

## Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

### Filer's Information

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Femenella, Peggy Bayer

Assistant Director, Federal Trade Commission

Report Year: 2024

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Other Federal Government Positions Held During the Preceding 12 Months:

None

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Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Femenella, Peggy Bayer [electronically signed on 04/03/2024 by Femenella, Peggy Bayer in Integrity.gov]

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Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Pankey, Lorielle L, Certifying Official [electronically signed on 04/23/2024 by Pankey, Lorielle L in Integrity.gov]

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Other review conducted by

/s/ Wong, Jeremy, Ethics Official [electronically signed on 04/17/2024 by Wong, Jeremy in Integrity.gov]

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Data Revised 04/23/2024

Data Revised 04/17/2024

Data Revised 04/10/2024

## 1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME		CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Family Trust 1	See Endnote	Chicago, Illinois	Trust	Co-Trustee	12/2012	Present
2	Family Trust 2	See Endnote	Chicago, Illinois	Trust	Co-Trustee	12/2012	Present
3	Family Trust 3	See Endnote	Chicago, Illinois	Trust	Co-Trustee	12/2012	Present
4	Family Trust 4	See Endnote	Chicago, Illinois	Trust	Trustee	10/2014	Present
5	Family Insurance Trust 1	See Endnote	Chicago, Illinois	Trust	Trustee	4/2016	Present
6	Family Insurance Trust 2	See Endnote	Chicago, Illinois	Trust	Co-Trustee	2/2015	Present
7	Family Insurance Trust 3	See Endnote	Chicago, Illinois	Trust	Co-Trustee	9/2013	Present
8	Family Insurance Trust 4	See Endnote	Chicago, Illinois	Trust	Co-Trustee	9/2013	Present
9	Family Trust 6	See Endnote	Chicago, Illinois	Trust	Trustee	12/2012	Present
10	Family Trust 5	See Endnote	Chicago, Illinois	Trust	Trustee	12/2012	Present

## 2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	IRA #1	No			
1.1	Vanguard 500 Index Fund Admiral Shares (VFIAX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.2	Vanguard Short-Term Investment-Grade Fund Investor Shares (VFSTX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.3	Vanguard Small-Cap Index Fund Admiral Shares (VSMAX)	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.4	Vanguard International Value Fund Investor Shares (VTRIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.5	Vanguard Value Index Fund Admiral Shares (VVIAX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.6	Vanguard Total Bond Market Index Fund Admiral Shares (VBTLX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.7	Vanguard Emerging Markets Stock Index Fund Admiral Class Shares (VEMAX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.8	Vanguard Small-Cap Value Index Fund Admiral Class Shares (VSIAX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.9	Vanguard Developed Markets Index Fund Admiral Class Shares (VTMGX)	Yes	\$1,001 - \$15,000		None (or less than \$201)

### 3. Filer's Employment Agreements and Arrangements

None

### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

### 5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	401K	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.1	Vanguard Russell 1000 Value Index Fund Institutional Shares (VRVIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.2	Vanguard PRIMECAP Fund Admiral Shares (VPMAX)	Yes	\$15,001 - \$50,000		\$2,501 - \$5,000
1.3	Vanguard Windsor II Fund Admiral Shares (VWNAX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.4	Franklin Small Cap Value Fund Advisor Class (FVADX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.5	Vanguard International Growth Fund Admiral Shares (VWILX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.6	Vanguard Short-Term Inflation-Protected Securities Index Fund ETF Class Shares (VTIP)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2	Invesco Real Estate Fund Class R6 Shs (IARFX)	Yes	\$15,001 - \$50,000		None (or less than \$201)

## 6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Family Trust 1	No			
1.1	US Bank Account (cash) #1	N/A	\$1,001 - \$15,000		\$201 - \$1,000
2	Family Trust 3	No			
2.1	Goldman Sachs Global Core Fixed Income Fund Class P Shares (GGXPX)	Yes	\$250,001 - \$500,000		None (or less than \$201)
2.2	Goldman Sachs High Yield Fund Class P Shares (GGMPX)	Yes	\$100,001 - \$250,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.3	Goldman Sachs Tax-Advantaged Global Equity Portfolio Class P Shares (GSKPX)	Yes	\$1,000,001 - \$5,000,000		\$100,001 - \$1,000,000
2.4	US Bank Account (cash) #2	N/A	\$1,001 - \$15,000		None (or less than \$201)
3	Family Trust 4	No			
3.1	Goldman Sachs Tax-Advantaged Global Equity Portfolio Class P Shares (GSKPX)	Yes	\$500,001 - \$1,000,000		\$15,001 - \$50,000
4	Insurance Trust 3	No			
4.1	US Bank Account (cash) #3	N/A	None (or less than \$1,001)		None (or less than \$201)
5	Family Trust 6	No			
5.1	US Bank Account (cash) #4	N/A	\$100,001 - \$250,000		None (or less than \$201)
6	Virginia 529 #1	No			
6.1	Target Enrollment 2024 Portfolio	Yes	\$15,001 - \$50,000		None (or less than \$201)
7	Virginia 529 #2	No			
7.1	Target Enrollment 2024 Portfolio	Yes	\$15,001 - \$50,000		None (or less than \$201)
8	Truist Checking Account	N/A	\$15,001 - \$50,000		None (or less than \$201)
9	Truist Money Market Account	N/A	\$1,001 - \$15,000		None (or less than \$201)

## 7. Transactions

#	DESCRIPTION		TYPE	DATE	AMOUNT
1	Canyons SCH DIST UTAH GO 5%		Sale	03/31/2023	\$100,001 - \$250,000
2	District Columbia Income Tax Rev 5%		Sale	03/31/2023	\$100,001 - \$250,000
3	Jefferson Cnty Colo Sch Dist GO 5%		Sale	03/31/2023	\$100,001 - \$250,000
4	Albuquerque New Mexico Muni GO 5%		Sale	03/31/2023	\$100,001 - \$250,000
5	Washington DC Met Area Trans Rev 5%		Sale	03/31/2023	\$100,001 - \$250,000
6	CHARLOTTE N C STORM WTR FEE REV 5% 12/01/25 JD	See Endnote	Sale	03/31/2023	\$15,001 - \$50,000
7	AIKEN CNTY S C CONS SCH DIST GO 5% 03/01/24 MS	See Endnote	Sale	03/31/2023	\$15,001 - \$50,000
8	PENNSYLVANIA ST GO 5% 10/15/23 AO	See Endnote	Sale	03/31/2023	\$15,001 - \$50,000
9	UNIVERSITY NORTH TEX UNIV REV REV 5% 04/15/24 AO	See Endnote	Sale	03/31/2023	\$15,001 - \$50,000
10	PORTLAND ORE SWR SYS REV REV 5% 03/01/26 MS	See Endnote	Sale	03/31/2023	\$15,001 - \$50,000
11	SPOTSYLVANIA CNTY VA GO 5% 01/15/27 JJ	See Endnote	Sale	03/31/2023	\$15,001 - \$50,000
12	PENDER CNTY N C GO 5% 09/01/24 MS	See Endnote	Sale	03/31/2023	\$15,001 - \$50,000
13	MASSACHUSETTS ST GO 5% 07/01/26 JJ	See Endnote	Sale	03/31/2023	\$15,001 - \$50,000
14	DELAWARE TRANSN AUTH TRANSN REV 5% 07/01/23 JJ	See Endnote	Sale	03/31/2023	\$15,001 - \$50,000
15	N Y ST DRM ATH ST PRS INCM TX REV 5% 03/15/24 MS	See Endnote	Sale	03/31/2023	\$15,001 - \$50,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
16	PRINCE WILLIAM CNTY VA GO 5% 08/01/27 FA	See Endnote	Sale	03/31/2023	\$15,001 - \$50,000
17	OHIO STATE UNIVERSITY (THE) REV 5.0000% 12/01/27 JD	See Endnote	Sale	03/31/2023	\$15,001 - \$50,000
18	POLK CNTY IOWA GO 5% 06/01/23 JD	See Endnote	Sale	03/31/2023	\$15,001 - \$50,000
19	OREGON ST DEPT ADMINISTRATIVE REV 5% 04/01/25 AO	See Endnote	Sale	03/31/2023	\$15,001 - \$50,000
20	OHIO ST GO 5% 08/01/26 FA	See Endnote	Sale	03/31/2023	\$15,001 - \$50,000
21	WASHINGTON SUBN SAN DIST MD DB 5% 06/01/26 JD	See Endnote	Sale	03/31/2023	\$15,001 - \$50,000
22	HAWAII ST HWY REV REV 5% 01/01/27 JJ	See Endnote	Sale	03/31/2023	\$15,001 - \$50,000
23	HENNEPIN CNTY MINN GO 5% 12/01/26 JD	See Endnote	Sale	03/31/2023	\$15,001 - \$50,000
24	NEW HAMPSHIRE ST GO 5% 12/01/28 JD	See Endnote	Sale	03/31/2023	\$15,001 - \$50,000
25	MASSACHUSETTS ST GO 5% 07/01/26 JJ	See Endnote	Sale	03/31/2023	\$15,001 - \$50,000
26	HARFORD CNTY MD GO 5% 07/01/27 JJ	See Endnote	Sale	03/31/2023	\$50,001 - \$100,000
27	AUSTIN TEX INDPT SCH DIST GO 5% 08/01/24 FA	See Endnote	Sale	03/31/2023	\$50,001 - \$100,000
28	TOWN OF GO 5.0000% 04/01/24 AO	See Endnote	Sale	03/31/2023	\$50,001 - \$100,000
29	NEW MEXICO ST GO 5% 03/01/25 MS	See Endnote	Sale	03/31/2023	\$50,001 - \$100,000
30	LEE CNTY FLA SCH BRD CTFS COPS 5% 08/01/23 FA	See Endnote	Sale	03/31/2023	\$50,001 - \$100,000



#	DESCRIPTION		TYPE	DATE	AMOUNT
31	EAGLE MTN & SAGINAW TEX INDPT GO 5% 08/15/29 FA	See Endnote	Sale	03/31/2023	\$50,001 - \$100,000
32	ENERGY NORTHWEST WASH ELEC REV 5.0000% 07/01/25 JJ	See Endnote	Sale	03/31/2023	\$50,001 - \$100,000
33	SPRINGFIELD MO PUB UTIL REV REV 5% 08/01/23 FA	See Endnote	Sale	03/31/2023	\$50,001 - \$100,000
34	VA CLGE BLDG ATH REV 0.6100% 09/01/25 MS	See Endnote	Sale	03/31/2023	\$50,001 - \$100,000
35	ARAPAHOE CNTY COLO SCH DIST NO GO 5% 12/01/24 JD	See Endnote	Sale	03/31/2023	\$50,001 - \$100,000
36	NORTH CHARLESTON S C SWR DIST DB 5% 01/01/29 JJ	See Endnote	Sale	03/31/2023	\$50,001 - \$100,000
37	CYPRESS-FAIRBANKS TEX INDPT GO 5% 02/15/30 FA	See Endnote	Sale	03/31/2023	\$50,001 - \$100,000
38	WISCONSIN ST GO 5% 05/01/30 MN	See Endnote	Sale	03/31/2023	\$50,001 - \$100,000
39	ALLEGHENY CNTY PA PORT AUTH REV 5% 03/01/28 MS	See Endnote	Sale	03/31/2023	\$50,001 - \$100,000
40	CENTRAL KITSAP FIRE & RESCUE GO 5% 12/01/28 JD	See Endnote	Sale	03/31/2023	\$50,001 - \$100,000
41	WISCONSIN (STATE OF) GO 5% 05/01/29 MN	See Endnote	Sale	03/31/2023	\$50,001 - \$100,000
42	ARAPAHOE CNTY COLO SCH DIST NO GO 5.5000% 12/01/28 JD	See Endnote	Sale	03/31/2023	\$50,001 - \$100,000
43	PENNSYLVANIA ST TPK COMMN OIL REV 5% 12/01/26 JD	See Endnote	Sale	03/31/2023	\$50,001 - \$100,000
44	MEMPHIS TENN GO 5% 05/01/28 MN	See Endnote	Sale	03/31/2023	\$50,001 - \$100,000
45	WASHINGTON ST DB 5% 07/01/28 JJ	See Endnote	Sale	03/31/2023	\$50,001 - \$100,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
46	WILLIAMSON CNTY TEX GO 5% 02/15/29 FA	See Endnote	Sale	03/31/2023	\$50,001 - \$100,000
47	VA CLG BLG ATH VA EDL REV 5% 02/01/27 FA	See Endnote	Sale	03/31/2023	\$50,001 - \$100,000
48	DAYTON OHIO CITY SCH DIST GO 5% 11/01/26 MN	See Endnote	Sale	03/31/2023	\$50,001 - \$100,000
49	UNIVERSITY COLO ENTERPRISE SYS REV 5% 06/01/49 JD	See Endnote	Sale	03/31/2023	\$50,001 - \$100,000
50	GLENDALE ARIZ SR EXCISE TAX REV 5% 07/01/25 JJ	See Endnote	Sale	03/31/2023	\$50,001 - \$100,000
51	MARYLAND ST GO 5% 06/01/23 JD	See Endnote	Sale	03/31/2023	\$50,001 - \$100,000
52	RUTHERFORD CNTY TENN GO 5% 04/01/30 AO	See Endnote	Sale	03/31/2023	\$100,001 - \$250,000
53	HALLSVILLE TEX INDPT SCH DIST GO 5% 02/15/25 FA	See Endnote	Sale	03/31/2023	\$100,001 - \$250,000
54	NEW HOPE CULTURAL ED FACS FIN REV 5.0000% 04/01/47-CA AO	See Endnote	Sale	03/31/2023	\$100,001 - \$250,000
55	CARLSBAD N MEX MUN SCH DIST GO 5% 08/01/24 FA	See Endnote	Sale	03/31/2023	\$100,001 - \$250,000
56	CANYONS SCH DIST UTAH GO 5% 06/15/30 JD	See Endnote	Sale	03/31/2023	\$100,001 - \$250,000
57	TAYLOR MICH SCH DIST GO 5% 11/01/23 MN	See Endnote	Sale	03/31/2023	\$100,001 - \$250,000
58	DISTRICT COLUMBIA INCOME TAX REV 5% 10/01/24 AO	See Endnote	Sale	03/31/2023	\$100,001 - \$250,000
59	JEFFERSON CNTY COLO SCH DIST GO 5% 12/15/27 JD	See Endnote	Sale	03/31/2023	\$100,001 - \$250,000
60	ALBUQUERQUE NEW MEXICO MUNI GO 5.0000% 08/01/28 FA	See Endnote	Sale	03/31/2023	\$100,001 - \$250,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
61	HARRIS CNTY TEX MET TRAN AUTH REV 5% 11/01/24 MN	See Endnote	Sale	03/31/2023	\$100,001 - \$250,000
62	WASHINGTON D C MET AREA TRAN REV 5% 07/15/27 JJ	See Endnote	Sale	03/31/2023	\$100,001 - \$250,000
63	PEARLAND TEX INDPT SCH DIST GO 5% 02/15/26 FA	See Endnote	Sale	03/31/2023	\$100,001 - \$250,000
64	MIAMI UNIV OHIO GEN RCPTS REV 5% 09/01/27 MS	See Endnote	Sale	03/31/2023	\$100,001 - \$250,000
65	NEW YORK ST URBAN DEV CORP ST REV 5% 03/15/25 MS	See Endnote	Sale	03/31/2023	\$100,001 - \$250,000
66	CLARK CNTY NEV HWY IMPT REV REV 5% 07/01/29 JJ	See Endnote	Sale	03/31/2023	\$100,001 - \$250,000
67	UNIVERSITY MINN GO 5% 10/01/26 AO	See Endnote	Sale	03/31/2023	\$100,001 - \$250,000
68	GRANITE SCH DIST UTAH SALT GO 5% 06/01/28 JD	See Endnote	Sale	03/31/2023	\$100,001 - \$250,000
69	CHARLESTON CNTY S C SCH DIST DB 4% 05/10/23 MN	See Endnote	Sale	03/31/2023	\$100,001 - \$250,000
70	GS HIGH YIELD MUNICIPAL FUND	See Endnote	Sale	03/31/2023	\$1,000,001 - \$5,000,000
71	GS HIGH YIELD FLOATING RATE FUND	See Endnote	Sale	03/31/2023	\$1,000,001 - \$5,000,000
72	GS TAX-ADVANTAGED GLOBAL EQUITY PORTFOLIO	See Endnote	Sale	03/31/2023	\$25,000,001 - \$50,000,000

## 8. Liabilities

None

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## 9. Gifts and Travel Reimbursements

None

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## Endnotes

PART	#	ENDNOTE
1.	1	Unpaid
1.	2	Unpaid; no reportable underlying assets
1.	3	Unpaid
1.	4	Unpaid
1.	5	Unpaid; no reportable underlying assets
1.	6	Unpaid; No reportable underlying assets
1.	7	Unpaid
1.	8	Unpaid; No reportable underlying assets
1.	9	Unpaid
1.	10	Unpaid; No reportable underlying assets
7.	6	Filer was trustee
7.	7	Filer was trustee
7.	8	Filer was trustee
7.	9	Filer was trustee
7.	10	Filer was trustee

PART	#	ENDNOTE
7.	11	Filer was trustee
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PART	#	ENDNOTE
7.	33	Filer was trustee
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PART	#	ENDNOTE
7.	55	Filer was trustee
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# Summary of Contents

## 1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

## 2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)



#### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

#### 5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

#### 6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

## 8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

## 9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$480 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$480 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$192 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

## Privacy Act Statement

5 U.S.C. § 13101 et seq., and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with 5 U.S.C. §§ 13107 and § 13122(b)(1) or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13989 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

## Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE) 250 E Street, S.W., Suite 750, Washington, DC 20024-3249.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB (that control number 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).

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