

Executive Branch Personnel

Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Bitter, Rena

Ambassdor to the Lao People's Democratic Republic, Department of State

Report Year: 2020

Other Federal Government Positions Held During the Preceding 12 Months:

Consul General of US Consulate Ho Chi Minh City, Vietnam (9/2013 - 7/2016)

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Bitter, Rena [electronically signed on 06/10/2020 by Bitter, Rena in Integrity.gov] - Filer received a 45 day filing extension.

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Mann, Judy, Certifying Official [electronically signed on 07/07/2020 by Mann, Judy in Integrity.gov]

Other review conducted by

U.S. Office of Government Ethics Certification

/s/ Granahan, Megan, Certifying Official [electronically signed on 07/10/2020 by Granahan, Megan in Integrity.gov]

1. Filer's Positions Held Outside United States Government

None

2. Filer's Employment Assets & Income and Retirement Accounts

None

3. Filer's Employment Agreements and Arrangements

None

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

None

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Personal Savings Account, State Department Federal Credit Union	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2	Loan to Family Member	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
3	ISHARES MSCI EAFE (IEFA)	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
4	ISHARES IBOXX \$ INVT GRADE CORP BD (LQD)	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
5	ISHARES TIPS BOND ETF (TIP)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
6	ISHARES 3-7 YEAR TREASURY BOND ETF (IEI)	Yes	\$50,001 - \$100,000		\$5,001 - \$15,000
7	ISHARES MBS ETF (MBB)	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
8	ISHARES INC CORE MSCI EMERGING MKTS ETF (IEMG)	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
9	INVESCO EMERGING MARKETS SVRN DEBT ETF (PCY)	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
10	INVESCO PREFERRED ETF (PGX)	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
11	ML BANK DEPOSIT PROGRAM	Yes	None (or less than \$1,001)		\$201 - \$1,000
12	VANECK VECTORS J.P. MORGAN EM LOCAL CURR (EMLC)	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
13	VANGUARD SMALL CAP VALUE ETF (VBR)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
14	VANGUARD SMALL CAP GROWTH ETF (VBK)	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
15	VANGUARD VALUE ETF (VTV)	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
16	VANGUARD GROWTH ETF (VUG)	Yes	\$250,001 - \$500,000		\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
17	VANGUARD INTERMEDIATE TERM BOND ETF (BIV)	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
18	VANGUARD SHORT TERM BOND (BSV)	Yes	None (or less than \$1,001)		\$201 - \$1,000
19	ISHARES IBOXX\$ HIGH YIEL CORPORATE BOND (HYG)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
20	US bank, cash account	No	\$1,001 - \$15,000	Interest	None (or less than \$201)
21	BANK OF AMERICA, NA RASP	Yes	\$15,001 - \$50,000		None (or less than \$201)
22	ISHARES CORE US AGGREGATE BOND ETF (AGG)	Yes	None (or less than \$1,001)		None (or less than \$201)
23	ISHARES CORE GROWTH ETF (AOR)	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
24	ISHARES TR CORE MSCI EAF ETF (IEFA)	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
25	VANGUARD INTERMEDIATE TERM CORP BD ETF (VCIT)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
26	VANGUARD SHORT-TERM CORPORATE BOND ETF (VCSH)	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
27	BANK DEPOSIT SWEEP PROGRAM NOT COVERED BY SIPC (QPRMQ)	N/A	\$100,001 - \$250,000	Dividends	None (or less than \$201)
28	XTRACKERS USD HIGH YIELD CORP BD ETF (HYLB)	Yes	\$1,001 - \$15,000		\$201 - \$1,000

7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	Xtrackers USD High Yield Corporate Bond ETF (HYLB)	Purchase	01/22/2019	\$1,001 - \$15,000
2	Vanguard Intermediate-Term Corporate Bond ETF (VCIT)	Purchase	01/22/2019	\$15,001 - \$50,000
3	Vanguard Short-Term Bond ETF (BSV)	Sale	01/22/2019	\$50,001 - \$100,000
4	iShares Core MSCI EAFE ETF (IEFA)	Purchase	01/22/2019	\$100,001 - \$250,000
5	iShares Core US Aggregate Bond ETF (AGG)	Sale	01/22/2019	\$100,001 - \$250,000
6	iShares iBoxx \$ High Yield Corporate Bond ETF (HYG)	Sale	01/22/2019	\$1,001 - \$15,000
7	Vanguard Small-Cap Growth ETF (VBK)	Purchase	01/22/2019	\$1,001 - \$15,000
8	Vanguard Value ETF (VTV)	Purchase	01/22/2019	\$50,001 - \$100,000
9	iShares iBoxx \$ Investment Grade Corporate Bond ETF (LQD)	Sale	01/22/2019	\$15,001 - \$50,000
10	Vanguard Small-Cap Value ETF (VBR)	Purchase	01/22/2019	\$1,001 - \$15,000
11	Vanguard Growth ETF (VUG)	Purchase	01/22/2019	\$15,001 - \$50,000
12	Vanguard Short-Term Corporate Bond ETF (VCSH)	Purchase	01/22/2019	\$100,001 - \$250,000
13	Vanguard Intermediate-Term Bond ETF (BIV)	Purchase	01/22/2019	\$100,001 - \$250,000
14	iShares 3-7 Year Treasury Bond ETF (IEI)	Sale	01/22/2019	\$1,001 - \$15,000
15	iShares Core MSCI Emerging Markets ETF (IEMG)	Purchase	01/22/2019	\$100,001 - \$250,000
16	iShares Core Growth Allocation ETF (AOR)	Sale	01/22/2019	\$250,001 - \$500,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
17	Invesco Emerging Markets Sovereign Debt ETF (PCY)	Sale	01/22/2019	\$1,001 - \$15,000
18	iShares MBS ETF (HYG)	Sale	01/22/2019	\$1,001 - \$15,000
19	BlackRock Age 0 to 3 Yrs A (BIV)	Sale	01/22/2019	\$1,001 - \$15,000
20	BlackRock Age 4 to 7 Yrs A (LQD)	Sale	01/22/2019	\$1,001 - \$15,000
21	iShares TIPS Bond ETF (PCY)	Sale	01/22/2019	\$1,001 - \$15,000
22	iShares MSCI EAFE ETF (VCIT)	Purchase	01/22/2019	\$1,001 - \$15,000
23	BlackRock Age 8 to 11 Years A (VCSH)	Purchase	01/22/2019	\$15,001 - \$50,000
24	BlackRock Age 2 to 4 Yrs A (IEFA)	Sale	01/22/2019	\$15,001 - \$50,000
25	BlackRock Age 0 to 4 Yrs A (IEMG)	Purchase	01/22/2019	\$1,001 - \$15,000
26	BlackRock Age 2 to 4 Yrs C (BSV)	Sale	01/22/2019	\$15,001 - \$50,000
27	Vanguard Value ETF (HYLB)	Purchase	01/22/2019	\$1,001 - \$15,000
28	Vanguard Growth ETF (VUG)	Purchase	01/22/2019	\$1,001 - \$15,000
29	Vanguard Small-Cap Growth ETF (MBB)	Sale	01/22/2019	\$1,001 - \$15,000
30	Vanguard Intermediate-Term Corporate Bond ETF (VTV)	Purchase	01/22/2019	\$15,001 - \$50,000
31	Vanguard Short-Term Corporate Bond ETF (IEI)	Sale	01/22/2019	\$1,001 - \$15,000
32	Vanguard Intermediate-Term Bond ETF (NAABB)	Sale	03/11/2019	\$1,001 - \$15,000
33	VanEck Vectors J.P. Morgan EM Local Currency Bond ETF (AZGIU)	Purchase	03/11/2019	\$1,001 - \$15,000
34	iShares Core MSCI Emerging Markets ETF (NAABB)	Sale	03/11/2019	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
35	iShares Core MSCI EAFE ETF (AZGIU)	Purchase	03/11/2019	\$1,001 - \$15,000
36	iShares 3-7 Year Treasury Bond ETF (VTV)	Sale	04/29/2019	\$1,001 - \$15,000
37	iShares MBS ETF (VBK)	Sale	04/29/2019	\$1,001 - \$15,000
38	iShares iBoxx \$ High Yield Corporate Bond ETF (TIP)	Purchase	04/29/2019	\$1,001 - \$15,000
39	iShares iBoxx \$ Investment Grade Corporate Bond ETF (VUG)	Sale	04/29/2019	\$15,001 - \$50,000
40	iShares TIPS Bond ETF (PCY)	Sale	04/29/2019	\$1,001 - \$15,000
41	Invesco Emerging Markets Sovereign Debt ETF (LQD)	Purchase	04/29/2019	\$15,001 - \$50,000
42	Invesco Preferred ETF (VCSH)	Purchase	04/29/2019	\$1,001 - \$15,000
43	Xtrackers USD High Yield Corporate Bond ETF (IEI)	Purchase	04/29/2019	\$1,001 - \$15,000
44	Xtrackers USD High Yield Corporate Bond ETF (MBB)	Purchase	04/29/2019	\$1,001 - \$15,000
45	Vanguard Intermediate-Term Corporate Bond ETF (BIV)	Purchase	04/29/2019	\$1,001 - \$15,000
46	Vanguard Short-Term Bond ETF (IEFA)	Sale	04/29/2019	\$1,001 - \$15,000
47	Vanguard Intermediate-Term Bond ETF (BIV)	Purchase	04/29/2019	\$1,001 - \$15,000
48	Vanguard Growth ETF (VUG)	Sale	04/29/2019	\$1,001 - \$15,000
49	iShares 3-7 Year Treasury Bond ETF (IEI)	Purchase	04/29/2019	\$1,001 - \$15,000
50	iShares iBoxx \$ Investment Grade Corporate Bond ETF (LQD)	Purchase	04/29/2019	\$1,001 - \$15,000
51	iShares MBS ETF (MBB)	Purchase	04/29/2019	\$1,001 - \$15,000
52	Vanguard Short-Term Bond ETF (VCSH)	Purchase	04/29/2019	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
53	Invesco Emerging Markets Sovereign Debt ETF (PCY)	Sale	04/29/2019	\$1,001 - \$15,000
54	Vanguard Small-Cap Growth ETF (VBK)	Sale	04/29/2019	\$1,001 - \$15,000
55	iShares MSCI EAFE ETF (EFA)	Sale	04/29/2019	\$1,001 - \$15,000
56	iShares Core ETF (IEFA)	Purchase	04/29/2019	\$1,001 - \$15,000
57	Vanguard Small ETF (VBR)	Purchase	07/23/2019	\$1,001 - \$15,000
58	iShares Core MSCI Emerging Markets ETF (IEMG)	Sale	07/23/2019	\$15,001 - \$50,000
59	Vanguard Value ETF (VTV)	Purchase	07/23/2019	\$1,001 - \$15,000
60	iShares iBoxx \$ Investment Grade Corporate Bond ETF (LQD)	Sale	07/23/2019	\$1,001 - \$15,000
61	iShares Core ETF (IEFA)	Sale	07/23/2019	\$15,001 - \$50,000
62	Vanguard Growth ETF (VUG)	Purchase	07/23/2019	\$15,001 - \$50,000
63	Vanguard Intermediate-Term Bond ETF (BIV)	Sale	07/23/2019	\$1,001 - \$15,000
64	Vanguard Growth EFT (VUG)	Purchase	07/23/2019	\$1,001 - \$15,000
65	iShares Core MSCI Emerging Markets ETF (IEMG)	Sale	07/23/2019	\$1,001 - \$15,000
66	iShares Core ETF (IEFA)	Sale	07/23/2019	\$1,001 - \$15,000
67	BlackRock Age 4 to 7 Years A (AZGIU)	Sale	10/25/2019	\$1,001 - \$15,000
68	BlackRock Age 8 to 11 Years A (NAABC)	Purchase	10/25/2019	\$1,001 - \$15,000
69	BlackRock Age 4 to 7 Years A (AZGIU)	Sale	10/28/2019	\$1,001 - \$15,000
70	BlackRock Age 2 to 4 Years A (AZJUK)	Purchase	10/28/2019	\$1,001 - \$15,000
71	BlackRock Age 4 to 7 Years A (AZGIU)	Sale	10/28/2019	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
72	BlackRock Age 2 to 4 Years A (AZJUK)	Purchase	10/28/2019	\$1,001 - \$15,000
73	BlackRock Age 4 to 7 Years A (AZGIU)	Sale	10/28/2019	\$1,001 - \$15,000
74	BlackRock Age 2 to 4 Years A (AZJUK)	Purchase	10/28/2019	\$1,001 - \$15,000
75	BlackRock Age 0 to 1 Years A (NAAFV)	Sale	10/28/2019	\$1,001 - \$15,000
76	BlackRock Age 2 to 4 Years C (AZJVD)	Purchase	10/28/2019	\$1,001 - \$15,000
77	Vanguard Index FDS Vanguard Value ETF (VTV)	Sale	11/04/2019	\$50,001 - \$100,000
78	Vanguard Index FDS Vanguard Growth ETF (VUG)	Sale	11/04/2019	\$15,001 - \$50,000
79	Vanguard Index FDS Vanguard Small-Cap Growth (VBK)	Sale	11/04/2019	\$1,001 - \$15,000
80	Vanguard Scottsdale FDS Intermediate Term Corporate Bond Index (VCIT)	Sale	11/04/2019	\$1,001 - \$15,000
81	Vanguard Scottsdale FDS Vanguard Short-Term Corporate BD Index FD ETF SHS (VCSH)	Sale	11/04/2019	\$15,001 - \$50,000
82	Vanguard Balanced Index FD INC Intermed Term (BIV)	Sale	11/04/2019	\$15,001 - \$50,000
83	VanEck Vectors ETF TR JP Morgan MKTS (EMLC)	Sale	11/04/2019	\$1,001 - \$15,000
84	iShares Inc Core MSCI Emerging Markets ETF (IEMG)	Sale	11/04/2019	\$1,001 - \$15,000
85	iShares Trust Core MSCI EAFE ETF (IEFA)	Sale	11/04/2019	\$15,001 - \$50,000
86	iShares TR 3-7 Yr Treas BD (IEI)	Sale	11/04/2019	\$1,001 - \$15,000
87	iShares TR MBS ETF (MBB)	Sale	11/04/2019	\$15,001 - \$50,000
88	iShares TR iBoxx Hi YD ETF (HYG)	Sale	11/04/2019	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
89	iShares iBoxx Investment Grade Corporate Bond ETF (LQD)	Sale	11/04/2019	\$1,001 - \$15,000
90	iShares TIPS Bond ETF (TIP)	Sale	11/04/2019	\$1,001 - \$15,000
91	Invesco EXCH Traded FD TR II Emrng Mkt SVRG (PCY)	Sale	11/04/2019	\$1,001 - \$15,000
92	Invesco Exch Traded FD TR II PFD ETF (PGX)	Sale	11/04/2019	\$1,001 - \$15,000
93	DBX ETF TR Xtrack USD High (HYLB)	Sale	11/04/2019	\$1,001 - \$15,000
94	BlackRock Age 2 to 4 Years A (AZJUK)	Sale	11/29/2019	\$1,001 - \$15,000
95	BlackRock Age 4 to 7 Years A (AZGIU)	Purchase	11/29/2019	\$1,001 - \$15,000
96	Vanguard Index FDS Vanguard Value ETF (VTV)	Sale	12/16/2019	\$1,001 - \$15,000
97	Vanguard Index FDS Vanguard Growth ETF (VUG)	Sale	12/16/2019	\$1,001 - \$15,000
98	Vanguard Index FDS Vanguard Small Cap Growth (VBK)	Sale	12/16/2019	\$1,001 - \$15,000
99	Vanguard Scottsdale FDS Vanguard Short-Term Corporate BD Index FD ETF SHS (VCSH)	Sale	12/16/2019	\$1,001 - \$15,000
100	Vanguard Balanced Index FD INC Intermed Term (BIV)	Sale	12/16/2019	\$1,001 - \$15,000
101	iShares Inc Core MSCI Emerging MKTS ETF (IEMG)	Sale	12/16/2019	\$1,001 - \$15,000
102	iShares Trust Core MSCI EAFE ETF (IEFA)	Sale	12/16/2019	\$1,001 - \$15,000
103	iShares TR 3-7 Yr Treas BD (IEI)	Sale	12/16/2019	\$1,001 - \$15,000
104	iShares Tr MBS ETF (MBB)	Sale	12/16/2019	\$1,001 - \$15,000
105	iShares iBoxx Investment Grade Corporate Bond ETF (LQD)	Sale	12/16/2019	\$1,001 - \$15,000

8. Liabilities

None

9. Gifts and Travel Reimbursements

None

Endnotes

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$156 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).
