

Executive Branch Personnel

Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Kearns, Jason

Commissioner, U.S. International Trade Commission

Report Year: 2021

Other Federal Government Positions Held During the Preceding 12 Months:

None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Kearns, Jason [electronically signed on 04/19/2021 by Kearns, Jason in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Bianchi, Dominic, Certifying Official [electronically signed on 07/21/2021 by Bianchi, Dominic in Integrity.gov]

Other review conducted by

/s/ Enge, Todd, Ethics Official [electronically signed on 07/21/2021 by Enge, Todd in Integrity.gov]

U.S. Office of Government Ethics Certification

/s/ Granahan, Megan, Certifying Official [electronically signed on 08/04/2021 by Granahan, Megan in Integrity.gov]

Data Revised 07/30/2021

Data Revised 07/21/2021

Data Revised 05/28/2021

1. Filer's Positions Held Outside United States Government

None

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	WilmerHale	No			
1.1	American Cent Mid Cap	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.2	Parnassus Core Equity	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
1.3	BlackRock Equ Idx	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.4	Loomis Sayles Growth Fund	Yes	\$1,001 - \$15,000		\$201 - \$1,000
1.5	Vanguard Tax Small Cap	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.6	Oakmark Int'l	Yes	\$15,001 - \$50,000		None (or less than \$201)
2	MayerBrown	No			
2.1	Vanguard Target 2035	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.2	Dodge&Cox stock	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
2.3	ClearBridge Small cap growth	Yes	\$15,001 - \$50,000		None (or less than \$201)

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	WilmerHale	Washington, District of Columbia	I will continue to participate in this defined contribution plan, but the plan sponsor no longer makes contributions.	9/2000
2	MayerBrown	Chicago, Illinois	I will continue to participate in this defined contribution plan, but the plan sponsor no longer makes contributions.	9/1996

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	American University	N/A		salary	
2	Villere Balanced Fund IRA	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
3	Villere Balanced Fund TMS IRA	Yes	\$100,001 - \$250,000		\$15,001 - \$50,000

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Washington Mutual Investor Fund FWMIX Capital Group	Yes	\$500,001 - \$1,000,000		\$15,001 - \$50,000
2	New Economy Fund FNEFX Capital Group	Yes	Over \$1,000,000		\$5,001 - \$15,000
3	Europacific Growth Fund FEUPX Capital Group	Yes	\$250,001 - \$500,000		\$1,001 - \$2,500
4	U.S. Bank #1 (Cash) (LKITC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5	Vanguard Total International Stock ETF (VXUS) (LKITC)	Yes	\$500,001 - \$1,000,000		\$15,001 - \$50,000
6	Vanguard Total Stock (VTI) (LKITC)	Yes	\$250,001 - \$500,000		\$2,501 - \$5,000
7	Vanguard Total World (VT) (LKITC)	Yes	\$500,001 - \$1,000,000		\$15,001 - \$50,000
8	Vanguard Interm Term Tax (LKITC) (VII)	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
9	U.S. Bank #1 Cash (VJ)	N/A	\$500,001 - \$1,000,000		None (or less than \$201)
10	Pool Corporation (POOL) (VJ)	N/A	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500
11	First Hawaiian (FHB) (VJ)	N/A	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000
12	Kearny Fin Corp (KARNY) (VJ)	N/A	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000
13	Visa Inc CL A (V) (VJ)	N/A	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500
14	Abiomed Inc (ABMD) (VJ)	N/A	\$100,001 - \$250,000		None (or less than \$201)
15	EHealth Inc (EHTH) (VJ)	N/A	\$100,001 - \$250,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
16	Steris Plc (STE) (VJ)	N/A	\$250,001 - \$500,000	Dividends	\$2,501 - \$5,000
17	Telefax Inc (TFX) (VJ)	N/A	\$100,001 - \$250,000	Dividends	\$201 - \$1,000
18	Roper Technologies (ROP) (VJ)	N/A	\$100,001 - \$250,000	Dividends	\$201 - \$1,000
19	EBIX (EBIX) (VJ)	N/A	\$100,001 - \$250,000	Dividends	\$201 - \$1,000
20	Euronet Worldwide (EEFT) (VJ)	N/A	\$50,001 - \$100,000		None (or less than \$201)
21	On Semiconductor Corp (ON) (VJ)	N/A	\$100,001 - \$250,000		None (or less than \$201)
22	Progressive Corp (PGR) (VJ)	N/A	\$100,001 - \$250,000	Dividends	\$201 - \$1,000
23	Vanguard Star FD TL Int Stk (VTIAX) (LAKS)	Yes	\$500,001 - \$1,000,000		\$15,001 - \$50,000
24	Vanguard Index Growth (VUG) (LAKS)	Yes	\$500,001 - \$1,000,000		\$5,001 - \$15,000
25	Vanguard Index Total Stock Mkt (VTI) (LAKS)	Yes	\$500,001 - \$1,000,000		\$5,001 - \$15,000
26	Vanguard Index Fds Value (VTV) (LAKS)	Yes	\$500,001 - \$1,000,000		\$15,001 - \$50,000
27	US Bank #2 (Cash) (Jt)	N/A	\$250,001 - \$500,000	Interest	\$201 - \$1,000
28	US Bank #2 (Cash) (E)	N/A	\$1,001 - \$15,000		None (or less than \$201)
29	US Bank #2 (Cash) (K)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
30	US Bank #2 (Cash) (S)	N/A	\$1,001 - \$15,000		None (or less than \$201)
31	Sarofim Equity Fund (E)	Yes	\$250,001 - \$500,000		\$15,001 - \$50,000
32	Sarofim Equity Fund (K)	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
33	Sarofim Equity Fund (S)	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
34	Villere Balanced Fund (E)	Yes	\$250,001 - \$500,000		\$15,001 - \$50,000
35	Villere Balanced Fund (K)	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
36	Villere Balanced Fund (S)	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
37	Villere Balanced Fund (JT1)	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
38	Villere Balanced Fund (JEF)	Yes	\$250,001 - \$500,000		\$15,001 - \$50,000
39	Family Trust #1 (IAFT FBOLK)				
39.1	US Bank #1 (Cash)	N/A	\$1,001 - \$15,000	Interest	None (or less than \$201)
39.2	Schwab Treas Obligation SNOXX	Yes	\$500,001 - \$1,000,000		\$15,001 - \$50,000
39.3	Vanguard Interim Term Tax VWIUX	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
40	Family Trust #2 (IAT FBOLK)				
40.1	Vanguard Interim Term Tax VWIUX	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
40.2	US Bank #1 (Cash)	N/A	\$15,001 - \$50,000		None (or less than \$201)
40.3	Schwab Treas Obligation MMF (SNOXX)	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
40.4	Vanguard Total Stock (VTI)	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
40.5	Metropolitan West Total (MWTIX)	N/A	\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000
41	Family Trust #3 (LKGSTEXSH)				
41.1	US Bank #3 (Cash)	N/A	\$15,001 - \$50,000		None (or less than \$201)
41.2	AXON Enterprise (AAXN)	N/A	\$100,001 - \$250,000		None (or less than \$201)
41.3	Kearny Finl Corp (KARNY)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
41.4	Visa Inc (V)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
41.5	Vanguard Total Stock (VTI)	Yes	\$500,001 - \$1,000,000		\$15,001 - \$50,000
41.6	Vanguard Short Term Tax (VWSUX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
41.7	Vanguard Total Interntl (VXUS)	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
41.8	Financial Technology Ventures II	No	\$50,001 - \$100,000		
41.8.1	Cloudify	N/A			
41.8.2	ETFS Capital	N/A			
41.8.3	K2 Software (SourceCode Technology Holdings)	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
41.9	Financial Technology Ventures III	No	\$100,001 - \$250,000		
41.9.1	Centro	N/A			
41.9.2	Company.com	N/A			
41.9.3	eBaoTech	N/A			
41.9.4	Utopia	N/A			
41.10	Financial Technology Ventures IV	No	Over \$1,000,000		
41.10.1	Credorax	N/A			
41.10.2	Edgewater Markets	N/A			
41.10.3	Enfusion	N/A			
41.10.4	ID.me	N/A			
41.10.5	InvestCloud	N/A			
41.10.6	LiveIntent	N/A			
41.10.7	NewsCred	N/A			
41.10.8	ReliaQuest	N/A			
41.10.9	Riskalyze	N/A			
41.10.1 0	Sitehands	N/A			
41.10.1 1	Swan Global Investments	N/A			
41.10.1 2	True Potential	N/A			
41.10.1 3	VPay (Stone Eagle Services)	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
41.11	Sch Treas Obligation (SNOXX) (LKGSTEXSH)	N/A	Over \$1,000,000	Dividends	\$201 - \$1,000
42	Family Trust #4 (LKGSTNE)	See Endnote			
43	Family Trust #5 (Mel 78)				
43.1	Ishares MSCI Emerging (EEM)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
43.2	Schwab Treasury Oblig Market Fund (SNOXX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
43.3	Exempt Fd Admiral Share (VWIUX)	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
44	Family Trust #6 (Mel 84)				
44.1	US Bank #3 (Cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
44.2	ISHS MSCI Emerg Mrkt Min (EEMV)	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
44.3	Harding Loevner Internat (HLMIX)	Yes	\$500,001 - \$1,000,000		\$15,001 - \$50,000
44.4	Ishares MSCI Emerging (EEM)	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
45	Family Trust #7 (Mel 86/87 GSTNE)				
45.1	Us Bank #3 (cash)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
45.2	ISHARES MSCI EAFE Value (EFV)	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
45.3	Harding Loevner Intern (HLMIX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
45.4	Vanguard Interm Term Tax (VWIUX)	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
46	Family Trust #8 (Mel 86/87 GSTEX)				
46.1	Ishares MSCI EAFE (EFV)	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
46.2	Us Bank #3 (Cash)	N/A	\$15,001 - \$50,000		None (or less than \$201)
46.3	ISHS MSCI Emerg Mrkt Min (EEMV)	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
46.4	Vanguard Inerm Term Tax (VWIUX)	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
46.5	Harding Loevner Internat (HLMIX)	Yes	\$250,001 - \$500,000		\$2,501 - \$5,000
47	Family Trust #9 (AWAT)	See Endnote			
47.1	Private Wealth Management Fiduciary Master Deposit Account	N/A	\$15,001 - \$50,000		None (or less than \$201)
47.2	Private Wealth Management Fiduciary Master Deposit Account	N/A	\$50,001 - \$100,000		None (or less than \$201)
47.3	Bernalillo Cnty New Mexico Gross Revenue Bonds	N/A	\$50,001 - \$100,000		\$1,001 - \$2,500
47.4	Dublin Ohio General Obligation	N/A	\$50,001 - \$100,000		\$1,001 - \$2,500
47.5	Kingsport Tennessee General Obligation	N/A	\$50,001 - \$100,000		\$1,001 - \$2,500
47.6	Knoxville Tennessee Gas Revenue Utilities	N/A	\$50,001 - \$100,000		\$1,001 - \$2,500
47.7	Minneapolis Minnesota General Oblig	N/A	\$50,001 - \$100,000		\$1,001 - \$2,500
47.8	Minnesota State General Obligation	N/A	\$50,001 - \$100,000		\$1,001 - \$2,500

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
47.9	New York State Dorm Auth Pers		N/A	\$50,001 - \$100,000		\$1,001 - \$2,500
47.10	Norfolk Virginia General Obligation		N/A	\$50,001 - \$100,000		\$1,001 - \$2,500
47.11	Phoenix Arizona Civic Impt Corp Wtr Water Revenue		N/A	\$50,001 - \$100,000		\$1,001 - \$2,500
47.12	Salt River Arizona Proj Agric Impt		N/A	\$15,001 - \$50,000		\$1,001 - \$2,500
47.13	Triborough New York Bridge and Tunnel		N/A	\$50,001 - \$100,000		\$1,001 - \$2,500
47.14	Wisconsin State General Obligation		N/A	\$50,001 - \$100,000		\$1,001 - \$2,500
47.15	Vanguard Short Term Tax-exempt Fund		N/A	\$50,001 - \$100,000		\$201 - \$1,000
47.16	Visa Inc		N/A	\$100,001 - \$250,000		\$1,001 - \$2,500
47.17	Ishares MSCI ACWI ETF		Yes	\$500,001 - \$1,000,000		\$5,001 - \$15,000
47.18	Blackstone Alternative Multi-strategy Fund		Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
48	Family Trust #10 (A Bros)					
48.1	Woddruff County Arkansas Farmland	See Endnote	N/A	Over \$1,000,000	Rent or Royalties	\$50,001 - \$100,000
48.2	Federated Money Markey		N/A	\$1,001 - \$15,000		None (or less than \$201)
49	Ceasars Entertainment (CZR) (VJ)		No	\$100,001 - \$250,000		None (or less than \$201)
50	Ligand Pharmaceuticals, Inc. (LGND) (VJ)		N/A	\$100,001 - \$250,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
51	US Bank (cash) (LAKS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
52	Schwab Value Advantage (SWVXX) (LAKS)	N/A	Over \$1,000,000	Interest	\$201 - \$1,000
53	Little Annies Conundrum (Aspen, CO)	N/A	\$1,000,001 - \$5,000,000	Rent or Royalties	\$15,001 - \$50,000
54	US Bank (cash) LAC	N/A	\$15,001 - \$50,000		None (or less than \$201)

7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	New Economy Fund Class F3 Shares (FNEFX) See Endnote	Sale	01/21/2020	\$1,001 - \$15,000
2	Caesars Entertainment Inc (ERI) VJ	Purchase	01/22/2020	\$100,001 - \$250,000
3	Caesars Entertainment Inc (ERI) VI	Purchase	01/22/2020	\$100,001 - \$250,000
4	Frost Total Return Bond Fund Institutional Class Shares (FIJEX)	Sale	04/23/2020	\$250,001 - \$500,000
5	Washington Mutual Investors (FWMIX)	Purchase	03/20/2020	\$1,001 - \$15,000
6	Vanguard Total International Stock Index Fund (VTIAX) LAKS	Purchase	03/20/2020	\$1,001 - \$15,000
7	Vanguard Total International Stock Index Fund ETF Shares (VXUS) LKITC	Purchase	03/27/2020	\$1,001 - \$15,000
8	Vanguard Total World (VT) (LKITC)	Purchase	03/27/2020	\$1,001 - \$15,000
9	Pool Corporation (POOL) VJ	Sale	04/16/2020	\$50,001 - \$100,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
10	New Economy Fund (CG)	Sale	04/20/2020	\$1,001 - \$15,000
11	Aberdeen Emerging Markets (ABEMX) (Mel84)	Sale	04/23/2020	\$100,001 - \$250,000
12	Aberdeen Emerging Markets (ABEMX) (Mel86)	Sale	04/23/2020	\$100,001 - \$250,000
13	Artisan International (APHIX) (Mel 84)	Sale	04/23/2020	\$250,001 - \$500,000
14	Artisan International (APHIX) (Mel 87)	Sale	04/23/2020	\$250,001 - \$500,000
15	Oakmark International Fund (OANIX) (Mel86)	Sale	04/23/2020	\$250,001 - \$500,000
16	Harding Loevner International (HLMIX) (Mel84)	Purchase	04/24/2020	\$250,001 - \$500,000
17	Harding Loevner International (HLMIX) (Mel87)	Purchase	04/24/2020	\$250,001 - \$500,000
18	iShares MSCI EAFE Value ETF (EFV) (Mel86)	Purchase	04/24/2020	\$250,001 - \$500,000
19	iShares MSCI Emerging Markets (EEMV) (Mel84)	Purchase	04/24/2020	\$100,001 - \$250,000
20	Harding Loevner International (HLMIX) (Mel86)	Purchase	04/24/2020	\$100,001 - \$250,000
21	Metropolitan West Fds (MWTIX) (IATFBOLK)	Purchase	04/24/2020	\$250,001 - \$500,000
22	Pool Corp (POOL) (VJ)	Sale	05/06/2020	\$100,001 - \$250,000
23	Ligand Pharmaceuticals, Inc. (LGND) (VJ)	Purchase	05/07/2020	\$100,001 - \$250,000
24	Ligand Pharmaceuticals, Inc. (LGND)(VI)	Purchase	05/07/2020	\$50,001 - \$100,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
25	Ishares MSCI Eafe Value Index (EFV) (Mel86)	Sale	06/03/2020	\$1,001 - \$15,000
26	Washington Mutual Investors (FWMIX) (CG)	Purchase	06/18/2020	\$1,001 - \$15,000
27	Vanguard Total International Stock Inedex (VTIAX)	Purchase	06/19/2020	\$1,001 - \$15,000
28	Ishares MSCI EAFE Value Index (EFV) (Mel87)	Purchase	06/22/2020	\$1,001 - \$15,000
29	iShares MSCI Emerging Markets (EEM) (Mel84)		06/22/2020	\$1,001 - \$15,000
30	Vanguard Total International Stock ETF (VXUS) (LAKITC)	Purchase	06/26/2020	\$1,001 - \$15,000
31	Vanguard Total World Stock (VT) (LAKITC)	Purchase	06/26/2020	\$1,001 - \$15,000
32	Vanguard Growth Index Fund ETF Class Shares (VUG) (VI)	Sale	07/01/2020	\$500,001 - \$1,000,000
33	Vanguard Growth ETF (VUG) (LAKS)	Sale	07/01/2020	\$500,001 - \$1,000,000
34	Vanguard Total Stock Market (VTI) (LAKS)	Sale	07/01/2020	\$500,001 - \$1,000,000
35	New Economy Fund (FNEFX) (CG)	Sale	07/06/2020	\$1,001 - \$15,000
36	Euronet Worldwide, Inc. (EEFT) (VJ)	Purchase	08/06/2020	\$15,001 - \$50,000
37	3D Systems (DDD) (VI)	Sale	08/06/2020	\$50,001 - \$100,000
38	3D Systems (VJ)	Sale	08/06/2020	\$15,001 - \$50,000
39	Progressive Corp. (PGR) (VI)	Sale	08/25/2020	\$50,001 - \$100,000
40	Progressive Corp. (PGR) (VJ)	Sale	08/25/2020	\$50,001 - \$100,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
41	ABIOMED, Inc. (ABMD) (VJ)	Sale	09/08/2020	\$100,001 - \$250,000
42	Caesars Entertainment Corp. (CZR) (VJ)	Sale	09/08/2020	\$50,001 - \$100,000
43	Ebix, Inc. (EBIX) (VJ)	Sale	09/08/2020	\$15,001 - \$50,000
44	eHealth, Inc. (EHTH) (VJ)	Sale	09/08/2020	\$100,001 - \$250,000
45	Euronet Worldwide (EEFT) (VJ)	Sale	09/08/2020	\$50,001 - \$100,000
46	First Hawaiian, Inc. (FHB) (VJ)	Sale	09/08/2020	\$50,001 - \$100,000
47	Howard Hughes Corp (HHC) (VJ)	Sale	09/08/2020	\$50,001 - \$100,000
48	Kearney Finl Corp (KARNY) (VJ)	Sale	09/08/2020	\$50,001 - \$100,000
49	Ligand Pharmaceuticals, Inc. (LGND) (VJ)	Sale	09/08/2020	\$50,001 - \$100,000
50	LKQ Corp. (LKQ) (V)	Sale	09/08/2020	\$50,001 - \$100,000
51	ON Semiconductor Corp. (ON) (VJ)	Sale	09/08/2020	\$100,001 - \$250,000
52	Pool Corp. (POOL) (VJ)	Sale	09/08/2020	\$100,001 - \$250,000
53	Progressive Corp. (PGR)	Sale	09/08/2020	\$100,001 - \$250,000
54	Roper Industries (ROP) (VJ)	Sale	09/08/2020	\$100,001 - \$250,000
55	STERIS Plc (Ireland) (STE) (VJ)	Sale	09/08/2020	\$100,001 - \$250,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
56	Teleflex, Inc. (TFX)(VJ)		Sale	09/08/2020	\$100,001 - \$250,000
57	Visa, Inc. (V) (VJ)		Sale	09/08/2020	\$100,001 - \$250,000
58	Washington Mutual Investors (FWMIX) (CG)		Purchase	09/17/2020	\$1,001 - \$15,000
59	Vanguard Total International Stock Index Fund Admiral Shares (VTIAX) (LAKS)		Purchase	09/18/2020	\$1,001 - \$15,000
60	Vanguard Total International Stock Index Fund ETF Shares (VXUS) (LKITC)		Purchase	09/25/2020	\$1,001 - \$15,000
61	Vanguard Total World Stock (VT) (LKITC)		Purchase	09/25/2020	\$1,001 - \$15,000
62	New Economy Fund FNEFX) (CG)		Sale	10/21/2020	\$1,001 - \$15,000
63	iShares Edge MSCI Min Vol Emerging Markets ETF (EEMV) (MEL84)		Sale	10/22/2020	\$1,001 - \$15,000
64	Vanguard Intermediate-Term Tax-Exempt Fund Admiral Shares (VWIUX) (Mel87)		Sale	10/22/2020	\$1,001 - \$15,000
65	The Howard Hughes Corp. (HHC) (v)	See Endnote	Sale	11/11/2020	\$50,001 - \$100,000
66	Harding Loevner International (Melgstex)	See Endnote	Sale	11/17/2020	\$1,001 - \$15,000
67	LKQ Corp. (LKQ) (VJ)	See Endnote	Sale	11/17/2020	\$100,001 - \$250,000
68	eHealth, Inc. (EHTH) (VJ)	See Endnote	Sale	12/09/2020	\$15,001 - \$50,000
69	Harding Loevner (HLMIX) (Melgstex)		Purchase	12/15/2020	\$1,001 - \$15,000
70	New Economy Fund Class F3 Shares (FNEFX) (CG)		Purchase	12/17/2020	\$15,001 - \$50,000
71	EuroPacific Growth Fund Class F3 Shares (FEUPX) (CG)		Purchase	12/18/2020	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
72	Vanguard Total International Stock Index Fund Admiral Shares (VTIAX) (LAKS)	Purchase	12/18/2020	\$1,001 - \$15,000
73	Washington Mutual Investors Fund Class F3 Shares (FWMIX)	Purchase	12/21/2020	\$15,001 - \$50,000
74	iShares MSCI EAFE Value ETF (EFV) (Melgstex)	Purchase	12/21/2020	\$1,001 - \$15,000
75	Ishares MSCI Emerging Markets (EEM) (Mel84)	Purchase	12/21/2020	\$1,001 - \$15,000
76	Vanguard Total International Stock Index Fund ETF Shares (VXUS) (LKGSTITC)	Purchase	12/28/2020	\$1,001 - \$15,000
77	Vanguard Total International Stock Index Fund ETF Shares (VXUS) (LKITC)	Purchase	12/28/2020	\$1,001 - \$15,000
78	Vanguard Total World (VT) (LKITC)	Purchase	12/28/2020	\$1,001 - \$15,000
79	Residential Real Estate (Aspen, CO)	Purchase	09/18/2020	\$1,000,001 - \$5,000,000

8. Liabilities

None

9. Gifts and Travel Reimbursements

None

Endnotes

PART	#	ENDNOTE
6.	42	This trust was depleted in 2020.
6.	47	This is a trust for my wife's ex-step mother, but the ethics committee has asked us to report this trust as my wife is a remainder beneficiary should there be anything left at the ex-step mother's death.
6.	48.1	Income derived from one-quarter share of the crops.
7.	1	partial sale
7.	65	This was originally in an account that was owned by spouse but switched to joint at the end of the year.
7.	66	this trust was bifurcated during the year
7.	67	This was originally in an account that was owned by spouse but switched to joint at the end of the year.
7.	68	This was originally in an account that was owned by spouse but switched to joint at the end of the year.

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).
