AKTIEBOLAG ADR	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.20	LONZA GROUP AG ADR	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.21	BANCO BILBAO VIZCAYA ARGENTARIA S.A. SPON ADR	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.22	KUBOTA CORP ADR JAPAN ADR	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.23	CHUGAI PHARMACEUTICAL LTD ADR	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.24	ALIBABA GROUP HLDG LTD SPON ADR	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.25	KOMATSU LTD SPON ADR NEW	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.26	LUKOIL PJSC SPON ADR	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.27	SYSMEX CORP UNSPONSORED ADR	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.28	FOMENTO ECONOMICO MEXICANO S.A.B. DE CV SPON ADR	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.29	SYMRISE AG ADR	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.30	UNILEVER PLC AMER SHS NEW SPONADR	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.31	PING AN INSURANCE (GROUP) CO OF CHINA LTD REPSTG 20 H SHS SPON ADR	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.32	SONOVA HLDG AG ADR	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.33	SONOVA HLDG AG ADR	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.34	ICICI BANK LTD SPON ADR	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.35	ALCON INC CHF	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.36	ITAU UNIBANCO HLDG SA ADR	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.37	ALFA LAVAL AB SWEDEN ADR	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.38	ROYAL DUTCH SHELL PLC ADS REPSTG 2 CL B ORD SHS SPON ADR	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.39	SHIONOGI & CO LTD ADR	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.40	HDFC BANK LTD ADR REPSTG 3 ORDSHS	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.41	LINDE PLC EUR	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.42	CDN NATL RAILWAY CO CAD	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.43	DIAGEO PLC NEW GB SPON ADR	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.44	AMBEV SA SPON ADR	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.45	FANUC CORP ADR	N/A	None (or less than \$1,001)		None (or less than \$201)
4.46	AIR LIQUIDE ADR FRANCE ADR	N/A	None (or less than \$1,001)		None (or less than \$201)
4.47	NITORI HLDGS CO LTD ADR	N/A	None (or less than \$1,001)		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.48	CSPC PHARMACEUTICAL GROUP LTD UNSPONSORD ADR	N/A	None (or less than \$1,001)		None (or less than \$201)
4.49	NOVOZYMES A/S ADR	N/A	None (or less than \$1,001)		None (or less than \$201)
4.50	SGS SA ADR UNSPONSORED	N/A	None (or less than \$1,001)		None (or less than \$201)
4.51	YANDEX N V CL A EUR	N/A	None (or less than \$1,001)		None (or less than \$201)
4.52	FUCHS PETROLUB AG UNSPONSORED ADR	N/A	None (or less than \$1,001)		None (or less than \$201)
4.53	U.S. brokerage money market account (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5	IRA #4	No			
5.1	Virtus KAR Small-Mid Cap Core Fund Class I Shares (VKSIX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
5.2	SMEAD VALUE FUND CLASS INSTITUTIONAL	Yes	\$15,001 - \$50,000		None (or less than \$201)
5.3	NUVEEN LARGE CAP CORE FUND CLASS INSTL	Yes	\$15,001 - \$50,000		None (or less than \$201)
5.4	BROWN ADVISORY SUSTAINABLE GROWTH FUND CLASS INSTITUTIONAL	Yes	\$15,001 - \$50,000		None (or less than \$201)
5.5	SPDR S&P 500 ETF TR	Yes	\$15,001 - \$50,000		None (or less than \$201)
5.6	PARAMETRIC TABS 5-TO-15 YEAR LADDERED MUNICIPAL BOND FUNDCLASS I	Yes	\$15,001 - \$50,000		None (or less than \$201)
5.7	NUVEEN FLAGSHIP ALL AMERICAN MUNI BD FD I	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.8	DELAWARE EMERGING MKTS FUNDS INSTITUTIONAL CLASS	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.9	JOHN HANCOCK INTL GROWTH FUND CL I	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.10	JPMorgan Hedged Equity Fund Class I Shares (JHEQX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.11	MORGAN STANLEY INTERNATIONAL ADVANTAGE CL I	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.12	BLACKROCK EVENT DRIVEN EQUITY FUND CLASS INSTITUTIONAL	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.13	CALAMOS MARKET NEUTRAL INCOME FUND CLASS I	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.14	U.S. brokerage money market account (cash)	N/A	None (or less than \$1,001)		None (or less than \$201)
6	IRA #5	No			
6.1	SMEAD VALUE FUND CLASS INSTITUTIONAL	Yes	\$50,001 - \$100,000		None (or less than \$201)
6.2	SPDR S&P 500 ETF Trust (SPY)	Yes	\$50,001 - \$100,000		None (or less than \$201)
6.3	NUVEEN LARGE CAP CORE FUND CLASS INSTL	Yes	\$50,001 - \$100,000		None (or less than \$201)
6.4	BROWN ADVISORY SUSTAINABLE GROWTH FUND CLASS INSTITUTIONAL	Yes	\$50,001 - \$100,000		None (or less than \$201)
6.5	Lord Abbett Ultra Short Bond Fund Class F Shares (LUBFX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
6.6	Putnam Short Duration Bond Fund Class Y Shares (PARYX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
6.7	DELAWARE EMERGING MKTS FUNDS INSTITUTIONAL CLASS	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.8	JPMorgan Hedged Equity Fund Class I Shares (JHEQX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.9	JOHN HANCOCK INTL GROWTH FUND CL I	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.10	Morgan Stanley Institutional Fund Trust International Advantage Portfolio Class I Shares (MFAIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.11	BLACKROCK EVENT DRIVEN EQUITY FUND CLASS INSTITUTIONAL	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.12	Calamos Market Neutral Income Fund Class I Shares (CMNIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.13	U.S. brokerage money market account (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7	IRA #6	No			
7.1	Virtus KAR Small-Mid Cap Core Fund Class I Shares (VKSIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
7.2	SMEAD VALUE FUND CLASS INSTITUTIONAL	Yes	\$1,001 - \$15,000		None (or less than \$201)
7.3	Brown Advisory Sustainable Growth Fund Institutional Class Shares (BAFWX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
7.4	SPDR S&P 500 ETF TR	Yes	\$1,001 - \$15,000		None (or less than \$201)
7.5	NUVEEN LARGE CAP CORE FUND CLASS INSTL	Yes	\$1,001 - \$15,000		None (or less than \$201)
7.6	Lord Abbett Ultra Short Bond Fund Class F Shares (LUBFX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
7.7	Putnam Short Duration Bond Fund Class Y Shares (PARYX)	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.8	DELAWARE EMERGING MKTS FUNDS INSTITUTIONAL CLASS	Yes	\$1,001 - \$15,000		None (or less than \$201)
7.9	JOHN HANCOCK INTL GROWTH FUND CL I	Yes	\$1,001 - \$15,000		\$201 - \$1,000
7.10	JPMorgan Hedged Equity Fund Class I Shares (JHEQX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
7.11	BLACKROCK EVENT DRIVEN EQUITY FUND CLASS INSTITUTIONAL	Yes	None (or less than \$1,001)		None (or less than \$201)
7.12	CALAMOS MARKET NEUTRAL INCOME FUND CLASS I	Yes	None (or less than \$1,001)		None (or less than \$201)
7.13	Morgan Stanley Institutional Fund Trust International Advantage Portfolio Class I Shares (MFAIX)	Yes	None (or less than \$1,001)		None (or less than \$201)
7.14	U.S. brokerage money market account (cash)	N/A	None (or less than \$1,001)		None (or less than \$201)
8	IRA #7	No			
8.1	SCOTTS MIRACLE-GRO CO CL A	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.2	ZEBRA TECHNOLOGIES CORP CL A	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.3	Charles River Laboratories International, Inc. (CRL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.4	Thor Industries, Inc. (THO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.5	SITEONE LANDSCAPE SUPPLY INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.6	TERADYNE INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.7	CDW Corp. (CDW)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8.8	Pool Corp. (POOL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.9	COOPER COMPANIES INC NEW	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.10	MSCI INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.11	BERKLEY W R CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.12	Nordson Corp. (NDSN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.13	LENNOX INTL INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.14	BENTLEY SYSTEMS INC CL B	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.15	INTERACTIVE BROKERS GROUP INC CL A	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.16	Allegion Plc (ALLE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.17	LPL FINL HLDGS INC COM	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.18	Copart, Inc. (CPRT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.19	Bright Horizons Family Solutions Inc. (BFAM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.20	ASPEN TECHNOLOGY INC DEL CHANGE IN STATE OF INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.21	EQUIFAX INC	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8.22	FLIR Systems, Inc. (FLIR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.23	Elanco Animal Health, Inc. (ELAN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.24	ANSYS, Inc. (ANSS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.25	CHEMED CORP NEW		\$1,001 - \$15,000		None (or less than \$201)
8.26	HENRY JACK & ASSOC INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.27	DUCK CREEK TECHNOLOGIES INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.28	Exponent, Inc. (EXPO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.29	ROLLINS INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.30	Fair Isaac Corp. (FICO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.31	U.S. brokerage money market account (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9	IRA #8	No			
9.1	Microsoft Corp. (MSFT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.2	JOHNSON & JOHNSON COM	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.3	JPMORGAN CHASE & CO	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.4	Cisco Systems, Inc. (CSCO)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.5	COMCAST CORP NEW CL A	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.6	The Home Depot, Inc. (HD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.7	MEDTRONIC PLC	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.8	COCA COLA CO COM	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.9	CHUBB LTD CHF	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.10	McDonald's Corp. (MCD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.11	Intel Corp. (INTC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.12	ACCENTURE PLC IRELAND CL A	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.13	LINDE PLC EUR	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.14	Texas Instruments Incorporated (TXN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.15	Amgen Inc. (AMGN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.16	TRUIST FINL CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.17	Union Pacific Corp. (UNP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.18	VF Corp. (VFC)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.19	PROCTER & GAMBLE CO	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.20	Analog Devices, Inc. (ADI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.21	RAYTHEON TECHNOLOGIES CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.22	DIAGEO PLC NEW GB SPON ADR	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.23	BlackRock, Inc. (BLK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.24	Phillips 66 (PSX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.25	Lockheed Martin Corp. (LMT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.26	UNITED PARCEL SERVICE INC CL B	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.27	MARSH & MCLENNAN COS INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.28	NOVARTIS AG SPON ADR	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.29	ROCKWELL AUTOMATION INC NEW	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.30	Republic Services, Inc. (RSG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.31	NEXTERA ENERGY INC COM	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.32	American Electric Power Co., Inc. (AEP)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.33	Crane Co. (CR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.34	AUTOMATIC DATA PROCESSNG INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.35	EOG Resources, Inc. (EOG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.36	U.S. brokerage money market account (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
10	New Jersey State Employees Defined Contribution Retirement Plan	No			None (or less than \$201)
10.1	DCP Stable Value Fund	Yes	\$15,001 - \$50,000		None (or less than \$201)

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	New Jersey State Employees Defined Contribution Retirement Plan	Trenton, New Jersey	I will continue to participate in this defined contribution plan, but will not contribute further after my separation. The plan sponsor will not make further contributions after my separation.	3/2018

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	New Jersey Motor Vehicle Commission	Trenton, New Jersey	Senior official responsible for the administration of licenses, IDs, registrations, titles, and other vehicle transactions for the state of New Jersey. Member of the Governor's Cabinet.

5. Spouse's Employment Assets & Income and Retirement Accounts

None

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	The Walt Disney Co. (DIS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2	Procter & Gamble Co. (PG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3	Merck & Co., Inc. (MRK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4	USAA bank account (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)

7. Transactions

(N/A) - Not required for this type of report

8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Caliber Home Loans	Mortgage on Personal Residence	\$250,001 - \$500,000	2017	4.25%	30 years

9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

Endnotes

PART	#	ENDNOTE
1.	3	I resigned from the Board in April, 2021.

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

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