Annual Report 2020 for Calendar Year 2019 | U.S. Office of Government Ethics; 5 C.F.R. part 2634 | Form Approved: OMB No. (3209-0001) (Updated Nov. 2019)

Executive Branch Personnel

Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

CORSIGLIA, NANCY

Chief Administrative Officer, Department of Housing and Urban Development

Report Year: 2020

Other Federal Government Positions Held During the Preceding 12 Months:

None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ CORSIGLIA, NANCY [electronically signed on 07/14/2020 by CORSIGLIA, NANCY in Integrity.gov] - Filer received a 60 day filing extension.

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Baxter, Daniel, Certifying Official [electronically signed on 05/10/2021 by Baxter, Daniel in Integrity.gov]

Other review conducted by

/s/ Slye, Brandi, Ethics Official [electronically signed on 08/06/2020 by Slye, Brandi in Integrity.gov]

U.S. Office of Government Ethics Certification

Data Revised 04/17/2021

1. Fi	1. Filer's Positions Held Outside United States Government						
None							
2. Fi	ler's Employment Assets & Income and R	etirement Accounts					
None							
3. Fi	ler's Employment Agreements and Arrang	gements					
None							
4. Fi	ler's Sources of Compensation Exceeding	g \$5,000 in a Year					
(N/A)	- Not required for this type of report						
5. S _l	pouse's Employment Assets & Income an	d Retirement Accou	nts				
None							
6. O	ther Assets and Income						
#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT	_	
1	Alliance Bernstein Private Credit Fund	Yes	\$250,001 - \$500,000		\$15,001 - \$50,000	_	

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2	E-Trade Financial	Yes	\$1,001 - \$15,000		\$201 - \$1,000
3	Fidelity Investments Magellan Fund	Yes	\$1,001 - \$15,000		\$201 - \$1,000
4	Hendry Energy Servicer LLC	No	\$1,001 - \$15,000	Rent or Royalties	\$1,001 - \$2,500
5	Brightwood Capital Fund (via Morgan Stanley)	Yes	\$100,001 - \$250,000		\$15,001 - \$50,000
6	RiverSource Fund	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
7	Principal Financial Group Investment Funds	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
8	Citibank Money Market Account	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
9	Citibank Checking Account	N/A	\$50,001 - \$100,000	Interest	\$201 - \$1,000
10	Bank of America Checking Account	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
11	Greenfield Cooperative Bank Checking Account	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
12	American Water Wrks	No	\$15,001 - \$50,000	Dividends Capital Gains	\$1,001 - \$2,500
13	Merrill Lynch Bank of America	Yes	\$100,001 - \$250,000		None (or less than \$201)
14	Dominion Resources Inc	No	\$15,001 - \$50,000	Dividends Capital Gains	\$201 - \$1,000
15	Edison Intl	No	\$15,001 - \$50,000	Dividends Capital Gains	\$201 - \$1,000
16	Great Plains Energy	No	\$15,001 - \$50,000	Dividends Capital Gains	\$201 - \$1,000
17	Infareit Inc	No	\$15,001 - \$50,000	Dividends Capital Gains	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
18	Portland Gen Elec Co	No	\$15,001 - \$50,000	Dividends Capital Gains	\$1,001 - \$2,500
19	Merrill Lynch Fund	Yes	\$15,001 - \$50,000		\$5,001 - \$15,000
20	Fidelity Investments Pace International Equity Fund	Yes	\$1,001 - \$15,000)	\$201 - \$1,000
21	Alliance Bernstein Private Financial Institutions Fund	Yes	\$250,001 - \$500,000		\$15,001 - \$50,000
22	Alliance Bernstein European Opportunities Fund	Yes	\$100,001 - \$250,000		\$15,001 - \$50,000
23	Alliance Bernstein Private Real Estate Fund	Yes	\$100,001 - \$250,000		\$15,001 - \$50,000
24	Fidelity Government Cash Reserves	Yes	\$15,001 - \$50,000		\$201 - \$1,000
25	Fidelity Investments Wells Fargo Bond Fund	Yes	\$15,001 - \$50,000		\$201 - \$1,000
26	Freddie Mac	No	\$1,001 - \$15,000		None (or less than \$201)
27	Amn Elec Power Co	No	\$15,001 - \$50,000	Dividends Capital Gains	\$1,001 - \$2,500
28	Adobe Systems Inc	No	\$50,001 - \$100,000	Capital Gains	\$5,001 - \$15,000
29	Fidelity Investments Pace International Fixed Income Fund	Yes	\$1,001 - \$15,000)	\$201 - \$1,000
30	Fannie Mae	No	\$15,001 - \$50,000		None (or less than \$201)
31	Federal National Mortgage Assn 8.25% PFS	No	\$50,001 - \$100,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
32	Freddie Mac 8.375% Pref	No	\$15,001 - \$50,000		None (or less than \$201)
33	MVC Capital	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
34	Bank of America Corp	No	\$15,001 - \$50,000	Dividends Capital Gains Interest	\$201 - \$1,000
35	District of Columbia Ser 2007	No	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
36	Alliant Energy Corp	No	\$15,001 - \$50,000	Capital Gains Dividends	\$2,501 - \$5,000
37	Bright Horizons Family	No	\$15,001 - \$50,000	Capital Gains	\$2,501 - \$5,000
38	First Energy Corp	No	\$100,001 - \$250,000	Dividends Capital Gains	\$5,001 - \$15,000
39	Intuit Inc (INTU)	No	\$50,001 - \$100,000	Capital Gains	\$2,501 - \$5,000
40	Mastercard Inc	No	\$50,001 - \$100,000	Capital Gains Dividends	\$5,001 - \$15,000
41	NextEra Energy Inc	No	\$15,001 - \$50,000	Dividends Capital Gains	\$2,501 - \$5,000
42	Amazon Com Inc	No	\$100,001 - \$250,000	Capital Gains Dividends	None (or less than \$201)
43	CDW Corp	No	\$15,001 - \$50,000	Capital Gains	None (or less than \$201)
44	Charter Communications Inc	No	\$100,001 - \$250,000	Capital Gains	None (or less than \$201)
45	Fortinet Inc	No	\$100,001 - \$250,000	Capital Gains	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
46	Hershey Co	No	\$50,001 - \$100,000	Capital Gains Dividends	\$1,001 - \$2,500
47	LHC Group	No	\$15,001 - \$50,000	Capital Gains	None (or less than \$201)
48	Iululemon Athletica Inc	No	\$15,001 - \$50,000	Capital Gains	None (or less than \$201)
49	Ringcentral Inc	No	\$50,001 - \$100,000	Capital Gains	None (or less than \$201)
50	Ross Stores Inc	No	\$50,001 - \$100,000	Capital Gains	None (or less than \$201)
51	Veeva Sys Inc	No	\$50,001 - \$100,000	Capital Gains	None (or less than \$201)

7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	CDW Corp	Purchase	12/12/2019	\$15,001 - \$50,000
2	Charter Communications	Purchase	12/12/2019	\$50,001 - \$100,000
3	Comcast Corp	Sale	12/12/2019	\$50,001 - \$100,000
4	Paypal Holdings Inc	Sale	12/12/2019	\$15,001 - \$50,000
5	Charter Communications	Purchase	11/18/2019	\$15,001 - \$50,000
6	Verisk Analytics Inc	Sale	11/13/2019	\$50,001 - \$100,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
7	Ross Stores Inc	Purchase	11/18/2019	\$15,001 - \$50,000
8	Illumina, Inc.	Purchase	09/24/2018	\$15,001 - \$50,000
9	Paylocity Hldg Corp	Purchase	09/24/2018	\$50,001 - \$100,000
10	Ross Stores Inc	Purchase	09/24/2018	\$15,001 - \$50,000
11	Fox Factory Hldg Corp	Purchase	09/24/2018	\$15,001 - \$50,000
12	LHC Group Inc	Purchase	09/24/2018	\$1,001 - \$15,000
13	Twilio Inc	Purchase	09/24/2018	\$15,001 - \$50,000
14	Costar Group Inc	Sale	09/24/2018	\$15,001 - \$50,000
15	Servicenow Inc	Sale	09/24/2018	\$15,001 - \$50,000
16	Urban Outfitters Inc	Sale	09/24/2018	\$50,001 - \$100,000
17	Zoetis Inc	Sale	09/24/2018	\$15,001 - \$50,000
18	MercadoLibre Inc	Purchase	07/16/2019	\$50,001 - \$100,000
19	Hubspot	Sale	07/16/2019	\$15,001 - \$50,000
20	Lendingtree	Sale	07/30/2019	\$15,001 - \$50,000
21	Adobe Inc	Purchase	06/04/2019	\$1,001 - \$15,000
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#	DESCRIPTION	TYPE	DATE	AMOUNT
22	Amazon Com Inc	Purchase	06/04/2019	\$50,001 - \$100,000
23	Alteryx Com Inc	Purchase	06/04/2019	\$1,001 - \$15,000
24	Fortinet Inc	Purchase	06/04/2019	\$1,001 - \$15,000
25	Bright Horizons Family	Purchase	06/04/2019	\$1,001 - \$15,000
26	Firstenergy Corp	Purchase	06/04/2019	\$1,001 - \$15,000
27	Hubspot Inc	Purchase	06/04/2019	\$1,001 - \$15,000
28	Hershey Co	Purchase	06/04/2019	\$1,001 - \$15,000
29	Intuit Inc	Purchase	06/04/2019	\$1,001 - \$15,000
30	LHC Group Inc	Purchase	06/04/2019	\$1,001 - \$15,000
31	Lululemon Athletica Inc	Purchase	06/04/2019	\$1,001 - \$15,000
32	Lendingtree Inc	Purchase	06/04/2019	\$1,001 - \$15,000
33	Mastercard Inc	Purchase	06/04/2019	\$1,001 - \$15,000
34	Planet Fitness Inc	Purchase	06/04/2019	\$1,001 - \$15,000
35	Ringcentral Inc	Purchase	06/04/2019	\$1,001 - \$15,000
36	Twilio Inc	Purchase	06/04/2019	\$1,001 - \$15,000
37	Verisk Analytics Inc	Purchase	06/04/2019	\$1,001 - \$15,000
38	Paypal Holdings Inc	Purchase	06/04/2019	\$1,001 - \$15,000
39	Veeva Sys Inc	Purchase	06/04/2019	\$1,001 - \$15,000
40	First Energy	Purchase	05/23/2019	\$50,001 - \$100,000
41	Lendingtree Inc	Purchase	05/29/2019	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
42	Lendingtree Inc	Purchase	05/23/2019	\$15,001 - \$50,000
43	Planet Fitness inc	Purchase	05/23/2019	\$15,001 - \$50,000
44	Alteryx Inc	Purchase	05/24/2019	\$15,001 - \$50,000
45	Hershey Co	Purchase	05/23/2019	\$15,001 - \$50,000
46	Boston Scientific	Sale	05/23/2019	\$15,001 - \$50,000
47	Ciena Corp	Sale	05/23/2019	\$50,001 - \$100,000
48	Intuitive Surgical Inc	Sale	05/23/2019	\$15,001 - \$50,000
49	Vistra Energy Corp	Sale	05/23/2019	\$50,001 - \$100,000
50	O'Reilly Automotive Inc	Sale	05/23/2019	\$50,001 - \$100,000
51	First Energy	Purchase	05/23/2019	\$50,001 - \$100,000
52	Planet Fitness inc	Purchase	05/23/2019	\$15,001 - \$50,000
53	Lendingtree Inc	Purchase	05/23/2019	\$15,001 - \$50,000
54	Hershey Co	Purchase	05/23/2019	\$15,001 - \$50,000
55	Alteryx Inc	Purchase	05/24/2019	\$15,001 - \$50,000
56	Lendingtree Inc	Purchase	05/29/2019	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
57	Boston Scientific	Sale	05/23/2019	\$15,001 - \$50,000
58	Intuitive Surgical Inc	Sale	05/23/2019	\$15,001 - \$50,000
59	Ciena Corp	Sale	05/23/2019	\$50,001 - \$100,000
60	O'Reilly Automotive Inc	Sale	05/23/2019	\$50,001 - \$100,000
61	Vistra Energy Corp	Sale	05/23/2019	\$50,001 - \$100,000
62	Veeva Sys Inc	Purchase	03/19/2019	\$50,001 - \$100,000
63	FireEye, Inc.	Sale	03/19/2019	\$50,001 - \$100,000
64	Verisk Analyticss	Purchase	02/28/2019	\$1,001 - \$15,000
65	F5 Networks Inc	Sale	02/28/2019	\$50,001 - \$100,000
66	Ciena Corp	Purchase	01/31/2019	\$15,001 - \$50,000
67	O'Reilly Automotive Inc	Purchase	01/31/2019	\$15,001 - \$50,000
68	Vistra Energy Corp	Purchase	01/31/2019	\$50,001 - \$100,000
69	FireEyeInc	Purchase	01/31/2019	\$50,001 - \$100,000
70	Twilo Inc	Purchase	01/31/2019	\$1,001 - \$15,000
71	American Express Co	Sale	01/31/2019	\$15,001 - \$50,000
72	Centene Corp	Sale	01/31/2019	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
73	SVB Finl Group	Sale	12/20/2018	\$15,001 - \$50,000
74	FirstEnergy Corp	Purchase	12/20/2018	\$50,001 - \$100,000
75	VeriskAnalytics	Purchase	12/20/2018	\$15,001 - \$50,000
76	Idexx Lab Inc	Sale	12/20/2018	\$15,001 - \$50,000
77	O'Reilly Automotive	Purchase	12/20/2018	\$15,001 - \$50,000
78	Ciena Corp	Purchase	12/20/2018	\$15,001 - \$50,000
79	Transunion	Sale	12/20/2018	\$15,001 - \$50,000
80	Zendesk Inc	Sale	12/20/2018	\$50,001 - \$100,000
81	Sysco Corp	Sale	12/20/2018	\$15,001 - \$50,000
82	Illumina Inc	Sale	12/27/2018	\$15,001 - \$50,000
83	Centene	Sale	12/27/2018	\$1,001 - \$15,000
84	Ross Stores Inc	Sale	12/27/2018	\$15,001 - \$50,000
85	Wellcare Health Plans Inc	Sale	12/20/2018	\$15,001 - \$50,000
86	FirstEnergy Corp	Purchase	12/20/2018	\$50,001 - \$100,000
87	Ciena Corp	Purchase	12/20/2018	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
88	O'Reilly Automotive	Purchase	12/20/2018	\$15,001 - \$50,000
89	VeriskAnalytics	Purchase	12/20/2018	\$15,001 - \$50,000
90	Idexx Lab Inc	Sale	12/20/2018	\$15,001 - \$50,000
91	SVB Finl Group	Sale	12/20/2018	\$15,001 - \$50,000
92	Sysco Corp	Sale	12/20/2018	\$15,001 - \$50,000
93	Transunion	Sale	12/20/2018	\$15,001 - \$50,000
94	Zendesk Inc	Sale	12/20/2018	\$50,001 - \$100,000
95	Wellcare Health Plans Inc	Sale	12/20/2018	\$15,001 - \$50,000
96	Centene	Sale	12/27/2018	\$1,001 - \$15,000
97	Illumina Inc	Sale	12/27/2018	\$15,001 - \$50,000
98	Ross Stores Inc	Sale	12/27/2018	\$15,001 - \$50,000

8. Liabilities

None

9. Gifts and Travel Reimbursements

None

Endnotes

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$156 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal. State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding: (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record: (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGÉ Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE) Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).