

Executive Branch Personnel

Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Del Toro, Carlos

Secretary of the Navy, DoD - Department of the Navy

Report Year: 2023

Other Federal Government Positions Held During the Preceding 12 Months:

None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Del Toro, Carlos [electronically signed on 06/01/2023 by Del Toro, Carlos in Integrity.gov] - Filer received a 30 day filing extension.

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Tetreault, Sara, Certifying Official [electronically signed on 06/06/2023 by Tetreault, Sara in Integrity.gov]

Other review conducted by

/s/ Tetreault, Sara, Screener [electronically signed on 06/06/2023 by Tetreault, Sara in Integrity.gov]

U.S. Office of Government Ethics Certification

Data Revised 06/06/2023

Comments of Reviewing Officials (public annotations):

PART	#	REFERENCE	COMMENT
N/A	N/A	General	(05/05/2023, Tetreault, Sara): previous reports included a holding in Nikola Corporation (NKLA) valued at \$1,001-\$15,000. This asset was sold on 3/12/21, which is before the filer was confirmed. The asset was inadvertently included in his 2022 annual report as an asset held.

1. Filer's Positions Held Outside United States Government

None

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Simple IRA #1		No			
1.1	U.S. Bank Sweep Account		N/A	\$1,001 - \$15,000		None (or less than \$201)
1.2	Consumer Discretionary Select Sector SPDR Fund (XLY)	See Endnote	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.3	iShares Core MSCI Europe ETF (IEUR)	See Endnote	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.4	Consumer Staples Select Sector SPDR Fund (XLP)	See Endnote	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.5	Health Care Select Sector SPDR Fund (XLV)	See Endnote	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.6	Technology Select Sector SPDR Fund (XLK)	See Endnote	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.7	Communication Services Select Sector SPDR Fund (XLC)	See Endnote	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.8	Utilities Select Sector SPDR Fund (XLU)		Yes	\$1,001 - \$15,000		None (or less than \$201)
1.9	Vanguard FTSE All-World ex US Index Fund ETF Shares (VEU)	See Endnote	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.10	Vanguard Pacific Stock Index Fund Vanguard FTSE Pacific ETF Shares (VPL)	See Endnote	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.11	iShares 20+ Year Treasury Bond ETF (TLT)		Yes	\$1,001 - \$15,000		None (or less than \$201)
1.12	iShares 3-7 Year Treasury Bond ETF (IEI)		Yes	\$15,001 - \$50,000		None (or less than \$201)
1.13	iShares Treasury Floating Rate Bond ETF (TFLO)		Yes	\$1,001 - \$15,000		None (or less than \$201)
1.14	Vanguard Intermediate-Term Corporate Bond Index Fund ETF Class Shares (VCIT)	See Endnote	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.15	Vanguard Long-Term Corporate Bond Index Fund ETF Class Shares (VCLT)		Yes	\$1,001 - \$15,000		None (or less than \$201)
2	SEP IRA		No			
2.1	U.S. Bank Sweep Account #2 (Cash)		N/A	None (or less than \$1,001)		None (or less than \$201)
2.2	Capital World Growth & Income Fund Class F2 Shares (WGIFX)	See Endnote	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.3	Investment Company of America Class F2 Shares (ICAFX)	See Endnote	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.4	Washington Mutual Investors Fund Class F2 Shares (WMFFX)	See Endnote	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.5	American Balanced Fund Class F2 Shares (AMBFX)	See Endnote	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.6	Capital Income Builder Class F2 Shares (CAIFX)	See Endnote	Yes	\$1,001 - \$15,000		None (or less than \$201)
3	Rollover IRA		No			
3.1	Sweep Account (Cash)		N/A	\$15,001 - \$50,000		None (or less than \$201)
3.2	American Mutual CL F2 (AMFRX)	See Endnote	Yes	\$50,001 - \$100,000		None (or less than \$201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.3	Washington Mutual Investors Fund Class F2 Shares (WMFFX)	See Endnote	Yes	\$50,001 - \$100,000		None (or less than \$201)
3.4	American Funds Multi-Sector Income Fund Class F2 Shares (MIAYX)	See Endnote	Yes	\$100,001 - \$250,000		None (or less than \$201)
3.5	American High-Income Trust Class F2 Shares (AHIFX)	See Endnote	Yes	\$50,001 - \$100,000		None (or less than \$201)
3.6	American Funds Bond Fund of America F2 (ABNFX)	See Endnote	Yes	\$100,001 - \$250,000		None (or less than \$201)
3.7	Capital Income Builder Class F2 Shares (CAIFX)	See Endnote	Yes	\$100,001 - \$250,000		None (or less than \$201)
3.8	Income Fund of America Class F2 Shares (AMEFX)	See Endnote	Yes	\$100,001 - \$250,000		None (or less than \$201)

3. Filer's Employment Agreements and Arrangements

None

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Simple IRA #2		No			

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.1	U.S. Bank Sweep Account #3 (Cash)		N/A	\$1,001 - \$15,000		None (or less than \$201)
1.2	Consumer Discretionary Select Sector SPDR Fund (XLY)	See Endnote	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.3	iShares Core MSCI Europe ETF (IEUR)	See Endnote	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.4	Consumer Staples Select Sector SPDR Fund (XLP)		Yes	\$1,001 - \$15,000		None (or less than \$201)
1.5	Health Care Select Sector SPDR Fund (XLV)	See Endnote	Yes	\$1,001 - \$15,000		
1.6	Technology Select Sector SPDR Fund (XLK)	See Endnote	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.7	Communication Services Select Sector SPDR Fund (XLC)	See Endnote	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.8	Utilities Select Sector SPDR Fund (XLU)		Yes	\$1,001 - \$15,000		None (or less than \$201)
1.9	Vanguard FTSE All-World ex US Index Fund ETF Shares (VEU)	See Endnote	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.10	Vanguard Pacific Stock Index Fund Vanguard FTSE Pacific ETF Shares (VPL)	See Endnote	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.11	iShares 20+ Year Treasury Bond ETF (TLT)		Yes	\$1,001 - \$15,000		None (or less than \$201)
1.12	iShares 3-7 Year Treasury Bond ETF (IEI)		Yes	\$1,001 - \$15,000		None (or less than \$201)
1.13	iShares Treasury Floating Rate Bond ETF (TFLO)		Yes	\$1,001 - \$15,000		None (or less than \$201)
1.14	Vanguard Intermediate-Term Corporate Bond Index Fund ETF Class Shares (VCIT)	See Endnote	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.15	Vanguard Long-Term Corporate Bond Index Fund ETF Class Shares (VCLT)		Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2	Roll Over IRA					
2.1	Capital World Growth & Income Fund Class F2 Shares (WGIFX)	See Endnote	Yes	\$50,001 - \$100,000		None (or less than \$201)
2.2	New Perspective Fund Class F2 Shares (ANWFX)	See Endnote	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.3	American SMALLCAP World Fund, Inc Class F2 Shares (SMCFX)	See Endnote	Yes	\$100,001 - \$250,000		None (or less than \$201)
2.4	American Funds Strategic Bond Fund Class F2 Shares (ANBFX)	See Endnote	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.5	American Funds Bond Fund of America F2 (ABNFX)	See Endnote	Yes	\$100,001 - \$250,000		None (or less than \$201)
2.6	American Balanced Fund Class F2 Shares (AMBFX)	See Endnote	Yes	\$100,001 - \$250,000		None (or less than \$201)
2.7	American Funds Global Balanced Fund Class F2 Shares (GBLFX)	See Endnote	Yes	\$100,001 - \$250,000		None (or less than \$201)

6. Other Assets and Income

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	U.S. Bank #1 (Cash)		N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
2	Residential Real Estate (Stafford, VA)		N/A	\$250,001 - \$500,000	Rent or Royalties	\$5,001 - \$15,000
3	Virginia 529 Plan					
3.1	Virginia 2039 Portfolio (Invest)		Yes	\$1,001 - \$15,000		None (or less than \$201)
4	Janney Brokerage Account					

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.1	U.S. Bank Sweep Account #4 (Cash)		N/A	\$500,001 - \$1,000,000	Interest	\$201 - \$1,000
4.2	Apple, Inc. (AAPL)		N/A	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
4.3	Dollar General Corp. (DG)		N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
4.4	Deere & Co. (DE)		N/A	\$1,001 - \$15,000		None (or less than \$201)
4.5	Mastercard, Inc. (MA)		N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
4.6	Simon Property Group, Inc. (SPG)		N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
4.7	Visa, Inc. (V)		N/A	\$15,001 - \$50,000		None (or less than \$201)
4.8	Franklin DynaTech Fund Advisor Class Shares (FDYZX)	See Endnote	Yes	\$15,001 - \$50,000		None (or less than \$201)
4.9	Columbia Floating Rate Fund Institutional Class Shares (CFRZX)		Yes	\$1,001 - \$15,000		\$201 - \$1,000
4.10	Lord Abbett Bond-Debenture Fund, Inc Class F Shares (LBDFX)	See Endnote	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
4.11	Alphabet, Inc. (GOOG)		N/A	\$1,001 - \$15,000		None (or less than \$201)
4.12	Amazon.com, Inc. (AMZN)		N/A	\$1,001 - \$15,000		None (or less than \$201)
4.13	Costco Wholesale Corp. (COST)		N/A	\$1,001 - \$15,000		None (or less than \$201)
4.14	Dover Corp. (DOV)		N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
4.15	Domino's Pizza, Inc. (DPZ)		N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.16	AT&T Inc (T)		N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
4.17	US Treasury Bill		N/A	\$5,000,001 - \$25,000,000		None (or less than \$201)
4.18	MainStay MacKay High Yield Corporate Bond Fund Class I Shares (MHYIX)	See Endnote	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
5	U.S. Bank Money Market Account (Cash) #2		N/A	Over \$1,000,000	Interest	\$50,001 - \$100,000
6	DelPress, LLC					
6.1	Grphne America, LLC	See Endnote	N/A	None (or less than \$1,001)		None (or less than \$201)
7	SBG Technology Solutions Trust	See Endnote				
7.1	FDIC Insured Deposit Prgm (Cash)		N/A	\$50,001 - \$100,000		None (or less than \$201)
7.2	American Beacon The London Company Income Equity Fund Class Y Shares (ABCYX)		Yes	\$1,000,001 - \$5,000,000		None (or less than \$201)
7.3	Bramshill Income Performance Fund Institutional Class Shares (BRMSX)		Yes	\$1,000,001 - \$5,000,000		None (or less than \$201)
7.4	DoubleLine Total Return Bond Fund Class I Shares (DBLTX)		Yes	\$1,000,001 - \$5,000,000		None (or less than \$201)
7.5	Guggenheim Floating Rate Strategies Fund Institutional Class Shares (GIFIX)		Yes	\$1,000,001 - \$5,000,000		None (or less than \$201)
7.6	Guggenheim Macro Opportunities Fund Institutional Class Shares (GIOIX)		Yes	\$1,000,001 - \$5,000,000		None (or less than \$201)
7.7	Guggenheim Total Return Bond Fund Institutional Class Shares (GIBIX)		Yes	\$1,000,001 - \$5,000,000		None (or less than \$201)
7.8	Lord Abbett Short Duration Income Fund Class F Shares (LDLFX)		Yes	\$1,000,001 - \$5,000,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.9	Polen Growth Fund Institutional Class Shares (POLIX)	Yes	\$1,000,001 - \$5,000,000		None (or less than \$201)
7.10	Virtus KAR Small-Mid Cap Core Fund Class I Shares (VKSIX)	Yes	\$1,000,001 - \$5,000,000		None (or less than \$201)
7.11	WCM Focused International Growth Fund Institutional Class Shares (WCMIX)	Yes	\$1,000,001 - \$5,000,000		None (or less than \$201)

7. Transactions

#	DESCRIPTION		TYPE	DATE	AMOUNT
1	Alphabet, Inc. (GOOG)		Purchase	06/17/2022	\$1,001 - \$15,000
2	Amazon.com, Inc. (AMZN)		Purchase	06/17/2022	\$1,001 - \$15,000
3	Costco Wholesale Corp. (COST)		Purchase	06/17/2022	\$1,001 - \$15,000
4	Deere & Co. (DE)		Purchase	06/17/2022	\$1,001 - \$15,000
5	Columbia Strategic Income Fund Institutional Class Shares (LSIZX)	See Endnote	Sale	06/16/2022	\$15,001 - \$50,000
6	Columbia Floating Rate Fund Institutional Class Shares (CFRZX)		Purchase	06/17/2022	\$1,001 - \$15,000
7	Warner Bros. Discovery Inc. Series A Common Stock (WBD)	See Endnote	Sale	06/17/2022	\$1,001 - \$15,000
8	Visa, Inc.	See Endnote	Sale	09/09/2021	\$15,001 - \$50,000
9	Visa, Inc.	See Endnote	Sale	10/04/2021	\$15,001 - \$50,000
10	Columbia Large Cap Growth Fund Class C Shares (LEGCX)	See Endnote	Sale	09/09/2021	\$1,001 - \$15,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
11	Principal Large Cap Value III	See Endnote	Sale	09/07/2021	\$100,001 - \$250,000
12	Principal Large Cap S&P 500	See Endnote	Sale	09/07/2021	\$250,001 - \$500,000
13	T Rowe Price Blue Chip GrR FD	See Endnote	Sale	09/07/2021	\$250,001 - \$500,000
14	Consumer Discretionary Select Sector SPDR (XLY)	See Endnote	Purchase	09/13/2021	\$1,001 - \$15,000
15	iShares Core MSCI Europe ETF (IEUR)	See Endnote	Purchase	11/09/2021	\$1,001 - \$15,000
16	Sector Consumer Staples Select Sector SPDR ETF (XLP)		Purchase	09/09/2022	\$1,001 - \$15,000
17	Sector Consumer Staples Select Sector SPDR ETF (XLP)		Purchase	11/02/2022	\$1,001 - \$15,000
18	Sector Healthcare Select Sector SPDR ETF (XLV)	See Endnote	Purchase	09/13/2021	\$1,001 - \$15,000
19	Sector Healthcare Select Sector SPDR ETF (XLV)		Purchase	11/22/2022	\$1,001 - \$15,000
20	Sector Healthcare Select Sector SPDR ETF (XLV)		Purchase	06/30/2022	\$1,001 - \$15,000
21	Sector Technology Select Sector SPDR ETF (XLK)	See Endnote	Purchase	09/13/2021	\$1,001 - \$15,000
22	Sector Technology Select Sector SPDR ETF (XLK)	See Endnote	Purchase	09/16/2021	\$1,001 - \$15,000
23	Sector Technology Select Sector SPDR ETF (XLK)		Purchase	05/19/2022	\$1,001 - \$15,000
24	Select Sector Communications Services Select Sector SPDR ETF (XLC)	See Endnote	Purchase	09/13/2021	\$1,001 - \$15,000
25	Select Sector Communications Services Select Sector SPDR ETF (XLC)		Purchase	05/19/2022	\$1,001 - \$15,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
26	Select Utilities Select Sector SPDR ETF (XLU)		Purchase	06/30/2022	\$1,001 - \$15,000
27	Select Utilities Select Sector SPDR ETF (XLU)		Purchase	08/16/2022	\$1,001 - \$15,000
28	Select Utilities Select Sector SPDR ETF (XLU)		Purchase	11/02/2022	\$1,001 - \$15,000
29	Vanguard FTSE All World EX US ETF (VEU)	See Endnote	Purchase	09/13/2021	\$1,001 - \$15,000
30	Vanguard FTSE Pacific ETF (VPL)	See Endnote	Purchase	09/13/2021	\$1,001 - \$15,000
31	Vanguard FTSE Pacific ETF (VPL)	See Endnote	Purchase	11/09/2021	\$1,001 - \$15,000
32	Vanguard FTSE Pacific ETF (VPL)		Purchase	06/30/2022	\$1,001 - \$15,000
33	iShares 20+ YR Treasury Bond ETF (TLT)		Purchase	06/06/2022	\$1,001 - \$15,000
34	iShares 20+ YR Treasury Bond ETF (TLT)		Purchase	09/09/2022	\$1,001 - \$15,000
35	iShares 3-7 YR Treasury Bond ETF (IEI)		Purchase	06/30/2022	\$1,001 - \$15,000
36	iShares 3-7 YR Treasury Bond ETF (IEI)		Purchase	09/09/2022	\$1,001 - \$15,000
37	iShares Treasury Floating Rate Bond ETF (TFLO)		Purchase	09/09/2022	\$1,001 - \$15,000
38	Vanguard Intermediate Term Corp Bond ETF (VCIT)	See Endnote	Purchase	09/13/2021	\$1,001 - \$15,000
39	Vanguard Intermediate Term Corp Bond ETF (VCIT)	See Endnote	Purchase	11/09/2021	\$1,001 - \$15,000
40	Vanguard Long Term Corp Bond ETF (VCLT)		Purchase	03/23/2022	\$1,001 - \$15,000
41	Vanguard Long Term Corp Bond ETF (VCLT)		Purchase	06/06/2022	\$1,001 - \$15,000
42	Vanguard Long Term Corp Bond ETF (VCLT)		Purchase	06/30/2022	\$1,001 - \$15,000
43	Capital World Growth & Income (WGIFX)	See Endnote	Purchase	09/10/2021	\$1,001 - \$15,000
44	Investment Company of America (ICAFX)	See Endnote	Purchase	09/10/2021	\$1,001 - \$15,000
45	SmallCap World (SMCFX)	See Endnote	Purchase	09/10/2021	\$1,001 - \$15,000
46	Washington Mutual Investors (WMFFX)	See Endnote	Purchase	09/10/2021	\$1,001 - \$15,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
47	American Balanced (AMBFX)	See Endnote	Purchase	09/10/2021	\$1,001 - \$15,000
48	Capital Income Builder (CAIFX)	See Endnote	Purchase	09/10/2021	\$1,001 - \$15,000
49	American Mutual (AMRFX)	See Endnote	Purchase	12/03/2021	\$50,001 - \$100,000
50	Washington Mutual Investors (WMFFX)	See Endnote	Purchase	12/03/2021	\$50,001 - \$100,000
51	American Multisector Income (MIAYX)	See Endnote	Purchase	12/03/2021	\$100,001 - \$250,000
52	American Multisector Income (MIAYX)	See Endnote	Purchase	12/15/2021	\$1,001 - \$15,000
53	American High Income (AHIFX)	See Endnote	Purchase	12/03/2021	\$50,001 - \$100,000
54	Bond Fund of America (ABNFX)	See Endnote	Purchase	12/03/2021	\$100,001 - \$250,000
55	Bond Fund of America (ABNFX)	See Endnote	Purchase	12/15/2021	\$1,001 - \$15,000
56	Capital Income Builder (CAIFX)	See Endnote	Purchase	12/03/2021	\$100,001 - \$250,000
57	Income fund of America (AMEFX)	See Endnote	Purchase	12/03/2021	\$100,001 - \$250,000
58	Principal Large Cap Value III	See Endnote	Sale	09/07/2021	\$250,001 - \$500,000
59	Principal Large Cap S&P 500	See Endnote	Sale	09/07/2021	\$250,001 - \$500,000
60	T Rowe Price Blue Chip GrR FD	See Endnote	Sale	09/07/2021	\$250,001 - \$500,000
61	Capital World Growth & Income (WGIFX)	See Endnote	Purchase	11/24/2021	\$50,001 - \$100,000
62	New Perspective (ANWFX)	See Endnote	Purchase	11/24/2021	\$15,001 - \$50,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
63	New Perspective (ANWFX)		Purchase	03/03/2022	\$1,001 - \$15,000
64	SmallCap World (SMCFX)	See Endnote	Purchase	11/24/2021	\$15,001 - \$50,000
65	SmallCap World (SMCFX)		Purchase	03/30/2022	\$1,001 - \$15,000
66	Washington Mutual Investors (WMFFX)	See Endnote	Purchase	11/24/2021	\$100,001 - \$250,000
67	American Funds Strategic Bond Fund Class F2 Shares (ANBFX)	See Endnote	Purchase	11/24/2021	\$50,001 - \$100,000
68	Bond Fund of America (ABNFX)	See Endnote	Purchase	11/24/2021	\$50,001 - \$100,000
69	Bond Fund of America (ABNFX)		Purchase	03/30/2022	\$1,001 - \$15,000
70	American Balanced (AMBFX)	See Endnote	Purchase	11/24/2021	\$100,001 - \$250,000
71	American Global Balanced (GBLFX)	See Endnote	Purchase	11/24/2021	\$100,001 - \$250,000
72	Income fund of America (AMEFX)	See Endnote	Purchase	11/24/2021	\$50,001 - \$100,000
73	Consumer Discretionary Select Sector SPDR (XLY)	See Endnote	Purchase	10/06/2021	\$1,001 - \$15,000
74	iShares Core MSCI Europe ETF (IEUR)	See Endnote	Purchase	11/09/2021	\$1,001 - \$15,000
75	Sector Consumer Staples Select Sector SPDR ETF (XLP)		Purchase	09/09/2022	\$1,001 - \$15,000
76	Sector Healthcare Select Sector SPDR ETF (XLV)	See Endnote	Purchase	10/06/2021	\$1,001 - \$15,000
77	Sector Healthcare Select Sector SPDR ETF (XLV)		Purchase	03/09/2022	\$1,001 - \$15,000
78	Sector Technology Select Sector SPDR ETF (XLK)	See Endnote	Purchase	10/06/2021	\$1,001 - \$15,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
79	Sector Technology Select Sector SPDR ETF (XLK)		Purchase	05/19/2022	\$1,001 - \$15,000
80	Select Sector Communications Services Select Sector SPDR ETF (XLC)	See Endnote	Purchase	10/06/2021	\$1,001 - \$15,000
81	Select Sector Communications Services Select Sector SPDR ETF (XLC)		Purchase	05/19/2022	\$1,001 - \$15,000
82	Select Utilities Select Sector SPDR ETF (XLU)		Purchase	06/30/2022	\$1,001 - \$15,000
83	Select Utilities Select Sector SPDR ETF (XLU)		Purchase	11/02/2022	\$1,001 - \$15,000
84	Vanguard FTSE All World EX US ETF (VEU)	See Endnote	Purchase	10/06/2021	\$1,001 - \$15,000
85	Vanguard FTSE Pacific ETF (VPL)	See Endnote	Purchase	10/06/2021	\$1,001 - \$15,000
86	Vanguard FTSE Pacific ETF (VPL)	See Endnote	Purchase	11/09/2021	\$1,001 - \$15,000
87	iShares 20+ YR Treasury Bond ETF (TLT)		Purchase	06/06/2022	\$1,001 - \$15,000
88	iShares 20+ YR Treasury Bond ETF (TLT)		Purchase	09/09/2022	\$1,001 - \$15,000
89	iShares 3-7 YR Treasury Bond ETF (IEI)		Purchase	06/30/2022	\$1,001 - \$15,000
90	iShares 3-7 YR Treasury Bond ETF (IEI)		Purchase	09/09/2022	\$1,001 - \$15,000
91	iShares Treasury Floating Rate Bond ETF (TFLO)		Purchase	09/09/2022	\$1,001 - \$15,000
92	Vanguard Intermediate Term Corp Bond ETF (VCIT)	See Endnote	Purchase	10/06/2021	\$1,001 - \$15,000
93	Vanguard Long Term Corp Bond ETF (VCLT)		Purchase	03/23/2022	\$1,001 - \$15,000
94	Vanguard Long Term Corp Bond ETF (VCLT)		Purchase	06/06/2022	\$1,001 - \$15,000

8. Liabilities

None

9. Gifts and Travel Reimbursements

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION	VALUE
1	Paramount Pictures	San Diego, California	Free attendance at the Top Gun: Maverick premier after party hosted by Paramount Pictures. Includes spouse attendance.	2,000

Endnotes

PART	#	ENDNOTE
2.	1.2	Asset was purchased on 9/13/21; however, it was inadvertently omitted from the 2022 report. Transaction reported in Part 7 for continuity purposes.
2.	1.3	Asset was purchased on 11/9/21; however, it was inadvertently omitted from the 2022 report. Transaction reported in Part 7 for continuity purposes.
2.	1.4	Asset was purchased on 9/13/21; however, it was inadvertently omitted from the 2022 report. Transaction reported in Part 7 for continuity purposes.
2.	1.5	Asset was purchased on 9/13/21; however, it was inadvertently omitted from the 2022 report. Transaction reported in Part 7 for continuity purposes.
2.	1.6	Asset was purchased on 9/13/21; however, it was inadvertently omitted from the 2022 report. Transaction reported in Part 7 for continuity purposes.
2.	1.7	Asset was purchased on 9/13/21; however, it was inadvertently omitted from the 2022 report. Transaction reported in Part 7 for continuity purposes.
2.	1.9	Asset was purchased on 9/13/21; however, it was inadvertently omitted from the 2022 report. Transaction reported in Part 7 for continuity purposes.
2.	1.10	Asset was purchased on 9/13/21; however, it was inadvertently omitted from the 2022 report. Transaction reported in Part 7 for continuity purposes.
2.	1.14	Asset was purchased on 9/13/21; however, it was inadvertently omitted from the 2022 report. Transaction reported in Part 7 for continuity purposes.

PART	#	ENDNOTE
2.	2.2	Asset initially purchased on 9/10/2021 and was inadvertently omitted from the 2022 annual report. Transaction is reported in Part 7 for continuity purposes.
2.	2.3	Asset was purchased on 9/10/2021; however it was inadvertently omitted from the 2022 annual report. Transaction is reported in Part 7 for continuity purposes.
2.	2.4	Asset was purchased on 9/10/2021; however, it was inadvertently omitted from the 2022 annual report. Transaction reported in Part 7 for continuity purposes.
2.	2.5	Asset was purchased on 9/10/2021; however, it was inadvertently omitted from the 2022 annual report. Transaction reported in Part 7 for continuity purposes.
2.	2.6	Asset was purchased on 9/10/2021; however, it was inadvertently omitted from the 2022 report. Transaction reported in Part 7 for continuity purposes.
2.	3.2	Asset purchased on 12/3/21; however, it was inadvertently omitted from the 2022 report. Transaction reported in Part 7 for continuity purposes.
2.	3.3	Asset purchased on 12/3/21; however, it was inadvertently omitted from the 2022 report. Transaction reported in Part 7 for continuity purposes.
2.	3.4	Asset purchased on 12/3/21; however, it was inadvertently omitted from the 2022 report. Transaction reported in Part 7 for continuity purposes.
2.	3.5	Asset purchased on 12/3/21; however, it was inadvertently omitted from the 2022 report. Transaction reported in Part 7 for continuity purposes.
2.	3.6	Asset purchased on 12/3/21; however, it was inadvertently omitted from the 2022 report. Transaction reported in Part 7 for continuity purposes.
2.	3.7	Asset purchased on 12/3/21; however, it was inadvertently omitted from the 2022 report. Transaction reported in Part 7 for continuity purposes.
2.	3.8	Asset purchased on 12/3/21; however, it was inadvertently omitted from the 2022 report. Transaction reported in Part 7 for continuity purposes.
5.	1.2	Asset was purchased on 10/6/21; however, it was inadvertently omitted from the 2022 report. Transaction reported in Part 7 for continuity purposes.
5.	1.3	Asset was purchased on 11/9/21; however, it was inadvertently omitted from the 2022 report. Transaction reported in Part 7 for continuity purposes.
5.	1.5	Asset was purchased on 10/6/21; however, it was inadvertently omitted from the 2022 report. Transaction reported in Part 7 for continuity purposes.

PART	#	ENDNOTE
5.	1.6	Asset was purchased on 10/6/21; however, it was inadvertently omitted from the 2022 report. Transaction reported in Part 7 for continuity purposes.
5.	1.7	Asset was purchased on 10/6/21; however, it was inadvertently omitted from the 2022 report. Transaction reported in Part 7 for continuity purposes.
5.	1.9	Asset was purchased on 10/6/21; however, it was inadvertently omitted from the 2022 report. Transaction reported in Part 7 for continuity purposes.
5.	1.10	Asset was purchased on 10/6/21; however, it was inadvertently omitted from the 2022 report. Transaction reported in Part 7 for continuity purposes.
5.	1.14	Asset was purchased on 10/6/21; however, it was inadvertently omitted from the 2022 report. Transaction reported in Part 7 for continuity purposes.
5.	2.1	Asset was purchased on 11/24/21; however, it was inadvertently omitted from the 2022 report. Transaction reported in Part 7 for continuity purposes.
5.	2.2	Asset was purchased on 11/24/21; however, it was inadvertently omitted from the 2022 report. Transaction reported in Part 7 for continuity purposes.
5.	2.3	Asset was purchased on 11/24/21; however, it was inadvertently omitted from the 2022 report. Transaction reported in Part 7 for continuity purposes.
5.	2.4	Asset was purchased on 11/24/21; however, it was inadvertently omitted from the 2022 report. Transaction reported in Part 7 for continuity purposes.
5.	2.5	Asset was purchased on 11/24/21; however, it was inadvertently omitted from the 2022 report. Transaction reported in Part 7 for continuity purposes.
5.	2.6	Asset was purchased on 11/24/21; however, it was inadvertently omitted from the 2022 report. Transaction reported in Part 7 for continuity purposes.
5.	2.7	Asset was purchased on 11/24/21; however, it was inadvertently omitted from the 2022 report. Transaction reported in Part 7 for continuity purposes.
6.	4.8	Fund class change from prior report-previously reported as FDYNX. No transactions.
6.	4.10	Fund class change from prior report-previously reported as BDLAX. No transactions.
6.	4.18	Fund class change from prior report-previously reported as MYHCX. No transactions.
6.	6.1	Company ceased operations and the investors' interest in the company is now valued at \$0.

PART	#	ENDNOTE
6.	7	Account was previously reported as UBS Brokerage Account.
7.	5	Asset was previously reported as CLSCX but had a name change to LSIZX.
7.	7	Stock was a result of an AT&T(T) spinoff that occurred on 4/1//2022.
7.	8	Asset sale was inadvertently omitted from the 2022 report. This was the result of the filer rolling over his 401K into an IRA. The transaction was also inadvertently omitted from the OGE 278T that was filed on 10/07/2021. 2021 transaction is reported here for continuity.
7.	9	Asset sale was inadvertently omitted from the 2022 report. This was the result of the spouse rolling over her 401K into an IRA. The transaction was also inadvertently omitted from the OGE 278T that was filed on 10/07/2021. 2021 transaction is reported here for continuity.
7.	10	This transaction was inadvertently omitted from the 2022 report.
7.	11	This transaction resulted from the a roll over of the filer's 401K into an IRA. Transactions were inadvertently omitted from the 2022 report. Transaction date is the date that the liquidation of the assets from the 401K began.
7.	12	This transaction resulted from the a roll over of the filer's 401K into an IRA. Transactions were inadvertently omitted from the 2022 report. Transaction date is the date that the liquidation of the assets from the 401K began.
7.	13	This transaction resulted from the a roll over of the filer's 401K into an IRA. Transactions were inadvertently omitted from the 2022 report. Transaction date is the date that the liquidation of the assets from the 401K began.
7.	14	This transaction was inadvertently omitted from the 2022 report.
7.	15	This transaction was inadvertently omitted from the 2022 report.
7.	18	This transaction was inadvertently omitted from the 2022 report.
7.	21	This transaction was inadvertently omitted from the 2022 report.
7.	22	This transaction was inadvertently omitted from the 2022 report.
7.	24	This transaction was inadvertently omitted from the 2022 report.

PART	#	ENDNOTE
7.	29	This transaction was inadvertently omitted from the 2022 report.
7.	30	This transaction was inadvertently omitted from the 2022 report.
7.	31	This transaction was inadvertently omitted from the 2022 report.
7.	38	This transaction was inadvertently omitted from the 2022 report.
7.	39	This transaction was inadvertently omitted from the 2022 report.
7.	43	This transaction was inadvertently omitted from the 2022 report.
7.	44	This transaction was inadvertently omitted from the 2022 report.
7.	45	This transaction was inadvertently omitted from the 2022 report. Asset value has fallen below the reporting threshold.
7.	46	This transaction was inadvertently omitted from the 2022 report.
7.	47	This transaction was inadvertently omitted from the 2022 report.
7.	48	This transaction was inadvertently omitted from the 2022 report.
7.	49	This transaction was inadvertently omitted from the 2022 report.
7.	50	This transaction was inadvertently omitted from the 2022 report.
7.	51	This transaction was inadvertently omitted from the 2022 report.
7.	52	This transaction was inadvertently omitted from the 2022 report.
7.	53	This transaction was inadvertently omitted from the 2022 report.
7.	54	This transaction was inadvertently omitted from the 2022 report.
7.	55	This transaction was inadvertently omitted from the 2022 report.
7.	56	This transaction was inadvertently omitted from the 2022 report.
7.	57	This transaction was inadvertently omitted from the 2022 report.
7.	58	This transaction resulted from the a roll over of the spouse's 401K into an IRA. Transactions were inadvertently omitted from the 2022 report. Transaction date is the date that the liquidation of the assets from the 401K began.

PART	#	ENDNOTE
7.	59	This transaction resulted from the a roll over of the spouse's 401K into an IRA. Transactions were inadvertently omitted from the 2022 report. Transaction date is the date that the liquidation of the assets from the 401K began.
7.	60	This transaction resulted from the a roll over of the spouse's 401K into an IRA. Transactions were inadvertently omitted from the 2022 report. Transaction date is the date that the liquidation of the assets from the 401K began.
7.	61	This transaction resulted from the a roll over of the spouse's 401K into an IRA. Transactions were inadvertently omitted from the 2022 report.
7.	62	This transaction resulted from the a roll over of the spouse's 401K into an IRA. Transactions were inadvertently omitted from the 2022 report.
7.	64	This transaction resulted from the a roll over of the spouse's 401K into an IRA. Transactions were inadvertently omitted from the 2022 report.
7.	66	This transaction resulted from the a roll over of the spouse's 401K into an IRA. Transactions were inadvertently omitted from the 2022 report.
7.	67	This transaction resulted from the a roll over of the spouse's 401K into an IRA. Transactions were inadvertently omitted from the 2022 report.
7.	68	This transaction resulted from the a roll over of the spouse's 401K into an IRA. Transactions were inadvertently omitted from the 2022 report.
7.	70	This transaction resulted from the a roll over of the spouse's 401K into an IRA. Transactions were inadvertently omitted from the 2022 report.
7.	71	This transaction resulted from the a roll over of the spouse's 401K into an IRA. Transactions were inadvertently omitted from the 2022 report.
7.	72	This transaction resulted from the a roll over of the spouse's 401K into an IRA. Transactions were inadvertently omitted from the 2022 report.
7.	73	This transaction was inadvertently omitted from the 2022 report.
7.	74	This transaction was inadvertently omitted from the 2022 report.
7.	76	This transaction was inadvertently omitted from the 2022 report.
7.	78	This transaction was inadvertently omitted from the 2022 report.
7.	80	This transaction was inadvertently omitted from the 2022 report.

PART	#	ENDNOTE
7.	84	This transaction was inadvertently omitted from the 2022 report.
7.	85	This transaction was inadvertently omitted from the 2022 report.
7.	86	This transaction was inadvertently omitted from the 2022 report.
7.	92	This transaction was inadvertently omitted from the 2022 report.

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).
