



CERTIFICATE OF DIVESTITURE

CERTIFICATE NO:

DATE OF ISSUANCE:

ELIGIBLE PERSON:

[The divestiture property is identified in the attachment to this Certificate.]

[Please note: Upon the sale of this property, only the capital gain realized under Federal tax law is eligible for deferral under section 1043. This Certificate of Divestiture does not apply to ordinary compensation income.]

This Certificate of Divestiture is issued in accordance with section 1043 of the Internal Revenue Code and 5 C.F.R. § 2634.1002 with respect to the specific property described in the attachment. I hereby determine that the divestiture of the described property is reasonably necessary to comply with 18 U.S.C. § 208, or other applicable Federal conflict of interest statutes, regulations, rules, or executive orders.

Section 1043 of the Internal Revenue Code and the rules of 5 C.F.R. part 2634, subpart J provide for nonrecognition of gain in the case of sales to comply with conflict of interest requirements. The substantive and procedural rules relating to the tax aspects of such sales and rollovers pursuant to the statutory scheme are subject to the jurisdiction of the Internal Revenue Service. Eligible persons should seek the advice of their personal tax advisors for guidance as to the tax aspects of divestiture transactions and whether proposed acquisitions meet the requirements for permitted property. Internal Revenue Service regulations and other guidance should also be consulted as to these matters. Eligible persons must follow Internal Revenue Service requirements for reporting dispositions of property and making an election not to recognize gain under section 1043 (IRS Form 8824).

A Certificate of Divestiture may only be used if the person identified above is an “eligible person” at the time of divestiture. The rules of 5 C.F.R. part 2634, subpart J relate to the issuance of Certificates of Divestiture and the permitted property into which reinvestment must be made during the 60-day period beginning on the date of such a sale in order for nonrecognition to be permitted. Such reinvestments are called rollovers, and are limited to obligations of the United States and diversified investment funds as defined in 5 C.F.R. § 2634.1003.

David J. Apol
General Counsel

Attachment



ATTACHMENT
CERTIFICATE NO.: OGE-2021-141

Description of the Property (include the name of the property being divested (e.g. name of stock))	Quantity or Percentage Owned (quantity may be represented by the number of shares of stock, units owned, or percentage of the partnership owned)
Air Products & Chemicals, Inc. (APD)	114 shares
Amgen, Inc. (AMGN)	249 shares
American Electric Power Company Inc. (AEP)	514 shares
BHP Group Ltd. (BHP)	413 shares
Bristol-Myers Squibb Co (BMY)	765 shares
Chevron Corporation (CVX)	445 shares
Citigroup Inc. (C)	1290 shares
CME Group Inc. (CME)	230 shares
Crown Castle International (CCI)	234 shares
Eaton Corporation PLC (ETN)	236 shares
Entergy Corporation (ETR)	365 shares
Fifth Third Bancorp (FITB)	1305 shares
Hewlett Packard Enterprise Co. (HPE)	1917 shares
Johnson & Johnson (JNJ)	170 shares
JPMorgan Chase & Co. (JPM)	533 shares
Kinder Morgan Inc. (KMI)	1961 shares
Lockheed Martin Corporation (LMT)	170 shares
McDonald's Corp (MCD)	293 shares
Medtronic PLC (MDT)	283 shares
NextEra Energy Inc. (NEE)	1062 shares
Nutrien Ltd (NTR)	487 shares
PepsiCo, Inc. (PEP)	207 shares
Pfizer Inc. (PFE)	1934 shares
Procter & Gamble Co (PG)	107 shares
Qualcomm, Inc. (QCOM)	1048 shares
Royal Bank of Canada (RY)	854 shares
Seagate Technology PLC (STX)	561 shares
Target Corporation (TGT)	541 shares
United Parcel Service (UPS)	269 shares
Verizon Communications Inc. (VZ)	1008 shares
VICI Properties Inc. (VICI)	819 shares
Walmart Inc. (WMT)	561 shares
ABB LTD SPON ADR (ABB)	179 shares
Adobe Inc. (ADBE)	23 shares

Alphabet Inc Class A (GOOGL)	28 shares
Alphabet Inc Class C (GOOG)	6 shares
Amazon.com, Inc. (AMZN)	17 shares
Apple Inc. (AAPL)	515 shares
Applied Materials, Inc. (AMAT)	109 shares
ASML Holding NV (ASML)	10 shares
Avalara Inc. (AVLR)	29 shares
BlackRock, Inc. (BLK)	41 shares
Broadcom Inc. (AVGO)	35 shares
CarMax, Inc. (KMX)	45 shares
Comcast Corporation (CMCSA)	211 shares
Costco Wholesale Corporation (COST)	73 shares
CSX Corporation (CSX)	123 shares
D.R. Horton Inc. (DHI)	93 shares
Domino's Pizza, Inc. (DPZ)	29 shares
Dover Corp (DOV)	90 shares
Ecolab Inc. (ECL)	77 shares
Edwards Lifesciences Corp (EW)	64 shares
Facebook, Inc. (FB)	82 shares
Fortive Corp (FTV)	77 shares
Home Depot Inc. (HD)	79 shares
Honeywell Int'l Inc. (HON)	143 shares
IDEXX Laboratories, Inc. (IDXX)	11 shares
Intercontinental Exchange Inc. (ICE)	95 shares
Intuit Inc. (INTU)	15 shares
Intuitive Surgical, Inc. (ISRG)	14 shares
Estee Lauder Companies Inc. (EL)	22 shares
Lowe's Companies Inc. (LOW)	43 shares
Mastercard Inc. (MA)	34 shares
Microsoft Corporation (MSFT)	304 shares
National Grid plc (NGG)	91 shares
Netflix Inc. (NFLX)	10 shares
Northrop Grumman Corp. (NOC)	37 shares
Novartis AG (NVS)	58 shares
NVIDIA Corporation (NVDA)	96 shares
O'Reilly Automotive Inc. (ORLY)	25 shares
Paypal Holdings Inc. (PYPL)	23 shares
Progressive Corp (PGR)	60 shares
Roku Inc. (ROKU)	14 shares
salesforce.com, inc. (CRM)	47 shares
Sanofi SA (SNY)	113 shares
ServiceNow, Inc. (NOW)	20 shares
Spotify Technology SA (SPOT)	18 shares
Taiwan Semiconductor Mfg. Co. Ltd. (TSM)	44 shares
Takeda Pharmaceutical Co. Ltd. (TAK)	300 shares
Thermo Fisher Scientific Inc. (TMO)	49 shares

TJX Companies Inc. (TJX)	164 shares
Toyota Motor Corp. (TM)	38 shares
Uber Technologies Inc. (UBER)	212 shares
UnitedHealth Group, Inc. (UNH)	16 shares
Visa Inc. (V)	454 shares
Berkshire Hathaway Inc. CL B (BRKB)	76 shares
Abbott Laboratories (ABT)	106 shares
Anthem Inc. (ANTM)	33 shares
Ball Corporation (BLL)	73 shares
Capital One Financial Corp. (COF)	81 shares
Cisco Systems Inc. (CSCO)	133 shares
Constellation Brands, Inc. CL A (STZ)	65 shares
Danaher Corporation (DHR)	57 shares
Walt Disney Co. (DIS)	106 shares
DuPont de Nemours Inc. (DD)	94 shares
Expedia Group Inc. (EXPE)	27 shares
Fiserv Inc. (FISV)	130 shares
Garmin Ltd. (GRMN)	49 shares
Hilton Hotels Corporation (HLT)	99 shares
Marvell Technology Group Ltd. (MRVL)	254 shares
Microchip Technology Inc. (MCHP)	102 shares
Parker-Hannifin Corp. (PH)	67 shares
Prologis Inc. (PLD)	106 shares
Ross Stores, Inc. (ROST)	122 shares
Truist Financial Corp (TFC)	1313 shares
Union Pacific Corp. (UNP)	99 shares
Zoetis Inc. (ZTS)	94 shares