Executive Branch Personnel
Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Powell, Jerome

Chairman, Board of Governors of the Federal Reserve System

Report Year: 2019

Other Federal Government Positions Held During the Preceding 12 Months:

Member, Board of Governors of the Federal Reserve System (5/2012 - Present)

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Powell, Jerome [electronically signed on 05/09/2019 by Powell, Jerome in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).
/s/ Williams, Cary, Certifying Official [electronically signed on 05/14/2019 by Williams, Cary in Integrity.gov]

Other review conducted by
/s/ Williams, Cary, Ethics Official [electronically signed on 05/14/2019 by Williams, Cary in Integrity.gov]

U.S. Office of Government Ethics Certification

/s/ Granahan, Megan, Certifying Official [electronically signed on 05/22/2019 by Granahan, Megan in Integrity.gov]

1. Filer's Positions Held Outside United States Government

None

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	T Rowe Price Equity Index 500	Yes	$250,001 - $500,000		None (or less than $201)
2	Blackrock S&P 500 Index	Yes	$500,001 - $1,000,000		None (or less than $201)
3	SPDR S&P 500 ETF	Yes	$50,001 - $100,000		None (or less than $201)

3. Filer's Employment Agreements and Arrangements

None

4. Filer's Sources of Compensation Exceeding $5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Elissa Leonard Productions, LLC (films), "Sally Pacholok" (value not readily ascertainable)	N/A		Video Sales and Rentals	

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Powell Family Trust #1	No			
1.1	GS Emerging Markets Equity Fund	Yes	$50,001 - $100,000		$201 - $1,000
1.2	GS High Yield Municipal Fund	Yes	$15,001 - $50,000		$201 - $1,000
1.3	GS Tactical Tilt Overlay Fund	Yes	$50,001 - $100,000		$1,001 - $2,500
1.4	Ishares MSCI EAFE ETF	Yes	$100,001 - $250,000		$5,001 - $15,000
1.5	Ishares Russell 2000 ETF	Yes	$100,001 - $250,000		$1,001 - $2,500
1.6	Ishares U.S. Real Estate ETF	Yes	$15,001 - $50,000		$1,001 - $2,500
1.7	SPDR DJ Wilshire International Real Estate ETF	Yes	$15,001 - $50,000		$1,001 - $2,500
1.8	SPDR S&P 500 ETF	Yes	$250,001 - $500,000		$5,001 - $15,000
1.9	U.S. Bank #1 Cash Account	N/A	$250,001 - $500,000	Interest	$2,501 - $5,000
1.10	Rock Creek Opportunity Fund LLC	Yes	$50,001 - $100,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.11	GS Short Duration Tax Free Fund	Yes	$250,001 - $500,000		$5,001 - $15,000
1.12	Ishares Russell 2000 Value ETF	Yes	$1,001 - $15,000		None (or less than $201)
1.13	Ishares Russell 2000 Growth ETF	Yes	$15,001 - $50,000		None (or less than $201)
1.14	SPDR Euro Stoxx 50 FD ETF	Yes	$1,001 - $15,000		$201 - $1,000
1.15	BDC Properties LLC	Yes	$250,001 - $500,000		$5,001 - $15,000
2	Powell Family Trust #2	No			
2.1	U.S. Bank #1 Cash Account	N/A	$50,001 - $100,000	Interest	$1,001 - $2,500
3	Powell Family Trust #3	No			
3.1	Causeway International Value	Yes	$100,001 - $250,000		$5,001 - $15,000
3.2	GS High Yield Municipal Fund	Yes	$15,001 - $50,000		$1,001 - $2,500
3.3	GS Short Duration Tax Free Fund	Yes	$250,001 - $500,000		$2,501 - $5,000
3.4	GS Tactical Tilt Overlay Fund	Yes	$100,001 - $250,000		$2,501 - $5,000
3.5	GS U.S. Equity and Premium Fund	Yes	$500,001 - $1,000,000		$50,001 - $100,000
3.6	Ishares MSCI EAFE ETF	Yes	$100,001 - $250,000		$5,001 - $15,000
3.7	Ishares Russell 2000 ETF	Yes	$100,001 - $250,000		$1,001 - $2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.8	Ishares U.S. Real Estate ETF	Yes	$15,001 - $50,000		$1,001 - $2,500
3.9	SPDR DJ Wilshire International Real Estate ETF	Yes	$15,001 - $50,000		$1,001 - $2,500
3.10	Vanguard FTSE Emerging Mkts ETF	Yes	$15,001 - $50,000		$1,001 - $2,500
3.11	U.S. Bank #1 Cash Account	N/A	$50,001 - $100,000	Interest	$201 - $1,000
3.12	Rock Creek Opportunity Fund LLC	Yes	$15,001 - $50,000		None (or less than $201)
3.13	BDC Properties LLC	Yes	$250,001 - $500,000		$5,001 - $15,000
4	Powell Family Trust #4	No			
4.1	Causeway International Value	Yes	$250,001 - $500,000		$5,001 - $15,000
4.2	GS High Yield Municipal Fund	Yes	$100,001 - $250,000		$2,501 - $5,000
4.3	GS Short Duration Tax Free Fund	Yes	$500,001 - $1,000,000		$5,001 - $15,000
4.4	GS Tactical Tilt Overlay Fund	Yes	$250,001 - $500,000		$5,001 - $15,000
4.5	GS U.S. Equity and Premium Fund	Yes	$1,000,001 - $5,000,000		$100,001 - $1,000,000
4.6	Ishares MSCI EAFE ETF	Yes	$250,001 - $500,000		$5,001 - $15,000
4.7	Ishares Russell 2000 ETF	Yes	$250,001 - $500,000		$2,501 - $5,000
4.8	Ishares U.S. Real Estate ETF	Yes	$100,001 - $250,000		$2,501 - $5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.9	SPDR DJ Wilshire International Real Estate ETF	Yes	$50,001 - $100,000		$2,501 - $5,000
4.10	SPDR Euro Stoxx 50 FD ETF	Yes	$15,001 - $50,000		$1,001 - $2,500
4.11	Vanguard FTSE Emerging Mkts ETF	Yes	$250,001 - $500,000		$5,001 - $15,000
4.12	U.S. Bank #1 Cash Account	N/A	$100,001 - $250,000	Interest	$2,501 - $5,000
5	GS High Yield Floating Rate Fund	Yes	None (or less than $1,001)		$2,501 - $5,000
6	GS High Yield Fund	Yes	$100,001 - $250,000		$5,001 - $15,000
7	GS High Yield Municipal Fund	Yes	$500,001 - $1,000,000		$15,001 - $50,000
8	GS Financial Square Treasury Solutions Fund	Yes	$1,001 - $15,000		$201 - $1,000
9	GS Short Duration Tax Free Fund	Yes	$1,000,001 - $5,000,000		$15,001 - $50,000
10	GS U.S. Equity and Premium Fund	Yes	$500,001 - $1,000,000		$100,001 - $1,000,000
11	Ishares MSCI EAFE ETF	Yes	$1,000,001 - $5,000,000		$50,001 - $100,000
12	Ishares Russell 2000 ETF	Yes	$500,001 - $1,000,000		$5,001 - $15,000
13	SPDR Euro Stoxx 50 FD ETF	Yes	$500,001 - $1,000,000		$15,001 - $50,000
14	SPDR S&P 500 ETF	Yes	$1,000,001 - $5,000,000		$50,001 - $100,000
15	Vanguard FTSE Emerging Mkts ETF	Yes	$250,001 - $500,000		$5,001 - $15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
16	Anne Arundel County MD Bonds	N/A	$50,001 - $100,000	Interest	$2,501 - $5,000
17	Baltimore County MD Bonds	N/A	$50,001 - $100,000	Interest	$2,501 - $5,000
18	Conroe TX BONDS	N/A	$50,001 - $100,000	Interest	$2,501 - $5,000
19	Denver CO City & County Bonds	N/A	$50,001 - $100,000	Interest	$2,501 - $5,000
20	Frederick County MD Bonds	N/A	None (or less than $1,001)	Interest	$201 - $1,000
21	Harford County MD Bonds	N/A	None (or less than $1,001)	Interest	$2,501 - $5,000
22	Houston TX Util Sys Rev Bonds	N/A	$50,001 - $100,000	Interest	$2,501 - $5,000
23	Howard County MD Bonds	N/A	$15,001 - $50,000	Interest	$2,501 - $5,000
24	Jefferson Parish LA Sch Brd Rev Bonds	N/A	$50,001 - $100,000	Interest	$2,501 - $5,000
25	Maryland State Bonds	N/A	$100,001 - $250,000	Interest	$5,001 - $15,000
26	Maryland Water Quality Rev Bonds	N/A	$100,001 - $250,000	Interest	$5,001 - $15,000
27	MD State Hlth & Ed Facs Auth Rev Bonds	N/A	$50,001 - $100,000	Interest	$2,501 - $5,000
28	New York City Trans Fin Auth Rev Bonds	N/A	$100,001 - $250,000	Interest	$2,501 - $5,000
29	New York NY Bonds	N/A	$50,001 - $100,000	Interest	$2,501 - $5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
30	Prince Georges County Bonds	N/A	$50,001 - $100,000	Interest	None (or less than $201)
31	Snohomish County WA Bonds	N/A	$100,001 - $250,000	Interest	$2,501 - $5,000
32	Springfield IL Elec Rev Bonds	N/A	$50,001 - $100,000	Interest	$2,501 - $5,000
33	U.S. Bank #1 Cash Account	N/A	$50,001 - $100,000	Interest	$1,001 - $2,500
34	American Balanced Fund 529E	Yes	$1,000,001 - $5,000,000		None (or less than $201)
35	American Balanced Fund 529A	Yes	$50,001 - $100,000		None (or less than $201)
36	U.S. Bank #2 Cash Account	N/A	$100,001 - $250,000	Interest	None (or less than $201)
37	U.S. Bank #3 Cash Account	N/A	$100,001 - $250,000	Interest	None (or less than $201)
38	Blackrock S&P 500 Index	Yes	$100,001 - $250,000		$2,501 - $5,000
39	SPDR S&P 500 ETF	Yes	$15,001 - $50,000		$201 - $1,000
40	U.S. Brokerage #1 Cash Account	N/A	$1,001 - $15,000	Interest	None (or less than $201)
41	Vanguard Total Stock Market Index	Yes	$1,000,001 - $5,000,000		$50,001 - $100,000
42	Vanguard Devel Markets Index	Yes	$50,001 - $100,000		$1,001 - $2,500
43	Vanguard 500 Index Fund	Yes	$100,001 - $250,000		$2,501 - $5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
44	Northwestern Mutual Life Insurance (Cash Value of Whole Life)	N/A	$250,001 - $500,000	Dividends	$15,001 - $50,000
45	Northwestern Mutual Life Insurance (Cash Value of Whole Life) - ILIT	N/A	$250,001 - $500,000	Dividends	$5,001 - $15,000
46	Central FL Expwy Auth Bonds	N/A	$50,001 - $100,000	Interest	$2,501 - $5,000
47	Illinois State Bonds	N/A	$50,001 - $100,000	Interest	$2,501 - $5,000
48	Maryland Transn Auth Bonds	N/A	None (or less than $1,001)	Interest	$2,501 - $5,000
49	Texas Transn Commn St Hwy Bonds	N/A	$50,001 - $100,000	Interest	$1,001 - $2,500
50	Triborough Brdg & Tunl Auth Bonds	N/A	$50,001 - $100,000	Interest	$2,501 - $5,000
51	Washington County MD Bonds	N/A	$15,001 - $50,000	Interest	$1,001 - $2,500
52	Alabama Fed Aid Hwy Bonds	N/A	$50,001 - $100,000	Interest	$2,501 - $5,000
53	Washington DC Met Area Tran Bonds	N/A	$50,001 - $100,000	Interest	$2,501 - $5,000
54	Waterbury CT Bonds	N/A	$50,001 - $100,000	Interest	$2,501 - $5,000
55	Ishares Russell 1000 Value ETF	Yes	$50,001 - $100,000		$1,001 - $2,500
56	Ishares Russell 1000 Growth ETF	Yes	$250,001 - $500,000		$2,501 - $5,000
57	Ishares Russell 1000 ETF	Yes	$50,001 - $100,000		$1,001 - $2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
58	Ishares Russell 2000 Value ETF	Yes	$15,001 - $50,000		$201 - $1,000
59	Ishares Russell 2000 Growth ETF	Yes	$100,001 - $250,000		$201 - $1,000
60	Ishares Russell 3000 ETF	Yes	$500,001 - $1,000,000		$15,001 - $50,000
61	Camden County NJ Bonds	N/A	$50,001 - $100,000	Interest	$1,001 - $2,500
62	Baltimore MD Rev Bonds	N/A	$50,001 - $100,000	Interest	None (or less than $201)
63	Maryland Dept Transn Cons Bonds	N/A	$50,001 - $100,000	Interest	$1,001 - $2,500
64	Ohio State Bonds	N/A	$50,001 - $100,000	Interest	$1,001 - $2,500
65	Wisconsin St Health & Edl Facs Auth Rev Bonds	N/A	$50,001 - $100,000	Interest	None (or less than $201)

7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	Frederick County MD Bond	Purchase	02/13/2018	$1,001 - $15,000
2	Ohio Major New Bond	Purchase	03/28/2018	$50,001 - $100,000
3	Howard County MD Muni Bond	Sale	04/10/2018	$50,001 - $100,000
4	Maryland Muni Bond	Sale	11/26/2018	$50,001 - $100,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
5	Prince Georges County MD Muni Bond		Purchase	11/26/2018	$50,001 - $100,000
6	Baltimore MD Muni Bond		Purchase	11/30/2018	$50,001 - $100,000
7	GS High Yield Floating Rate Fund		Purchase	Multiple	$1,001 - $15,000
8	GS High Yield Fund		Purchase	Multiple	$1,001 - $15,000
9	GS High Yield Municipal Fund		Purchase	Multiple	$15,001 - $50,000
10	GS Short Duration Tax-Free Fund		Purchase	Multiple	$15,001 - $50,000
11	GS U.S. Equity Dividend and Premium Fund		Purchase	Multiple	$50,001 - $100,000
12	GS High Yield Floating Rate Fund		Sale	08/30/2018	$100,001 - $250,000
13	GS High Yield Municipal Fund		Sale	08/30/2018	$50,001 - $100,000
14	GS U.S. Equity Dividend and Premium Fund		Sale	08/30/2018	$100,001 - $250,000
15	Howard County MD Muni Bond		Sale	08/13/2018	$15,001 - $50,000
16	Maryland Transn Auth Muni Bond		Sale	12/03/2018	$50,001 - $100,000
17	Wisconsin Health & Educational Facs Muni Bond		Purchase	08/08/2018	$50,001 - $100,000
18	GS Short Duration Tax-Free Fund	See Endnote	Purchase	Multiple	$1,001 - $15,000
19	GS Tactical Tilt Overlay Fund	See Endnote	Purchase	Multiple	$1,001 - $15,000
20	Causeway International Value	See Endnote	Purchase	Multiple	$1,001 - $15,000
21	GS Short Duration Tax-Free Fund	See Endnote	Purchase	Multiple	$1,001 - $15,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
22	GS Tactical Tilt Overlay Fund	See Endnote	Purchase	12/28/2018	$1,001 - $15,000
23	GS U.S. Equity Dividend and Premium Fund	See Endnote	Purchase	Multiple	$50,001 - $100,000
24	Causeway International Value	See Endnote	Sale	12/04/2018	$15,001 - $50,000
25	GS U.S. Equity Dividend and Premium Fund	See Endnote	Sale	12/04/2018	$100,001 - $250,000
26	Causeway International Value	See Endnote	Purchase	Multiple	$1,001 - $15,000
27	GS High Yield Municipal Fund	See Endnote	Purchase	Multiple	$1,001 - $15,000
28	GS Short Duration Tax-Free Fund	See Endnote	Purchase	Multiple	$1,001 - $15,000
29	GS Tactical Tilt Overlay Fund	See Endnote	Purchase	12/28/2018	$1,001 - $15,000
30	GS U.S. Equity Dividend and Premium Fund	See Endnote	Purchase	Multiple	$250,001 - $500,000
31	American Balanced Fund 529E		Purchase	Multiple	$50,001 - $100,000
32	American Balanced Fund 529A		Purchase	Multiple	$1,001 - $15,000
33	Blackrock S&P 500 Index		Purchase	Multiple	$1,001 - $15,000
34	T Rowe Price Equity Index 500		Purchase	Multiple	$1,001 - $15,000
35	Blackrock S&P 500 Index		Purchase	Multiple	$15,001 - $50,000
36	SPDR S&P 500 ETF		Purchase	Multiple	$1,001 - $15,000
37	Vanguard Total Stock Market Index		Purchase	Multiple	$50,001 - $100,000
38	Vanguard Devel Markets Index		Purchase	Multiple	$1,001 - $15,000
39	Vanguard 500 Index Fund		Purchase	Multiple	$1,001 - $15,000

8. Liabilities

None

9. Gifts and Travel Reimbursements

None

Endnotes

PART	#	ENDNOTE
7.	18	Powell Family Trust #1
7.	19	Powell Family Trust #1
7.	20	Powell Family Trust #3
7.	21	Powell Family Trust #3
7.	22	Powell Family Trust #3
7.	23	Powell Family Trust #3
7.	24	Powell Family Trust #3
7.	25	Powell Family Trust #3
7.	26	Powell Family Trust #4
7.	27	Powell Family Trust #4
7.	28	Powell Family Trust #4
7.	29	Powell Family Trust #4

PART	#	ENDNOTE
7.	30	Powell Family Trust #4

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than $200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities that (1) ended the reporting period with a value greater than $1,000 or (2) produced more than $200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding $5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than $5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than $1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than $200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities that (1) ended the reporting period with a value greater than $1,000 or (2) produced more than $200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, that (1) ended the reporting period with a value greater than $1,000 or (2) produced more than $200 in investment income during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of $5,000 or less (unless more than $200 of income was produced). Additional exceptions apply. Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of $1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over $10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed $10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than $390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than $390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of $156 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena; (4) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (5) to the National Archives and Records Administration or the General Services Administration in records management inspections; (6) to the Office of Management and Budget during legislative coordination on private relief legislation; (7) to the Department of Justice or in certain legal proceedings when the disclosing agency, an employee of the disclosing agency, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation; (8) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another; (9) to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record; (10) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to an OGE Government-wide system of records; and (11) on the OGE Website and to any person, department or agency, any written ethics agreement filed with OGE by an individual nominated by the President to a position requiring Senate confirmation. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of three hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, NW., Washington, DC 20005-3917.

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