

# Executive Branch Personnel

## Public Financial Disclosure Report (OGE Form 278e)

### Filer's Information

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Adams-Allen, Paloma

Deputy Administrator, U.S. Agency for International Development

Report Year: 2022

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Other Federal Government Positions Held During the Preceding 12 Months:

President & CEO, Inter-American Foundation (4/2017 - 10/2021)

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Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Adams-Allen, Paloma [electronically signed on 06/08/2022 by Adams-Allen, Paloma in Integrity.gov] - Filer received a 30 day filing extension.

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Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Ohlweiler, John, Certifying Official [electronically signed on 06/21/2022 by Ohlweiler, John in Integrity.gov]

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Other review conducted by

/s/ Mason-Gale, Treyer A, Ethics Official [electronically signed on 06/14/2022 by Mason-Gale, Treyer A in Integrity.gov]

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U.S. Office of Government Ethics Certification

/s/ Granahan, Megan, Certifying Official [electronically signed on 07/18/2022 by Granahan, Megan in Integrity.gov]

## 1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME		CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Winrock International		Little Rock, Arkansas	Non-Profit	Board Member	10/2018	10/2018
2	Jesuit Refugee Service/USA (JRS/USA)	See Endnote	Washington, District of Columbia	Non-Profit	Board Member	3/2021	10/2021

## 2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Traditional IRA	No			
1.1	Abbott Laboratories (ABT)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$2,501 - \$5,000
1.2	Adyen NV (ADYYF)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
1.3	Alphabet, Inc. Class C (GOOG)	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
1.4	Amazon.com, Inc. (AMZN)	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
1.5	American Water Works Co., Inc. (AWK)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$1,001 - \$2,500
1.6	Anthem, Inc. (ANTM)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$2,501 - \$5,000
1.7	Apple, Inc. (AAPL)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$15,001 - \$50,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.8	Ares Management Corp. (ARES)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$1,001 - \$2,500
1.9	ASML Holdings NV (ASML)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$5,001 - \$15,000
1.10	Bank of America Corp. (BAC)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$2,501 - \$5,000
1.11	Booking Holdings Inc. (BKNG)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
1.12	Broadcom, Inc. (AVGO)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$5,001 - \$15,000
1.13	Burlington Stores, Inc. (BURL)	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
1.14	C.H. Robinson Worldwide, Inc. (CHRW)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$1,001 - \$2,500
1.15	CBRE Group, Inc. (CBRE)	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
1.16	Ciena Corp. (CIEN)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
1.17	Cigna Corp. (CI)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$201 - \$1,000
1.18	Comcast Corp class-A (CMCSA)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$1,001 - \$2,500
1.19	Costco Wholesale Corp. (COST)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$2,501 - \$5,000
1.20	CubeSmart (CUBE)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$2,501 - \$5,000
1.21	Dollar General Corp. (DG)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.22	Electronic Arts, Inc. (EA)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$201 - \$1,000
1.23	Eli Lilly & Co. (LLY)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$1,001 - \$2,500
1.24	F5 Networks, Inc. (FFIV)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
1.25	Meta Platforms Inc (FB)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
1.26	Goldman Sachs Group Inc (GS)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$5,001 - \$15,000
1.27	The Home Depot, Inc. (HD)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$5,001 - \$15,000
1.28	Hubbell, Inc. (HUBB)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$1,001 - \$2,500
1.29	Intercontinental Exchange, Inc. (ICE)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$201 - \$1,000
1.30	JPMorgan Chase & Co. (JPM)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$2,501 - \$5,000
1.31	Kansas City Southern (KSU)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$2,501 - \$5,000
1.32	Knight-Swift Transportation Holdings, Inc. (KNX)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$1,001 - \$2,500
1.33	LKQ Corp. (LKQ)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$1,001 - \$2,500
1.34	Lowe's COS Inc	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$2,501 - \$5,000
1.35	Merck & Co., Inc. (MRK)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.36	Microsoft Corp. (MSFT)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$15,001 - \$50,000
1.37	Mid-America Apartment Communities, Inc. (MAA)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$2,501 - \$5,000
1.38	MSCI, Inc. (MSCI)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$201 - \$1,000
1.39	Nestle SA (NSRGY)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$201 - \$1,000
1.40	NextEra Energy, Inc. (NEE)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$201 - \$1,000
1.41	NIKE, Inc. (NKE)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$2,501 - \$5,000
1.42	Norfolk Southern Corp. (NSC)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$2,501 - \$5,000
1.43	Otis Worldwide Corp. (OTIS)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$1,001 - \$2,500
1.44	PayPal Holdings, Inc. (PYPL)	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
1.45	PepsiCo, Inc. (PEP)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$1,001 - \$2,500
1.46	Pfizer Inc. (PFE)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$1,001 - \$2,500
1.47	Proctor & Gamble (	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$1,001 - \$2,500
1.48	Progressive Corp. (PGR)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$1,001 - \$2,500
1.49	QUALCOMM, Inc. (QCOM)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.50	RELX PLC	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
1.51	Republic Services, Inc. (RSG)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$1,001 - \$2,500
1.52	S&P Global Inc (SPGI)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$2,501 - \$5,000
1.53	Target Corp. (TGT)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$1,001 - \$2,500
1.54	TJX Companies Inc. (TJX)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$1,001 - \$2,500
1.55	UnitedHealth Group, Inc. (UNH)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$5,001 - \$15,000
1.56	Walmart, Inc. (WMT)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$1,001 - \$2,500
1.57	Waste Management, Inc. (WM)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$1,001 - \$2,500
1.58	Xylem, Inc. (XYL)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$1,001 - \$2,500
1.59	Zoetis, Inc. (ZTS)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$2,501 - \$5,000
2	Roth IRA	No			
2.1	AB All Market Real Return Portfolio Advisor Class Shares (AMTYX)	Yes	\$50,001 - \$100,000		\$5,001 - \$15,000
3	Alliant Energy Corp. (LNT)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$201 - \$1,000
4	Eaton Corp. Plc (ETN)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
5	Etsy, Inc. (ETSY)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6	iShares ESG MSCI USA ETF (ESGU)	Yes	\$500,001 - \$1,000,000		None (or less than \$201)
7	Keysight Technologies, Inc. (KEYS)	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
8	NVIDIA Corp. (NVDA)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$1,001 - \$2,500
9	Oracle Corp. (ORCL)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$201 - \$1,000
10	STERIS Plc (Ireland) (STE)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$201 - \$1,000
11	Teradyne, Inc. (TER)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$201 - \$1,000
12	Visa, Inc. (V)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$1,001 - \$2,500

### 3. Filer's Employment Agreements and Arrangements

None

### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

### 5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Alliance Bernstein LLC	N/A		Earned Income (Salary and bonus)	
2	401K	No			
2.1	AB Global Core Equity Fund	Yes	Over \$1,000,000		\$5,001 - \$15,000
2.2	AB Global REIT Fund	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500

## 6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	AB Discovery Growth Fund	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
2	AB Discovery Value Fund	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
3	AB Multi Manager Alternative Fund	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
4	AllianceBernstein Holding LP	N/A	\$250,001 - \$500,000	Dividends	\$15,001 - \$50,000
5	American Electric Power	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
6	Apple Inc.	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
7	Bank of America Corp	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$1,001 - \$2,500
8	AB Emerging Markets Portfolio	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9	AB International Strategic Equities Fund	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
10	Comcast Corp	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
11	Costco Wholesale Corp	N/A	\$1,001 - \$15,000		None (or less than \$201)
12	eBay Inc.	N/A	\$1,001 - \$15,000		None (or less than \$201)
13	EOG Resources Inc	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
14	Meta Platforms (FB)	N/A	\$15,001 - \$50,000	Capital Gains	\$1,001 - \$2,500
15	Goldman Sachs Group Inc	N/A	\$15,001 - \$50,000	Capital Gains Dividends	\$201 - \$1,000
16	Alphabet Inc Class C	N/A	\$50,001 - \$100,000	Capital Gains	\$2,501 - \$5,000
17	Home Depot Inc	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$201 - \$1,000
18	Intuitive Surgical Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
19	Johnson & Johnson	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$1,001 - \$2,500
20	L3 Technologies Inc	N/A	\$1,001 - \$15,000	Capital Gains Dividends	\$201 - \$1,000
21	Medtronic Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
22	Merck & Co Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
23	Microsoft Corp	N/A	\$50,001 - \$100,000	Capital Gains Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
24	NIKE Inc B	N/A	\$15,001 - \$50,000		None (or less than \$201)
25	Oracle Corp	N/A	\$1,001 - \$15,000		None (or less than \$201)
26	PepsiCo Inc	N/A	\$1,001 - \$15,000	Capital Gains Dividends	\$1,001 - \$2,500
27	Pfizer Inc	N/A	\$1,001 - \$15,000	Capital Gains Dividends	\$201 - \$1,000
28	Starbucks Corp	N/A	\$1,001 - \$15,000		None (or less than \$201)
29	Unitedhealth Group Inc	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
30	Verizon Communications Inc	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$201 - \$1,000
31	Visa Inc	N/A	\$15,001 - \$50,000		None (or less than \$201)
32	Wells Fargo & Co	N/A	\$15,001 - \$50,000		None (or less than \$201)
33	U.S. bank #1	N/A	\$250,001 - \$500,000		None (or less than \$201)
34	Magna International Inc	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$2,501 - \$5,000
35	Ross Stores Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
36	AB Small Cap Core Portfolio	Yes	\$15,001 - \$50,000		None (or less than \$201)
37	AB Small Cap Growth Portfolio	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
38	AB Sustainable Global	Yes	\$100,001 - \$250,000		None (or less than \$201)
39	AB Value Fund	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
40	Adobe Systems Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
41	Allstate Corp	N/A	\$1,001 - \$15,000		None (or less than \$201)
42	CDW Corporation - Common Stock	N/A	\$1,001 - \$15,000		None (or less than \$201)
43	Eaton Corp plc	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
44	Edwards Lifesciences Corp	N/A	\$1,001 - \$15,000		None (or less than \$201)
45	Honeywell Intl Inc	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$2,501 - \$5,000
46	Mid-American Apartment Comm	N/A	\$1,001 - \$15,000	Capital Gains Dividends	\$201 - \$1,000
47	Norfolk Southern Corp	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$1,001 - \$2,500
48	Procter & Gamble	N/A	\$15,001 - \$50,000	Capital Gains Dividends	\$201 - \$1,000
49	Progressive Corp	N/A	\$1,001 - \$15,000	Capital Gains Dividends	\$1,001 - \$2,500
50	Texas Instruments Inc	N/A	\$1,001 - \$15,000	Capital Gains Dividends	\$5,001 - \$15,000
51	TJX Cos Inc	N/A	\$1,001 - \$15,000	Capital Gains Dividends	\$1,001 - \$2,500
52	Wal-Mart Stores	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
53	Xilinx Inc	N/A	\$1,001 - \$15,000	Capital Gains Dividends	\$2,501 - \$5,000
54	Zoetis Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
55	Anthem Inc	N/A	\$1,001 - \$15,000	Capital Gains Dividends	\$201 - \$1,000
56	Autozone Inc	N/A	\$1,001 - \$15,000	Capital Gains	\$1,001 - \$2,500
57	CBRE Group Inc	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
58	Chevron Corp	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
59	Citigroup Inc	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
60	CME Group Inc	N/A	\$1,001 - \$15,000	Capital Gains Dividends	\$201 - \$1,000
61	Sun Communities Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
62	Vertex Pharmaceuticals Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
63	Westlake Chemical Corp	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$1,001 - \$2,500
64	Amazon.com, Inc. (AMZN)	N/A	\$15,001 - \$50,000		None (or less than \$201)
65	Automatic Data Processing, Inc. (ADP)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$1,001 - \$2,500
66	CubeSmart (CUBE)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$201 - \$1,000
67	Dollar General Corp	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
68	Electronic Arts Inc	N/A	\$1,001 - \$15,000	Capital Gains Dividends	\$1,001 - \$2,500
69	NextEra Energy, Inc. (NEE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
70	PayPal Holdings, Inc. (PYPL)	N/A	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
71	PNC Financial Services Group	N/A	\$1,001 - \$15,000	Capital Gains Dividends	\$201 - \$1,000
72	Regeneron Pharmaceuticals, Inc. (REGN)	N/A	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
73	Roche Holding LTD ADR	N/A	\$15,001 - \$50,000	Capital Gains Dividends	\$201 - \$1,000
74	AECOM (ACM)	N/A	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
75	Align Technology, Inc. (ALGN)	N/A	\$1,001 - \$15,000	Capital Gains	\$2,501 - \$5,000
76	American Campus Communities, Inc. (ACC)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
77	American Tower Corporation (REIT) (AMT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
78	Americold Realty Trust, Inc. (COLD)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
79	Booz Allen Hamilton Holding Corp. (BAH)	N/A	\$1,001 - \$15,000		None (or less than \$201)
80	ConocoPhillips (COP)	N/A	\$1,001 - \$15,000	Capital Gains Dividends	\$1,001 - \$2,500
81	CSX Corp. (CSX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
82	Deckers Outdoor Corp. (DECK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
83	Genpact Ltd. (G)	N/A	\$1,001 - \$15,000	Capital Gains Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
84	Ingersoll Rand, Inc. (IR)	N/A	\$1,001 - \$15,000	Capital Gains	\$1,001 - \$2,500
85	KLA Corp. (KLAC)	N/A	\$1,001 - \$15,000	Capital Gains Dividends	\$2,501 - \$5,000
86	Knight-Swift Transportation Holdings, Inc. (KNX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
87	LKQ Corp. (LKQ)	N/A	\$1,001 - \$15,000		None (or less than \$201)
88	LPL Financial Holdings, Inc. (LPLA)	N/A	\$1,001 - \$15,000	Capital Gains Dividends	\$1,001 - \$2,500
89	LyondellBasell Industries NV (LYB)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$1,001 - \$2,500
90	Masco Corp. (MAS)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$201 - \$1,000
91	NVIDIA Corp. (NVDA)	N/A	\$15,001 - \$50,000		None (or less than \$201)
92	NXP Semiconductors NV	N/A	\$1,001 - \$15,000	Capital Gains Dividends	\$201 - \$1,000
93	Prologis, Inc. (PLD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
94	QUALCOMM, Inc. (QCOM)	N/A	\$15,001 - \$50,000	Capital Gains Dividends	\$201 - \$1,000
95	Regal Rexnord Corp	N/A	\$1,001 - \$15,000		\$201 - \$1,000
96	Robert Half International, Inc. (RHI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
97	Southwest Airlines Co. (LUV)	N/A	\$1,001 - \$15,000		None (or less than \$201)
98	Stellantis NV	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
99	Take-Two Interactive Software, Inc. (TTWO)	N/A	\$1,001 - \$15,000	Capital Gains	\$1,001 - \$2,500
100	United Rentals, Inc. (URI)	N/A	\$1,001 - \$15,000	Capital Gains	\$2,501 - \$5,000
101	Analog Devices, Inc. (ADI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
102	The Coca-Cola Co. (KO)	N/A	\$1,001 - \$15,000	Capital Gains Dividends	\$201 - \$1,000
103	Constellation Brands, Inc. (STZ)	N/A	\$1,001 - \$15,000		None (or less than \$201)
104	Etsy, Inc. (ETSY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
105	Goodyear Tire & Rubber Co. (GT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
106	Intel Corp. (INTC)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
107	Linde Plc (LIN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
108	Oshkosh Corp. (OSK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
109	T-Mobile US, Inc. (TMUS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
110	Personal Real Estate Property	N/A	\$250,001 - \$500,000		None (or less than \$201)

## 7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	Ares Management Corp. (ARES)	Purchase	10/26/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
2	KLA Corp. (KLAC)	Purchase	10/06/2021	\$1,001 - \$15,000
3	Raytheon Co. (RTN)	Purchase	10/07/2021	\$1,001 - \$15,000
4	Oshkosh Corp. (OSK)	Purchase	10/22/2021	\$1,001 - \$15,000
5	KLA Corp. (KLAC)	Purchase	10/15/2021	\$1,001 - \$15,000
6	Raytheon Co. (RTN)	Purchase	10/18/2021	\$1,001 - \$15,000
7	Keysight Technologies, Inc. (KEYS)	Purchase	10/25/2021	\$1,001 - \$15,000
8	Raymond James Financial, Inc. (RJF)	Purchase	10/25/2021	\$1,001 - \$15,000
9	Raymond James Financial, Inc. (RJF)	Purchase	10/26/2021	\$1,001 - \$15,000
10	Align Technology, Inc. (ALGN)	Purchase	10/26/2021	\$1,001 - \$15,000
11	Etsy, Inc. (ETSY)	Purchase	10/26/2021	\$1,001 - \$15,000
12	CubeSmart (CUBE)	Purchase	10/26/2021	\$1,001 - \$15,000
13	Walmart, Inc. (WMT)	Purchase	10/26/2021	\$1,001 - \$15,000
14	Merck & Co., Inc. (MRK)	Purchase	10/26/2021	\$1,001 - \$15,000
15	Mastercard, Inc. (MA)	Purchase	10/26/2021	\$1,001 - \$15,000
16	JPMorgan Chase & Co. (JPM)	Purchase	10/26/2021	\$1,001 - \$15,000
17	Comcast Corp. (CMCSA)	Purchase	10/26/2021	\$1,001 - \$15,000
18	Abbott Laboratories (ABT)	Purchase	10/26/2021	\$1,001 - \$15,000
19	Align Technology, Inc. (ALGN)	Purchase	10/28/2021	\$1,001 - \$15,000
20	3M Co. (MMM)	Sale	12/21/2021	\$1,001 - \$15,000
21	Abbott Laboratories (ABT)	Sale	12/21/2021	\$1,001 - \$15,000
22	Adobe, Inc. (ADBE)	Sale	01/03/2022	\$1,001 - \$15,000
23	AECOM (ACM)	Sale	01/03/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
24	Align Technology, Inc. (ALGN)	Sale	01/03/2022	\$1,001 - \$15,000
25	Alliant Energy Corp. (LNT)	Sale	12/21/2021	\$1,001 - \$15,000
26	Allstate Corp (ALL)	Sale	01/03/2022	\$1,001 - \$15,000
27	Alphabet, Inc. (GOOG)	Sale	01/03/2022	\$50,001 - \$100,000
28	Alphabet, Inc. (GOOG)	Sale	12/21/2021	\$15,001 - \$50,000
29	Amazon.com, Inc. (AMZN)	Sale	01/03/2022	\$15,001 - \$50,000
30	American Campus Communities, Inc. (ACC)	Sale	01/03/2022	\$1,001 - \$15,000
31	Amazon.com, Inc. (AMZN)	Sale	12/21/2021	\$15,001 - \$50,000
32	American Electric Power Co., Inc. (AEP)	Sale	01/03/2022	\$1,001 - \$15,000
33	American Tower Corporation (REIT) (AMT)	Sale	01/03/2022	\$1,001 - \$15,000
34	American Water Works Co., Inc. (AWK)	Sale	12/21/2021	\$1,001 - \$15,000
35	Analog Devices, Inc. (ADI)	Sale	01/03/2022	\$1,001 - \$15,000
36	Anthem, Inc. (ANTM)	Sale	01/03/2022	\$1,001 - \$15,000
37	Anthem, Inc. (ANTM)	Sale	12/21/2021	\$15,001 - \$50,000
38	Apple, Inc. (AAPL)	Sale	01/03/2022	\$15,001 - \$50,000
39	Apple, Inc. (AAPL)	Sale	12/21/2021	\$50,001 - \$100,000
40	Ares Management Corp. (ARES)	Sale	12/21/2021	\$1,001 - \$15,000
41	ASML Holding NV-NY REG SHS	Sale	12/21/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
42	Bank of America Corp. (BAC)	Sale	01/03/2022	\$15,001 - \$50,000
43	Automatic Data Processing, Inc. (ADP)	Sale	01/03/2022	\$15,001 - \$50,000
44	Booz Allen Hamilton Holding Corp. (BAH)	Sale	01/03/2022	\$1,001 - \$15,000
45	Booz Allen Hamilton Holding Corp. (BAH)	Sale	12/16/2021	\$1,001 - \$15,000
46	Broadcom, Inc. (AVGO)	Sale	12/21/2021	\$15,001 - \$50,000
47	Brookfield Renewable (BEP)	Sale	12/21/2021	\$1,001 - \$15,000
48	CarMax, Inc. (KMX)	Sale	12/21/2021	\$1,001 - \$15,000
49	CBRE Group, Inc. (CBRE)	Sale	12/21/2021	\$1,001 - \$15,000
50	Chegg, Inc. (CHGG)	Sale	12/16/2021	\$1,001 - \$15,000
51	Chevron Corp. (CVX)	Sale	01/03/2022	\$1,001 - \$15,000
52	Ciena Corp. (CIEN)	Sale	12/21/2021	\$1,001 - \$15,000
53	Cigna Corp. (CI)	Sale	12/21/2021	\$1,001 - \$15,000
54	Citigroup, Inc. (C)	Sale	01/03/2022	\$1,001 - \$15,000
55	Citigroup, Inc. (C)	Sale	12/16/2021	\$1,001 - \$15,000
56	Citigroup, Inc. (C)	Sale	12/21/2021	\$1,001 - \$15,000
57	Citrix Systems, Inc. (CTXS)	Sale	12/16/2021	\$1,001 - \$15,000
58	CME Group, Inc. (CME)	Sale	01/03/2022	\$1,001 - \$15,000
59	The Coca-Cola Co. (KO)	Sale	01/03/2022	\$1,001 - \$15,000
60	The Coca-Cola Co. (KO)	Sale	12/16/2021	\$1,001 - \$15,000
61	Comcast Corp. (CMCSA)	Sale	01/03/2022	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
62	Comcast Corp. (CMCSA)	Sale	12/21/2021	\$1,001 - \$15,000
63	Constellation Brands, Inc. (STZ)	Sale	01/03/2022	\$1,001 - \$15,000
64	Costco Wholesale Corp. (COST)	Sale	12/21/2021	\$1,001 - \$15,000
65	Costco Wholesale Corp. (COST)	Sale	01/03/2022	\$1,001 - \$15,000
66	CSX Corp. (CSX)	Sale	01/03/2022	\$1,001 - \$15,000
67	Eaton Corp. Plc (ETN)	Sale	01/03/2022	\$1,001 - \$15,000
68	CubeSmart (CUBE)	Sale	12/21/2021	\$1,001 - \$15,000
69	eBay, Inc. (EBAY)	Sale	01/03/2022	\$1,001 - \$15,000
70	Electronic Arts, Inc. (EA)	Sale	01/03/2022	\$1,001 - \$15,000
71	Electronic Arts, Inc. (EA)	Sale	12/16/2021	\$1,001 - \$15,000
72	Etsy, Inc. (ETSY)	Sale	12/21/2021	\$1,001 - \$15,000
73	EOG Resources, Inc. (EOG)	Sale	01/03/2022	\$1,001 - \$15,000
74	FleetCor Technologies, Inc. (FLT)	Sale	12/16/2021	\$1,001 - \$15,000
75	General Motors Co. (GM)	Sale	12/21/2021	\$1,001 - \$15,000
76	Genpact Ltd. (G)	Sale	01/03/2022	\$1,001 - \$15,000
77	Gentex Corp. (GNTX)	Sale	12/21/2021	\$1,001 - \$15,000
78	The Goldman Sachs Group, Inc. (GS)	Sale	01/03/2022	\$1,001 - \$15,000
79	The Goldman Sachs Group, Inc. (GS)	Sale	12/21/2021	\$15,001 - \$50,000
80	Goodyear Tire & Rubber Co. (GT)	Sale	01/03/2022	\$1,001 - \$15,000
81	The Home Depot, Inc. (HD)	Sale	01/03/2022	\$15,001 - \$50,000
82	The Home Depot, Inc. (HD)	Sale	12/21/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
83	Hubbell, Inc. (HUBB)	Sale	12/21/2021	\$1,001 - \$15,000
84	JPMorgan Chase & Co. (JPM)	Sale	12/21/2021	\$1,001 - \$15,000
85	Johnson & Johnson	Sale	01/03/2022	\$1,001 - \$15,000
86	Keysight Technologies, Inc. (KEYS)	Sale	12/21/2021	\$15,001 - \$50,000
87	KLA Corp. (KLAC)	Sale	01/03/2022	\$1,001 - \$15,000
88	Knight-Swift Transportation Holdings, Inc. (KNX)	Sale	01/03/2022	\$1,001 - \$15,000
89	Knight-Swift Transportation Holdings, Inc. (KNX)	Sale	12/21/2021	\$1,001 - \$15,000
90	L3Harris Technologies, Inc. (LHX)	Sale	01/03/2022	\$1,001 - \$15,000
91	Linde Plc (LIN)	Sale	01/03/2022	\$1,001 - \$15,000
92	LKQ Corp. (LKQ)	Sale	12/21/2021	\$1,001 - \$15,000
93	Lowe's COS INC	Sale	12/21/2021	\$1,001 - \$15,000
94	Mastercard, Inc. (MA)	Sale	12/21/2021	\$1,001 - \$15,000
95	Medtronic Plc (MDT)	Sale	01/03/2022	\$1,001 - \$15,000
96	Medtronic Plc (MDT)	Sale	12/16/2021	\$1,001 - \$15,000
97	Merck & Co., Inc. (MRK)	Sale	01/03/2022	\$1,001 - \$15,000
98	Merck & Co., Inc. (MRK)	Sale	12/21/2021	\$15,001 - \$50,000
99	Meta Platforms	Sale	01/03/2022	\$1,001 - \$15,000
100	Meta Platforms	Sale	12/21/2021	\$15,001 - \$50,000
101	Microsoft Corp. (MSFT)	Sale	01/03/2022	\$50,001 - \$100,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
102	Mid-American Apartment Comm	Sale	12/21/2021	\$1,001 - \$15,000
103	Microsoft Corp. (MSFT)	Sale	12/21/2021	\$50,001 - \$100,000
104	Nestle SA	Sale	12/21/2021	\$1,001 - \$15,000
105	NextEra Energy, Inc. (NEE)	Sale	01/03/2022	\$1,001 - \$15,000
106	NIKE, Inc. (NKE)	Sale	12/21/2021	\$1,001 - \$15,000
107	Norfolk Southern Corp. (NSC)	Sale	12/21/2021	\$1,001 - \$15,000
108	NXP Semiconductors NV	Sale	01/03/2022	\$1,001 - \$15,000
109	NortonLifeLock Inc	Sale	12/16/2021	\$1,001 - \$15,000
110	Oracle Corp. (ORCL)	Sale	01/03/2022	\$1,001 - \$15,000
111	Oshkosh Corp. (OSK)	Sale	01/03/2022	\$1,001 - \$15,000
112	Otis Worldwide Corp	Sale	12/21/2021	\$1,001 - \$15,000
113	PayPal Holdings, Inc. (PYPL)	Sale	12/21/2021	\$1,001 - \$15,000
114	Pfizer Inc. (PFE)	Sale	01/03/2022	\$1,001 - \$15,000
115	Procter & Gamble Co. (PG)	Sale	01/03/2022	\$15,001 - \$50,000
116	PulteGroup, Inc. (PHM)	Sale	12/21/2021	\$1,001 - \$15,000
117	QUALCOMM, Inc. (QCOM)	Sale	12/21/2021	\$1,001 - \$15,000
118	Raymond James Financial, Inc. (RJF)	Sale	12/21/2021	\$1,001 - \$15,000
119	Raytheon Co. (RTN)	Sale	12/16/2021	\$1,001 - \$15,000
120	Regal Rexnord Corp	Sale	01/03/2022	\$1,001 - \$15,000
121	Roche Holdings Ltd	Sale	01/03/2022	\$15,001 - \$50,000
122	Southwest Airlines Co. (LUV)	Sale	01/03/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
123	Starbucks Corp. (SBUX)	Sale	01/03/2022	\$1,001 - \$15,000
124	Stellantis NV	Sale	01/03/2022	\$1,001 - \$15,000
125	Take-Two Interactive Software, Inc. (TTWO)	Sale	01/03/2022	\$1,001 - \$15,000
126	Target Corp. (TGT)	Sale	12/21/2021	\$1,001 - \$15,000
127	Teradyne, Inc. (TER)	Sale	12/21/2021	\$1,001 - \$15,000
128	TJX Companies	Sale	01/03/2022	\$1,001 - \$15,000
129	T-Mobile US, Inc. (TMUS)	Sale	01/03/2022	\$1,001 - \$15,000
130	T-Mobile US, Inc. (TMUS)	Sale	12/16/2021	\$1,001 - \$15,000
131	UnitedHealth Group, Inc. (UNH)	Sale	01/03/2022	\$1,001 - \$15,000
132	UnitedHealth Group, Inc. (UNH)	Sale	12/21/2021	\$1,001 - \$15,000
133	Verizon Communications, Inc. (VZ)	Sale	12/21/2021	\$15,001 - \$50,000
134	Vertex Pharmaceuticals, Inc. (VRTX)	Sale	01/03/2022	\$1,001 - \$15,000
135	Vertex Pharmaceuticals, Inc. (VRTX)	Sale	12/16/2021	\$1,001 - \$15,000
136	Vertex Pharmaceuticals, Inc. (VRTX)	Sale	12/21/2021	\$1,001 - \$15,000
137	Visa, Inc. (V)	Sale	01/03/2022	\$1,001 - \$15,000
138	Visa, Inc. (V)	Sale	12/16/2021	\$1,001 - \$15,000
139	Walmart, Inc. (WMT)	Sale	01/03/2022	\$1,001 - \$15,000
140	Wells Fargo & Co	Sale	01/03/2022	\$15,001 - \$50,000
141	Walmart, Inc. (WMT)	Sale	12/21/2021	\$1,001 - \$15,000
142	Western Digital Corp. (WDC)	Sale	12/16/2021	\$1,001 - \$15,000
143	Zimmer Biomet Holdings, Inc. (ZBH)	Sale	12/16/2021	\$1,001 - \$15,000

## 8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Nelnet	Student Loan	\$50,001 - \$100,000	2003	2.875%	30 years
2	Nelnet	Student Loan	\$15,001 - \$50,000	2003	3.375%	30 years
3	Sanford C. Bernstein & Co. LLC	Margin Balance	\$100,001 - \$250,000	2018	Federal Funds Rate + 0.55%	Revolving
4	Cenlar	Mortgage on Personal Residence	\$500,001 - \$1,000,000	2016	3.75%	30 years

## 9. Gifts and Travel Reimbursements

None

## Endnotes

PART	#	ENDNOTE
1.	2	I was appointed to this Board the week before I was alerted by the WH that I would be moving forward in the nominations process.

# Summary of Contents

## 1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

## 2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

#### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

#### 5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

#### 6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

## 8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

## 9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

## Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

## Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).

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