Executive Branch Personnel

Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Meehan, Bernadette

U.S. Ambassador to the Republic of Chile, Department of State

Other Federal Government Positions Held During the Preceding 12 Months:
None

Names of Congressional Committees Considering Nomination:

- Committee on Foreign Relations

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Meehan, Bernadette [electronically signed on 05/05/2021 by Meehan, Bernadette in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).
/s/ Huitema, David, Certifying Official [electronically signed on 07/15/2021 by Huitema, David in Integrity.gov]

Other review conducted by

U.S. Office of Government Ethics Certification

/s/ Apol, David, Certifying Official [electronically signed on 07/21/2021 by Apol, David in Integrity.gov]

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME		CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	The Obama Foundation	See Endnote	Washington, District of Columbia	Non-Profit	Executive Vice President of Global Programs	2/2018	Present
2	Hostage US		Washington, District of Columbia	Non-Profit	Member, Advisory Council	3/2019	Present
3	Georgetown University Institute for the Study of Diplomacy		Washington, District of Columbia	University/College	Member of the Board of Advisors	3/2018	Present

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	The Obama Foundation	N/A		Salary	$406,708
2	The Obama Foundation 403(B) Plan	No			
2.1	BlackRock LifePath Index 2055 K (LIVKX)	Yes	$100,001 - $250,000		None (or less than $201)
2.2	BlackRock LifePath Index 2040 K (LIKKX)	Yes	None (or less than $1,001)		None (or less than $201)
3	Rollover IRA	No			
3.1	Fidelity Government Cash Reserves (FDRXX)	Yes	$100,001 - $250,000		None (or less than $201)
3.2	Fidelity Equity Income (FEQIX)	Yes	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.3	Neuberger Berman Genesis Investor CL (NBGNX)	Yes	$1,001 - $15,000		None (or less than $201)
3.4	APPLE INC (AAPL)	N/A	$100,001 - $250,000		None (or less than $201)
3.5	UNITED AIRLS HLDGS INC COM (UAL)	N/A	$1,001 - $15,000		None (or less than $201)
3.6	VISA INC (V)	N/A	$100,001 - $250,000		None (or less than $201)

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	The Obama Foundation	Chicago, Illinois	I will continue to participate in this defined contribution plan. The plan sponsor will not make further contributions after my separation.	2/2017

4. Filer's Sources of Compensation Exceeding $5,000 in a Year

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	The Obama Foundation	Washington, District of Columbia	Oversee the foundation's programming.

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Georgetown University	N/A		Salary	
2	The Asia Group (Strategic advisory firm to companies working in the Asia-Pacific region)	N/A		Salary	
3	George Washington University - February 21, 2020	N/A		Honorarium	$3,500
4	Bank Montreal - April 13, 2020	N/A		Honorarium	$2,000
5	Center for a New American Security - March 31, 2020	N/A		Honorarium	$1,500
6	Deakin University - April 22, 2020	N/A		Honorarium	$1,100
7	Ergo - September 29, 2020	N/A		Honorarium	$1,500
8	Asia Pacific Initiative (Japan) - October 7, 2020	N/A		Honorarium	$2,000
9	Centra Technologies - October 29, 2020	N/A		Honorarium	$1,000
10	Science Applications International Corporation - February 8, 2021	N/A		Honorarium	$1,500
11	Commerzbank - March 9, 2021	N/A		Honorarium	$30,000
12	Korea National Diplomatic Academy - February 24, 2021	N/A		Honorarium	$300
13	Wilson Center - May 21, 2020	N/A		Honorarium	$500
14	Rio Tinto	N/A		consulting fees	
15	Dell	N/A		consulting fees	
16	Element Capital (Investment manager)	N/A		consulting fees	
17	BlackBerry Government Solutions	N/A		director fees	
18	Council on Foreign Relations - Foreign Affairs - April 15, 2020	N/A		Honorarium	$150

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
19	XP Securities - April 22, 2020	N/A		Honorarium	$2,000
20	Rio Tinto - June 4, 2020	N/A		Honorarium	$20,000
21	UBS - August 4, 2020	N/A		Honorarium	$5,000
22	UBS - October 27, 2020	N/A		Honorarium	$20,000
23	XP Securities - October 16, 2020	N/A		Honorarium	$3,500
24	Council on Foreign Relations - November 15, 2020	N/A		Honorarium	$3,000
25	Goldman Sachs - November 9, 2020	N/A		Honorarium	$5,000
26	AlphaSights - April 27, 2021	N/A		Honorarium	$500
27	Council on Foreign Relations - April 16, 2021	N/A		Honorarium	$4,500
28	Center for Strategic and International Studies - April 13, 2021	N/A		Honorarium	$300
29	Center for Strategic and International Studies - Japan Exec Program - January 13, 2021	N/A		Honorarium	$500
30	Council on Foreign Relations - Foreign Affairs - February 15, 2021	N/A		Honorarium	$100
31	Goldman Sachs - January 25, 2021	N/A		Honorarium	$10,000
32	AlphaSights - January 19, 2021	N/A		Honorarium	$500
33	Center for Strategic and International Studies - November 20, 2020	N/A		Honorarium	$300
34	Georgetown University 457(b)	No			
34.1	Fidelity Freedom Index 2050 Fund (FFOPX)	Yes	$50,001 - $100,000		None (or less than $201)
34.2	Fidelity Freedom Index 2035 Fund (FFEZX)	Yes	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
35	Rand Corporation 401(a)	No			
35.1	TIAA Traditional Fixed Annuity	N/A	$1,001 - $15,000		None (or less than $201)
36	Georgetown University 403(b) Voluntary Contribution Retirement Plan	No			
36.1	TIAA-CREF Lifecycle Index 2035 Fund - Institutional Class (TLYIX)	Yes	$1,001 - $15,000		None (or less than $201)
36.2	CREF Stock R3 (QCSTIX)	Yes	$15,001 - $50,000		None (or less than $201)
37	Georgetown University 403(b) Defined Contribution Retirement Plan	No			
37.1	TIAA-CREF Social Choice Equity Fund - Institutional Class (TISCX)	Yes	$15,001 - $50,000		None (or less than $201)
37.2	TIAA-CREF Lifecycle Index 2035 Fund Institutional Class Shares (TLYIX)	Yes	$15,001 - $50,000		None (or less than $201)
38	SEP IRA	No			
38.1	Fidelity 500 Index Fund (FXAIX)	Yes	$1,001 - $15,000		None (or less than $201)
38.2	Global X Lithium & Battery Tech ETF (LIT)	Yes	$1,001 - $15,000		None (or less than $201)
38.3	ISHARES MSCI PHILIPPINES ETF (EPHE)	Yes	$1,001 - $15,000		None (or less than $201)
38.4	ISHARES U.S. Medical Devices ETF (IHI)	Yes	$15,001 - $50,000		None (or less than $201)
38.5	Lazard Global Listed Infrastructure Portfolio Institutional Shares (GLIFX)	Yes	$15,001 - $50,000		None (or less than $201)
38.6	MATTHEWS INDIA FDS INVESTOR CLASS (MINDX)	Yes	$15,001 - $50,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
38.7	T ROWE PRICE COMMUNICATIONS & TECHNOLOGY FUND (PRMTX)	Yes	$50,001 - $100,000		None (or less than $201)
38.8	Pax Global Environmental Markets Fund Institutional Class (PGINX)	Yes	$1,001 - $15,000		None (or less than $201)
38.9	ROBO GLOBAL ROBOTICS & AUTOMATION INDEX ETF (ROBO)	Yes	$1,001 - $15,000		None (or less than $201)
38.10	Rydex NASDAQ-100® Fund Investor Class (RYOCX)	Yes	$1,001 - $15,000		None (or less than $201)
38.11	Virtus AllianzGI Water Fund Institutional Class (AWTIX)	Yes	$1,001 - $15,000		None (or less than $201)
39	Jefferies - May 27, 2020	N/A		Honorarium	$3,500
40	Credit Suisse - June 29, 2020	N/A		Honorarium	$3,000
41	JP Morgan - July 2, 2020	N/A		Honorarium	$3,500
42	Barclays - July 22, 2020	N/A		Honorarium	$3,500
43	HSBC - October 21, 2020	N/A		Honorarium	$4,500
44	JP Morgan - November 15, 2020	N/A		Honorarium	$3,500
45	Viking Capital - January 27, 2021	N/A		Honorarium	$3,886
46	Macquarie Group - December 15, 2020	N/A		Honorarium	$4,500
47	Barclays - December 9, 2020	N/A		Honorarium	$5,000
48	IRA	No			
48.1	AB Sustainable Global Thematic Fund Advisor Class (ATEYX)	Yes	$15,001 - $50,000		None (or less than $201)
48.2	Invesco Global Opportunities Fund Class Y (OGIYX)	Yes	$15,001 - $50,000		None (or less than $201)
48.3	iShares MSCI USA ESG Select ETF (SUSA)	Yes	$50,001 - $100,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
48.4	Matthews China Fund Investor Class (MCHFX)	Yes	$50,001 - $100,000		None (or less than $201)
48.5	Oberweis International Opportunities Fund (OBIOX)	Yes	$15,001 - $50,000		None (or less than $201)
48.6	Virtus KAR Small-Cap Growth Fund Class I (PXSGX)	Yes	$50,001 - $100,000		None (or less than $201)
48.7	T. Rowe Price Global Technology Fund (PRGTX)	Yes	$50,001 - $100,000		None (or less than $201)
48.8	PGIM Jennison Health Sciences Fund- Class Z (PHSZX)	Yes	$50,001 - $100,000		None (or less than $201)
48.9	Pax Global Environmental Markets Fund Institutional Class (PGINX)	Yes	$1,001 - $15,000		None (or less than $201)
48.10	SPDR Dow Jones Industrial Average ETF Trust (DIA)	Yes	$50,001 - $100,000		None (or less than $201)
48.11	T. Rowe Price Blue Chip Growth Fund (TRBCX)	Yes	$50,001 - $100,000		None (or less than $201)
48.12	VanEck Vectors Vietnam ETF (VNM)	Yes	$1,001 - $15,000		None (or less than $201)
48.13	Virtus AllianzGI Water Fund Institutional Class (AWTIX)	Yes	$1,001 - $15,000		None (or less than $201)
48.14	Business Development Corp of America Funds NO 6812 (BDVC)	Yes	$1,001 - $15,000		None (or less than $201)
49	AlphaSights - January 19, 2021	N/A		Honorarium	$315
50	United States Institute of Peace	N/A		consulting fees	
51	Gerson Lehrman Group	N/A		consulting fees	

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	U.S. Brokerage Account #1	No			
1.1	Cash	N/A	$1,001 - $15,000	Interest	None (or less than $201)
1.2	ISHARES MSCI BRAZIL ETF (EWZ)	Yes	$1,001 - $15,000		$201 - $1,000
1.3	Citigroup (C)	N/A	$1,001 - $15,000	Dividends	$201 - $1,000
1.4	Visa (V)	N/A	$100,001 - $250,000	Dividends	$201 - $1,000
2	U.S. Bank Checking Account #1	N/A	$50,001 - $100,000		None (or less than $201)
3	DC College Savings Plan - 529(b) #1	No			
3.1	DC College Savings 2034 Portfolio	Yes	$1,001 - $15,000		None (or less than $201)
3.2	U.S. Total Stock Market Index Portfolio	Yes	$15,001 - $50,000		None (or less than $201)
4	DC College Savings Plan - 529(b) #2	No			
4.1	DC College Savings 2034 Portfolio	Yes	$1,001 - $15,000		None (or less than $201)
4.2	U.S. Total Stock Market Index Portfolio	Yes	$15,001 - $50,000		None (or less than $201)
5	Residential Real Estate - Washington, DC #1	N/A	$500,001 - $1,000,000	Rent or Royalties	$50,001 - $100,000
6	U.S. Bank Savings Account	N/A	None (or less than $1,001)		None (or less than $201)
7	U.S. Bank Checking Account #2	N/A	$500,001 - $1,000,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8	U.S. Bank Joint Checking Account	N/A	$1,001 - $15,000		None (or less than $201)
9	US Brokerage Account #2	No			
9.1	Alger Small Cap Focus Fund Class Z Shares (AGOZX)	Yes	$50,001 - $100,000		None (or less than $201)
9.2	Activision Blizzard, Inc. (ATVI)	N/A	$1,001 - $15,000		None (or less than $201)
9.3	American Tower Corporation (REIT) (AMT)	N/A	$15,001 - $50,000	Dividends	$201 - $1,000
9.4	Cisco Systems, Inc. (CSCO)	N/A	$15,001 - $50,000	Dividends	$201 - $1,000
9.5	Crown Castle International Corp. (CCI)	N/A	$15,001 - $50,000	Dividends	$201 - $1,000
9.6	CyrusOne, Inc. (CONE)	N/A	$1,001 - $15,000	Dividends	$201 - $1,000
9.7	Digital Realty Trust, Inc. (DLR)	N/A	$1,001 - $15,000	Dividends	$201 - $1,000
9.8	First Trust NASDAQ Clean Edge Green Energy Idx Fd ETF (QCLN)	Yes	$1,001 - $15,000		$201 - $1,000
9.9	iShares Global Clean Energy ETF (ICLN)	Yes	$1,001 - $15,000		$201 - $1,000
9.10	VanEck Vectors Vietnam ETF (VNM)	Yes	$15,001 - $50,000		None (or less than $201)
9.11	PIMCO Flexible Credit Income Fund (PFLEX)	Yes	$100,001 - $250,000		$2,501 - $5,000
9.12	Global X FinTech ETF (FINX)	Yes	$15,001 - $50,000		None (or less than $201)
9.13	iShares Core S&P Mid-Cap ETF (IJH)	Yes	$50,001 - $100,000		$201 - $1,000
9.14	iShares Select Dividend ETF (DVY)	Yes	$50,001 - $100,000		$2,501 - $5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.15	Invesco QQQ Trust, Series 1 (QQQ)	Yes	$15,001 - $50,000		$201 - $1,000
9.16	Linde Plc (LIN)	N/A	$15,001 - $50,000	Dividends	$201 - $1,000
9.17	Microsoft Corporation (MSFT)	N/A	$15,001 - $50,000	Dividends	$201 - $1,000
9.18	Opendoor Technologies Inc (OPEN)	N/A	$1,001 - $15,000		None (or less than $201)
9.19	Virtus KAR Small-Cap Growth Fund Class I (PXSGX)	Yes	$1,001 - $15,000		None (or less than $201)
9.20	Invesco S&P 500 Top 50 ETF (XLG)	Yes	$50,001 - $100,000		$1,001 - $2,500
9.21	Invesco WilderHill Clean Energy ETF (PBW)	Yes	$1,001 - $15,000		None (or less than $201)
9.22	T. Rowe Price Health Sciences Fund (PRHSX)	Yes	$15,001 - $50,000		$201 - $1,000
9.23	SPDR S&P Dividend ETF (SDY)	Yes	$50,001 - $100,000		$2,501 - $5,000
9.24	Vanguard Total Stock Market Index Fund ETF (VTI)	Yes	$100,001 - $250,000		$2,501 - $5,000
9.25	Visa, Inc. (V)	N/A	$15,001 - $50,000	Dividends	$201 - $1,000
9.26	Williams Companies Inc (WMB)	N/A	$15,001 - $50,000	Dividends	$201 - $1,000
9.27	Zillow Group Inc Class C (Z)	N/A	$1,001 - $15,000		None (or less than $201)
9.28	iShares US Financials ETF (IYF)	Yes	None (or less than $1,001)		$1,001 - $2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.29	iShares Core S&P Small-Cap ETF (IJR)	Yes	None (or less than $1,001)		$5,001 - $15,000
9.30	WisdomTree India Earnings ETF (EPI)	Yes	None (or less than $1,001)		$201 - $1,000
9.31	SQN AIF IV LP	Yes	$1,001 - $15,000		$5,001 - $15,000
9.32	PHILLIPS EDISON & COMPANY INC	N/A	$1,001 - $15,000	Dividends	$201 - $1,000
9.33	HOSPITALITY INVESTORS TRUST INC	N/A	$1,001 - $15,000		None (or less than $201)
9.34	Interxion Holding N.V. (INXN)	N/A	None (or less than $1,001)	Capital Gains	$2,501 - $5,000
10	Residential Real Estate - Washington, DC #2	N/A	$250,001 - $500,000	Rent or Royalties	$15,001 - $50,000
11	U.S. Brokerage #3	No			
11.1	Matthews China Small Companies Fund Investor Class Shares (MCSMX)	Yes	$50,001 - $100,000		$5,001 - $15,000

7. Transactions

(N/A) - Not required for this type of report

8. Liabilities

#	CREDITOR NAME		TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Chase	See Endnote	Mortgage (investment/rental property)	$250,001 - $500,000	2013	3.75%	30 Year Fixed

#	CREDITOR NAME		TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
2	Bank of America	See Endnote	Mortgage (investment/rental property)	$250,001 - $500,000	2010	4.25%	15 Year Fixed
3	USAA Visa		Credit Card	$10,001 - $15,000	2021	11.9%	Revolving
4	Wells Fargo		Mortgage on Personal Residence	$500,001 - $1,000,000	2019	2.75%	30 year fixed

9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

Endnotes

PART	#	ENDNOTE
1.	1	Filer held a prior position, "Chief International Officer", from 2/2018 through 2/2021.
8.	1	DC property #1
8.	2	second refinance; DC property #2

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than $200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding $5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than $5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than $1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than $200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of $5,000 or less (unless more than $200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of $1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over $10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed $10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than $415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than $415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of $166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).