Executive Branch Personnel
Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Landrieu, Mitchell J

Senior Advisor to the President, White House - Biden-Harris Administration

Report Year: 2023

Other Federal Government Positions Held During the Preceding 12 Months:
None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Landrieu, Mitchell J [electronically signed on 04/14/2023 by Landrieu, Mitchell J in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).
/s/ McBurney, Molly E, Certifying Official [electronically signed on 05/17/2023 by McBurney, Molly E in Integrity.gov]

Other review conducted by
/s/ McBurney, Molly E, Ethics Official [electronically signed on 05/17/2023 by McBurney, Molly E in Integrity.gov]

U.S. Office of Government Ethics Certification

/s/ Granahan, Megan, Certifying Official [electronically signed on 10/03/2023 by Granahan, Megan in Integrity.gov]

Data Revised 09/28/2023

Data Revised 05/17/2023

Data Revised 05/15/2023

Data Revised 05/09/2023

Data Revised - Workflow re-designation - 04/16/2023

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	FIRST DAY, LLC	Baton Rouge, Louisiana	Corporation	President	9/2017	Present
2	NINELAND, LLC	NEW ORLEANS, Louisiana	Corporation	MEMBER	2/1998	Present
3	639 ST. CHARLES AVENUE, LLC	NEW ORLEANS, Louisiana	Corporation	OFFICER	11/2018	Present

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	LOUISIANA STATE EMPLOYEE RETIREMENT SYSTEM, defined benefit plan (value not readily ascertainable)	N/A	None (or less than $1,001)	Pension income	$88,198
2	Fidelity Growth & Income Portfolio (FGRIX)	Yes	$50,001 - $100,000		None (or less than $201)
3	FDIC Insured Cash	N/A	$1,001 - $15,000		None (or less than $201)
4	Vanguard Mid-Cap Value Index Fund ETF Class Shares (VOE)	Yes	$15,001 - $50,000		None (or less than $201)
5	E Pluribus Unum Institute 401(k)	No			
5.1	American Funds EuroPacific Gr	Yes	$1,001 - $15,000		None (or less than $201)
5.2	Vanguard Industrials Index Fund Admiral Class Shares (VINAX)	Yes	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.3	Vanguard Information Technology Index Fund Admiral Class Shares (VITAX)	Yes	$1,001 - $15,000		None (or less than $201)
5.4	Vanguard Total Stock Market Index	Yes	$1,001 - $15,000		None (or less than $201)
5.5	Vanguard Federal Money Market Fund Investor Shares (VMFXX)	Yes	$1,001 - $15,000		None (or less than $201)
5.6	Vanguard Short-Term Federal Fund Admiral Shares (VSGDX)	Yes	None (or less than $1,001)		None (or less than $201)
6	iShares Ultra Short-Term Bond ETF (ICSH)	Yes	$50,001 - $100,000		None (or less than $201)
7	Financial Select Sector SPDR Fund (XLF)	Yes	$15,001 - $50,000		None (or less than $201)
8	Industrial Select Sector SPDR Fund (XLI)	Yes	$1,001 - $15,000		None (or less than $201)
9	iShares 0-5 Year High Yield Corporate Bond ETF (SHYG)	Yes	$15,001 - $50,000		None (or less than $201)
10	iShares Short-Term Corporate Bond ETF (IGSB)	Yes	$15,001 - $50,000		None (or less than $201)
11	iShares Select Dividend ETF (DVY)	Yes	$50,001 - $100,000		None (or less than $201)
12	SPDR S&P 500 ETF	Yes	$15,001 - $50,000		None (or less than $201)
13	SPDR Portfolio Short Term Treasury ETF (SPTS)	Yes	$50,001 - $100,000		None (or less than $201)
14	Vanguard Value Index Fund ETF Shares (VTV)	Yes	$50,001 - $100,000		None (or less than $201)
15	Virtus Seix Floating Rate High Income Fund Class I Shares (SAMBX)	Yes	$15,001 - $50,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
16	PIMCO CommoditiesPLUS Strategy Fund Institutional Class Shares (PCLIX)	Yes	$15,001 - $50,000		None (or less than $201)
17	Utilities Select Sector SPDR Fund (XLU)	Yes	$15,001 - $50,000		None (or less than $201)
18	City of New Orleans, defined benefit plan (value not readily ascertainable): eligible for $2,500 per month at age 65	N/A			None (or less than $201)

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	LOUISIANA STATE EMPLOYEE RETIREMENT SYSTEM	Baton Rouge, Louisiana	I will continue to participate in this defined benefit plan.	5/2010
2	CITY OF NEW ORLEANS, defined benefit plan, value not readily available	NEW ORLEANS, Louisiana	Eligible for payment of $2,500 per month at age 65.	5/2010
3	E PLURIBUS UNUM INSTITUTE/JUSTWORKS EMPLOYMENT GROUP LLC	NEW ORLEANS, Louisiana	I will continue to participate in this defined contribution plan. The plan sponsor will not make further contributions after my separation.	5/2021

4. Filer's Sources of Compensation Exceeding $5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Administrators of Tulane Educational Fund	N/A	None (or less than $1,001)	salary	
2	Louisiana State Employees Retirement System, defined benefit plan (value not readily ascertainable)	N/A		pension	
3	U.S. bank account #1	N/A	$1,001 - $15,000		None (or less than $201)
4	FDIC Insured Cash	N/A	$1,001 - $15,000		None (or less than $201)
5	iShares Ultra Short-Term Bond ETF (ICSH)	Yes	$15,001 - $50,000		None (or less than $201)
6	Financial Select Sector SPDR Fund (XLF)	Yes	$15,001 - $50,000		None (or less than $201)
7	Industrial Select Sector SPDR Fund (XLI)	Yes	$1,001 - $15,000		None (or less than $201)
8	iShares 0-5 Year High Yield Corporate Bond ETF (SHYG)	Yes	$15,001 - $50,000		None (or less than $201)
9	iShares Short-Term Corporate Bond ETF (IGSB)	Yes	$1,001 - $15,000		None (or less than $201)
10	iShares Select Dividend ETF (DVY)	Yes	$15,001 - $50,000		None (or less than $201)
11	SPDR S&P 500 ETF	Yes	$15,001 - $50,000		None (or less than $201)
12	SPDR Portfolio Short Term Treasury ETF (SPTS)	Yes	$15,001 - $50,000		None (or less than $201)
13	Vanguard Mid-Cap Value Index Fund ETF Class Shares (VOE)	Yes	$1,001 - $15,000		None (or less than $201)
14	Vanguard Value Index Fund ETF Shares (VTV)	Yes	$50,001 - $100,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
15	Virtus Seix Floating Rate High Income Fund Class I Shares (SAMBX)	Yes	$15,001 - $50,000		None (or less than $201)
16	Energy Select Sector SPDR Fund (XLE)	Yes	$15,001 - $50,000		None (or less than $201)
17	PIMCO CommoditiesPLUS Strategy Fund Institutional Class Shares (PCLIX)	Yes	$15,001 - $50,000		None (or less than $201)
18	Utilities Select Sector SPDR Fund (XLU)	Yes	$15,001 - $50,000		None (or less than $201)

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	U.S. bank account #2	No	$100,001 - $250,000	Interest	$201 - $1,000
2	U.S. bank account #3	No	$1,001 - $15,000		None (or less than $201)
3	U.S. bank account #4	N/A	$15,001 - $50,000		None (or less than $201)
4	Fidelity Growth & Income Portfolio (FGRIX)	Yes	$50,001 - $100,000		None (or less than $201)
5	NINELAND LLC - parking lot(value not readily ascertainable)	N/A		partnership income	$4,890
6	U.S. bank account #5	N/A	$1,001 - $15,000		None (or less than $201)
7	U.S. bank account #8	N/A	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8	639 ST. CHARLES AVENUE, LLC, Commercial property that serves as a parking lot. (value not readily ascertainable)	N/A			None (or less than $201)
9	U.S. bank account #9 (cash)	N/A	$15,001 - $50,000		None (or less than $201)
10	iShares Ultra Short-Term Bond ETF (ICSH)	Yes	$100,001 - $250,000		$2,501 - $5,000
11	Financial Select Sector SPDR Fund (XLF)	Yes	$15,001 - $50,000		$201 - $1,000
12	Industrial Select Sector SPDR Fund (XLI)	Yes	$15,001 - $50,000		$201 - $1,000
13	iShares 0-5 Year High Yield Corporate Bond ETF (SHYG)	Yes	$50,001 - $100,000		$2,501 - $5,000
14	iShares Short-Term Corporate Bond ETF (IGSB)	Yes	$15,001 - $50,000		$201 - $1,000
15	iShares Select Dividend ETF (DVY)	Yes	$100,001 - $250,000		$2,501 - $5,000
16	iShares Short-Term National Muni Bond ETF	Yes	$15,001 - $50,000		$201 - $1,000
17	SPDR S&P 500 ETF	Yes	$100,001 - $250,000		$2,501 - $5,000
18	SPDR Portfolio Short Term Treasury ETF (SPTS)	Yes	$15,001 - $50,000		$201 - $1,000
19	Vanguard Mid-Cap Value Index Fund ETF Class Shares (VOE)	Yes	$15,001 - $50,000		None (or less than $201)
20	Vanguard Value Index Fund ETF Shares (VTV)	Yes	$100,001 - $250,000		$2,501 - $5,000
21	Energy Select Sector SPDR Fund (XLE)	Yes	$50,001 - $100,000		$1,001 - $2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
22	Utilities Select Sector SPDR Fund (XLU)	Yes	$15,001 - $50,000		None (or less than $201)
23	Virtus Seix Floating Rate High Income Fund Class I Shares (SAMBX)	Yes	$15,001 - $50,000		$1,001 - $2,500

7. Transactions

#	DESCRIPTION		TYPE	DATE	AMOUNT
1	SPY		Sale	11/30/2022	$1,001 - $15,000
2	SPDR Portfolio Short Term Treasury ETF (SPTS)		Purchase	11/11/2022	$15,001 - $50,000
3	Industrial Select Sector SPDR Fund (XLI)		Purchase	11/11/2022	$1,001 - $15,000
4	Utilities Select Sector SPDR Fund (XLU)		Purchase	10/27/2022	$15,001 - $50,000
5	SPDR Portfolio Short Term Treasury ETF (SPTS)		Purchase	08/31/2022	$15,001 - $50,000
6	iShares Russell 2000 ETF (IWM)		Sale	08/08/2022	$15,001 - $50,000
7	Ivy High Income Opportunities Fund (IVH)		Sale	08/08/2022	$15,001 - $50,000
8	INC	See Endnote	Sale	08/08/2022	$15,001 - $50,000
9	SPDR Portfolio Short Term Treasury ETF (SPTS)		Purchase	07/15/2022	$15,001 - $50,000
10	EEM		Sale	06/27/2022	$15,001 - $50,000
11	iShares Edge High Yield Defensive Bond ETF (HYDB)	See Endnote	Sale	06/21/2022	$15,001 - $50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
12	iShares Short-Term Corporate Bond ETF (IGSB)	Purchase	06/21/2022	$15,001 - $50,000
13	Financial Select Sector SPDR Fund (XLF)	Purchase	06/21/2022	$15,001 - $50,000
14	iShares MSCI All Country Asia ex Japan ETF (AAXJ)	Sale	05/24/2022	$15,001 - $50,000
15	PIMCO CommoditiesPLUS Strategy Fund Institutional Class Shares (PCLIX)	Purchase	05/18/2022	$50,001 - $100,000
16	Industrial Select Sector SPDR Fund (XLI)	Sale	04/04/2022	$15,001 - $50,000
17	Virtus Seix Floating Rate High Income Fund Class I Shares (SAMBX)	Purchase	04/04/2022	$1,001 - $15,000
18	SPY	Purchase	03/22/2022	$15,001 - $50,000
19	Virtus Seix Floating Rate High Income Fund Class I Shares (SAMBX)	Purchase	03/22/2022	$1,001 - $15,000
20	iShares Select Dividend ETF (DVY)	Purchase	03/18/2022	$15,001 - $50,000
21	EEM	Purchase	03/18/2022	$15,001 - $50,000
22	Industrial Select Sector SPDR Fund (XLI)	Purchase	03/18/2022	$15,001 - $50,000
23	Vanguard Value Index Fund ETF Shares (VTV)	Purchase	03/18/2022	$50,001 - $100,000
24	Adobe, Inc. (ADBE)	Sale	03/17/2022	$15,001 - $50,000
25	BaronEmerging Markets Fund Institutional Class Shares (BEXIX)	Sale	03/17/2022	$15,001 - $50,000
26	Charles River Laboratories International, Inc. (CRL)	Sale	03/17/2022	$1,001 - $15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
27	Comcast Corp. (CMCSA)	Sale	03/17/2022	$1,001 - $15,000
28	Crown Castle International Corp. (CCI)	Sale	03/17/2022	$1,001 - $15,000
29	Danaher Corp. (DHR)	Sale	03/17/2022	$1,001 - $15,000
30	Emerson Electric Co. (EMR)	Sale	03/17/2022	$1,001 - $15,000
31	Entergy Corp. (ETR)	Sale	03/17/2022	$1,001 - $15,000
32	Ivy High Income Opportunities Fund (IVH)	Purchase	03/17/2022	$1,001 - $15,000
33	S&P Global, Inc. (SPGI)	Sale	03/17/2022	$1,001 - $15,000
34	T-Mobile US, Inc. (TMUS)	Sale	03/17/2022	$15,001 - $50,000
35	Touchstone Sands CapitalEmerging Markets Growth Fund Institutional Class Shares (TSEGX)	Sale	03/17/2022	$15,001 - $50,000
36	TransUnion (TRU)	Sale	03/17/2022	$1,001 - $15,000
37	Veeva Systems, Inc. (VEEV)	Sale	03/17/2022	$1,001 - $15,000
38	Visa, Inc. (V)	Sale	03/17/2022	$15,001 - $50,000
39	Virtus Seix Floating Rate High Income Fund Class I Shares (SAMBX)	Purchase	03/17/2022	$15,001 - $50,000
40	HCA Healthcare, Inc. (HCA)	Sale	03/11/2022	$1,001 - $15,000
41	Virtus Seix Floating Rate High Income Fund Class I Shares (SAMBX)	Purchase	03/11/2022	$1,001 - $15,000
42	AT&T, Inc. (T)	Sale	03/01/2022	$1,001 - $15,000
43	Duke Energy Corp. (DUK)	Sale	03/01/2022	$1,001 - $15,000
44	General Mills, Inc. (GIS)	Sale	03/01/2022	$1,001 - $15,000
45	Interpublic Group of Cos., Inc. (IPG)	Sale	03/01/2022	$1,001 - $15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
46	NextEra Energy Partners LP Common Units representing limited partner interests (NEP)	Sale	03/01/2022	$1,001 - $15,000
47	Vanguard Mid-Cap Value Index Fund ETF Class Shares (VOE)	Purchase	03/01/2022	$15,001 - $50,000
48	General Mills, Inc. (GIS)	Sale	02/18/2022	$1,001 - $15,000
49	iShares Select Dividend ETF (DVY)	Purchase	02/18/2022	$15,001 - $50,000
50	Berkshire Hathaway Inc. (BRKB)	Sale	02/16/2022	$1,001 - $15,000
51	iShares Select Dividend ETF (DVY)	Purchase	02/16/2022	$1,001 - $15,000
52	Northrop Grumman Corp. (NOC)	Sale	02/16/2022	$1,001 - $15,000
53	Vanguard Value Index Fund ETF Shares (VTV)	Purchase	02/16/2022	$1,001 - $15,000
54	SPY	Sale	11/30/2022	$1,001 - $15,000
55	Industrial Select Sector SPDR Fund (XLI)	Purchase	11/11/2022	$1,001 - $15,000
56	Utilities Select Sector SPDR Fund (XLU)	Purchase	10/27/2022	$15,001 - $50,000
57	iShares 0-5 Year High Yield Corporate Bond ETF (SHYG)	Purchase	08/08/2022	$15,001 - $50,000
58	Ivy High Income Opportunities Fund (IVH)	Sale	08/08/2022	$1,001 - $15,000
59	Energy Select Sector SPDR Fund (XLE)	Purchase	08/08/2022	$15,001 - $50,000
60	VanEck VectorsEmerging Markets High Yield Bond ETF (HYEM)	Sale	08/08/2022	$15,001 - $50,000
61	SPDR Portfolio Short Term Treasury ETF (SPTS)	Purchase	07/15/2022	$1,001 - $15,000
62	EEM	Sale	06/27/2022	$15,001 - $50,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
63	iShares Edge High Yield Defensive Bond ETF (HYDB)	See Endnote	Sale	06/21/2022	$15,001 - $50,000
64	iShares Short-Term Corporate Bond ETF (IGSB)		Purchase	06/21/2022	$1,001 - $15,000
65	Financial Select Sector SPDR Fund (XLF)		Purchase	06/21/2022	$15,001 - $50,000
66	PIMCO CommoditiesPLUS Strategy Fund Institutional Class Shares (PCLIX)		Purchase	04/18/2022	$50,001 - $100,000
67	Industrial Select Sector SPDR Fund (XLI)		Sale	04/04/2022	$15,001 - $50,000
68	City National Rochdale Fixed Income Opportunities Fund Servicing Class		Sale	03/22/2022	$15,001 - $50,000
69	iShares Select Dividend ETF (DVY)		Purchase	03/18/2022	$15,001 - $50,000
70	EEM		Purchase	03/18/2022	$15,001 - $50,000
71	Newell Brands, Inc. (NWL)		Sale	03/18/2022	$1,001 - $15,000
72	Industrial Select Sector SPDR Fund (XLI)		Purchase	03/18/2022	$15,001 - $50,000
73	Vanguard Value Index Fund ETF Shares (VTV)		Purchase	03/18/2022	$15,001 - $50,000
74	Amazon.com, Inc. (AMZN)		Sale	03/17/2022	$1,001 - $15,000
75	Ares Capital Corporation Common Stock (ARCC)		Sale	03/17/2022	$1,001 - $15,000
76	BaronEmerging Markets Fund Institutional Class Shares (BEXIX)		Sale	03/17/2022	$15,001 - $50,000
77	Cisco Systems, Inc. (CSCO)		Sale	03/17/2022	$1,001 - $15,000
78	The Coca-Cola Co. (KO)		Sale	03/17/2022	$1,001 - $15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
79	Hartford Finl Services Group (HIG)	Sale	03/17/2022	$1,001 - $15,000
80	The Home Depot, Inc. (HD)	Sale	03/17/2022	$15,001 - $50,000
81	Ivy High Income Opportunities Fund (IVH)	Purchase	03/17/2022	$1,001 - $15,000
82	Mid-America Apartment Communities, Inc. (MAA)	Sale	03/17/2022	$1,001 - $15,000
83	National Retail Properties, Inc. (NNN)	Sale	03/17/2022	$1,001 - $15,000
84	Prologis, Inc. (PLD)	Sale	03/17/2022	$15,001 - $50,000
85	QUALCOMM, Inc. (QCOM)	Sale	03/17/2022	$1,001 - $15,000
86	SPY	Purchase	03/17/2022	$15,001 - $50,000
87	Starbucks Corp. (SBUX)	Sale	03/17/2022	$1,001 - $15,000
88	Vanguard Value Index Fund ETF Shares (VTV)	Purchase	03/17/2022	$15,001 - $50,000
89	Virtus Seix Floating Rate High Income Fund Class I Shares (SAMBX)	Purchase	03/17/2022	$15,001 - $50,000
90	American Water Works Co., Inc. (AWK)	Sale	03/11/2022	$1,001 - $15,000
91	Avangrid, Inc. (AGR)	Sale	03/11/2022	$1,001 - $15,000
92	BCE Inc. Common Stock (BCE)	Sale	03/11/2022	$1,001 - $15,000
93	Cintas Corp. (CTAS)	Sale	03/11/2022	$1,001 - $15,000
94	Virtus Seix Floating Rate High Income Fund Class I Shares (SAMBX)	Purchase	03/11/2022	$1,001 - $15,000
95	Walmart, Inc. (WMT)	Sale	03/11/2022	$1,001 - $15,000
96	Vanguard Mid-Cap Value Index Fund ETF Class Shares (VOE)	Purchase	03/01/2022	$1,001 - $15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
97	iShares Select Dividend ETF (DVY)	Purchase	02/18/2022	$1,001 - $15,000
98	CME Group, Inc. (CME)	Sale	02/16/2022	$15,001 - $50,000
99	iShares Select Dividend ETF (DVY)	Purchase	02/16/2022	$1,001 - $15,000
100	Vanguard Value Index Fund ETF Shares (VTV)	Purchase	02/16/2022	$1,001 - $15,000
101	Accenture Plc (ACN)	Sale	02/16/2022	$1,001 - $15,000
102	SPY	Sale	11/30/2022	$50,001 - $100,000
103	SUB	Purchase	11/11/2022	$15,001 - $50,000
104	Industrial Select Sector SPDR Fund (XLI)	Purchase	11/11/2022	$15,001 - $50,000
105	Utilities Select Sector SPDR Fund (XLU)	Purchase	10/27/2022	$15,001 - $50,000
106	Financial Select Sector SPDR Fund (XLF)	Purchase	08/31/2022	$15,001 - $50,000
107	iShares Russell 2000 ETF (IWM)	Sale	08/08/2022	$50,001 - $100,000
108	iShares 0-5 Year High Yield Corporate Bond ETF (SHYG)	Purchase	08/08/2022	$50,001 - $100,000
109	Ivy High Income Opportunities Fund (IVH)	Sale	08/08/2022	$50,001 - $100,000
110	Energy Select Sector SPDR Fund (XLE)	Purchase	08/08/2022	$50,001 - $100,000
111	VanEck VectorsEmerging Markets High Yield Bond ETF (HYEM)	Sale	08/08/2022	$1,001 - $15,000
112	SPDR Portfolio Short Term Treasury ETF (SPTS)	Purchase	07/15/2022	$15,001 - $50,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
113	iShares Short-Term Corporate Bond ETF (IGSB)		Purchase	06/21/2022	$15,001 - $50,000
114	iShares MSCI All Country Asia ex Japan ETF (AAXJ)	See Endnote	Sale	05/24/2022	$15,001 - $50,000
115	Ivy High Income Opportunities Fund (IVH)		Purchase	04/04/2022	$50,001 - $100,000
116	Intel Corp. (INTC)		Sale	04/01/2022	$1,001 - $15,000
117	Palo Alto Networks, Inc. (PANW)		Sale	04/01/2022	$15,001 - $50,000
118	Alphabet, Inc. (GOOG)		Sale	03/22/2022	$15,001 - $50,000
119	Amazon.com, Inc. (AMZN)		Sale	03/22/2022	$15,001 - $50,000
120	American Electric Power Co., Inc. (AEP)		Sale	03/22/2022	$15,001 - $50,000
121	Applied Materials, Inc. (AMAT)		Sale	03/22/2022	$1,001 - $15,000
122	The Walt Disney Co. (DIS)		Sale	03/22/2022	$15,001 - $50,000
123	First Horizon Corporation Common Stock (FHN)		Sale	03/22/2022	$1,001 - $15,000
124	iShares Select Dividend ETF (DVY)		Purchase	03/22/2022	$15,001 - $50,000
125	JPM		Sale	03/22/2022	$1,001 - $15,000
126	Lockheed Martin Corp. (LMT)		Sale	03/22/2022	$1,001 - $15,000
127	Microsoft Corp. (MSFT)		Sale	03/22/2022	$15,001 - $50,000
128	NextEra Energy Partners LP Common Units representing limited partner interests (NEP)	See Endnote	Sale	03/22/2022	$1,001 - $15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
129	PayPal Holdings, Inc. (PYPL)	Sale	03/22/2022	$1,001 - $15,000
130	PepsiCo, Inc. (PEP)	Sale	03/22/2022	$1,001 - $15,000
131	Pfizer Inc. (PFE)	Sale	03/22/2022	$1,001 - $15,000
132	Roper Technologies, Inc. (ROP)	Sale	03/22/2022	$15,001 - $50,000
133	Viatris Inc. Common Stock (VTRS)	Sale	03/22/2022	$1,001 - $15,000
134	ASML Holding N.V. New York Registry Shares (ASML)	Sale	03/22/2022	$1,001 - $15,000
135	NXP Semiconductors N.V. Common Stock (NXPI)	Sale	03/22/2022	$15,001 - $50,000
136	Citizens Financial Group Inc. Common Stock (CFG)	Sale	03/18/2022	$1,001 - $15,000
137	International Paper Co. (IP)	Sale	03/18/2022	$1,001 - $15,000
138	Merck & Co., Inc. (MRK)	Sale	03/18/2022	$1,001 - $15,000
139	MetLife, Inc. (MET)	Sale	03/18/2022	$1,001 - $15,000
140	Financial Select Sector SPDR Fund (XLF)	Purchase	03/18/2022	$15,001 - $50,000
141	Ally Financial, Inc. (ALLY)	Sale	03/17/2022	$15,001 - $50,000
142	BaronEmerging Markets Fund Institutional Class Shares (BEXIX)	Sale	03/17/2022	$15,001 - $50,000
143	Bio-Techne Corp. (TECH)	Sale	03/17/2022	$1,001 - $15,000
144	Equinix, Inc. (EQIX)	Sale	03/17/2022	$1,001 - $15,000
145	McDonald's Corp. (MCD)	Sale	03/17/2022	$1,001 - $15,000
146	NVIDIA Corp. (NVDA)	Sale	03/17/2022	$15,001 - $50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
147	Paychex, Inc. (PAYX)	Sale	03/17/2022	$1,001 - $15,000
148	SPY	Purchase	03/17/2022	$100,001 - $250,000
149	salesforce.com, inc. (CRM)	Sale	03/17/2022	$1,001 - $15,000
150	Sonoco Products Co. (SON)	Sale	03/17/2022	$1,001 - $15,000
151	Synchrony Financial (SYF)	Sale	03/17/2022	$1,001 - $15,000
152	The TJX Cos., Inc. (TJX)	Sale	03/17/2022	$1,001 - $15,000
153	Touchstone Sands CapitalEmerging Markets Growth Fund Institutional Class Shares (TSEGX)	Sale	03/17/2022	$1,001 - $15,000
154	Trane Technologies plc (TT)	Sale	03/17/2022	$15,001 - $50,000
155	Financial Select Sector SPDR Fund (XLF)	Purchase	03/11/2022	$15,001 - $50,000
156	Vanguard Value Index Fund ETF Shares (VTV)	Purchase	03/11/2022	$15,001 - $50,000
157	Apple, Inc. (AAPL)	Sale	03/01/2022	$1,001 - $15,000
158	Cincinnati Financial Corp. (CINF)	Sale	03/01/2022	$1,001 - $15,000
159	Costco Wholesale Corp. (COST)	Sale	03/01/2022	$1,001 - $15,000
160	Dominion Energy, Inc. (D)	Sale	03/01/2022	$1,001 - $15,000
161	Edwards Lifesciences Corp. (EW)	Sale	03/01/2022	$15,001 - $50,000
162	Eversource Energy (ES)	Sale	03/01/2022	$1,001 - $15,000
163	iShares Select Dividend ETF (DVY)	Purchase	03/01/2022	$50,001 - $100,000
164	Lockheed Martin Corp. (LMT)	Sale	03/01/2022	$1,001 - $15,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
165	Microsoft Corp. (MSFT)		Sale	03/01/2022	$15,001 - $50,000
166	Procter & Gamble Co. (PG)		Sale	03/01/2022	$1,001 - $15,000
167	SPY		Purchase	03/01/2022	$50,001 - $100,000
168	Sempra Energy (SRE)		Sale	03/01/2022	$1,001 - $15,000
169	Synopsys, Inc. (SNPS)		Sale	03/01/2022	$15,001 - $50,000
170	Thermo Fisher Scientific, Inc. (TMO)		Sale	03/01/2022	$1,001 - $15,000
171	Vanguard Value Index Fund ETF Shares (VTV)		Purchase	03/01/2022	$50,001 - $100,000
172	Verizon Communications, Inc. (VZ)		Sale	03/01/2022	$1,001 - $15,000
173	Zoetis, Inc. (ZTS)		Sale	03/01/2022	$15,001 - $50,000
174	Apple, Inc. (AAPL)		Sale	02/18/2022	$15,001 - $50,000
175	Costco Wholesale Corp. (COST)		Sale	02/18/2022	$15,001 - $50,000
176	iShares Select Dividend ETF (DVY)		Purchase	02/18/2022	$15,001 - $50,000
177	PepsiCo, Inc. (PEP)		Sale	02/18/2022	$1,001 - $15,000
178	Aon Plc (AON)		Sale	02/18/2022	$15,001 - $50,000
179	Blackstone Inc. Common Stock (BX)		Sale	02/16/2022	$15,001 - $50,000
180	City National Rochdale Municipal High Income Fund Servicing Class Shares (CNRMX)	See Endnote	Sale	02/16/2022	$50,001 - $100,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
181	Expedia Group, Inc. (EXPE)		Sale	02/16/2022	$15,001 - $50,000
182	Meta Platforms Inc. Class A Common Stock (META)	See Endnote	Sale	02/16/2022	$1,001 - $15,000
183	Fifth Third Bancorp (FITB)		Sale	02/16/2022	$1,001 - $15,000
184	KeyCorp (KEY)		Sale	02/16/2022	$1,001 - $15,000
185	Mastercard, Inc. (MA)		Sale	02/16/2022	$15,001 - $50,000
186	UnitedHealth Group, Inc. (UNH)		Sale	02/16/2022	$15,001 - $50,000
187	Vanguard Value Index Fund ETF Shares (VTV)		Purchase	02/16/2022	$50,001 - $100,000
188	Brookfield Infrastructure Partners LP Limited Partnership Units (BIP)		Sale	02/16/2022	$1,001 - $15,000
189	City National Rochdale Fixed Income Opportunities Fund Class N Shares (RIMOX)		Sale	03/22/2022	$15,001 - $50,000
190	City National Rochdale Strategic Credit Fund (CNROX)		Sale	03/25/2022	$1,001 - $15,000
191	iShares iBoxx $ High Yield Corporate Bond ETF (HYG)		Sale	06/21/2022	$15,001 - $50,000
192	VanEck VectorsEmerging Markets High Yield Bond ETF (HYEM)		Sale	08/08/2022	$15,001 - $50,000
193	City National Rochdale Strategic Credit Fund (CNROX)		Sale	03/25/2022	$1,001 - $15,000
194	iShares Ultra Short-Term Bond ETF (ICSH)		Purchase	08/08/2022	$15,001 - $50,000
195	iShares Ultra Short-Term Bond ETF (ICSH)		Purchase	08/08/2022	$15,001 - $50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
196	iShares 0-5 Year High Yield Corporate Bond ETF (SHYG)	Purchase	08/31/2022	$1,001 - $15,000
197	iShares Ultra Short-Term Bond ETF (ICSH)	Purchase	08/08/2022	$50,001 - $100,000
198	Vanguard Mid-Cap Value Index Fund ETF Class Shares (VOE)	Purchase	02/16/2022	$50,001 - $100,000
199	Virtus Seix Floating Rate High Income Fund Class I Shares (SAMBX)	Purchase	04/04/2022	$15,001 - $50,000

8. Liabilities

None

9. Gifts and Travel Reimbursements

None

Endnotes

PART	#	ENDNOTE
7.	8	This asset was inadvertently omitted from Part 2 of the prior report. This sale represents a complete divestiture.
7.	11	This asset was inadvertently omitted from Part 2 of the prior report. This sale represents a complete divestiture.
7.	63	This asset was inadvertently omitted from Part 5 of the prior report. This sale represents a complete divestiture.

PART	#	ENDNOTE
7.	114	This asset was inadvertently omitted from Part 6 of the prior report. This sale represents a complete divestiture.
7.	128	This asset was inadvertently omitted from Part 6 of the prior report. This sale represents a complete divestiture.
7.	180	The prior report included this asset with a typo in the ticker symbol that read CNRNX instead of CNRMX.
7.	182	This asset was inadvertently omitted from Part 6 of the prior report. This sale represents a complete divestiture.

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than $200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding $5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than $5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than $1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than $200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of $5,000 or less (unless more than $200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of $1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over $10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed $10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than $415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than $415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of $166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

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