

# Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

## Filer's Information

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Oberman, Martin J

Board Member/DAEO, Surface Transportation Board

Report Year: 2022

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Other Federal Government Positions Held During the Preceding 12 Months:

None

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Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Oberman, Martin J [electronically signed on 08/12/2022 by Oberman, Martin J in Integrity.gov] - Filer received a 90 day filing extension.

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Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Oehrle, Christopher, Certifying Official [electronically signed on 10/25/2022 by Oehrle, Christopher in Integrity.gov]

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Other review conducted by

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U.S. Office of Government Ethics Certification

/s/ Granahan, Megan, Certifying Official [electronically signed on 10/27/2022 by Granahan, Megan in Integrity.gov]

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Data Revised 10/25/2022

Data Revised 09/23/2022

## 1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Estate Trust--Martin J. Oberman	Chicago, Illinois	revocable trust	trustee	1/1998	Present

## 2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	IRA converted from profit sharing plan for Law Practice of "Martin J. Oberman"	No			
1.1	ABBOTT LABS COM	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
1.2	ALPHABET INC	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.3	AT&T INC COM	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
1.4	BLACKROCK CORPORATE HIGH YIELD FUND (HYT)	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
1.5	BLACKSTONE GROUP L.P. (BX)	N/A	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000
1.6	ISHARES TR IBOXX USD INVT GRADE CORP BD ETF	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
1.7	JOHNSON & JOHNSON	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
1.8	JP MORGAN CHASE & CO	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.9	U.S. Brokerage Account (Cash)	N/A	\$50,001 - \$100,000	Interest	None (or less than \$201)
1.10	MCKESSON CORP NEW NT 2.700% 12/15/22	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
1.11	MICROSOFT CORP	N/A	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
1.12	NUVEEN FLOATING RATE INCOME FUND	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
1.13	PROCTER & GAMBLE CO	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
1.14	QUALCOMM INC FXD RT NT 2.600% 01/30/23	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
1.15	TRAVELERS COS INC	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
1.16	NXP Semiconductors N.V. - Common Stock	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
1.17	CVS Health Corp	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
1.18	O'Reilly Automotive Inc.	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.19	Enterprise Product EPD	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
1.20	Investco Exchange-Traded Fd TR II SR LN ETF (BKLN) (previously Powershares)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
1.21	EBAY Inc. Fixed RT Note 3.450% 2024	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
1.22	BLACKROCK CREDIT ALLOCATION INCOME TRUST FUND (BTZ)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.23	Harman Intl Inds Inc New GTD Sr Nt 4.150%	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
1.24	AT&T Fixed Rt Sr Notes 4.125%	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
1.25	Arch Capital Group LTD Shs (ACGL)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.26	Activision Blizzard Inc (ATVI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.27	Broadcom Inc. (AVGO)	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
1.28	D R Horton Inc (DHI)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
1.29	Electronic Arts, Inc. (EA)	N/A	\$15,001 - \$50,000	Dividends	None (or less than \$201)
1.30	The Home Depot, Inc. (HD)	N/A	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
1.31	Merck & Co., Inc. (MRK)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
1.32	QUALCOMM, Inc. (QCOM)	N/A	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
1.33	The Travelers Cos., Inc. (TRV)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
1.34	Visa, Inc. (V)	N/A	\$50,001 - \$100,000		\$201 - \$1,000
2	PSEG PWR LLC GTD FXD	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
3	MORGAN STANLEYCOM NEW	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000

### 3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Law Practice of "Martin J. Oberman"	Chicago, Illinois	My solo legal practice will be inactive during my appointment. There are no outstanding client fees or expense reimbursements due or owing.	12/2018

### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

### 5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Facing History and Ourselves (Non-profit organization) 403(b) plan	No			
1.1	Vanguard Target Retirement Income (Sole asset in plan)	Yes	\$100,001 - \$250,000		None (or less than \$201)
2	ReliaStar Life Insurance (Cash Annuity)	N/A	\$50,001 - \$100,000		

### 6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	U.S. Bank Account (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2	U.S. Brokerage Investment Account				
2.1	King & Pierce CNTY Wash SCH Dist No 408 4.00 % Due Dec 1, 2033	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
2.2	Adams & Arapahoe CNTYS Colo JT SCH 5.00 % Due Dec 1, 2031	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
2.3	MainStay MacKay High Yield Municipal Bond Fund Class I (MMHIX)	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
2.4	Miami Univ Ohio Gen RCPTS Rev Ref BDS 5.00 % Due Sep 1, 2028	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
2.5	New York N Y Go BDS Ser. 2018 B-1 5.25 % Due Oct 1, 2033	N/A	\$15,001 - \$50,000		\$1,001 - \$2,500
2.6	Nuveen Preferred Secs & Inc I (NPSRX)	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
2.7	Pflugerville TX Isd 5%31 Go Utx Due 02/15/31	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
2.8	San Antonio Tex WTR Rev SYS JR Lien Ref 5.00 % Due May 15, 2031	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
2.9	Variant Alternative Income Fund (NICHX)	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
2.10	Fidelity Government	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.11	GQG Partners Emerging Markets Equity Instl (GQGIX)	Yes	\$50,001 - \$100,000		\$201 - \$1,000
2.12	RWC Global Emerging Equity Fund Class I (RWCIX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
2.13	Harbor Service Institutional (HASCX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.14	Harding Loevner Intl Equity Port Instl (HLMIX)	Yes	\$50,001 - \$100,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.15	Longleaf International (LLINX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.16	Jackson Square SMID-Cap Growth Fund Institutional Class Shares (JSMTX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.17	Semper MBS Total Return Instl (SEMMX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
2.18	TCW Emerging Mkts Income CI I (TGEIX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
2.19	First Trust No. Amer. Energy (EMLP)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
2.20	Schwab Strategic Tr US Large Cap ETF (SCHX)	Yes	\$250,001 - \$500,000		\$2,501 - \$5,000
2.21	Texas St Tax Rev Antic NTS ser. 2020 4.000%	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
2.22	Harnett Cnty NC Go Sch Bds Ser. 2020 5.000%	See Endnote	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
2.23	San Antonio Tex Wtr Rev Sys Jr Lien Ref 5.000%	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
3	Flowstone Opportunity Fund	No	\$100,001 - \$250,000		None (or less than \$201)
4	Cresset Partners BDC Fund I, LLC	No	\$50,001 - \$100,000		None (or less than \$201)
5	iShares Gold Trust (IAU)	Yes	\$15,001 - \$50,000		None (or less than \$201)
6	Capital MULTI MNGR REAL EST INC	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
7	NEW YORK N Y GO BDS SER. FISCAL 2008L	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000



#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8	NEBO UTAH SCH DIST GO REF BLDG BDS SER. 05.00000% 07/01/2022 2021	N/A	\$50,001 - \$100,000	Interest	None (or less than \$201)

## 7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	LONGLEAF INTERNATL (LLINX)	Purchase	03/18/2021	\$15,001 - \$50,000
2	iShares Gold Trust (IAU)	Purchase	03/19/2021	\$15,001 - \$50,000
3	HARDING LOEVNER INTL EQUITY PORT INSTL (HLMIX)	Sale	03/18/2021	\$15,001 - \$50,000
4	HARDING LOEVNER INTL EQUITY PORT INSTL (HLMIX)	Sale	03/29/2021	\$15,001 - \$50,000
5	VANGUARD SHORT TERM TAX EXEMPT ADMIRAL (VWSUX)	Purchase	03/18/2021	\$1,001 - \$15,000
6	CAPITAL MULTI MNGR REAL EST INC	Purchase	03/19/2021	\$15,001 - \$50,000
7	NUVEEN PREFERRED SECS AND INC CL I (NPSRX)	Sale	03/18/2021	\$50,001 - \$100,000
8	MAINSTAY MACKAY HIGH YIELD MUNI BD CL I (MMHIX)	Sale	03/18/2021	\$1,001 - \$15,000
9	VANGUARD SHORT TERM TAX EXEMPT ADMIRAL (VWSUX)	Sale	03/30/2021	\$1,001 - \$15,000
10	NEW YORK N Y GO BDS SER. FISCAL 2008L	Purchase	03/30/2021	\$15,001 - \$50,000
11	TRD EXECUTED BY CHSI TEXAS ST TAX REV ANTIC NTS SER. 2020	Sale	03/30/2021	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
12	MORGAN STANLEYCOM NEW	Purchase	02/18/2021	\$15,001 - \$50,000
13	ARCH CAPITAL GROUPLTD	Purchase	12/15/2020	\$15,001 - \$50,000
14	KIMBERLY CLARK CORP COM	Sale	05/03/2021	\$15,001 - \$50,000
15	VANGUARD SHORT TERM TAX EXEMPT ADMIRAL	Sale	04/22/2021	\$15,001 - \$50,000
16	RWC GLOBAL EMERGING EQUITY FUND CLASS I (	Sale	06/25/2021	\$1,001 - \$15,000
17	SCHWAB STRATEGIC TR US LARGE-CAP ETF	Sale	07/08/2021	\$1,001 - \$15,000
18	MAINSTAY MACKAY HIGH YIELD MUNI BD CL I	Sale	07/23/2021	\$1,001 - \$15,000
19	MAINSTAY MACKAY HIGH YIELD MUNI BD CL I	Sale	07/21/2021	\$1,001 - \$15,000
20	SEMPER MBS TOTAL RETURN INSTL (	Sale	06/25/2021	\$1,001 - \$15,000
21	NEBO UTAH SCH DIST GO REF BLDG BDS SER. 05.00000% 07/01/2022 2021	Purchase	08/25/2021	\$50,001 - \$100,000
22	ELECTRONIC ARTS INC COM	Purchase	07/21/2021	\$1,001 - \$15,000
23	MERCK & CO INC NEW COM	Sale	10/08/2021	\$15,001 - \$50,000
24	JOHNSON & JOHNSON COM	Purchase	07/21/2021	\$1,001 - \$15,000
25	TRAVELERS COS INC COM	Purchase	07/21/2021	\$1,001 - \$15,000
26	Cisco Systems	See Endnote	09/21/2020	\$15,001 - \$50,000
27	PSEG PWR LLC GTD FXD	Sale	05/17/2021	\$50,001 - \$100,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
28	ABBVIE INC FXD RT SR% 05/14/20 B/E DTD 05/14/15 CL	Sale	05/14/2020	\$50,001 - \$100,000
29	Royal Dutch Shell	Sale	05/21/2020	\$1,001 - \$15,000
30	RAYTHEON TECHNOLOGIE	Sale	04/20/2020	\$15,001 - \$50,000
31	Cresset Partners BDC Fund I, LLC	Purchase	12/20/2020	\$50,001 - \$100,000
32	VANGUARD SHORT TERM TAX EXEMPT ADMIRAL (VWSUX)	Sale	03/29/2021	\$50,001 - \$100,000
33	FIMM Tax Exempt Portfolio Class I (FTCXX)	Sale	03/24/2020	\$1,001 - \$15,000

## 8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Citibank	Credit Card	\$10,001 - \$15,000	2016	variable	Revolving

## 9. Gifts and Travel Reimbursements

None

## Endnotes

PART	#	ENDNOTE
6.	2.22	Redeemed on October 1, 2021

PART	#	ENDNOTE
7.	26	Should have been shown as sold in last year's report

# Summary of Contents

## 1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

## 2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

#### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

#### 5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

#### 6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

## 8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

## 9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

## Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

## Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).

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