

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Neugeboren, Steven

Associate General Counsel, Environmental Protection Agency

Report Year: 2023

Other Federal Government Positions Held During the Preceding 12 Months:

None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Neugeboren, Steven [electronically signed on 06/29/2023 by Neugeboren, Steven in Integrity.gov] - Filer received a 45 day filing extension.

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Clarke, Victoria K, Certifying Official [electronically signed on 07/27/2023 by Clarke, Victoria K in Integrity.gov]

Other review conducted by

U.S. Office of Government Ethics Certification

Data Revised 06/30/2023

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Musician	, Maryland	Musical Performer	Musician	1/2022	Present

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	IRA	No			
1.1	First Trust Rising Dividend Achievers ETF (RDVY)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.2	Invesco S&P 500 GARP ETF (SPGP)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.3	iShares Russell 2000 ETF (IWM)	Yes	None (or less than \$1,001)		None (or less than \$201)
1.4	O'Shares FTSE US Quality Dividend ETF (OUSA)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.5	Pacer Trendpilot US Large Cap ETF (PTLC)	Yes	None (or less than \$1,001)		None (or less than \$201)
1.6	SPDR S&P MidCap 400 ETF (MDY)	Yes	None (or less than \$1,001)		None (or less than \$201)
1.7	SPDR Portfolio S&P 500 Growth ETF (SPYG)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.8	SPDR Portfolio S&P 500 Value ETF (SPYV)	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.9	VictoryShares US 500 Enhanced Volatility Wtd Index ETF (CFO)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.10	iShares Core US Aggregate Bond ETF (AGG)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.11	Sierra Tactical Core Income Fund Institutional Class Shares (SSIRX)	Yes	None (or less than \$1,001)		None (or less than \$201)
1.12	iShares 1-3 Year Treasury Bond ETF (SHY)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.13	iShares Short Treasury Bond ETF (SHV)	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.14	SPDR Bloomberg Barclays 1-3 Month T-Bill ETF (BIL)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.15	Vanguard Short-Term Corporate Bond Index Fund ETF Class Shares (VCSH)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.16	SPDR Gold Shares (GLD)	Yes	\$1,001 - \$15,000		None (or less than \$201)

3. Filer's Employment Agreements and Arrangements

None

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Spouse IRA #1	No			
1.1	First Trust Rising Dividend Achievers ETF (RDVY)	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.2	Invesco S&P 500 GARP ETF (SPGP)	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.3	O'Shares FTSE US Quality Dividend ETF (OUSA)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
1.4	Pacer Trendpilot US Large Cap ETF (PTLC)	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.5	SPDR Portfolio S&P 500 Growth ETF (SPYG)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.6	SPDR Portfolio S&P 500 Value ETF (SPYV)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.7	VictoryShares US 500 Enhanced Volatility Wtd Index ETF (CFO)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.8	Vanguard Short-Term Corporate Bond Index Fund ETF Class Shares (VCSH)	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.9	SPDR Bloomberg Barclays 1-3 Month T-Bill ETF (BIL)	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.10	iShares Short Treasury Bond ETF (SHV)	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.11	iShares 1-3 Year Treasury Bond ETF (SHY)	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.12	iShares Russell 2000 ETF (IWM)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.13	SPDR S&P MidCap 400 ETF (MDY)	Yes	None (or less than \$1,001)		None (or less than \$201)
1.14	Sierra Tactical Core Income Fund Institutional Class Shares (SSIRX)	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.15	Sierra Tactical Core Income Fund Institutional Class Shares (SSIRX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2	Spouse IRA #2	No			
2.1	Allstate Corp (ALL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.2	Alphabet, Inc. (GOOG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.3	Intel Corp. (INTC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.4	Johnson & Johnson (JNJ)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.5	JPMorgan Chase & Co. (JPM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.6	Apple, Inc. (AAPL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.7	Merck & Co., Inc. (MRK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.8	Microsoft Corp. (MSFT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.9	Pfizer Inc. (PFE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.10	Target Corp. (TGT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.11	Texas Instruments Incorporated (TXN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.12	United Parcel Service, Inc. (UPS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.13	Verizon Communications, Inc. (VZ)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.14	UnitedHealth Group, Inc. (UNH)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.15	Walmart, Inc. (WMT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.16	Cardinal Health, Inc. (CAH)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.17	Cummins, Inc. (CMI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.18	Freeport-McMoRan, Inc. (FCX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.19	Huntsman Corp. (HUN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.20	Pacer Trendpilot US Mid Cap ETF (PTMC)	Yes	\$1,001 - \$15,000		
2.21	Pacer Trendpilot US Large Cap ETF (PTLC)	Yes	\$50,001 - \$100,000		None (or less than \$201)
2.22	VictoryShares US 500 Enhanced Volatility Wtd Index ETF (CFO)	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.23	iShares 1-3 Year Treasury Bond ETF (SHY)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.24	SPDR Bloomberg Barclays 1-3 Month T-Bill ETF (BIL)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.25	Vanguard Short-Term Corporate Bond Index Fund ETF Class Shares (VCSH)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3	Spouse IRA #3	No			
3.1	Alphabet, Inc. (GOOG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.2	Apple, Inc. (AAPL)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.3	Cigna Corp. (CI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.4	Cisco Systems, Inc. (CSCO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.5	eBay, Inc. (EBAY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.6	JPMorgan Chase & Co. (JPM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.7	KLA Corp. (KLAC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.8	Lockheed Martin Corp. (LMT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.9	Merck & Co., Inc. (MRK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.10	Microsoft Corp. (MSFT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.11	Pfizer Inc. (PFE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.12	Quest Diagnostics, Inc. (DGX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.13	Regeneron Pharmaceuticals, Inc. (REGN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.14	Target Corp. (TGT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.15	Tractor Supply Co. (TSCO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.16	United Parcel Service, Inc. (UPS)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.17	Pacer Trendpilot US Large Cap ETF (PTLC)	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.18	Invesco S&P 500 GARP ETF (SPGP)	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.19	VictoryShares US 500 Enhanced Volatility Wtd Index ETF (CFO)	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.20	iShares 1-3 Year Treasury Bond ETF (SHY)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.21	iShares 1-3 Year Treasury Bond ETF (SHY)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.22	iShares US Treasury Bond ETF (GOVT)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.23	SPDR Bloomberg Barclays 1-3 Month T-Bill ETF (BIL)	Yes	\$1,001 - \$15,000		None (or less than \$201)

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	iShares Core Conservative Allocation ETF (AOK)	Yes	\$15,001 - \$50,000		None (or less than \$201)
2	T. Rowe Price College Savings Plan of MD Portfolio for Education Today	Yes	\$50,001 - \$100,000		None (or less than \$201)
3	U.S. bank #2 (checking, savings, money market)	N/A	\$100,001 - \$250,000		None (or less than \$201)
4	U.S. bank #2 (cash account)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5	U.S. credit union	N/A	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6	U.S. bank #3 (money market account)	N/A	\$50,001 - \$100,000	Interest	\$201 - \$1,000
7	U.S. bank #4 (cash)	N/A	None (or less than \$1,001)		None (or less than \$201)
8	Spouse Brokerage	No			
8.1	Cisco Systems, Inc. (CSCO)	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
8.2	Microsoft Corp. (MSFT)	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
8.3	iShares Core Aggressive Allocation ETF (AOA)	Yes	\$1,001 - \$15,000		None (or less than \$201)

7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	MINT - PIMCO Short Maturity ETF	Sale	01/24/2022	\$15,001 - \$50,000
2	RDVY - First Trust Rising Dividend ETF	Purchase	01/24/2022	\$1,001 - \$15,000
3	DVY - iShares Select Dividend ETF	Purchase	01/24/2022	\$1,001 - \$15,000
4	SPHQ - Invesco S&P 500 Quality ETF	Purchase	01/24/2022	\$1,001 - \$15,000
5	SPGP - Invesco S&P GARP ETF	Purchase	01/24/2022	\$1,001 - \$15,000
6	QQQ - Invesco QQQ ETF	Purchase	01/24/2022	\$1,001 - \$15,000
7	SPYG - SPDR S&P 500 Growth ETF	Purchase	01/24/2022	\$1,001 - \$15,000
8	NOBL - Proshares S&P 500 Dividend Aristocrats ETF	Purchase	01/19/2022	\$1,001 - \$15,000
9	PEP - Pepsico Inc	Purchase	01/19/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
10	DGX - Quest Diagnostics	Purchase	01/19/2022	\$1,001 - \$15,000
11	MRK - Merk & Co Inc	Purchase	01/19/2022	\$1,001 - \$15,000
12	KLAC - KLA Corp	Purchase	01/19/2022	\$1,001 - \$15,000
13	JPM - JP Morgan Chase & Co	Purchase	01/19/2022	\$1,001 - \$15,000
14	FCX - Freeport McMoran Inc	Purchase	01/19/2022	\$1,001 - \$15,000
15	SHY - iShares 1-3 Year Treasury Bond ETF	Sale	01/19/2022	\$15,001 - \$50,000
16	TSM - Taiwan Semiconductor	Purchase	01/19/2022	\$1,001 - \$15,000
17	TSCO - Tractor Supply Company	Purchase	01/19/2022	\$1,001 - \$15,000
18	LIN - Linde PLC	Purchase	01/19/2022	\$1,001 - \$15,000
19	CDW - CDW Corp	Purchase	01/19/2022	\$1,001 - \$15,000
20	MDT - Medtronic PLC	Sale	01/19/2022	\$1,001 - \$15,000
21	IGSB - iShares 1-5 Year Invest Grade ETF	Sale	02/01/2022	\$1,001 - \$15,000
22	IEI - iShares 3-7 Year Treasury Bond	Sale	02/01/2022	\$1,001 - \$15,000
23	GOOG - Alphabet Inc	Purchase	02/01/2022	\$1,001 - \$15,000
24	RDVY - First Trust Rising Dividend ETF	Purchase	02/01/2022	\$1,001 - \$15,000
25	DVY - iShares Select Dividend ETF	Purchase	02/01/2022	\$1,001 - \$15,000
26	MSFT - Microsoft Corp	Purchase	02/01/2022	\$1,001 - \$15,000
27	NOBL - ProShares S&P 500 Aristocrats ETF	Purchase	02/01/2022	\$1,001 - \$15,000
28	SPGP - Invesco S&P GARP ETF	Purchase	02/01/2022	\$1,001 - \$15,000
29	SPHQ - Invesco S&P 500 Quality ETF	Purchase	02/01/2022	\$1,001 - \$15,000
30	KLAC - KLA Corp	Purchase	02/01/2022	\$1,001 - \$15,000
31	NVDA - Nvidia Corp	Purchase	02/01/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
32	ORLY - O'Reilly Automotive Inc	Purchase	02/01/2022	\$1,001 - \$15,000
33	SPYG - SPDR S&P 500 Growth ETF	Purchase	02/01/2022	\$1,001 - \$15,000
34	CFO - VictoryShares US 500 Enhanced	Purchase	04/25/2022	\$1,001 - \$15,000
35	MDY - SPDR S&P MidCap 400	Purchase	04/25/2022	\$1,001 - \$15,000
36	SPYG - SPDR Portfolio S&P 500 Growth	Purchase	04/25/2022	\$1,001 - \$15,000
37	PTLC - Pacer Trendpiolt US Large Cap	Purchase	04/25/2022	\$1,001 - \$15,000
38	OUSX - O'Shares US Quality Dividend ETF	Purchase	04/25/2022	\$1,001 - \$15,000
39	SPGP - Invesco S&P 500 GARP	Purchase	04/25/2022	\$1,001 - \$15,000
40	AGG - iShares Core US Aggregate Bond ETF	Purchase	04/25/2022	\$1,001 - \$15,000
41	IEF - iShares 7-10 Year Treasury Bond ETF	Purchase	04/25/2022	\$15,001 - \$50,000
42	RDVY - First Trust Rising Dividend Achievers ETF	Purchase	04/25/2022	\$1,001 - \$15,000
43	SPDW - SPDR Portfolio Developed World Ex-US ETF	Sale	04/25/2022	\$1,001 - \$15,000
44	SPEM - SPDR Portfolio Emerging Markets ETF	Sale	04/25/2022	\$1,001 - \$15,000
45	PTNQ - Pacer Trendpilot 100 ETF	Sale	04/25/2022	\$15,001 - \$50,000
46	IEI - iShares 3-7 Year Treasury Bond ETF	Sale	04/25/2022	\$1,001 - \$15,000
47	SHY - iShares 1-3 Year Treasury Bond ETF	Sale	04/25/2022	\$1,001 - \$15,000
48	TGT - Target Corp	Purchase	04/25/2022	\$1,001 - \$15,000
49	SPGI - S&P Global Inc	Purchase	04/25/2022	\$1,001 - \$15,000
50	LRCX - Lam Research Corp	Purchase	04/25/2022	\$1,001 - \$15,000
51	KLAC - KLA Corp	Purchase	04/25/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
52	INTC - Intel Corp	Purchase	04/25/2022	\$1,001 - \$15,000
53	GOOG - Alphabet Inc	Purchase	04/25/2022	\$1,001 - \$15,000
54	ALL - Allstate Corp	Purchase	04/25/2022	\$1,001 - \$15,000
55	WMT - Walmart Inc	Sale	04/25/2022	\$1,001 - \$15,000
56	GLD - SPDR Gold Shares	Sale	04/25/2022	\$1,001 - \$15,000
57	RTX - Raytheon Technologies Corp	Sale	04/25/2022	\$1,001 - \$15,000
58	PNC - PNC Financial Services Group	Sale	04/25/2022	\$1,001 - \$15,000
59	IGSB - iShares Trust 1-5 Year Investment Grade	Sale	04/25/2022	\$1,001 - \$15,000
60	SIVB - SVB Financial Group	Purchase	04/25/2022	\$1,001 - \$15,000
61	NEE - Nextera Energy Inc	Sale	04/25/2022	\$1,001 - \$15,000
62	COST - Costco Wholesale Corp	Sale	04/25/2022	\$1,001 - \$15,000
63	AMZN - Amazon.com Inc	Sale	04/25/2022	\$1,001 - \$15,000
64	MDY - SPDR S&P MidCap 400	Purchase	04/25/2022	\$1,001 - \$15,000
65	IEF - iShares 7-10 Year Treasury Bond ETF	Purchase	04/25/2022	\$1,001 - \$15,000
66	SPEM - SPDR Portfolio Emerging Markets ETF	Sale	04/25/2022	\$1,001 - \$15,000
67	PTNQ - Pacer Trendpilot 100 ETF	Sale	04/25/2022	\$1,001 - \$15,000
68	PTLC - Pacer Trendpiolt US Large Cap	Sale	04/25/2022	\$1,001 - \$15,000
69	SH - ProShares Short S&P 500 ETF	Purchase	05/12/2022	\$1,001 - \$15,000
70	RWM - ProShares Short Russell 2000 ETF	Purchase	05/12/2022	\$1,001 - \$15,000
71	SPGP - Invesco S&P 500 GARP	Sale	05/09/2022	\$1,001 - \$15,000
72	RDVY - First Trust Rising Dividend Achievers ETF	Sale	05/09/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
73	CFO - VictoryShares US 500 Enhanced	Purchase	05/04/2022	\$1,001 - \$15,000
74	VB - Vanguard Small Cap ETF	Purchase	05/04/2022	\$1,001 - \$15,000
75	MDY - SPDR S&P MidCap 400	Purchase	05/04/2022	\$1,001 - \$15,000
76	SPYG - SPDR Portfolio S&P 500 Growth	Purchase	05/04/2022	\$1,001 - \$15,000
77	PTLC - Pacer Trendpiolt US Large Cap	Purchase	05/04/2022	\$1,001 - \$15,000
78	OUSA - O'Shares US Quality Dividend ETF	Purchase	05/04/2022	\$1,001 - \$15,000
79	SPGP - Invesco S&P 500 GARP	Purchase	05/04/2022	\$1,001 - \$15,000
80	SHY - iShares 1-3 Year Treasury Bond ETF	Sale	05/04/2022	\$15,001 - \$50,000
81	SH - ProShares Short S&P 500 ETF	Purchase	05/12/2022	\$15,001 - \$50,000
82	IEF - iShares 7-10 Year Treasury Bond ETF	Purchase	05/12/2022	\$1,001 - \$15,000
83	TMO - Thermo Fisher Scientific Inc	Sale	05/12/2022	\$1,001 - \$15,000
84	IEI - iShares 3-7 Year Treasury Bond ETF	Sale	05/12/2022	\$15,001 - \$50,000
85	CMCSA - Comcast Corp	Sale	05/12/2022	\$1,001 - \$15,000
86	AEP - American Electric Power	Sale	05/12/2022	\$1,001 - \$15,000
87	MA - MasterCard Inc	Sale	05/09/2022	\$1,001 - \$15,000
88	DIS - Walt Disney Co	Sale	05/09/2022	\$1,001 - \$15,000
89	AMAT - Applied Materials	Sale	05/09/2022	\$1,001 - \$15,000
90	AWK - American Water Works	Sale	05/09/2022	\$1,001 - \$15,000
91	VIG - Vanguard Dividend Appreciation ETF	Purchase	05/04/2022	\$1,001 - \$15,000
92	TGT - Target Corp	Purchase	05/04/2022	\$1,001 - \$15,000
93	NOBL - ProShares S&P 500 Aristocrats ETF	Purchase	05/04/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
94	TROW - T Rowe Price Group	Purchase	05/04/2022	\$1,001 - \$15,000
95	RDVY - First Trust Rising Dividend Achievers ETF	Purchase	05/04/2022	\$1,001 - \$15,000
96	ADM - Archer Daniels Midland	Purchase	05/04/2022	\$1,001 - \$15,000
97	SPGI - S&P Global Inc	Sale	05/04/2022	\$1,001 - \$15,000
98	TIP - iShares TIPS ETF	Sale	05/04/2022	\$15,001 - \$50,000
99	SH - ProShares Short S&P 500 ETF	Purchase	05/12/2022	\$15,001 - \$50,000
100	IEF - iShares 7-10 Year Treasury Bond ETF	Purchase	05/12/2022	\$15,001 - \$50,000
101	SIVB - SVB Financial Group	Sale	05/12/2022	\$1,001 - \$15,000
102	MDT - Medtronic PLC	Sale	05/12/2022	\$1,001 - \$15,000
103	IEI - iShares 3-7 Year Treasury Bond ETF	Sale	05/12/2022	\$15,001 - \$50,000
104	OSTK - Overstock.com	Sale	05/09/2022	\$1,001 - \$15,000
105	QQQ - Invesco QQQ Trust	Sale	05/09/2022	\$1,001 - \$15,000
106	CHTR - Charter Communication	Sale	05/09/2022	\$1,001 - \$15,000
107	ORLY - O Reilly Automotive	Purchase	05/04/2022	\$1,001 - \$15,000
108	NVDA - Nvidia Corp	Purchase	05/04/2022	\$1,001 - \$15,000
109	LRCX - Lam Research Corp	Purchase	05/04/2022	\$1,001 - \$15,000
110	KLAC - KLA Corp	Purchase	05/04/2022	\$1,001 - \$15,000
111	SPGP - Invesco S&P 500 GARP	Purchase	05/04/2022	\$1,001 - \$15,000
112	SPHQ - Invesco S&P Quality ETF	Purchase	05/04/2022	\$1,001 - \$15,000
113	QQQ - Invesco QQQ Trust	Purchase	05/04/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
114	SPGI - S&P Global Inc	Sale	05/04/2022	\$1,001 - \$15,000
115	LIN - Linde PLC	Sale	05/04/2022	\$1,001 - \$15,000
116	LLY - Eli Lilly & Co	Sale	05/04/2022	\$1,001 - \$15,000
117	TIP - iShares TIPS ETF	Sale	05/04/2022	\$1,001 - \$15,000
118	CMCSA - Comcast Corp	Sale	05/04/2022	\$1,001 - \$15,000
119	SH - ProShares Short S&P 500 ETF	Purchase	05/12/2022	\$1,001 - \$15,000
120	RWM - ProShares Short Russell 2000 ETF	Purchase	05/12/2022	\$1,001 - \$15,000
121	SPGP - Invesco S&P 500 GARP	Sale	05/09/2022	\$1,001 - \$15,000
122	QQQ - Invesco QQQ Trust	Sale	05/09/2022	\$1,001 - \$15,000
123	RDVY - First Trust Rising Dividend Achievers ETF	Sale	05/09/2022	\$1,001 - \$15,000
124	MDY - SPDR S&P MidCap 400	Purchase	05/04/2022	\$1,001 - \$15,000
125	OUSA - O'Shares US Quality Dividend ETF	Purchase	05/04/2022	\$1,001 - \$15,000
126	SPGP - Invesco S&P 500 GARP	Purchase	05/04/2022	\$1,001 - \$15,000
127	QQQ - Invesco QQQ Trust	Purchase	05/04/2022	\$1,001 - \$15,000
128	RDVY - First Trust Rising Dividend Achievers ETF	Purchase	05/04/2022	\$1,001 - \$15,000
129	AGG - iShares Core US Aggregate Bond ETF	Sale	05/04/2022	\$1,001 - \$15,000
130	SPYV - SPDR Portfolio S&P 500 Value ETF	Sale	05/04/2022	\$1,001 - \$15,000
131	PTNQ - Pacer Trendpilot 100 ETF	Sale	05/04/2022	\$1,001 - \$15,000
132	SSIRX - Sierra Tactical Core Income	Purchase	05/19/2022	\$1,001 - \$15,000
133	GLD - SPDR Gold Shares ETF	Purchase	05/19/2022	\$1,001 - \$15,000
134	PSQ - ProShares Short QQQ ETF	Purchase	05/19/2022	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
135	SPGP - Invesco S&P 500 GARP	Purchase	05/19/2022	\$1,001 - \$15,000
136	TIP - iShares TIPS ETF	Purchase	05/19/2022	\$1,001 - \$15,000
137	TLT - iShares 20+ Year Treasury ETF	Purchase	05/19/2022	\$1,001 - \$15,000
138	RDVY - First Trust Rising Dividend Achievers ETF	Purchase	05/19/2022	\$1,001 - \$15,000
139	VB - Vanguard Small Cap ETF	Sale	05/19/2022	\$1,001 - \$15,000
140	MDY - SPDR S&P MidCap 400	Sale	05/19/2022	\$1,001 - \$15,000
141	SPYV - SPDR Portfolio S&P 500 Value ETF	Sale	05/19/2022	\$1,001 - \$15,000
142	SPYG - SPDR Portfolio S&P 500 Growth	Sale	05/19/2022	\$1,001 - \$15,000
143	RWM - ProShares Short Russell 2000 ETF	Sale	05/19/2022	\$1,001 - \$15,000
144	OUSX - O'Shares US Quality Dividend ETF	Sale	05/19/2022	\$1,001 - \$15,000
145	IEI - iShares 3-7 Year Treasury Bond ETF	Sale	05/19/2022	\$15,001 - \$50,000
146	WMT - Walmart Inc	Purchase	05/19/2022	\$1,001 - \$15,000
147	VZ - Verizon Communications	Purchase	05/19/2022	\$1,001 - \$15,000
148	PSQ - ProShares Short QQQ ETF	Purchase	05/19/2022	\$15,001 - \$50,000
149	ORCL - Oracle Corp	Purchase	05/19/2022	\$1,001 - \$15,000
150	NUE - Nucor Corp	Purchase	05/19/2022	\$1,001 - \$15,000
151	MRK - Merck & Co	Purchase	05/19/2022	\$1,001 - \$15,000
152	JPM - JP Morgan Chase	Purchase	05/19/2022	\$1,001 - \$15,000
153	JNJ - Johnson & Johnson	Purchase	05/19/2022	\$1,001 - \$15,000
154	INTC - Intel Corp	Purchase	05/19/2022	\$1,001 - \$15,000
155	HUN - Huntsman Corp	Purchase	05/19/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
156	IEI - iShares 3-7 Year Treasury Bond ETF	Purchase	05/19/2022	\$1,001 - \$15,000
157	TIP - iShares TIPS ETF	Purchase	05/19/2022	\$1,001 - \$15,000
158	TLT - iShares 20+ Year Treasury ETF	Purchase	05/19/2022	\$1,001 - \$15,000
159	SHY - iShares 1-3 Year Treasury Bond ETF	Purchase	05/19/2022	\$1,001 - \$15,000
160	CAH - Cardinal Health	Purchase	05/19/2022	\$1,001 - \$15,000
161	AAPL - Apple Inc	Purchase	05/19/2022	\$1,001 - \$15,000
162	VIG - Vanguard Dividend Appreciation ETF	Sale	05/19/2022	\$1,001 - \$15,000
163	V - Visa Inc	Sale	05/19/2022	\$1,001 - \$15,000
164	TGT - Target Corp	Sale	05/19/2022	\$1,001 - \$15,000
165	TSCO - Tractor Supply Company	Sale	05/19/2022	\$1,001 - \$15,000
166	ACN - Accenture PLC	Sale	05/19/2022	\$1,001 - \$15,000
167	NOBL - ProShares S&P 500 Aristocrats ETF	Sale	05/19/2022	\$1,001 - \$15,000
168	PEP - Pepsico Inc	Sale	05/19/2022	\$1,001 - \$15,000
169	TROW - T Rowe Price Group	Sale	05/19/2022	\$1,001 - \$15,000
170	KO - Coca Cola	Sale	05/19/2022	\$1,001 - \$15,000
171	ORLY - O Reilly Automotive	Sale	05/19/2022	\$1,001 - \$15,000
172	NEM - Newmont Corp	Sale	05/19/2022	\$1,001 - \$15,000
173	MDLZ - Mondeleze Int'l	Sale	05/19/2022	\$1,001 - \$15,000
174	DVY - iShares Select Dividend	Sale	05/19/2022	\$1,001 - \$15,000
175	HON - Honeywell Intl Inc	Sale	05/19/2022	\$1,001 - \$15,000
176	FCX - Freeport McMoran Inc	Sale	05/19/2022	\$1,001 - \$15,000
177	RDVY - First Trust Rising Dividend Achievers ETF	Sale	05/19/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
178	FNF - Fidelity National Financial	Sale	05/19/2022	\$1,001 - \$15,000
179	CSCO - Cisco Systems	Sale	05/19/2022	\$1,001 - \$15,000
180	CHD - Church & Dwight Co	Sale	05/19/2022	\$1,001 - \$15,000
181	AMT - American Tower REIT Corp	Sale	05/19/2022	\$1,001 - \$15,000
182	REGN - Regeneron Pharmaceuticals	Purchase	05/19/2022	\$1,001 - \$15,000
183	AIZ - Assurant	Purchase	05/19/2022	\$1,001 - \$15,000
184	AMAT - Applied Materials	Purchase	05/19/2022	\$1,001 - \$15,000
185	PSQ - ProShares Short QQQ ETF	Purchase	05/19/2022	\$15,001 - \$50,000
186	DGX - Quest Diagnostic	Purchase	05/19/2022	\$1,001 - \$15,000
187	MRK - Merck & Co	Purchase	05/19/2022	\$1,001 - \$15,000
188	MSFT - Microsoft	Purchase	05/19/2022	\$1,001 - \$15,000
189	LMT - Lockheed Martin	Purchase	05/19/2022	\$1,001 - \$15,000
190	FANG - Diamondback Energy	Purchase	05/19/2022	\$1,001 - \$15,000
191	JPM - JP Morgan Chase	Purchase	05/19/2022	\$1,001 - \$15,000
192	JNJ - Johnson & Johnson	Purchase	05/19/2022	\$1,001 - \$15,000
193	INTC - Intel Corp	Purchase	05/19/2022	\$1,001 - \$15,000
194	IEI - iShares 3-7 Year Treasury Bond ETF	Purchase	05/19/2022	\$1,001 - \$15,000
195	TIP - iShares TIPS ETF	Purchase	05/19/2022	\$1,001 - \$15,000
196	TLT - iShares 20+ Year Treasury ETF	Purchase	05/19/2022	\$1,001 - \$15,000
197	CI - Cigna Corp	Purchase	05/19/2022	\$1,001 - \$15,000
198	SPGP - Invesco S&P 500 GARP	Sale	05/19/2022	\$1,001 - \$15,000
199	WMT - Walmart Inc	Sale	05/19/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
200	TGT - Target Corp	Sale	05/19/2022	\$1,001 - \$15,000
201	TSCO - Tractor Supply Company	Sale	05/19/2022	\$1,001 - \$15,000
202	TMO - Thermo Fisher Scientific Inc	Sale	05/19/2022	\$1,001 - \$15,000
203	SHW - Sherwin Williams	Sale	05/19/2022	\$1,001 - \$15,000
204	SPYG - SPDR Portfolio S&P 500 Growth	Sale	05/19/2022	\$1,001 - \$15,000
205	PEP - Pepsico Inc	Sale	05/19/2022	\$1,001 - \$15,000
206	TROW - T Rowe Price Group	Sale	05/19/2022	\$1,001 - \$15,000
207	PNC - PNC Financial Services Group	Sale	05/19/2022	\$1,001 - \$15,000
208	ORLY - O Reilly Automotive	Sale	05/19/2022	\$1,001 - \$15,000
209	NVDA - Nvidia Corp	Sale	05/19/2022	\$1,001 - \$15,000
210	MS - Morgan Stanley	Sale	05/19/2022	\$1,001 - \$15,000
211	MCO - Moodys Corp	Sale	05/19/2022	\$1,001 - \$15,000
212	LRCX - Lam Research Corp	Sale	05/19/2022	\$1,001 - \$15,000
213	SPHQ - Invesco S&P Quality ETF	Sale	05/19/2022	\$1,001 - \$15,000
214	DG - Dollar General	Sale	05/19/2022	\$1,001 - \$15,000
215	DHR - Danaher Corp	Sale	05/19/2022	\$1,001 - \$15,000
216	CDNS - Cadence Design System	Sale	05/19/2022	\$1,001 - \$15,000
217	CSCO - Cisco Systems	Sale	05/19/2022	\$1,001 - \$15,000
218	CDW - CDW Corp	Sale	05/19/2022	\$1,001 - \$15,000
219	ADBE - Adobe Ince	Sale	05/19/2022	\$1,001 - \$15,000
220	SSIRX - Sierra Tactical Core Income	Purchase	05/19/2022	\$1,001 - \$15,000
221	GLD - SPDR Gold Shares ETF	Purchase	05/19/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
222	PSQ - ProShares Short QQQ ETF	Purchase	05/19/2022	\$1,001 - \$15,000
223	SPGP - Invesco S&P 500 GARP	Purchase	05/19/2022	\$1,001 - \$15,000
224	TIP - iShares TIPS ETF	Purchase	05/19/2022	\$1,001 - \$15,000
225	TLT - iShares 20+ Year Treasury ETF	Purchase	05/19/2022	\$1,001 - \$15,000
226	RDVY - First Trust Rising Dividend Achievers ETF	Purchase	05/19/2022	\$1,001 - \$15,000
227	MDY - SPDR S&P MidCap 400	Sale	05/19/2022	\$1,001 - \$15,000
228	SPYV - SPDR Portfolio S&P 500 Value ETF	Sale	05/19/2022	\$1,001 - \$15,000
229	SPYG - SPDR Portfolio S&P 500 Growth	Sale	05/19/2022	\$1,001 - \$15,000
230	PTLC - Pacer Trendpilot US Large Cap	Sale	05/19/2022	\$1,001 - \$15,000
231	RWM - ProShares Short Russell 2000 ETF	Sale	05/19/2022	\$1,001 - \$15,000
232	OUSA - O'Shares US Quality Dividend ETF	Sale	05/19/2022	\$1,001 - \$15,000
233	IWM - iShares Russell 2000	Sale	05/19/2022	\$1,001 - \$15,000
234	TGT - Target Corp	Purchase	02/24/2022	\$1,001 - \$15,000
235	TMO - Thermo Fisher Scientific	Purchase	02/24/2022	\$1,001 - \$15,000
236	TXN - Texas Instruments	Purchase	02/24/2022	\$1,001 - \$15,000
237	SNA - Snap On Inc	Purchase	02/24/2022	\$1,001 - \$15,000
238	RTX - Raytheon Technologies Corp	Purchase	02/24/2022	\$1,001 - \$15,000
239	FNF - Fidelity National Financial	Purchase	02/24/2022	\$1,001 - \$15,000
240	CMI - Cummins Inc	Purchase	02/24/2022	\$1,001 - \$15,000
241	GOOG - Alphabet Inc	Purchase	02/24/2022	\$1,001 - \$15,000
242	CSCO - Cisco Systems Inc	Purchase	02/24/2022	\$1,001 - \$15,000
243	BAC - Bank of America	Purchase	02/24/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
244	PNC - PNC Financial Services Group	Purchase	02/24/2022	\$1,001 - \$15,000
245	ORCL - Oracle Corp	Purchase	02/24/2022	\$1,001 - \$15,000
246	ORLY - O'Reilly Automotive Inc	Purchase	02/24/2022	\$1,001 - \$15,000
247	NEM - Newmont Corp	Purchase	02/24/2022	\$1,001 - \$15,000
248	MDLZ - Mondelez International	Purchase	02/24/2022	\$1,001 - \$15,000
249	MSFT - Microsoft Corp	Purchase	02/24/2022	\$1,001 - \$15,000
250	LOW - Lowes Companies Inc	Purchase	02/24/2022	\$1,001 - \$15,000
251	NOBL - ProShares S&P 500 Aristocrats ETF	Sale	02/24/2022	\$1,001 - \$15,000
252	SPGP - Invesco S&P GARP ETF	Sale	02/24/2022	\$1,001 - \$15,000
253	IEI - iShares 3-7 Year Treasury Bond	Sale	02/24/2022	\$1,001 - \$15,000
254	IGSB - iShares 1-5 Year Invest Grade ETF	Sale	02/24/2022	\$1,001 - \$15,000
255	SHY - iShares 1-3 Year Treasury Bond	Sale	02/24/2022	\$1,001 - \$15,000
256	RDVY - First Trust Rising Dividend ETF	Sale	02/24/2022	\$1,001 - \$15,000
257	DIS - Walt Disney Co	Sale	02/24/2022	\$1,001 - \$15,000
258	COST - Costco Wholesale	Sale	02/24/2022	\$1,001 - \$15,000
259	SPGI - S&P Global Inc	Purchase	02/24/2022	\$1,001 - \$15,000
260	SHW - Sherin Williams Co	Purchase	02/24/2022	\$1,001 - \$15,000
261	TROW - T Rowe Price Group Inc	Purchase	02/24/2022	\$1,001 - \$15,000
262	MDT - Medtronic PLC	Purchase	02/24/2022	\$1,001 - \$15,000
263	FB - Meta Platforms Inc	Purchase	02/24/2022	\$1,001 - \$15,000
264	TSM - Taiwan Semiconductor	Sale	02/24/2022	\$1,001 - \$15,000
265	TIP - iShares TIPS Bond ETF	Sale	02/24/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
266	VIG - Vanguard Dividend Appreciation ETF	Purchase	03/08/2022	\$1,001 - \$15,000
267	INTC - Intel Corp	Purchase	03/08/2022	\$1,001 - \$15,000
268	CHD - Church & Dwight Co.	Purchase	03/08/2022	\$1,001 - \$15,000
269	ABT - Abbott Labs	Purchase	03/08/2022	\$1,001 - \$15,000
270	REGN - Regeneron Pharmaceuticals	Purchase	03/08/2022	\$1,001 - \$15,000
271	CSCO - Cisco Systems Inc	Purchase	03/08/2022	\$1,001 - \$15,000
272	AIZ - Assurant Inc	Purchase	03/08/2022	\$1,001 - \$15,000
273	PNC - PNC Financial Services Group	Purchase	03/08/2022	\$1,001 - \$15,000
274	MS - Morgan Stanley	Purchase	03/08/2022	\$1,001 - \$15,000
275	FANG - Diamondback Energy Inc.	Purchase	03/08/2022	\$1,001 - \$15,000
276	JNJ - Johnson & Johnson	Purchase	03/08/2022	\$1,001 - \$15,000
277	COWZ - Pacer US Cash Cows 100 ETF	Purchase	06/01/2022	\$15,001 - \$50,000
278	PSQ - ProShares Short QQQ ETF	Sale	06/01/2022	\$15,001 - \$50,000
279	QCOM - QualComm Inc	Purchase	06/01/2022	\$1,001 - \$15,000
280	MDLZ - Mondeleze Int'l	Purchase	06/01/2022	\$1,001 - \$15,000
281	ORLY - O Reilly Automotive	Sale	06/01/2022	\$1,001 - \$15,000
282	IEF - iShares 7-10 Year Treasury	Sale	06/01/2022	\$1,001 - \$15,000
283	ADBE - Adobe Ince	Purchase	06/01/2022	\$1,001 - \$15,000
284	NUE - Nucor Corp	Purchase	06/01/2022	\$1,001 - \$15,000
285	PLD - Prologis Inc	Purchase	06/01/2022	\$1,001 - \$15,000
286	TJX - TJX Cos Inc.	Purchase	06/01/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
287	COWZ - Pacer US Cash Cows 100 ETF	Purchase	06/01/2022	\$1,001 - \$15,000
288	PSQ - ProShares Short QQQ ETF	Sale	06/01/2022	\$1,001 - \$15,000
289	FANG - Diamondback Energy	Sale	06/21/2022	\$1,001 - \$15,000
290	SH - ProShares Short S&P 500 ETF	Purchase	06/27/2022	\$15,001 - \$50,000
291	GLD - SPDR Gold Shares ETF	Sale	06/27/2022	\$1,001 - \$15,000
292	COWZ - Pacer US Cash Cows 100 ETF	Sale	06/27/2022	\$1,001 - \$15,000
293	PSQ - ProShares Short QQQ ETF	Purchase	06/27/2022	\$1,001 - \$15,000
294	SPHQ - Invesco S&P 500 Quality ETF	Sale	06/27/2022	\$1,001 - \$15,000
295	SH - ProShares Short S&P 500 ETF	Purchase	06/27/2022	\$1,001 - \$15,000
296	COWZ - Pacer US Cash Cows 100 ETF	Sale	06/27/2022	\$1,001 - \$15,000
297	MDLZ - Mondelez Int	Sale	07/01/2022	\$1,001 - \$15,000
298	ADM - Archer Daniels Midland	Sale	07/01/2022	\$1,001 - \$15,000
299	PSQ - ProShares Short QQQ ETF	Sale	07/29/2022	\$1,001 - \$15,000
300	SH - ProShares Short S&P 500 ETF	Sale	07/29/2022	\$1,001 - \$15,000
301	PSQ - ProShares Short QQQ ETF	Sale	07/29/2022	\$15,001 - \$50,000
302	SH - ProShares Short S&P 500 ETF	Sale	07/29/2022	\$50,001 - \$100,000
303	PSQ - ProShares Short QQQ ETF	Sale	07/29/2022	\$1,001 - \$15,000
304	SH - ProShares Short S&P 500 ETF	Sale	07/29/2022	\$1,001 - \$15,000
305	PSQ - ProShares Short QQQ ETF	Sale	07/29/2022	\$15,001 - \$50,000
306	SH - ProShares Short S&P 500 ETF	Sale	07/29/2022	\$50,001 - \$100,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
307	GLD - SPDR Gold Shares ETF	Purchase	07/22/2022	\$1,001 - \$15,000
308	IEF - iShares 7-10 Year Treasury	Sale	07/22/2022	\$1,001 - \$15,000
309	IEI - iShares 3-7 Year Treasury Bond ETF	Sale	07/22/2022	\$1,001 - \$15,000
310	PSQ - ProShares Short QQQ ETF	Purchase	07/22/2022	\$1,001 - \$15,000
311	SH - ProShares Short S&P 500 ETF	Sale	07/22/2022	\$1,001 - \$15,000
312	SPGP - Invesco S&P 500 GARP	Sale	07/22/2022	\$1,001 - \$15,000
313	ALL - Allstate Corp	Purchase	07/22/2022	\$1,001 - \$15,000
314	CMI - Commins Inc	Purchase	07/22/2022	\$1,001 - \$15,000
315	COWZ - Pacer US Cash Cows 100 ETF	Sale	07/22/2022	\$1,001 - \$15,000
316	CSCO - Cisco Systems	Purchase	07/22/2022	\$1,001 - \$15,000
317	EBAY - Ebay Inc	Purchase	07/22/2022	\$1,001 - \$15,000
318	GLD - SPDR Gold Shares ETF	Purchase	07/22/2022	\$15,001 - \$50,000
319	GOOG - Alphabet Inc	Sale	07/22/2022	\$1,001 - \$15,000
320	IEF - iShares 7-10 Year Treasury	Purchase	07/22/2022	\$1,001 - \$15,000
321	IEI - iShares 3-7 Year Treasury Bond ETF	Purchase	07/22/2022	\$1,001 - \$15,000
322	INTC - Intel Corp	Purchase	07/22/2022	\$1,001 - \$15,000
323	JNJ - Johnson & Johnson	Sale	07/22/2022	\$1,001 - \$15,000
324	JPM - JP Morgan Chase	Sale	07/22/2022	\$1,001 - \$15,000
325	KLAC - KLAC Corp	Sale	07/22/2022	\$1,001 - \$15,000
326	LLY - Lilly Eli & Co	Sale	07/22/2022	\$1,001 - \$15,000
327	LOW - Lowes Companies Inc	Sale	07/22/2022	\$1,001 - \$15,000
328	LRCX - Lam Research Corp	Sale	07/22/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
329	MRK - Merk & Co	Purchase	07/22/2022	\$1,001 - \$15,000
330	MSFT - Microsoft	Purchase	07/22/2022	\$1,001 - \$15,000
331	NUE - Nucor Corp	Sale	07/22/2022	\$1,001 - \$15,000
332	ORCL - Oracle Corp	Sale	07/22/2022	\$1,001 - \$15,000
333	PFE - Pfizer Inc	Purchase	07/22/2022	\$1,001 - \$15,000
334	PG - Proctor & Gamble	Purchase	07/22/2022	\$1,001 - \$15,000
335	PSQ - ProShares Short QQQ ETF	Purchase	07/22/2022	\$1,001 - \$15,000
336	PSQ - ProShares Short QQQ ETF	Sale	07/22/2022	\$1,001 - \$15,000
337	QCOM - QualComm Inc	Sale	07/22/2022	\$1,001 - \$15,000
338	SH - ProShares Short S&P 500 ETF	Sale	07/22/2022	\$1,001 - \$15,000
339	SH - ProShares Short S&P 500 ETF	Purchase	07/22/2022	\$1,001 - \$15,000
340	SHY - iShares 1-3 Year Treasury Bond ETF	Purchase	07/22/2022	\$1,001 - \$15,000
341	SHY - iShares 1-3 Year Treasury Bond ETF	Sale	07/22/2022	\$1,001 - \$15,000
342	SPGP - Invesco S&P 500 GARP	Purchase	07/22/2022	\$1,001 - \$15,000
343	TIP - iShares TIPS ETF	Sale	07/22/2022	\$1,001 - \$15,000
344	TJX - TJX Cos Inc.	Sale	07/22/2022	\$1,001 - \$15,000
345	V - Visa Inc	Purchase	07/22/2022	\$1,001 - \$15,000
346	VZ - Verizon Communications	Purchase	07/22/2022	\$1,001 - \$15,000
347	ZM - Zoom Video	Purchase	07/22/2022	\$1,001 - \$15,000
348	MDLZ - Mondelez Int	Sale	07/01/2022	\$1,001 - \$15,000
349	ADM - Archer Daniels Midland	Sale	07/01/2022	\$1,001 - \$15,000
350	GLD - SPDR Gold Shares ETF	Purchase	07/22/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
351	IEF - iShares 7-10 Year Treasury	Sale	07/22/2022	\$1,001 - \$15,000
352	IEI - iShares 3-7 Year Treasury Bond ETF	Sale	07/22/2022	\$1,001 - \$15,000
353	PSQ - ProShares Short QQQ ETF	Purchase	07/22/2022	\$1,001 - \$15,000
354	SH - ProShares Short S&P 500 ETF	Sale	07/22/2022	\$1,001 - \$15,000
355	SPGP - Invesco S&P 500 GARP	Sale	07/22/2022	\$1,001 - \$15,000
356	ALL - Allstate Corp	Purchase	07/22/2022	\$1,001 - \$15,000
357	CMI - Commins Inc	Purchase	07/22/2022	\$1,001 - \$15,000
358	COWZ - Pacer US Cash Cows 100 ETF	Sale	07/22/2022	\$1,001 - \$15,000
359	CSCO - Cisco Systems	Purchase	07/22/2022	\$1,001 - \$15,000
360	EBAY - Ebay Inc	Purchase	07/22/2022	\$1,001 - \$15,000
361	GLD - SPDR Gold Shares ETF	Purchase	07/22/2022	\$15,001 - \$50,000
362	GOOG - Alphabet Inc	Sale	07/22/2022	\$1,001 - \$15,000
363	IEF - iShares 7-10 Year Treasury	Purchase	07/22/2022	\$1,001 - \$15,000
364	IEI - iShares 3-7 Year Treasury Bond ETF	Purchase	07/22/2022	\$1,001 - \$15,000
365	INTC - Intel Corp	Purchase	07/22/2022	\$1,001 - \$15,000
366	JNJ - Johnson & Johnson	Sale	07/22/2022	\$1,001 - \$15,000
367	JPM - JP Morgan Chase	Sale	07/22/2022	\$1,001 - \$15,000
368	KLAC - KLAC Corp	Sale	07/22/2022	\$1,001 - \$15,000
369	LLY - Lilly Eli & Co	Sale	07/22/2022	\$1,001 - \$15,000
370	LOW - Lowes Companies Inc	Sale	07/22/2022	\$1,001 - \$15,000
371	LRCX - Lam Research Corp	Sale	07/22/2022	\$1,001 - \$15,000
372	MRK - Merk & Co	Purchase	07/22/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
373	MSFT - Microsoft	Purchase	07/22/2022	\$1,001 - \$15,000
374	NUE - Nucor Corp	Sale	07/22/2022	\$1,001 - \$15,000
375	ORCL - Oracle Corp	Sale	07/22/2022	\$1,001 - \$15,000
376	PFE - Pfizer Inc	Purchase	07/22/2022	\$1,001 - \$15,000
377	PG - Proctor & Gamble	Purchase	07/22/2022	\$1,001 - \$15,000
378	PSQ - ProShares Short QQQ ETF	Purchase	07/22/2022	\$1,001 - \$15,000
379	PSQ - ProShares Short QQQ ETF	Sale	07/22/2022	\$1,001 - \$15,000
380	QCOM - QualComm Inc	Sale	07/22/2022	\$1,001 - \$15,000
381	SH - ProShares Short S&P 500 ETF	Sale	07/22/2022	\$1,001 - \$15,000
382	SH - ProShares Short S&P 500 ETF	Purchase	07/22/2022	\$1,001 - \$15,000
383	SHY - iShares 1-3 Year Treasury Bond ETF	Purchase	07/22/2022	\$1,001 - \$15,000
384	SHY - iShares 1-3 Year Treasury Bond ETF	Sale	07/22/2022	\$1,001 - \$15,000
385	SPGP - Invesco S&P 500 GARP	Purchase	07/22/2022	\$1,001 - \$15,000
386	TIP - iShares TIPS ETF	Sale	07/22/2022	\$1,001 - \$15,000
387	TJX - TJX Cos Inc.	Sale	07/22/2022	\$1,001 - \$15,000
388	V - Visa Inc	Purchase	07/22/2022	\$1,001 - \$15,000
389	VZ - Verizon Communications	Purchase	07/22/2022	\$1,001 - \$15,000
390	ZM - Zoom Video	Purchase	07/22/2022	\$1,001 - \$15,000
391	PSQ - ProShares Short QQQ ETF	Sale	07/29/2022	\$1,001 - \$15,000
392	SH - ProShares Short S&P 500 ETF	Sale	07/29/2022	\$1,001 - \$15,000
393	PSQ - ProShares Short QQQ ETF	Sale	07/29/2022	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
394	SH - ProShares Short S&P 500 ETF	Sale	07/29/2022	\$50,001 - \$100,000
395	TIP - iShares TIPS Bond ETF	Sale	08/08/2022	\$1,001 - \$15,000
396	IWM - iShares Russell 2000 ETF	Purchase	08/08/2022	\$1,001 - \$15,000
397	MDY - SPDR S&P MidCap 400 ETF	Purchase	08/08/2022	\$1,001 - \$15,000
398	XLY - SPDR Consumer Discretionary ETF	Purchase	08/08/2022	\$1,001 - \$15,000
399	XLK - SPDR Technology ETF	Purchase	08/08/2022	\$1,001 - \$15,000
400	GLD - SPDR Gold Shares ETF	Purchase	08/08/2022	\$1,001 - \$15,000
401	VCSH - Vanguard Short Term Corp Bond ETF	Purchase	08/08/2022	\$15,001 - \$50,000
402	V - Visa Inc	Purchase	08/08/2022	\$1,001 - \$15,000
403	UPS - United Parcel Services	Purchase	08/08/2022	\$1,001 - \$15,000
404	TGT - Target Corp	Purchase	08/08/2022	\$1,001 - \$15,000
405	TXN - Texas Instruments	Purchase	08/08/2022	\$1,001 - \$15,000
406	NUE - Nucor Corp	Purchase	08/08/2022	\$1,001 - \$15,000
407	MSFT - Microsoft	Purchase	08/08/2022	\$1,001 - \$15,000
408	FCX - Freeport McMoran Inc	Purchase	08/08/2022	\$1,001 - \$15,000
409	QCOM - Qualcomm Inc	Sale	08/08/2022	\$1,001 - \$15,000
410	LLY - Lilly Eli & Co	Sale	08/08/2022	\$1,001 - \$15,000
411	LRCX - Lam Research Corp	Sale	08/08/2022	\$1,001 - \$15,000
412	BAC - Bank of America Corp	Sale	08/08/2022	\$1,001 - \$15,000
413	TSCO - Tractor Supply Comp	Purchase	08/08/2022	\$1,001 - \$15,000
414	ZM - Zoom Video Communication Inc	Purchase	08/08/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
415	DHI - D R Horton	Purchase	08/08/2022	\$1,001 - \$15,000
416	SHY - iShares 1-3 Year Treasury Bond	Purchase	08/08/2022	\$1,001 - \$15,000
417	EBAY - Ebay Inc	Purchase	08/08/2022	\$1,001 - \$15,000
418	INTC - Intel Corp	Sale	08/08/2022	\$1,001 - \$15,000
419	VCSH - Vanguard Short Term Corp Bond ETF	Sale	08/08/2022	\$1,001 - \$15,000
420	GLD - SPDR Gold Shares ETF	Purchase	08/08/2022	\$1,001 - \$15,000
421	XLK - SPDR Technology ETF	Purchase	08/08/2022	\$1,001 - \$15,000
422	XLY - SPDR Consumer Discretionary ETF	Purchase	08/08/2022	\$1,001 - \$15,000
423	ZM - Zoom Video Communication Inc	Sale	08/23/2022	\$1,001 - \$15,000
424	XLK - SPDR Sector Technology ETF	Sale	09/09/2022	\$1,001 - \$15,000
425	XLY - SPDR Sector Consumer Discretionary ETF	Sale	09/09/2022	\$1,001 - \$15,000
426	XLK - SPDR Sector Technology ETF	Sale	09/09/2022	\$1,001 - \$15,000
427	XLY - SPDR Sector Consumer Discretionary ETF	Sale	09/09/2022	\$1,001 - \$15,000
428	BIL - SPDR Bloomberg 1-3 Month T Bill ETF	Purchase	09/22/2022	\$15,001 - \$50,000
429	GOVT - iShares US Treasury Bond ETF	Purchase	09/22/2022	\$15,001 - \$50,000
430	COWZ - Pacer US Cash Cows 100	Sale	09/22/2022	\$1,001 - \$15,000
431	PG - Procter & Gamble Co	Sale	09/22/2022	\$1,001 - \$15,000
432	PFE - Pfizer	Sale	09/22/2022	\$1,001 - \$15,000
433	DGX - Quest Diagnostics	Sale	09/22/2022	\$1,001 - \$15,000
434	NUE - Nucor Corp	Sale	09/22/2022	\$1,001 - \$15,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
435	MRK - Merck & Co		Sale	09/22/2022	\$1,001 - \$15,000
436	INTC - Intel Corp		Sale	09/22/2022	\$1,001 - \$15,000
437	GOOG - Alphabet Inc		Sale	09/22/2022	\$1,001 - \$15,000
438	ABT - Abbott Labs		Sale	09/22/2022	\$1,001 - \$15,000
439	AAPL - Apple Inc	See Endnote	Sale	09/22/2022	
440	V - Visa Inc		Sale	09/22/2022	\$1,001 - \$15,000
441	UPS - United Parcel Service Inc		Sale	09/22/2022	\$1,001 - \$15,000
442	MSFT - Microsfot Corp		Sale	09/22/2022	\$1,001 - \$15,000
443	LRCX - Lam Research Corp		Sale	09/22/2022	\$1,001 - \$15,000
444	META - Meta Plaforms	See Endnote	Sale	09/22/2022	
445	BIL - SPDR Bloomberg 1-3 Month T Bill ETF		Purchase	09/22/2022	\$1,001 - \$15,000
446	COWZ - Pacer US Cash Cows 100		Sale	09/22/2022	\$1,001 - \$15,000
447	META - Meta Plaforms		Sale	10/27/2022	\$1,001 - \$15,000
448	CFO - Victoryshares US 500 Enhance Volatility ETF		Purchase	11/03/2022	\$15,001 - \$50,000
449	PTMC - Pacer Trendpilot US Mid Cap ETF		Purchase	11/03/2022	\$1,001 - \$15,000
450	JPM - JP Morgan Chase & Co. DE		Purchase	11/03/2022	\$1,001 - \$15,000
451	INTC - Intel Corp		Purchase	11/03/2022	\$1,001 - \$15,000
452	GOOG - Alphabet Inc.	See Endnote	Purchase	11/03/2022	
453	PTLC - Pacer Trendpilot US Large Cap ETF		Purchase	11/03/2022	\$50,001 - \$100,000
454	V - Visa Inc.		Sale	11/03/2022	\$1,001 - \$15,000
455	GLD - SPDR Gold ETF		Sale	11/03/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
456	BIL - SPDR Bloomberg 1-3 Month T-Bill ETF	Sale	11/03/2022	\$15,001 - \$50,000
457	GOVT - iShares US Treasury Bond ETF	Sale	11/03/2022	\$1,001 - \$15,000
458	IEI - iShares 3-7 Year Treasury Bond ETF	Sale	11/03/2022	\$1,001 - \$15,000
459	IGSB - iShares 1-5 Year Investment Grade ETF	Sale	11/03/2022	\$1,001 - \$15,000
460	TIP - iShares TIPS Bond ETF	Sale	11/03/2022	\$1,001 - \$15,000
461	TLT - iShares 20+ Year Treasury Bond ETF	Sale	11/03/2022	\$1,001 - \$15,000
462	SHY - iShares 1-3 Year Treasury Bond ETF	Sale	11/03/2022	\$1,001 - \$15,000
463	IEF - iShares 7-10 Year Treasury Bond ETF	Sale	11/03/2022	\$1,001 - \$15,000
464	CAH - Cardinal Health Inc. See Endnote	Sale	11/03/2022	
465	BIL - SPDR Bloomberg 1-3 Month T-Bill ETF	Purchase	11/03/2022	\$1,001 - \$15,000
466	SHV - iShares Short Treasury Bond ETF	Purchase	11/03/2022	\$15,001 - \$50,000
467	SHY - iShares 1-3 Year Treasury Bond ETF	Purchase	11/03/2022	\$15,001 - \$50,000
468	GLD - SPDR Gold ETF	Sale	11/03/2022	\$1,001 - \$15,000
469	IEI - iShares 3-7 Year Treasury Bond ETF	Sale	11/03/2022	\$15,001 - \$50,000
470	AGG - iShares Core US Aggregate Bond ETF	Sale	11/03/2022	\$15,001 - \$50,000
471	TLT - iShares 20+ Year Treasury Bond ETF	Sale	11/03/2022	\$1,001 - \$15,000
472	IEF - iShares 7-10 Year Treasury Bond ETF	Sale	11/03/2022	\$15,001 - \$50,000
473	CFO - Victoryshares US 500 Enhance Volatility ETF	Purchase	11/03/2022	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
474	SHV - iShares Short Treasury Bond ETF	Purchase	11/03/2022	\$1,001 - \$15,000
475	GOOG - Alphabet Inc.	Purchase	11/03/2022	
476	CSCO - Cisco Systems Inc	Purchase	11/03/2022	\$1,001 - \$15,000
477	UPS - United Parcel Services, Inc	Purchase	11/03/2022	\$1,001 - \$15,000
478	PTLC - Pacer Trendpilot US Large Cap ETF	Purchase	11/03/2022	\$15,001 - \$50,000
479	KLAC - KLA Corp	Purchase	11/03/2022	\$1,001 - \$15,000
480	VCSH - Vanguard Short Term Corp Bond ETF	Sale	11/03/2022	\$15,001 - \$50,000
481	V - Visa Inc.	Sale	11/03/2022	\$1,001 - \$15,000
482	GLD - SPDR Gold ETF	Sale	11/03/2022	\$1,001 - \$15,000
483	PLD - Prologis Inc	Sale	11/03/2022	\$1,001 - \$15,000
484	DHI - D R Horton	Sale	11/03/2022	\$1,001 - \$15,000
485	LRCX - LAM Research Corp	Sale	11/03/2022	\$1,001 - \$15,000
486	IEI - iShares 3-7 Year Treasury Bond ETF	Sale	11/03/2022	\$1,001 - \$15,000
487	TLT - iShares 20+ Year Treasury Bond ETF	Sale	11/03/2022	\$1,001 - \$15,000
488	IEF - iShares 7-10 Year Treasury Bond ETF	Sale	11/03/2022	\$1,001 - \$15,000
489	AMAT - Applied Materials Inc	Sale	11/03/2022	\$1,001 - \$15,000
490	ADBE - Adobe Inc.	Sale	11/03/2022	\$1,001 - \$15,000
491	BIL - SPDR Bloomberg 1-3 Month T-Bill ETF	Purchase	11/03/2022	\$1,001 - \$15,000
492	SHV - iShares Short Treasury Bond ETF	Purchase	11/03/2022	\$15,001 - \$50,000
493	SHY - iShares 1-3 Year Treasury Bond ETF	Purchase	11/03/2022	\$1,001 - \$15,000
494	GLD - SPDR Gold ETF	See Endnote	11/03/2022	

#	DESCRIPTION		TYPE	DATE	AMOUNT
495	IEI - iShares 3-7 Year Treasury Bond ETF		Sale	11/03/2022	\$1,001 - \$15,000
496	TIP - iShares TIPS Bond ETF		Sale	11/03/2022	\$1,001 - \$15,000
497	AGG - iShares Core US Aggregate Bond ETF		Sale	11/03/2022	\$1,001 - \$15,000
498	TLT - iShares 20+ Year Treasury Bond ETF		Sale	11/03/2022	\$1,001 - \$15,000
499	IEF - iShares 7-10 Year Treasury Bond ETF		Sale	11/03/2022	\$1,001 - \$15,000
500	RDVY - First Trust Rising Dividend Achievers ETF	See Endnote	Sale	11/03/2022	
501	CFO - Victoryshares US 500 Enhance Volatility ETF		Purchase	11/03/2022	\$15,001 - \$50,000
502	PTMC - Pacer Trendpilot US Mid Cap ETF		Purchase	11/03/2022	\$1,001 - \$15,000
503	JPM - JP Morgan Chase & Co. DE		Purchase	11/03/2022	\$1,001 - \$15,000
504	INTC - Intel Corp		Purchase	11/03/2022	\$1,001 - \$15,000
505	GOOG - Alphabet Inc.	See Endnote	Purchase	11/03/2022	
506	PTLC - Pacer Trendpilot US Large Cap ETF		Purchase	11/03/2022	\$50,001 - \$100,000
507	V - Visa Inc.		Sale	11/03/2022	\$1,001 - \$15,000
508	GLD - SPDR Gold ETF		Sale	11/03/2022	\$1,001 - \$15,000
509	BIL - SPDR Bloomberg 1-3 Month T-Bill ETF		Sale	11/03/2022	\$15,001 - \$50,000
510	GOVT - iShares US Treasury Bond ETF		Sale	11/03/2022	\$1,001 - \$15,000
511	IEI - iShares 3-7 Year Treasury Bond ETF		Sale	11/03/2022	\$1,001 - \$15,000
512	IGSB - iShares 1-5 Year Investment Grade ETF		Sale	11/03/2022	\$1,001 - \$15,000
513	TIP - iShares TIPS Bond ETF		Sale	11/03/2022	\$1,001 - \$15,000
514	TLT - iShares 20+ Year Treasury Bond ETF		Sale	11/03/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
515	SHY - iShares 1-3 Year Treasury Bond ETF	Sale	11/03/2022	\$1,001 - \$15,000
516	IEF - iShares 7-10 Year Treasury Bond ETF	Sale	11/03/2022	\$1,001 - \$15,000
517	CAH - Cardinal Health Inc. See Endnote	Sale	11/03/2022	
518	BIL - SPDR Bloomberg 1-3 Month T-Bill ETF	Purchase	11/03/2022	\$1,001 - \$15,000
519	SHV - iShares Short Treasury Bond ETF	Purchase	11/03/2022	\$15,001 - \$50,000
520	SHY - iShares 1-3 Year Treasury Bond ETF	Purchase	11/03/2022	\$15,001 - \$50,000
521	GLD - SPDR Gold ETF	Sale	11/03/2022	\$1,001 - \$15,000
522	IEI - iShares 3-7 Year Treasury Bond ETF	Sale	11/03/2022	\$15,001 - \$50,000
523	AGG - iShares Core US Aggregate Bond ETF	Sale	11/03/2022	\$15,001 - \$50,000
524	TLT - iShares 20+ Year Treasury Bond ETF	Sale	11/03/2022	\$1,001 - \$15,000
525	IEF - iShares 7-10 Year Treasury Bond ETF	Sale	11/03/2022	\$15,001 - \$50,000
526	CFO - Victoryshares US 500 Enhance Volatility ETF	Purchase	11/03/2022	\$15,001 - \$50,000
527	SHV - iShares Short Treasury Bond ETF	Purchase	11/03/2022	\$1,001 - \$15,000
528	GOOG - Alphabet Inc.	Purchase	11/03/2022	
529	CSCO - Cisco Systems Inc	Purchase	11/03/2022	\$1,001 - \$15,000
530	UPS - United Parcel Services, Inc	Purchase	11/03/2022	\$1,001 - \$15,000
531	PTLC - Pacer Trendpilot US Large Cap ETF	Purchase	11/03/2022	\$15,001 - \$50,000
532	KLAC - KLA Corp	Purchase	11/03/2022	\$1,001 - \$15,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
533	VCSH - Vanguard Short Term Corp Bond ETF		Sale	11/03/2022	\$15,001 - \$50,000
534	V - Visa Inc.		Sale	11/03/2022	\$1,001 - \$15,000
535	GLD - SPDR Gold ETF		Sale	11/03/2022	\$1,001 - \$15,000
536	PLD - Prologis Inc		Sale	11/03/2022	\$1,001 - \$15,000
537	DHI - D R Horton		Sale	11/03/2022	\$1,001 - \$15,000
538	LRCX - LAM Research Corp		Sale	11/03/2022	\$1,001 - \$15,000
539	IEI - iShares 3-7 Year Treasury Bond ETF		Sale	11/03/2022	\$1,001 - \$15,000
540	TLT - iShares 20+ Year Treasury Bond ETF		Sale	11/03/2022	\$1,001 - \$15,000
541	IEF - iShares 7-10 Year Treasury Bond ETF		Sale	11/03/2022	\$1,001 - \$15,000
542	AMAT - Applied Materials Inc		Sale	11/03/2022	\$1,001 - \$15,000
543	ADBE - Adobe Inc.		Sale	11/03/2022	\$1,001 - \$15,000
544	BIL - SPDR Bloomberg 1-3 Month T-Bill ETF		Purchase	11/03/2022	\$1,001 - \$15,000
545	SHV - iShares Short Treasury Bond ETF		Purchase	11/03/2022	\$15,001 - \$50,000
546	SHY - iShares 1-3 Year Treasury Bond ETF		Purchase	11/03/2022	\$1,001 - \$15,000
547	GLD - SPDR Gold ETF	See Endnote	Sale	11/03/2022	
548	IEI - iShares 3-7 Year Treasury Bond ETF		Sale	11/03/2022	\$1,001 - \$15,000
549	TIP - iShares TIPS Bond ETF		Sale	11/03/2022	\$1,001 - \$15,000
550	AGG - iShares Core US Aggregate Bond ETF		Sale	11/03/2022	\$1,001 - \$15,000
551	TLT - iShares 20+ Year Treasury Bond ETF		Sale	11/03/2022	\$1,001 - \$15,000
552	IEF - iShares 7-10 Year Treasury Bond ETF		Sale	11/03/2022	\$1,001 - \$15,000
553	RDVY - First Trust Rising Dividend Achievers ETF	See Endnote	Sale	11/03/2022	

#	DESCRIPTION	TYPE	DATE	AMOUNT
554	AOM- iShares Core Moderate ETF	Purchase	11/08/2022	\$15,001 - \$50,000
555	AOK- iShares Core Moderate ETF	Sale	11/08/2022	\$15,001 - \$50,000

8. Liabilities

None

9. Gifts and Travel Reimbursements

None

Endnotes

PART	#	ENDNOTE
7.	439	Under \$1,000
7.	444	Under \$1,000
7.	452	Under \$1,000
7.	464	Under \$1,000
7.	494	Under \$1,000
7.	500	Under \$1,000
7.	505	Under \$1,000
7.	517	Under \$1,000

PART	#	ENDNOTE
7.	547	Under \$1,000
7.	553	Under \$1,000

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).
