

Executive Branch Personnel

Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Naimon, David

Deputy Associate General Counsel for Public Health and Science, Department of Health & Human Services

Report Year: 2023

Other Federal Government Positions Held During the Preceding 12 Months:

None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Naimon, David [electronically signed on 08/07/2023 by Naimon, David in Integrity.gov] - Filer received a 90 day filing extension.

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Olesh, Stanley, Certifying Official [electronically signed on 09/19/2023 by Olesh, Stanley in Integrity.gov]

Other review conducted by

/s/ Olesh, Stanley, Ethics Official [electronically signed on 09/19/2023 by Olesh, Stanley in Integrity.gov]

U.S. Office of Government Ethics Certification

Data Revised 11/14/2023

Data Revised 09/18/2023

Comments of Reviewing Officials (public annotations):

PART	#	REFERENCE	COMMENT
N/A	N/A	General	(09/18/2023, Olesh, Stanley): divested health-related assets from spousal inherited trust - SO
N/A	N/A	General	(09/18/2023, Olesh, Stanley): continuing relevant recusals from matters affecting Costco & B-H -- SO

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Montgomery County Board of Elections	Gaithersburg, Maryland	Government Agency	Member	6/2011	Present

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Montgomery County Board of Elections See Endnote	N/A		Salary + canvass stipends	\$13,750
2	SSGA Target Retirement 2030 (457 Deferred Compensation Plan)	Yes	\$50,001 - \$100,000		None (or less than \$201)
3	T Rowe Price Global Technology (Roth IRA) See Endnote	Yes	None (or less than \$1,001)		None (or less than \$201)
4	SSGA Fidelity Growth Company Commingled Pool (457 Deferred Compensation Plan)	Yes	\$50,001 - \$100,000		None (or less than \$201)
5	T Rowe Price Global Technology Inherited IRA See Endnote	Yes	\$1,001 - \$15,000		\$201 - \$1,000
6	T Rowe Price Global Technology Class I Shares See Endnote	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Montgomery County Government	Rockville, Maryland	I will continue to participate in this 457 defined contribution plan.	6/2011

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Montgomery County Public Schools	See Endnote	N/A		salary	
2	State of Maryland		N/A		salary	
3	T Rowe Price Retirement 2025 (Rollover IRA)		Yes	\$50,001 - \$100,000		None (or less than \$201)
4	Vanguard Institutional Target Retirement 2025 (403(B))	See Endnote	Yes	None (or less than \$1,001)		None (or less than \$201)
5	T Rowe Price Mid-Cap Growth (Roth IRA)	See Endnote	Yes	None (or less than \$1,001)		None (or less than \$201)
6	Parnassus Core Equity Fund Institutional Shares (PRILX) (403(B))		Yes	\$15,001 - \$50,000		None (or less than \$201)
7	T Rowe Price Mid-Cap Growth Fund, Inc Class I Shares (RPTIX)	See Endnote	Yes	\$50,001 - \$100,000		None (or less than \$201)
8	Vanguard Target Retirement 2025 Fund Investor Class Shares (VTTVX)	See Endnote	Yes	\$500,001 - \$1,000,000		None (or less than \$201)
9	Maryland State Retirement and Pension System, defined benefit plan (value not readily attainable)		N/A		retirement payments	
10	Montgomery County Public Schools, defined benefit plan (value not readily attainable)	See Endnote	N/A		supplemental retirement payments	

6. Other Assets and Income

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Berkshire Hathaway B Stock		N/A	\$15,001 - \$50,000		None (or less than \$201)
2	Costco Wholesale Corporation - Common Stock		N/A	\$15,001 - \$50,000		None (or less than \$201)
3	Google Inc. - Class A Common Stock		N/A	\$1,001 - \$15,000		None (or less than \$201)
4	T. Rowe Price Communications & Technology	See Endnote	Yes	None (or less than \$1,001)		None (or less than \$201)
5	U.S. bank accounts #1 - Cash Accounts		N/A	\$1,001 - \$15,000	Interest	None (or less than \$201)
6	U.S. bank accounts #2 - Cash Accounts		N/A	\$250,001 - \$500,000	Interest	\$2,501 - \$5,000
7	U.S. Savings Bonds		N/A	\$1,001 - \$15,000		None (or less than \$201)
8	Maryland College Investment Plan - Portfolio for Education Today	See Endnote	Yes	None (or less than \$1,001)		None (or less than \$201)
9	U.S. bank accounts #3 - Cash Accounts	See Endnote	N/A	None (or less than \$1,001)		None (or less than \$201)
10	T. Rowe Price Maryland Tax-Free Bond	See Endnote	Yes	None (or less than \$1,001)		\$201 - \$1,000
11	T. Rowe Price Tax-Free High Yield		Yes	\$15,001 - \$50,000		\$201 - \$1,000
12	T. Rowe Price U.S. Treasury Money		Yes	\$1,001 - \$15,000		None (or less than \$201)
13	T. Rowe Price Blue Chip Growth (TRBCX)	See Endnote	Yes	None (or less than \$1,001)		None (or less than \$201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
14	Visa, Inc. (V) Stock		N/A	\$1,001 - \$15,000		None (or less than \$201)
15	Maryland College Investment Plan - Portfolio 2024	See Endnote	Yes	None (or less than \$1,001)		None (or less than \$201)
16	American Express Co. (AXP) Stock		N/A	\$1,001 - \$15,000		None (or less than \$201)
17	PayPal Holdings, Inc. (PYPL) Stock		N/A	None (or less than \$1,001)		None (or less than \$201)
18	T. Rowe Price Group, Inc. (TROW) Stock		N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
19	Discover Financial Services (DFS) Stock		N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
20	T Rowe Price Equity Income ETF		Yes	\$1,001 - \$15,000		None (or less than \$201)
21	T Rowe Price All-Cap Opportunities Fund (PRWAX)		Yes	\$1,001 - \$15,000		\$201 - \$1,000
22	Virtus KAR Small-Cap Growth Fund Class R6 Shares (VRSGX) (Health Savings Account)		Yes	\$1,001 - \$15,000		None (or less than \$201)
23	T Rowe Price Communications & Technology, Inc Class I Shares (TTMIX)	See Endnote	Yes	\$100,001 - \$250,000		\$15,001 - \$50,000
24	T Rowe Price Maryland Tax-Free Bond Fund Class I Shares (TFBIX)	See Endnote	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
25	T Rowe Price Blue Chip Growth Fund, Inc Class I Shares (TBCIX)	See Endnote	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
26	Vanguard 500 Index Fund Admiral Shares (VFIAX) (Health Savings Account)		Yes	\$1,001 - \$15,000		None (or less than \$201)
27	Fidelity Treasury Money Market FundFidelity Treasury Money Market (FZFX)		Yes	\$1,001 - \$15,000		None (or less than \$201)
28	U.S. Bank Account #4			\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
29	Family Trust #1	See Endnote	No			
29.1	U.S. Bank Account #5	See Endnote	N/A	\$50,001 - \$100,000		None (or less than \$201)
29.2	BML Traditional IRA - cash	See Endnote	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
29.3	ASL Traditional IRA - cash	See Endnote	N/A	\$15,001 - \$50,000	Dividends Interest	\$1,001 - \$2,500
29.4	Morgan Stanley Cash Account (IRA Holding)	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
29.5	EuroPacific Growth Fund Class F2 Shares (AEPFX)	See Endnote	Yes	\$1,001 - \$15,000		None (or less than \$201)
29.6	Growth Fund of America Class F2 Shares (GFFFX)	See Endnote	Yes	\$1,001 - \$15,000		None (or less than \$201)
29.7	BlackRock Core Bond Portfolio Institutional Shares (BFMCX)	See Endnote	Yes	\$1,001 - \$15,000		None (or less than \$201)
29.8	John Hancock Classic Value Fund Class I Shares (JCVIX)	See Endnote	Yes	\$1,001 - \$15,000		None (or less than \$201)
29.9	Transamerica Mid Cap Value Opportunities Class I Shares (MVTIX)	See Endnote	Yes	\$1,001 - \$15,000		None (or less than \$201)
29.10	Victory RS Partners Fund Class Y Shares (RSPYX)	See Endnote	Yes	\$1,001 - \$15,000		None (or less than \$201)
29.11	Premier US Govt Money Port Inst CI Shs (IUGXX)	See Endnote	Yes	\$1,001 - \$15,000		None (or less than \$201)
29.12	EuroPacific Growth Fund Class A Shares (AEPGX)	See Endnote	Yes	\$1,001 - \$15,000		None (or less than \$201)
29.13	Growth Fund of America Class A Shares (AGTHX)	See Endnote	Yes	\$1,001 - \$15,000		None (or less than \$201)
29.14	BlackRock Core Bond Portfolio Investor A Shares (CBAX)	See Endnote	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
29.15	John Hancock Classic Value Fund Class A Shares (PZFBVX)	See Endnote	Yes	\$1,001 - \$15,000		None (or less than \$201)
29.16	PIMCO Low Duration Fund Class A Shares (PTLAX)	See Endnote	Yes	\$1,001 - \$15,000		None (or less than \$201)
29.17	Transamerica Mid Cap Value Opportunities Class A Shares (MCAVAX)	See Endnote	Yes	\$1,001 - \$15,000		None (or less than \$201)
29.18	Victory RS Partners Fund Class A Shares (RSPFX)	See Endnote	Yes	\$1,001 - \$15,000		None (or less than \$201)

7. Transactions

#	DESCRIPTION		TYPE	DATE	AMOUNT
1	40 shares Paypal (PYPL)		Sale	12/30/2022	\$1,001 - \$15,000
2	T Rowe Price Blue Chip Growth Fund, Inc (TRBCX)	See Endnote	Exchange	01/18/2022	\$1,001 - \$15,000
3	T Rowe Price Blue Chip Growth Fund, Inc (TRBCX)	See Endnote	Exchange	02/18/2022	\$1,001 - \$15,000
4	T Rowe Price Blue Chip Growth Fund, Inc Class I Shares (TBCIX)	See Endnote	Exchange	03/18/2022	\$1,001 - \$15,000
5	T Rowe Price Blue Chip Growth Fund, Inc Class I Shares (TBCIX)	See Endnote	Exchange	04/18/2022	\$1,001 - \$15,000
6	T Rowe Price Blue Chip Growth Fund, Inc Class I Shares (TBCIX)	See Endnote	Exchange	05/18/2022	\$1,001 - \$15,000
7	T Rowe Price Blue Chip Growth Fund, Inc Class I Shares (TBCIX)	See Endnote	Exchange	06/21/2022	\$1,001 - \$15,000
8	T Rowe Price Blue Chip Growth Fund, Inc Class I Shares (TBCIX)	See Endnote	Exchange	07/18/2022	\$1,001 - \$15,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
9	T Rowe Price Blue Chip Growth Fund, Inc Class I Shares (TBCIX)	See Endnote	Exchange	08/18/2022	\$1,001 - \$15,000
10	T Rowe Price Blue Chip Growth Fund, Inc Class I Shares (TBCIX)	See Endnote	Exchange	09/19/2022	\$1,001 - \$15,000
11	T Rowe Price Blue Chip Growth Fund, Inc Class I Shares (TBCIX)	See Endnote	Exchange	10/18/2022	\$1,001 - \$15,000
12	T Rowe Price Blue Chip Growth Fund, Inc Class I Shares (TBCIX)	See Endnote	Exchange	11/18/2022	\$1,001 - \$15,000
13	T Rowe Price Blue Chip Growth Fund, Inc Class I Shares (TBCIX)	See Endnote	Exchange	12/19/2022	\$1,001 - \$15,000
14	T Rowe Price Blue Chip Growth Fund, Inc Class I Shares (TBCIX)	See Endnote	Purchase	12/13/2022	\$1,001 - \$15,000
15	T Rowe Price Communications & Technology, Inc (PRMTX)	See Endnote	Exchange	01/03/2022	\$1,001 - \$15,000
16	T Rowe Price Communications & Technology, Inc (PRMTX)	See Endnote	Exchange	02/03/2022	\$1,001 - \$15,000
17	T Rowe Price Communications & Technology, Inc Class I Shares (TTMIX)	See Endnote	Exchange	03/03/2022	\$1,001 - \$15,000
18	T Rowe Price Communications & Technology, Inc Class I Shares (TTMIX)	See Endnote	Exchange	04/04/2022	\$1,001 - \$15,000
19	T Rowe Price Communications & Technology, Inc Class I Shares (TTMIX)	See Endnote	Exchange	05/03/2022	\$1,001 - \$15,000
20	T Rowe Price Communications & Technology, Inc Class I Shares (TTMIX)	See Endnote	Exchange	06/03/2022	\$1,001 - \$15,000
21	T Rowe Price Communications & Technology, Inc Class I Shares (TTMIX)	See Endnote	Exchange	07/05/2022	\$1,001 - \$15,000
22	T Rowe Price Communications & Technology, Inc Class I Shares (TTMIX)	See Endnote	Exchange	08/03/2022	\$1,001 - \$15,000
23	T Rowe Price Communications & Technology, Inc Class I Shares (TTMIX)	See Endnote	Exchange	09/06/2022	\$1,001 - \$15,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
24	T Rowe Price Communications & Technology, Inc Class I Shares (TTMIX)	See Endnote	Exchange	10/03/2022	\$1,001 - \$15,000
25	T Rowe Price Communications & Technology, Inc Class I Shares (TTMIX)	See Endnote	Exchange	11/03/2022	\$1,001 - \$15,000
26	T Rowe Price Communications & Technology, Inc Class I Shares (TTMIX)	See Endnote	Exchange	12/05/2022	\$1,001 - \$15,000
27	T Rowe Price Communications & Technology, Inc Class I Shares (TTMIX)	See Endnote	Purchase	12/15/2022	\$15,001 - \$50,000
28	T Rowe Price Maryland Tax-Free Bond Fund (MDXBX)		Purchase	01/21/2022	\$1,001 - \$15,000
29	T Rowe Price Maryland Tax-Free Bond Fund (MDXBX)		Purchase	02/22/2022	\$1,001 - \$15,000
30	T Rowe Price Maryland Tax-Free Bond Fund Class I Shares (TFBIX)		Purchase	03/21/2022	\$1,001 - \$15,000
31	T Rowe Price Maryland Tax-Free Bond Fund Class I Shares (TFBIX)		Purchase	04/21/2022	\$1,001 - \$15,000
32	T Rowe Price Maryland Tax-Free Bond Fund Class I Shares (TFBIX)		Purchase	05/23/2022	\$1,001 - \$15,000
33	T Rowe Price Maryland Tax-Free Bond Fund Class I Shares (TFBIX)		Purchase	06/21/2022	\$1,001 - \$15,000
34	T Rowe Price Maryland Tax-Free Bond Fund Class I Shares (TFBIX)		Purchase	07/21/2022	\$1,001 - \$15,000
35	T Rowe Price Maryland Tax-Free Bond Fund Class I Shares (TFBIX)		Purchase	08/22/2022	\$1,001 - \$15,000
36	T Rowe Price Maryland Tax-Free Bond Fund Class I Shares (TFBIX)		Purchase	09/21/2022	\$1,001 - \$15,000
37	T Rowe Price Maryland Tax-Free Bond Fund Class I Shares (TFBIX)		Purchase	10/21/2022	\$1,001 - \$15,000
38	T Rowe Price Maryland Tax-Free Bond Fund Class I Shares (TFBIX)		Purchase	11/21/2022	\$1,001 - \$15,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
39	T Rowe Price Maryland Tax-Free Bond Fund Class I Shares (TFBIX)		Purchase	12/21/2022	\$1,001 - \$15,000
40	DWS Core Equity Fund Class S Shares (SCDGX)	See Endnote	Sale	10/31/2022	\$1,001 - \$15,000
41	DWS CROCI US Fund Class S Shares (DCUSX)	See Endnote	Sale	10/31/2022	\$1,001 - \$15,000
42	Morgan Stanley	See Endnote	Sale	11/15/2022	\$50,001 - \$100,000
43	Accenture Plc (ACN)	See Endnote	Sale	10/12/2022	\$1,001 - \$15,000
44	Adobe, Inc. (ADBE)	See Endnote	Sale	10/12/2022	\$1,001 - \$15,000
45	Alphabet Class A stock	See Endnote	Sale	10/12/2022	\$1,001 - \$15,000
46	Analog Devices, Inc. (ADI)	See Endnote	Sale	10/12/2022	\$1,001 - \$15,000
47	Apple, Inc. (AAPL)	See Endnote	Sale	10/12/2022	\$1,001 - \$15,000
48	Automatic Data Processing, Inc. (ADP)	See Endnote	Sale	10/12/2022	\$1,001 - \$15,000
49	BP p.l.c. Common Stock (BP)	See Endnote	Sale	10/12/2022	\$1,001 - \$15,000
50	Canadian National Railway Company Common Stock (CNI)	See Endnote	Sale	10/12/2022	\$1,001 - \$15,000
51	Clorox Co (CLX)	See Endnote	Sale	10/12/2022	\$1,001 - \$15,000
52	CME Group, Inc. (CME)	See Endnote	Sale	10/12/2022	\$1,001 - \$15,000
53	Costco Wholesale Corp. (COST)	See Endnote	Sale	10/12/2022	\$1,001 - \$15,000
54	Crown Castle International Corp. (CCI)	See Endnote	Sale	10/12/2022	\$1,001 - \$15,000
55	Ecolab, Inc. (ECL)	See Endnote	Sale	10/12/2022	\$1,001 - \$15,000
56	Electronic Arts, Inc. (EA)	See Endnote	Sale	10/12/2022	\$1,001 - \$15,000
57	Estee Lauder Cos. (EL)	See Endnote	Sale	10/12/2022	\$1,001 - \$15,000
58	The Home Depot, Inc. (HD)	See Endnote	Sale	10/12/2022	\$1,001 - \$15,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
59	Illinois Tool Works, Inc. (ITW)	See Endnote	Sale	10/12/2022	\$1,001 - \$15,000
60	Intercontinental Exchange, Inc. (ICE)	See Endnote	Sale	10/12/2022	\$1,001 - \$15,000
61	J.B. Hunt Transport Services, Inc. (JBHT)	See Endnote	Sale	10/12/2022	\$1,001 - \$15,000
62	McDonald's Corp. (MCD)	See Endnote	Sale	10/12/2022	\$1,001 - \$15,000
63	Merck & Co., Inc. (MRK)	See Endnote	Sale	10/12/2022	\$1,001 - \$15,000
64	Meta Platforms Inc. Class A Common Stock (META)	See Endnote	Sale	10/12/2022	\$1,001 - \$15,000
65	Nuveen Quality Municipal Income Fund (NAD)	See Endnote	Sale	10/12/2022	\$1,001 - \$15,000
66	PayPal Holdings, Inc. (PYPL)	See Endnote	Sale	10/12/2022	\$1,001 - \$15,000
67	PepsiCo, Inc. (PEP)	See Endnote	Sale	10/12/2022	\$1,001 - \$15,000
68	PPG Industries, Inc. (PPG)	See Endnote	Sale	10/12/2022	\$1,001 - \$15,000
69	Rockwell Automation, Inc. (ROK)	See Endnote	Sale	10/12/2022	\$1,001 - \$15,000
70	S&P Global, Inc. (SPGI)	See Endnote	Sale	10/12/2022	\$1,001 - \$15,000
71	Stryker Corp. (SYK)	See Endnote	Sale	10/12/2022	\$1,001 - \$15,000
72	Thermo Fisher Scientific, Inc. (TMO)	See Endnote	Sale	10/12/2022	\$1,001 - \$15,000
73	The TJX Cos., Inc. (TJX)	See Endnote	Sale	10/12/2022	\$1,001 - \$15,000
74	UnitedHealth Group, Inc. (UNH)	See Endnote	Sale	10/12/2022	\$1,001 - \$15,000
75	Visa, Inc. (V)	See Endnote	Sale	10/12/2022	\$1,001 - \$15,000
76	The Walt Disney Co. (DIS)	See Endnote	Sale	10/12/2022	\$1,001 - \$15,000

8. Liabilities

None

9. Gifts and Travel Reimbursements

None

Endnotes

PART	#	ENDNOTE
2.	1	Salary of \$11,500 in 2022 was paid in biweekly increments. Additional amounts were paid as stipends for the Board's canvassing of ballots.
2.	3	T Rowe Price Roth IRA moved assets from Global Technology Fund to Global Technology Fund Class I shares on 2/23/2022.
2.	5	Transferred Inherited IRA from Schwab to T Rowe Price in 2021.
2.	6	T Rowe Price Roth IRA moved assets from Global Technology Fund to Global Technology Fund Class I shares on 2/23/2022.
5.	1	Spouse retired from Montgomery County Public Schools on 6/30/2022
5.	4	Vanguard 403(B) moved assets from Institutional Target Retirement 2025 Fund to Target Retirement 2025 Fund Investor Class on 2/11/2022.
5.	5	T Rowe Price Roth IRA moved assets from Mid-Cap Growth Fund to Mid-Cap Growth Fund Class I shares on 2/23/2022.
5.	7	T Rowe Price Roth IRA moved assets from Mid-Cap Growth Fund to Mid-Cap Growth Fund Class I shares on 2/23/2022.
5.	8	Vanguard 403(B) moved assets from Institutional Target Retirement 2025 Fund to Target Retirement 2025 Fund Investor Class on 2/11/2022.
5.	10	Administered by Aetna Life Insurance Company Large Case Pensions.
6.	4	Moved to Institutional Class shares on 2/23/2022

PART	#	ENDNOTE
6.	8	Portfolio for Education Today had a zero balance as of 12/31/2022.
6.	9	Closed all accounts in this bank in 2022.
6.	10	Switched to Class I shares on 2/23/2022.
6.	13	Switched to I Class shares on 2/23/2022.
6.	15	Portfolio 2024 had a zero balance as of 12/31/2022.
6.	23	Moved to Institutional Shares on 2/23/2022
6.	24	Switched to Class I shares on 2/23/2022.
6.	25	Switched to I Class shares on 2/23/2022.
6.	29	Spouse is one of 3 Trustees. All value estimates are based on her one-third share.
6.	29.1	Family Trust #1 Checking Account
6.	29.2	Family Trust #1 Holding
6.	29.3	Family Trust #1 Holding.
6.	29.4	Family Trust #1 Holding
6.	29.5	Family Trust #1 Holding
6.	29.6	Family Trust #1 IRA Holding
6.	29.7	Family Trust #1 IRA Holding
6.	29.8	Family Trust # 1 IRA Holding
6.	29.9	Family Trust #1 IRA Holding.
6.	29.10	Family Trust # 1 IRA Holding
6.	29.11	Family Trust #1 IRA Holding
6.	29.12	Family Trust #1 IRA Holding
6.	29.13	Family Trust #1 IRA Holding

PART	#	ENDNOTE
6.	29.14	Family Trust #1 IRA Holding
6.	29.15	Family Trust #1 IRA Holding
6.	29.16	Family Trust #1 IRA Holding
6.	29.17	Family Trust #1 IRA Holding
6.	29.18	Family Trust #1 IRA Holding
7.	2	Used T Rowe Price MD Bond Fund Shares to purchase this fund.
7.	3	Used T Rowe Price MD Bond Fund Shares to purchase this fund.
7.	4	Used T Rowe Price MD Bond Fund Shares to purchase this fund.
7.	5	Used T Rowe Price MD Bond Fund Shares to purchase this fund.
7.	6	Used T Rowe Price MD Bond Fund Shares to purchase this fund.
7.	7	Used T Rowe Price MD Bond Fund Shares to purchase this fund.
7.	8	Used T Rowe Price MD Bond Fund Shares to purchase this fund.
7.	9	Used T Rowe Price MD Bond Fund Shares to purchase this fund.
7.	10	Used T Rowe Price MD Bond Fund Shares to purchase this fund.
7.	11	Used T Rowe Price MD Bond Fund Shares to purchase this fund.
7.	12	Used T Rowe Price MD Bond Fund Shares to purchase this fund.
7.	13	Used T Rowe Price MD Bond Fund Shares to purchase this fund.
7.	14	This is a long-term capital gain distribution that was reinvested in the fund.
7.	15	Used T Rowe Price MD Bond Fund Shares to purchase this fund.
7.	16	Used T Rowe Price MD Bond Fund Shares to purchase this fund.
7.	17	Used T Rowe Price MD Bond Fund Shares to purchase this fund.
7.	18	Used T Rowe Price MD Bond Fund Shares to purchase this fund.

PART	#	ENDNOTE
7.	19	Used T Rowe Price MD Bond Fund Shares to purchase this fund.
7.	20	Used T Rowe Price MD Bond Fund Shares to purchase this fund.
7.	21	Used T Rowe Price MD Bond Fund Shares to purchase this fund.
7.	22	Used T Rowe Price MD Bond Fund Shares to purchase this fund.
7.	23	Used T Rowe Price MD Bond Fund Shares to purchase this fund.
7.	24	Used T Rowe Price MD Bond Fund Shares to purchase this fund.
7.	25	Used T Rowe Price MD Bond Fund Shares to purchase this fund.
7.	26	Used T Rowe Price MD Bond Fund Shares to purchase this fund.
7.	27	This is a long-term capital gain distribution that was reinvested in the fund.
7.	40	Sale of Family Trust Asset
7.	41	Sale of Family Trust Asset
7.	42	Redemption of Family Trust Asset
7.	43	Sale of Family Trust Asset
7.	44	Sale of Family Trust Asset
7.	45	Sale of Family Trust Asset
7.	46	Sale of Family Trust Asset
7.	47	Sale of Family Trust Asset
7.	48	Sale of Family Trust Asset
7.	49	Sale of Family Trust Asset
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Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE) Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).
