

## Executive Branch Personnel

### Public Financial Disclosure Report (OGE Form 278e)

#### Filer's Information

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CORSIGLIA, NANCY

Deputy Assistant Secretary-Chief Management Officer, OCHCO, Department of Housing and Urban Development

Report Year: 2019

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Other Federal Government Positions Held During the Preceding 12 Months:

None

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Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ CORSIGLIA, NANCY [electronically signed on 06/25/2019 by CORSIGLIA, NANCY in Integrity.gov] - Filer received a 45 day filing extension.

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Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Baxter, Daniel, Certifying Official [electronically signed on 01/16/2020 by Baxter, Daniel in Integrity.gov]

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Other review conducted by

/s/ Slye, Brandi, Ethics Official [electronically signed on 01/16/2020 by Slye, Brandi in Integrity.gov]

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U.S. Office of Government Ethics Certification

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Data Revised 10/27/2019

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## 1. Filer's Positions Held Outside United States Government

None

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## 2. Filer's Employment Assets & Income and Retirement Accounts

None

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## 3. Filer's Employment Agreements and Arrangements

None

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## 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

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## 5. Spouse's Employment Assets & Income and Retirement Accounts

None

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## 6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Alliance Bernstein Private Credit Fund	Yes	\$250,001 - \$500,000		\$15,001 - \$50,000

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#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2	E-Trade Financial	Yes	\$1,001 - \$15,000		\$201 - \$1,000
3	Fidelity Investments Magellan Fund	Yes	\$1,001 - \$15,000		\$201 - \$1,000
4	Hendry Energy Servicer LLC	No	\$1,001 - \$15,000	Rent or Royalties	\$1,001 - \$2,500
5	Brightwood Capital Fund (via Morgan Stanley)	Yes	\$100,001 - \$250,000		\$15,001 - \$50,000
6	RiverSource Fund	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
7	Principal Financial Group Investment Funds	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
8	Citibank Money Market Account	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
9	Citibank Checking Account	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
10	Bank of America Checking Account	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
11	Greenfield Cooperative Bank Checking Account	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
12	American Water Wrks	No	\$15,001 - \$50,000	Dividends Capital Gains	\$1,001 - \$2,500
13	Merrill Lynch Bank of America	Yes	\$100,001 - \$250,000		None (or less than \$201)
14	Dominion Resources Inc	No	\$15,001 - \$50,000	Dividends Capital Gains	\$201 - \$1,000
15	Edison Intl	No	\$15,001 - \$50,000	Dividends Capital Gains	\$201 - \$1,000
16	Great Plains Energy	No	\$15,001 - \$50,000	Dividends Capital Gains	\$201 - \$1,000
17	Infareit Inc	No	\$15,001 - \$50,000	Dividends Capital Gains	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
18	Portland Gen Elec Co	No	\$15,001 - \$50,000	Dividends Capital Gains	\$1,001 - \$2,500
19	Merrill Lynch Fund	Yes	\$15,001 - \$50,000		\$5,001 - \$15,000
20	Fidelity Investments Pace International Equity Fund	Yes	\$1,001 - \$15,000		\$201 - \$1,000
21	Alliance Bernstein Private Financial Institutions Fund	Yes	\$250,001 - \$500,000		\$15,001 - \$50,000
22	Alliance Bernstein European Opportunities Fund	Yes	\$100,001 - \$250,000		\$15,001 - \$50,000
23	Alliance Bernstein Private Real Estate Fund	Yes	\$100,001 - \$250,000		\$15,001 - \$50,000
24	Fidelity Government Cash Reserves	Yes	\$15,001 - \$50,000		\$201 - \$1,000
25	Fidelity Investments Wells Fargo Bond Fund	Yes	\$15,001 - \$50,000		\$201 - \$1,000
26	Freddie Mac	No	\$1,001 - \$15,000		None (or less than \$201)
27	Amn Elec Power Co	No	\$15,001 - \$50,000	Dividends Capital Gains	\$1,001 - \$2,500
28	Adobe Systems Inc	No	\$50,001 - \$100,000	Capital Gains	\$5,001 - \$15,000
29	Fidelity Investments Pace International Fixed Income Fund	Yes	\$1,001 - \$15,000		\$201 - \$1,000
30	Fannie Mae	No	\$15,001 - \$50,000		None (or less than \$201)
31	Federal National Mortgage Assn 8.25% PFS	No	\$50,001 - \$100,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
32	Freddie Mac 8.375% Pref	No	\$15,001 - \$50,000		None (or less than \$201)
33	MVC Capital	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
34	Bank of America Corp	No	\$15,001 - \$50,000	Dividends Capital Gains Interest	\$201 - \$1,000
35	District of Columbia Ser 2007	No	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
36	Alliant Energy Corp	No	\$15,001 - \$50,000	Capital Gains Dividends	\$2,501 - \$5,000
37	Bright Horizons Family	No	\$15,001 - \$50,000	Capital Gains	\$2,501 - \$5,000
38	Ciena Corp	No	\$15,001 - \$50,000	Capital Gains	\$2,501 - \$5,000
39	First Energy Corp	No	\$50,001 - \$100,000	Dividends Capital Gains	\$5,001 - \$15,000
40	Intuit Inc (INTU)	No	\$15,001 - \$50,000	Capital Gains	\$2,501 - \$5,000
41	Mastercard Inc	No	\$15,001 - \$50,000	Capital Gains Dividends	\$5,001 - \$15,000
42	NextEra Energy Inc	No	\$15,001 - \$50,000	Dividends Capital Gains	\$2,501 - \$5,000
43	O'Reilly Automotive Inc	No	\$15,001 - \$50,000	Capital Gains	\$2,501 - \$5,000
44	Paypal Holdings Inc	No	\$15,001 - \$50,000	Capital Gains	\$2,501 - \$5,000

## 7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	Continental Resources	Purchase	01/19/2018	\$1,001 - \$15,000
2	Lendingtree Inc	Purchase	01/19/18	\$1,001 - \$15,000
3	Avery Dennison Corp	Purchase	01/22/18	\$1,001 - \$15,000
4	United Rentals Inc	Purchase	01/19/18	\$1,001 - \$15,000
5	Marriott Intl Inc	Purchase	01/19/18	\$1,001 - \$15,000
6	SVB Finl Group	Purchase	01/19/18	\$1,001 - \$15,000
7	Lendingtree Inc	Purchase	01/22/18	\$15,001 - \$50,000
8	Marriott Intl Inc	Purchase	01/22/18	\$1,001 - \$15,000
9	SVB Finl Group	Purchase	01/22/18	\$15,001 - \$50,000
10	Avery Dennison Corp	Purchase	01/23/18	\$1,001 - \$15,000
11	United Rentals Inc	Purchase	01/23/18	\$1,001 - \$15,000
12	Marriott Intl	Purchase	01/23/18	\$1,001 - \$15,000
13	Avery Dennison Corp	Purchase	01/24/18	\$1,001 - \$15,000
14	United Rentals Inc	Purchase	01/24/18	\$1,001 - \$15,000
15	Avery Dennison Corp	Purchase	01/25/18	\$1,001 - \$15,000
16	Lendingtree Inc	Purchase	01/26/18	\$1,001 - \$15,000
17	United Rentals Inc	Purchase	01/25/18	\$1,001 - \$15,000
18	United Rentals Inc	Purchase	01/26/18	\$1,001 - \$15,000
19	Advanced Energy Inds Inc	Sale	01/19/18	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
20	Itron Inc	Sale	01/19/18	\$1,001 - \$15,000
21	Avery Dennison Inc	Purchase	01/31/18	\$1,001 - \$15,000
22	Mettler-Toledo Inntl Inc	Sale	01/19/18	\$15,001 - \$50,000
23	Fox Factory Hldgs Corp	Sale	01/22/18	\$1,001 - \$15,000
24	Advanced Energy Inds Inc	Sale	01/22/18	\$15,001 - \$50,000
25	Fox Factory Hldgs Corp	Sale	01/23/18	\$1,001 - \$15,000
26	Fox Factory Hldgs Corp	Sale	01/24/18	\$1,001 - \$15,000
27	Fox Factory Hldgs Corp	Sale	01/25/18	\$1,001 - \$15,000
28	Fox Factory Hldgs Corp	Sale	01/26/18	\$1,001 - \$15,000
29	Amer Express Co	Purchase	02/08/18	\$1,001 - \$15,000
30	Adobe Systems Inc	Purchase	02/08/2018	\$1,001 - \$15,000
31	Amazon Inc	Purchase	02/08/18	\$1,001 - \$15,000
32	Applied Material Inc	Purchase	02/08/18	\$1,001 - \$15,000
33	Continental Resources	Purchase	02/08/18	\$1,001 - \$15,000
34	Fed Ex	Purchase	02/08/18	\$1,001 - \$15,000
35	Honeywell Inc	Purchase	02/08/18	\$1,001 - \$15,000
36	Bright Horizons Family	Purchase	02/08/18	\$1,001 - \$15,000
37	IPG Photonics Corporation	Purchase	02/08/18	\$1,001 - \$15,000
38	Intuit Inc	Purchase	02/08/18	\$1,001 - \$15,000
39	Cadence Design Systems, Inc.	Purchase	02/08/18	\$1,001 - \$15,000
40	Avery Dennison Corp	Purchase	02/08/18	\$1,001 - \$15,000



#	DESCRIPTION	TYPE	DATE	AMOUNT
41	Lending Tree Inc	Purchase	02/08/18	\$1,001 - \$15,000
42	Marriott Int'l Inc	Purchase	02/08/18	\$1,001 - \$15,000
43	Masterecard Inc	Purchase	02/08/18	\$1,001 - \$15,000
44	Mohawk Industries Inc	Purchase	02/08/18	\$1,001 - \$15,000
45	Northrup Grumman	Purchase	02/08/18	\$1,001 - \$15,000
46	Pulte Group	Purchase	02/08/18	\$1,001 - \$15,000
47	Packaging Corp America	Purchase	02/08/18	\$1,001 - \$15,000
48	RBC Bearings Inc	Purchase	02/08/18	\$1,001 - \$15,000
49	Synopsis Inc	Purchase	02/08/18	\$1,001 - \$15,000
50	SVB Finl Group	Purchase	02/08/18	\$1,001 - \$15,000
51	Take Two inter Software	Purchase	02/08/18	\$1,001 - \$15,000
52	United Rentals Inc	Purchase	02/08/18	\$1,001 - \$15,000
53	Zoetis Inc	Purchase	02/08/18	\$1,001 - \$15,000
54	Wabco Holdings Inc	Purchase	02/08/18	\$1,001 - \$15,000
55	Fed Agric Mtg Non Vtg C	Sale	03/14/18	\$250,001 - \$500,000
56	BWX Technologies Inc	Purchase	04/27/18	\$15,001 - \$50,000
57	DXC Technology co	Purchase	04/27/18	\$15,001 - \$50,000
58	Progressive Crp Ohio	Purchase	04/27/18	\$15,001 - \$50,000
59	Huntington Ingalls Inds	Purchase	04/27/18	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
60	Match Group Inc	Purchase	04/27/18	\$15,001 - \$50,000
61	Honeywell Intl Inc	Sale	04/27/187	\$50,001 - \$100,000
62	Huntington Ingalls Inds	Purchase	04/30/18	\$50,001 - \$100,000
63	Lendingtree Inc	Sale	04/27/18	\$15,001 - \$50,000
64	Synopsys Inc	Sale	04/276/18	\$15,001 - \$50,000
65	IPG Photonics Corp	Sale	04/27/18	\$50,001 - \$100,000
66	Take Two Inter Software	Sale	04/27/18	\$1,001 - \$15,000
67	F5 Networks Inc	Purchase	05/10/18	\$15,001 - \$50,000
68	Apptio Inc	Purchase	05/10/18	\$1,001 - \$15,000
69	Fortinet Inc	Purchase	05/10/18	\$15,001 - \$50,000
70	Apptio Inc	Purchase	05/11/18	\$1,001 - \$15,000
71	Zendesk Inc	Purchase	05/10/18	\$15,001 - \$50,000
72	Urban Outfitters	Purchase	05/10/18	\$15,001 - \$50,000
73	Fortinet Inc	Purchase	05/11/18	\$1,001 - \$15,000
74	Urban Outfitters	Purchase	05/11/18	\$1,001 - \$15,000
75	F5 Networks Inc	Purchase	05/11/18	\$1,001 - \$15,000
76	Zendesk Inc	Purchase	05/11/18	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
77	Apptio Inc	Purchase	05/14/18	\$1,001 - \$15,000
78	F5 Networks Inc	Purchase	05/14/18	\$15,001 - \$50,000
79	Fortinet Inc	Purchase	05/14/18	\$15,001 - \$50,000
80	Zendesk Inc	Purchase	05/14/18	\$15,001 - \$50,000
81	Urban Outfitters	Purchase	05/14/18	\$15,001 - \$50,000
82	Fortinet Inc	Purchase	05/15/18	\$1,001 - \$15,000
83	Apptio Inc	Purchase	05/16/18	\$1,001 - \$15,000
84	Apptio Inc	Purchase	05/18/18	\$1,001 - \$15,000
85	Match Group Inc	Sale	05/09/18	\$15,001 - \$50,000
86	Zoetis Inc	Purchase	05/18/18	\$15,001 - \$50,000
87	Applied Materials Inc	Sale	05/10/18	\$15,001 - \$50,000
88	Avery Dennison Corp	Sale	05/10/18	\$15,001 - \$50,000
89	Huntington Ingalls Inds	Sale	05/10/18	\$50,001 - \$100,000
90	FedEx Corp	Sale	05/10/18	\$15,001 - \$50,000
91	Packaging Corp America	Sale	05/10/18	\$15,001 - \$50,000
92	Micron Technology Inc	Sale	05/10/18	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
93	PRA Health Sciences Inc	Sale	05/10/18	\$15,001 - \$50,000
94	United Rentals Inc	Sale	05/10/18	\$15,001 - \$50,000
95	FedEx Corp	Sale	05/11/18	\$15,001 - \$50,000
96	Packaging Corp America	Sale	05/11/18	\$15,001 - \$50,000
97	Perspecta Inc	Purchase	06/20/18	\$1,001 - \$15,000
98	Edwards Lifesciences Corp	Purchase	06/25/18	\$15,001 - \$50,000
99	Burlington Stores Inc	Purchase	06/25/18	\$15,001 - \$50,000
100	Lululemon Athletica Inc	Purchase	06/26/18	\$15,001 - \$50,000
101	Intuitive Surgical Inc	Purchase	06/26/18	\$15,001 - \$50,000
102	Centene Corp	Purchase	06/26/18	\$15,001 - \$50,000
103	Paypal Holdings inc	Purchase	06/26/18	\$15,001 - \$50,000
104	Ringcentral Inc	Purchase	06/26/18	\$15,001 - \$50,000
105	Verisk Analytics Inc	Purchase	06/26/18	\$15,001 - \$50,000
106	Servicenow Inc	Purchase	06/26/18	\$15,001 - \$50,000
107	BWX Technologies Inc	Sale	06/25/18	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
108	Hubspot Inc	Purchase	06/27/18	\$15,001 - \$50,000
109	Cadence Design Systems Inc	Sale	06/25/18	\$15,001 - \$50,000
110	DXC Technology Co	Sale	06/25/18	\$15,001 - \$50,000
111	Marriott Intl Inc	Sale	06/26/18	\$15,001 - \$50,000
112	Pulte Group Inc	Sale	06/26/18	\$15,001 - \$50,000
113	Mohawk Industries Inc	Sale	06/26/18	\$15,001 - \$50,000
114	RBC Bearings Inc	Sale	06/26/18	\$15,001 - \$50,000
115	Wabco Holdings Inc	Sale	06/27/18	\$15,001 - \$50,000
116	Perspecta Inc	Sale	06/27/18	\$1,001 - \$15,000
117	Continental Resources	Sale	06/27/18	\$15,001 - \$50,000
118	Becton Dickenson Co	Sale	06/18/18	\$50,001 - \$100,000
119	Charles River Labs Intl	Sale	06/28/18	\$15,001 - \$50,000
120	HCA Holdings Inc	Sale	06/28/18	\$50,001 - \$100,000
121	Logmein Inc.	Sale	06/28/18	\$1,001 - \$15,000
122	J.B. Hunt Transport Svc	Sale	06/28/18	\$50,001 - \$100,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
123	Microchip Technology Inc	Sale	06/28/18	\$50,001 - \$100,000
124	Treehouse Foods Inc	Sale	06/28/18	\$15,001 - \$50,000
125	Boston Scientific Corp	Purchase	07/20/18	\$1,001 - \$15,000
126	Boston Scientific Corp	Purchase	07/18/18	\$15,001 - \$50,000
127	Costar Group Inc	Purchase	07/18/18	\$15,001 - \$50,000
128	CoStar Group, Inc.	Purchase	07/20/18	\$15,001 - \$50,000
129	Idexx Lab Inc	Purchase	07/18/18	\$1,001 - \$15,000
130	Transunion	Purchase	07/18/18	\$15,001 - \$50,000
131	Idexx Lab Inc	Purchase	07/20/18	\$1,001 - \$15,000
132	Transunion	Purchase	07/20/18	\$15,001 - \$50,000
133	Burlington Stores	Sale	07/18/18	\$15,001 - \$50,000
134	Northrop Grumman Corp	Sale	07/18/18	\$15,001 - \$50,000
135	Progressive Crp	Sale	07/18/18	\$15,001 - \$50,000
136	Wellcare Hlth Plans Inc	Purchase	08/24/2018	\$15,001 - \$50,000
137	Sysco Corp	Purchase	08/24//2018	\$15,001 - \$50,000
138	Amer Express Co	Sale	08/24/2018	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
139	Edwards Life Sciences Crp	Sale	08/24/2018	\$15,001 - \$50,000
140	Paylocity Hldg Corp	Purchase	09/24/2018	\$50,001 - \$100,000
141	LHC Group Inc	Purchase	09/24/2018	\$1,001 - \$15,000
142	Illumina, Inc.	Purchase	09/24/2018	\$15,001 - \$50,000
143	Fox Factory Hldg Corp	Purchase	09/24/2018	\$15,001 - \$50,000
144	Twilio Inc	Purchase	09/24/2018	\$15,001 - \$50,000
145	Ross Stores Inc	Purchase	09/24/2018	\$15,001 - \$50,000
146	Costar Group Inc	Sale	09/24/2018	\$15,001 - \$50,000
147	Servicenow Inc	Sale	09/24/2018	\$15,001 - \$50,000
148	Zoetis Inc	Sale	09/24/2018	\$15,001 - \$50,000
149	Urban Outfitters Inc	Sale	09/24/2018	\$50,001 - \$100,000
150	Amer Express Co	Purchase	10/10/2018	\$1,001 - \$15,000
151	SVB Finl Group	Purchase	10/10/2018	\$1,001 - \$15,000
152	Verisk Analytics Inc	Purchase	10/12/2018	\$1,001 - \$15,000
153	Transunion	Purchase	10/12/2018	\$1,001 - \$15,000
154	Paylocity Hldg Corp	Sale	10/10/2018	\$15,001 - \$50,000
155	Paylocity Hldg Corp	Sale	10/09/2018	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
156	Paylocity Hldg Inc	Sale	10/12/2018	\$15,001 - \$50,000
157	Twilio Inc	Sale	10/25/2018	\$15,001 - \$50,000
158	Apptio Inc	Sale	10/25/2018	\$15,001 - \$50,000
159	Fox Factory Hldg Corp	Sale	10/25/2018	\$15,001 - \$50,000

## 8. Liabilities

None

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## 9. Gifts and Travel Reimbursements

None

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## Endnotes



# Summary of Contents

## 1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

## 2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

#### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

#### 5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

#### 6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

## 8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

## 9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$156 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

## Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena; (4) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (5) to the National Archives and Records Administration or the General Services Administration in records management inspections; (6) to the Office of Management and Budget during legislative coordination on private relief legislation; (7) to the Department of Justice or in certain legal proceedings when the disclosing agency, an employee of the disclosing agency, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation; (8) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another; (9) to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record; (10) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to an OGE Government-wide system of records; and (11) on the OGE Website and to any person, department or agency, any written ethics agreement filed with OGE by an individual nominated by the President to a position requiring Senate confirmation. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

## Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, NW., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).

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