



CERTIFICATE OF DIVESTITURE

CERTIFICATE NO:

DATE OF ISSUANCE:

ELIGIBLE PERSON:

[The divestiture property is identified in the attachment to this Certificate.]

[Please note: Upon the sale of this property, only the capital gain realized under Federal tax law is eligible for deferral under section 1043. This Certificate of Divestiture does not apply to ordinary compensation income.]

This Certificate of Divestiture is issued in accordance with section 1043 of the Internal Revenue Code and 5 C.F.R. § 2634.1002 with respect to the specific property described in the attachment. I hereby determine that the divestiture of the described property is reasonably necessary to comply with 18 U.S.C. § 208, or other applicable Federal conflict of interest statutes, regulations, rules, or executive orders.

Section 1043 of the Internal Revenue Code and the rules of 5 C.F.R. part 2634, subpart J provide for nonrecognition of gain in the case of sales to comply with conflict of interest requirements. The substantive and procedural rules relating to the tax aspects of such sales and rollovers pursuant to the statutory scheme are subject to the jurisdiction of the Internal Revenue Service. Eligible persons should seek the advice of their personal tax advisors for guidance as to the tax aspects of divestiture transactions and whether proposed acquisitions meet the requirements for permitted property. Internal Revenue Service regulations and other guidance should also be consulted as to these matters. Eligible persons must follow Internal Revenue Service requirements for reporting dispositions of property and making an election not to recognize gain under section 1043 (IRS Form 8824).

A Certificate of Divestiture may only be used if the person identified above is an “eligible person” at the time of divestiture. The rules of 5 C.F.R. part 2634, subpart J relate to the issuance of Certificates of Divestiture and the permitted property into which reinvestment must be made during the 60-day period beginning on the date of such a sale in order for nonrecognition to be permitted. Such reinvestments are called rollovers, and are limited to obligations of the United States and diversified investment funds as defined in 5 C.F.R. § 2634.1003.

David J. Apol
General Counsel

Attachment



Attachment
OGE-2021-029

<u>(a) Description of the property</u>			<u>(b) Quantity or percentage owned</u>	<u>(c) Owner(s) of the property</u>	<u>(d) Relationship to the employee</u>
<u>Company Name</u>	<u>Symbol</u>	<u>Type</u>			
AERCAP HOLDINGS	AER	Stock	13,800	Peter Devine	Spouse
ALPHABET INC-C	GOOG	Stock	210	Peter Devine	Spouse
ALPHABET INC-C	GOOG 21JAN22 1200.0 C	Call option	5	Peter Devine	Spouse
AMAZON.COM INC	AMZN	Stock	130	Peter Devine	Spouse
ANTERO MIDSTREAM	AM	Stock	4,503	Peter Devine	Spouse
ANTERO RESOURCES	AR	Stock	18,509	Peter Devine	Spouse
APPLE INC	AAPL	Stock	1,400	Peter Devine	Spouse
BANK OF AMERICA	BAC	Stock	3,000	Peter Devine	Spouse
BERKSHIRE HATH-B	BRK/B	Stock	1,000	Peter Devine	Spouse
BRIGHTHOUSE FINA	BHF	Stock	11,950	Peter Devine	Spouse
CHANGE HEALTHCAR	CHNG	Stock	8,500	Peter Devine	Spouse
CHEMOURS CO	CC	Stock	16,000	Peter Devine	Spouse
DELTA AIR LI	DAL 21JAN22 40.0 C	Call option	30	Peter Devine	Spouse
DELTA AIR LI	DAL 21JAN22 50.0 C	Call option	160	Peter Devine	Spouse
EXXON MOBIL CORP	XOM	Stock	2,900	Peter Devine	Spouse
FACEBOOK INC-A	FB	Stock	1,700	Peter Devine	Spouse
GANNETT CO INC	GCI	Stock	172,310	Peter Devine	Spouse
GOLDMAN SACHS	GS	Stock	500	Peter Devine	Spouse
GRAFTECH INTL	EAF	Stock	25,400	Peter Devine	Spouse
JPMORGAN CHASE	JPM	Stock	1,100	Peter Devine	Spouse
LIBERTY GLOBAL-C	LBTYK	Stock	10,500	Peter Devine	Spouse
NIO INC - ADR	NIO 16APR21 60.0 P	Put option	140	Peter Devine	Spouse
PLUG POWER INC	PLUG 19MAR21 80.0 P	Put option	80	Peter Devine	Spouse
STARBUCKS CORP	SBUX	Stock	2,000	Peter Devine	Spouse
VIASAT INC	VSAT	Stock	15,200	Peter Devine	Spouse
WELLS FARGO & CO	WFC	Stock	5,000	Peter Devine	Spouse