Periodic Transaction Report | U.S. Office of Government Ethics; 5 C.F.R. part 2634 (Updated Jan. 2019)

**Executive Branch Personnel** 

Public Financial Disclosure Report:

Periodic Transaction Report (OGE Form 278-T)

### Filer's Information

Teplitz, Alaina B

Chief of Mission - Sri Lanka and Maldives, Department of State

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Teplitz, Alaina B [electronically signed on 05/01/2019 by Teplitz, Alaina B in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Frasure, Virginia, Certifying Official [electronically signed on 06/14/2019 by Frasure, Virginia in Integrity.gov]

Other review conducted by

/s/ Frasure, Virginia, Ethics Official [electronically signed on 06/14/2019 by Frasure, Virginia in Integrity.gov]

U.S. Office of Government Ethics Certification

/s/ Granahan, Megan, Certifying Official [electronically signed on 07/22/2019 by Granahan, Megan in Integrity.gov]

Data Revised 06/14/2019

# Transactions

#	DESCRIPTION	ТҮРЕ	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
1	Whirlpool Corp	Sale	04/09/2019	No	\$1,001 - \$15,000
2	TJX COS Inc New	Sale	04/09/2019	No	\$1,001 - \$15,000
3	Texas Instruments Incorporated - Common Stock	Sale	04/09/2019	No	\$1,001 - \$15,000
4	Sysco Corp	Sale	04/09/2019	No	\$1,001 - \$15,000
5	Nuveen Municiple Value FD Inc	Sale	04/09/2019	No	\$1,001 - \$15,000
6	International Paper Co	Sale	04/09/2019	No	\$1,001 - \$15,000
7	Intl Business Machines Corp	Sale	04/09/2019	No	\$1,001 - \$15,000
8	General Mills Inc	Sale	04/09/2019	No	\$1,001 - \$15,000
9	General Electric Co	Sale	04/09/2019	No	\$1,001 - \$15,000
10	Franklin Federal TX FR INC	Sale	04/09/2019	No	\$1,001 - \$15,000
11	Drefus Municipal Income	Sale	04/09/2019	No	\$1,001 - \$15,000
12	Cummins Inc	Sale	04/09/2019	No	\$1,001 - \$15,000
13	Bristol-Myers Squibb	Sale	04/09/2019	No	\$1,001 - \$15,000
14	Applied Materials, Inc Common Stock	Sale	04/09/2019	No	\$1,001 - \$15,000
15	American Electric Power Co	Sale	04/09/2019	No	\$1,001 - \$15,000
16	Invesco Muni Income Y	Sale	04/09/2019	No	\$1,001 - \$15,000
17	Western Asset Managed Muni A	Sale	04/09/2019	No	\$1,001 - \$15,000
18	Walgreens Boots Alliance Inc	Sale	04/09/2019	No	\$1,001 - \$15,000
19	DowDuPont Inc	Sale	04/09/2019	No	\$1,001 - \$15,000

#	DESCRIPTION	ТҮРЕ	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
20	DWS Strategic MUN Income Trust	Sale	04/09/2019	No	\$1,001 - \$15,000
21	Travelers Companies Inc COM	Sale	04/09/2019	No	\$1,001 - \$15,000
22	Western Asset MNGD Municipals	Sale	04/09/2019	No	\$1,001 - \$15,000
23	Mondelez Intl Inc COM	Sale	04/09/2019	No	\$1,001 - \$15,000
24	MSIF Ultra-Short Income IR	Purchase	04/09/2019	No	\$100,001 - \$250,000
25	iShares MSCI Frontier 100 Index ETF	Purchase	04/09/2019	No	\$1,001 - \$15,000
26	Ingredion Inc COM	Sale	04/09/2019	No	\$1,001 - \$15,000
27	Vanguard FTSE Emerging Markets	Purchase	04/09/2019	No	\$1,001 - \$15,000
28	Vanguard FTSE Developed MKTS E	Purchase	04/09/2019	No	\$1,001 - \$15,000
29	Nuveen AMT Free MUN Cred	Sale	04/09/2019	No	\$1,001 - \$15,000
30	AT&T Inc	Sale	04/09/2019	No	\$1,001 - \$15,000
31	Altria Group Inc	Sale	04/09/2019	No	\$1,001 - \$15,000
32	Exxon Mobil Corp	Sale	04/09/2019	No	\$1,001 - \$15,000
33	Ameren Corp (HLDG Co)	Sale	04/09/2019	No	\$1,001 - \$15,000
34	Nuveen AMT-Free QLTY MUN Inc	Sale	04/09/2019	No	\$1,001 - \$15,000
35	Morgan Stanley		04/09/2019	No	\$1,001 - \$15,000
36	Waste Mgmt Inc (DELA)	Sale	04/09/2019	No	\$1,001 - \$15,000
37	Nuveen Quality Muni Inc Fund	Sale	04/09/2019	No	\$1,001 - \$15,000
38	CVS Health Corp Com	Sale	04/09/2019	No	\$1,001 - \$15,000
39	Allstate Corp	Sale	04/09/2019	No	\$1,001 - \$15,000
40	SPDR S 500 ETF Trust	Purchase	04/09/2019	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
41	AFLAC Incorporated	Sale	04/09/2019	No	\$1,001 - \$15,000
42	Philip Morris Intl Inc	Sale	04/09/2019	No	\$1,001 - \$15,000

### **Endnotes**

## **Summary of Contents**

The 278-T discloses purchases, sales, or exchanges of securities in excess of \$1,000 made on behalf of the filer, the filer's spouse, or dependent child. Transactions are required to be disclosed within 30 days of receiving notification of a transaction but not later than 45 days after the transaction. Filers need not disclose (1) mutual funds and other excepted investment funds; (2) certificates of deposit, savings or checking accounts, and money market accounts; (3) U.S. Treasury bills, notes, and bonds; (4) Thrift Savings Plan accounts; (5) real property; and (6) transactions that are solely by and between the filer, the filer's spouse, and the filer's dependent children.

#### **Privacy Act Statement**

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U.S. Office of Government Ethics regulations require the reporting of this information. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena; (4) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (5) to the National Archives and Records Administration or the General Services Administration in records management inspections; (6) to the Office of Management and Budget during legislative coordination on private relief legislation; (7) to the Department of Justice or in certain legal proceedings when the disclosing agency, an employee of the disclosing agency, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation; (8) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another; (9) to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record; (10) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to an OGE Government-wide system of records; and (11) on the OGE Website and to any person, department or agency, any written ethics agreement filed with OGE by an individual nominated by the President to a position requiring Senate confirmation. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.