

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Goldsmith Romero, Christy Lynne

Special Inspector General, Department of the Treasury

Report Year: 2018

Other Federal Government Positions Held During the Preceding 12 Months:

None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Goldsmith Romero, Christy Lynne [electronically signed on 06/01/2018 by Goldsmith Romero, Christy Lynne in Integrity.gov] - Filer received a 45 day filing extension.

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Horton, Elizabeth A, Certifying Official [electronically signed on 08/31/2018 by Horton, Elizabeth A in Integrity.gov]

Other review conducted by

/s/ Bungard, Brandon C, Ethics Official [electronically signed on 07/30/2018 by Bungard, Brandon C in Integrity.gov]

Data Revised 08/21/2018

Data Revised 07/30/2018

Data Revised 07/25/2018

Data Revised 07/23/2018

Data Revised 07/20/2018

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Malibu South LLC	Raleigh, North Carolina	LLC	member	1/2018	Present

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	401K Jenner & Block--Fidelity Growth Company, Class K	Yes	\$1,001 - \$15,000		\$201 - \$1,000
2	401k Jenner & Block--Fidelity Low Priced Stock Fund, Class K	Yes	\$1,001 - \$15,000		\$201 - \$1,000
3	401K Jenner & Block--American Funds EuroPacific Growth Fund, Class R-6	Yes	\$1,001 - \$15,000		None (or less than \$201)

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	401K Jenner & Block	Chicago, Illinois	Fidelity 401K defined contribution plan from former employer - neither I nor my former employer have contributed since 1999.	9/1997

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Altria Group Inc. in DA Davidson Account	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
2	Apple, Inc. in DA Davidson IRA BDA	N/A	\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000
3	Corning Inc. in DA Davidson Account	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
4	Emerson Electric Company in DA Davidson IRA BDA	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
5	Intel Corp. in DA Davidson IRA BDA	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
6	Exxon Mobil Corp. in DA Davidson IRA BDA	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
7	Nestle S.A. SPNSD ADR REPSTING REG SHS in DA Davidson IRA BDA	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
8	Pfizer Inc. in DA Davidson IRA BDA	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
9	Royal Dutch Shell PLC Sponsored ADR REPSTG B Shares in DA Davidson IRA BDA	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
10	Valero Energy Corp. in DA Davidson IRA BDA	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
11	Welltower Inc. in DA Davidson IRA BDA	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
12	First Trust Aberdeen Global Opportunity Income Fund in DA Davidson IRA BDA	Yes	None (or less than \$1,001)		\$201 - \$1,000
13	American Mutual Fund, Class A in DA Davidson IRA BDA	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT	
14	Investment Company of America, Class A in DA Davidson IRA BDA	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000	
15	Emerson Electric Company in DA Davidson Account	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	
16	Nuveen Quality Municipal Income Fund in DA Davidson Account	Yes	\$1,001 - \$15,000		\$201 - \$1,000	
17	Nuveen AMT Free Quality Municipal Income Fund in DA Davidson Account	Yes	\$1,001 - \$15,000		\$201 - \$1,000	
18	Investment Company of America, Class A in DA Davidson Account	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000	
19	Apple Inc. in DA Davidson Account	N/A	\$250,001 - \$500,000	Dividends	\$2,501 - \$5,000	
20	AbbVie Inc. in DA Davidson IRA BDA	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	
21	Genesis Energy Ltd Partnership in DA Davidson IRA DBA	N/A	None (or less than \$1,001)	Partnership distribution	\$265	
22	Gilead Sciences Inc in DA Davidson IRA DBA	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	
23	Realty Income Corp in DA Davidson IRA DBA	Yes	\$1,001 - \$15,000		\$201 - \$1,000	
24	British American Tobacco PLC SPONS ADR 25P in DA Davidson	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)	
25	Georgetown University Adjunct Faculty Salary	N/A		Georgetown University adjunct faculty salary		
26	Target Corp in DA Davidson IRA DBA	N/A	\$1,001 - \$15,000		None (or less than \$201)	
27	Wal-Mart Stores Inc. in DA Davidson IRA DBA	See Endnote	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
28	Reynolds American Inc. in DA Davidson Account	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$5,001 - \$15,000
29	Amazon.com, Inc. - Common Stock in DA Davidson IRA DBA	N/A	\$15,001 - \$50,000		None (or less than \$201)
30	Sanofi SPON ADR in DA Davidson IRA DBA	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Fidelity Freedom 2030 Fund in Fidelity Roth IRA	Yes	\$1,001 - \$15,000		\$201 - \$1,000
2	Fidelity Magellan Fund in Fidelity Roth IRA	Yes	\$1,001 - \$15,000		\$201 - \$1,000
3	Fidelity Growth Company Fund in Fidelity Roth IRA	Yes	\$1,001 - \$15,000		\$201 - \$1,000
4	Fidelity Growth & Income Portfolio in Fidelity Roth IRA	Yes	\$1,001 - \$15,000		None (or less than \$201)
5	Janus Global Research Fund Class T in Fidelity Roth IRA	Yes	\$1,001 - \$15,000		None (or less than \$201)
6	Dunkin Brands Group, Inc. in Capital One Investing acct	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
7	U.S. Bank Account (cash)	N/A	\$15,001 - \$50,000		None (or less than \$201)
8	Apple Inc in Capital One Investing Account	N/A	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
9	Starbucks Corp in Capital One Investing acct	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
10	Whole Foods Market Inc in Capital One Investing acct	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$201 - \$1,000
11	Vanguard 500 Index Fund, Investor Shares in Capital One Investing acct	Yes	\$1,001 - \$15,000		\$201 - \$1,000
12	Vanguard Total Stock Market Index Fund, Investor Shares in Capital One Investing acct	Yes	\$1,001 - \$15,000		\$201 - \$1,000
13	Fidelity Government Money Market Mutual Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
14	Amazon.com Inc. in Capital One account	N/A	\$15,001 - \$50,000		None (or less than \$201)
15	Wal-Mart Stores Inc. in Capital One account	N/A	\$1,001 - \$15,000		None (or less than \$201)
16	Virginia 529 Education Savings Trust (college savings plan)	No			
17	-Portfolio 2015 (Virginia 529 Educations Savings Trust)	Yes	\$1,001 - \$15,000		None (or less than \$201)
18	-Portfolio 2014 (Virginia 529 Educations Savings Trust)	Yes	\$1,001 - \$15,000		None (or less than \$201)

7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	Gilead Sciences, Inc. - Common Stock	Purchase	1/05/2017	\$1,001 - \$15,000
2	Whole Foods Market, Inc. - Common Stock	Purchase	1/5/2017	\$1,001 - \$15,000
3	Visa Inc	Sale	1/5/2017	\$1,001 - \$15,000
4	Apple Inc. - Common Stock	Purchase	02/9/2017	\$1,001 - \$15,000
5	Dunkin' Brands Group, Inc. - Common Stock	Purchase	02/9/2017	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
6	Vanguard Total Stock Market Index	Purchase	3/2/2017	\$1,001 - \$15,000
7	Apple Inc. - Common Stock	Purchase	3/2/2017	\$1,001 - \$15,000
8	Abbvie Inc.	Sale	3/2/2017	\$15,001 - \$50,000
9	Gilead Sciences Inc	Purchase	02/09/2017	\$1,001 - \$15,000
10	Gilead Sciences Inc	Purchase	05/17/2017	\$1,001 - \$15,000
11	Whole Foods Market Inc	Purchase	6/16/2017	\$1,001 - \$15,000
12	Wal-Mart Stores Inc.	Purchase	8/18/2017	\$1,001 - \$15,000
13	Apple Inc.	Purchase	8/18/2017	\$1,001 - \$15,000
14	CVS Caremark Corp.	Purchase	8/18/2017	\$1,001 - \$15,000
15	Whole Foods Market Inc	See Endnote	08/25/2017	\$1,001 - \$15,000
16	Target Corp	Purchase	09/01/2017	\$1,001 - \$15,000
17	CVS Caremark Corp.	Purchase	8/18/2017	\$1,001 - \$15,000
18	Apple Inc.	Purchase	8/18/2017	\$1,001 - \$15,000
19	Amazon.com Inc	Purchase	9/11/2017	\$15,001 - \$50,000
20	Whole Foods Market Inc	Purchase	8/18/2017	\$1,001 - \$15,000
21	CVS Caremark Corp.	Sale	11/28/2017	\$1,001 - \$15,000
22	Amazon.com Inc	Purchase	11/28/2017	\$1,001 - \$15,000
23	Wal-Mart Stores Inc.	Purchase	11/22/2017	\$1,001 - \$15,000
24	Mosaic Company	Sale	12/01/2017	\$1,001 - \$15,000
25	Amazon.com Inc	Purchase	12/01/2017	\$15,001 - \$50,000
26	Starbucks Corp	Sale	12/18/2017	\$1,001 - \$15,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
27	Amazon.com Inc		Purchase	12/18/2017	\$1,001 - \$15,000
28	Wal-Mart Stores, Inc.		Purchase	12/01/2017	\$1,001 - \$15,000
29	Genesis Energy Ltd.		Sale	12/01/17	\$1,001 - \$15,000
30	Penske Automative Group		Sale	12/1/17	\$1,001 - \$15,000
31	Sanofi (SNY)		Sale	12/01/17	\$1,001 - \$15,000
32	TE Connectivity Ltd.		Sale	12/01/17	\$1,001 - \$15,000
33	United Parcel Service Inc		Sale	02/06/17	\$1,001 - \$15,000
34	Spectra Energy		Sale	03/20/17	\$1,001 - \$15,000
35	Gilead Science		Sale	06/13/2017	\$1,001 - \$15,000
36	Gilead Science		Sale	6/16/2017	\$1,001 - \$15,000
37	First Trust Aberdeen Global Opportunity Income Fund		Sale	07/25/2017	\$1,001 - \$15,000
38	Nuven Quality Municipal Income		Sale	07/25/2017	\$1,001 - \$15,000
39	American Mutual Class A		Purchase	8/25/2017	\$1,001 - \$15,000
40	401K Jenner & Block-JP Morgan Midcap Value Fund, Class L	See Endnote	Sale	08/2017	\$1,001 - \$15,000
41	Whole Foods Market Inc. (merger funds received at \$42.00 per share)	See Endnote	Exchange	8/29/17	\$15,001 - \$50,000
42	Whole Foods Market Inc. (merger funds received at \$42.00 per share)		Exchange	8/28/17	\$1,001 - \$15,000
43	First Trust Aberdeen Global Opportunity Income Fund (Tender Offer)		Sale	06/23/17	\$15,001 - \$50,000
44	First Trust Aberdeen Global Opportunity Income Fund (Tender Offer)		Purchase	6?30/2017	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
45	Reynolds American CHG Inc. exchanged for British American Tobacco PLC Sponsored ADR 25P	Exchange	7/25/2017	\$1,001 - \$15,000
46	American Mutual Class A	Purchase	12/19/2017	\$1,001 - \$15,000
47	Investment Company of America, Class A	Purchase	12/19/2017	\$1,001 - \$15,000
48	Virginia 529 2015 Portfolio	Sale	6/2/17	\$1,001 - \$15,000
49	Virginia 529 2015 Portfolio	Sale	8/21/17	\$1,001 - \$15,000

8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Wells Fargo	Mortgage on Personal Residence	\$250,001 - \$500,000	2011	3.375	15

9. Gifts and Travel Reimbursements

None

Endnotes

PART	#	ENDNOTE
5.	27	Effective February 1, 2018, "Wal-Mart Stores Inc." changed its name to "Walmart Inc." Thus, during the reporting period the name had not changed, but has since changed
7.	15	Purchased on 8/25/17; but, did not appear on statement until 8/29/17. Merger occurred on 8/28/17.

PART	#	ENDNOTE
7.	40	This is a Fidelity 401K defined contribution plan from former employer - neither I nor my former employer have contributed since 1999. This fund was sold by the portfolio manager at some point in August 2017.
7.	41	The filer's statement indicates that the funds were received on 8/29/17; but, the merger legally occurred on 8/28/17.

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in investment income during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 of income was produced). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$156 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena; (4) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (5) to the National Archives and Records Administration or the General Services Administration in records management inspections; (6) to the Office of Management and Budget during legislative coordination on private relief legislation; (7) to the Department of Justice or in certain legal proceedings when the disclosing agency, an employee of the disclosing agency, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation; (8) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another; (9) to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record; (10) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to an OGE Government-wide system of records; and (11) on the OGE Website and to any person, department or agency, any written ethics agreement filed with OGE by an individual nominated by the President to a position requiring Senate confirmation. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of three hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, NW., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).
