Executive Branch Personnel

Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Berkovitz, Daniel

General Counsel, Securities and Exchange Commission

Report Year: 2022

Other Federal Government Positions Held During the Preceding 12 Months:

Commissioner, US Commodity Futures Trading Commission (9/2018 - 10/2021)

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Berkovitz, Daniel [electronically signed on 05/15/2022 by Berkovitz, Daniel in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).
/s/ Serrano, Danae, Certifying Official [electronically signed on 07/05/2022 by Serrano, Danae in Integrity.gov]

Other review conducted by
/s/ Vetter, Mark, Ethics Official [electronically signed on 06/22/2022 by Vetter, Mark in Integrity.gov]

U.S. Office of Government Ethics Certification

Data Revised 06/21/2022

Data Revised 06/09/2022

Data Revised 06/08/2022

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Family Trust 1	Dunlap, Illinois	Trust	Trustee	9/2017	Present
2	Family Trust 2	West Lafayette, Indiana	Trust	Trustee	11/2018	Present
3	Guardian--Family Member	Bethesda, Maryland	Legal Guardian of Family Member	Guardian of Person and Property	5/2019	Present

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	IRA #1	No			
1.1	DFA US Core Equity II Portfolio Institutional Class (DFQTX)	Yes	$250,001 - $500,000		None (or less than $201)
1.2	Fidelity Government Cash Reserves (FDRXX)	Yes	$1,001 - $15,000		None (or less than $201)
1.3	DFA Real Estate Securities Portfolio Institutional Class (DFREX)	Yes	$15,001 - $50,000		None (or less than $201)
1.4	DFA Emerging Markets Core Equity Portfolio (DFCEX)	Yes	$15,001 - $50,000		None (or less than $201)
1.5	Baird Ultra Short Bold Fund (BUBIX)	Yes	$50,001 - $100,000		None (or less than $201)
1.6	Vanguard Dividend Appreciation Index Fund ETF Shares (VIG)	Yes	$50,001 - $100,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.7	International Core Equity Portfolio Institutional Class Shares (DFIEX)	Yes	$100,001 - $250,000		None (or less than $201)
2	IRA #2	No			
2.1	U.S. brokerage account (cash)	N/A	$15,001 - $50,000		None (or less than $201)
2.2	Vanguard S&P Small-Cap 600 Value Index Fund ETF Shares (VIOV)	Yes	$50,001 - $100,000		None (or less than $201)
2.3	VanguardGlobal ex-US Real Estate Index Fund ETF Shares (VNQI)	Yes	$15,001 - $50,000		None (or less than $201)
2.4	VanguardEmerging Markets Stock Index Fund ETF Shares (VWO)	Yes	$50,001 - $100,000		None (or less than $201)
2.5	Vanguard 500 Index Fund ETF Shares (VOO)	Yes	$100,001 - $250,000		None (or less than $201)
2.6	Vanguard Real Estate Index Fund ETF Shares (VNQ)	Yes	$50,001 - $100,000		None (or less than $201)
2.7	Vanguard Midcap ETF (VO)	Yes	$50,001 - $100,000		None (or less than $201)
2.8	Vanguard Extended Market Index Fund ETF Shares (VXF)	Yes	$100,001 - $250,000		None (or less than $201)
3	IRA #3	No			
3.1	Vanguard Wellesley Income Fund (VWIAX)	Yes	$50,001 - $100,000		None (or less than $201)
3.2	U.S. brokerage account (cash)	N/A	$15,001 - $50,000		None (or less than $201)
3.3	Vanguard S&P Small-Cap 600 Index Fund ETF Shares (VIOO)	Yes	$15,001 - $50,000		None (or less than $201)
3.4	Vanguard Russell 2000 Value Index Fund ETF Shares (VTWV)	Yes	$15,001 - $50,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.5	Vanguard Extended Market Index Fund ETF Shares (VXF)	Yes	$50,001 - $100,000		None (or less than $201)
4	IRA #4	No			
4.1	U.S. brokerage account (cash)	N/A	$50,001 - $100,000		None (or less than $201)
4.2	Vanguard Wellesley Income Fund (VWIAX)	Yes	$100,001 - $250,000		None (or less than $201)
4.3	Vanguard Dividend Appreciation Index Fund ETF Class Shares (VIG)	Yes	$250,001 - $500,000		None (or less than $201)
4.4	Vanguard S&P Mid-Cap 400 Value Index Fund ETF Shares (IVOV)	Yes	$50,001 - $100,000		None (or less than $201)
4.5	VanguardGlobal ex-US Real Estate Index Fund ETF Shares (VNQI)	Yes	$15,001 - $50,000		None (or less than $201)
4.6	Vanguard European Stock Index Fund ETF Shares (VGK)	Yes	$100,001 - $250,000		None (or less than $201)
4.7	Vanguard Real Estate Index Fund ETF Shares (VNQ)	Yes	$100,001 - $250,000		None (or less than $201)
4.8	Vanguard Small-Cap Value Index Fund ETF Class Shares (VBR)	Yes	$50,001 - $100,000		None (or less than $201)

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	WilmerHale	Washington, District of Columbia	I received my final partnership share distribution from WilmerHale in 2018. Immediately following my withdrawal from the firm in August 2018, I received a lump sum payment of all of the amounts in my capital account. As stated in my ethics agreement with my previous federal employer, the CFTC, WilmerHale withheld a portion of my final compensation for potential tax liabilities incurred during the time when I was at the firm. In 2018 WilmerHale stated they would settle this account in 2021. In August 2021 WilmerHale settled this account by paying me the remaining amounts in this account that had been reserved for such tax liabilities. Accordingly, as of the settlement of this account in August 2021, I have no outstanding balances owed to or due from WilmerHale.	9/2013

4. Filer's Sources of Compensation Exceeding $5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Verizon	N/A		salary, bonus	
2	Verizon, Restricted Stock Units (unvested)	N/A	$50,001 - $100,000		None (or less than $201)
3	Verizon, Performance Stock Units (unvested)	N/A	$100,001 - $250,000		None (or less than $201)
4	Verizon Communications Inc. (VZ) (common stock)	N/A	$250,001 - $500,000	Dividends	$5,001 - $15,000
5	U.S. brokerage account (cash - money market)	N/A	$15,001 - $50,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6	Spouse IRA #2				
6.1	U.S. brokerage account (cash)	N/A	$1,001 - $15,000		None (or less than $201)
6.2	Vanguard Information Technology Index Fund ETF Shares (VGT)	Yes	$100,001 - $250,000		None (or less than $201)
6.3	Vanguard Consumer Discretionary Index Fund ETF Shares (VCR)	Yes	$50,001 - $100,000		None (or less than $201)
7	Spouse IRA #1				
7.1	DFA International Core Equity Portfolio Institutional Class (DFIEX)	Yes	$100,001 - $250,000		None (or less than $201)
7.2	iShares Core U.S. Aggregate Bond ETF (AGG)	Yes	$250,001 - $500,000		None (or less than $201)
7.3	Brown Capital Managment Small Company Fund Instl (BCSSX)	Yes	$1,001 - $15,000		None (or less than $201)
7.4	Tweedy, Browne Global Value Fund (TBGVX)	Yes	$1,001 - $15,000		None (or less than $201)
7.5	Fidelity Government Cash Reserves (FDRXX)	Yes	$1,001 - $15,000		None (or less than $201)
7.6	Principal Diversified Real Asset Institutional Class (PDRDX)	Yes	$15,001 - $50,000		None (or less than $201)
7.7	iShares Core MSCI Total International Stock ETF (IXUS)	Yes	$15,001 - $50,000		None (or less than $201)
7.8	SPDR MSCI USA StrategicFactors ETF (QUS)	Yes	$15,001 - $50,000		None (or less than $201)
7.9	Vanguard Extended Market Index Fund (VXF)	Yes	$50,001 - $100,000		None (or less than $201)
7.10	Vanguard Growth Index Fund ETF Class Shares (VUG)	Yes	$15,001 - $50,000		None (or less than $201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.11	Fidelity 500 Index Fund (FXAIX)		Yes	$1,001 - $15,000		None (or less than $201)
8	Verizon Savings Plan		No			
8.1	Verizon 2025 Fund		Yes	$15,001 - $50,000		None (or less than $201)
8.2	U.S. brokerage account (cash)		N/A	$1,001 - $15,000		None (or less than $201)
8.3	Verizon 2030 Fund		Yes	$500,001 - $1,000,000		None (or less than $201)
9	Verizon Executive Deferral Plan		No			
9.1	Verizon Company Stock Fund (Verizon securities)	See Endnote	No	$15,001 - $50,000		None (or less than $201)
9.2	U.S. brokerage account (cash)		N/A	None (or less than $1,001)		None (or less than $201)
9.3	Verizon 2025 Fund		Yes	$50,001 - $100,000		None (or less than $201)
9.4	Verizon 2030 Fund		Yes	$50,001 - $100,000		None (or less than $201)

6. Other Assets and Income

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Gold Coins		N/A	$1,001 - $15,000		None (or less than $201)
2	AWB Family Trust	See Endnote	No			
2.1	AWB Family Trust -- Cash		N/A	$100,001 - $250,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3	Maryland ABLE Account	No			
3.1	Maryland ABLE Moderate Fund	Yes	$50,001 - $100,000		None (or less than $201)
3.2	Maryland ABLE Cash Option	N/A	$1,001 - $15,000		None (or less than $201)
4	U.S. brokerage account #1 (joint)	No			
4.1	U.S. brokerage money market account (cash)	N/A	$250,001 - $500,000		None (or less than $201)
4.2	Apple Inc. (AAPL)	N/A	None (or less than $1,001)	Capital Gains Dividends	$100,001 - $1,000,000
4.3	Verizon Communications Inc (VZ)	N/A	None (or less than $1,001)	Dividends	$201 - $1,000
4.4	Visa Inc (V)	N/A	None (or less than $1,001)	Dividends	$201 - $1,000
4.5	Vanguard S&P 500 ETF (VOO)	Yes	$100,001 - $250,000		$1,001 - $2,500
4.6	Vanguard Health Care Index Fund ETF Shares (VHT)	Yes	None (or less than $1,001)		$2,501 - $5,000
4.7	Vanguard Information Technology Index Fund ETF Shares (VGT)	Yes	$500,001 - $1,000,000		$1,001 - $2,500
4.8	BlackRock National Municipal Fund Institutional Shares (MANLX)	Yes	$250,001 - $500,000		$5,001 - $15,000
4.9	Vanguard S&P Mid-Cap 400 Value Index Fund ETF Shares (IVOV)	Yes	$15,001 - $50,000		$201 - $1,000
4.10	Vanguard International Dividend Appreciation Index Fund ETF Shares (VIGI)	Yes	$100,001 - $250,000		$15,001 - $50,000
4.11	VanguardEmerging Markets Stock Index Fund ETF Shares (VWO)	Yes	$250,001 - $500,000		$2,501 - $5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.12	Vanguard Consumer Discretionary Index Fund ETF Shares (VCR)	Yes	$250,001 - $500,000		$201 - $1,000
4.13	Vanguard Russell 2000 Value Index Fund ETF Shares (VTWV)	Yes	$50,001 - $100,000		$1,001 - $2,500
4.14	Vanguard Mid-Cap Value Index Fund ETF Class Shares (VOE)	Yes	$100,001 - $250,000		$1,001 - $2,500
4.15	Vanguard Extended Market Index Fund ETF Shares (VXF)	Yes	$250,001 - $500,000		$1,001 - $2,500
4.16	Vanguard Total Stock Market Index Fund ETF Class Shares (VTI)	Yes	$500,001 - $1,000,000		$1,001 - $2,500
4.17	Berkshire Hathaway Inc. (BRKB)	N/A	None (or less than $1,001)	Capital Gains	$15,001 - $50,000
4.18	NVIDIA Corp. (NVDA)	N/A	None (or less than $1,001)	Capital Gains	$100,001 - $1,000,000
4.19	salesforce.com, inc. (CRM)	N/A	None (or less than $1,001)	Capital Gains	$15,001 - $50,000
4.20	Visa, Inc. (V)	N/A	None (or less than $1,001)	Capital Gains	$50,001 - $100,000
4.21	Vanguard Health Care Index Fund ETF Class Shares (VHT)	Yes	None (or less than $1,001)		$100,001 - $1,000,000
4.22	Alphabet, Inc. (GOOG)	N/A	None (or less than $1,001)	Capital Gains	$100,001 - $1,000,000
4.23	Amazon.com, Inc. (AMZN)	N/A	None (or less than $1,001)	Capital Gains	$100,001 - $1,000,000
4.24	Adobe, Inc. (ADBE)	N/A	None (or less than $1,001)	Capital Gains	$15,001 - $50,000
5	U.S. brokerage account #2 (joint)	No			
5.1	DFA T.A. U.S. Core Equity 2 Portfolio Institutional Class (DFTCX)	Yes	None (or less than $1,001)		$1,001 - $2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.2	DFA U.S. Core Equity II Portfolio Institutional Class (DFQTX)	Yes	$100,001 - $250,000		$5,001 - $15,000
5.3	DFA Real Estate Securities Portfolio Institutional Class (DFREX)	Yes	$50,001 - $100,000		$1,001 - $2,500
5.4	Vanguard Dividend Appreciation Index Fund ETF Shares (VIG)	Yes	$100,001 - $250,000		$2,501 - $5,000
5.5	iShares Core U.S. Aggregate Bond ETF (AGG)	Yes	$15,001 - $50,000		$201 - $1,000
5.6	Fidelity Government Cash Reserves (FDRXX)	Yes	$15,001 - $50,000		None (or less than $201)
5.7	Baird Ultra Short Bond Fund Institutional Class Shares (BUBIX)	Yes	$100,001 - $250,000		$201 - $1,000
5.8	Xtrackers MSCI EAFE Hedged Equity ETF (DBEF)	Yes	$15,001 - $50,000		$1,001 - $2,500
5.9	iShares Core MSCI Total International Stock ETF (IXUS)	Yes	$100,001 - $250,000		$5,001 - $15,000
5.10	Vanguard FTSE Emerging Markets Index Fund ETF Shares (VWO)	Yes	$100,001 - $250,000		$2,501 - $5,000
5.11	Vanguard Extended Market Index Fund ETF Shares (VXF)	Yes	$50,001 - $100,000		$201 - $1,000
5.12	BlackRock Total Factor Fund Institutional Class Shares (BSTIX)	Yes	$50,001 - $100,000		$1,001 - $2,500
5.13	BlackRock Event Driven Equity Fund Institutional Shares (BILPX)	Yes	$15,001 - $50,000		$1,001 - $2,500
5.14	Dimensional ETF Trust US Core Equity (DFAC)	Yes	$500,001 - $1,000,000		$2,501 - $5,000
5.15	Xtrackers USD High Yield Corporate Bond ETF (HYLB)	Yes	$15,001 - $50,000		$201 - $1,000

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.16	SPDRDoubleLine Total Return Tactical ETF (TOTL)		Yes	$15,001 - $50,000		$201 - $1,000
5.17	First Trust TCW Unconstrained Plus Bond ETF (UCON)		Yes	$15,001 - $50,000		None (or less than $201)
6	U.S. brokerage account #3 (joint)		No			
6.1	Vanguard Intermediate-Term Tax-Exempt Fund Admiral Shares (VWIUX)		Yes	$100,001 - $250,000		$2,501 - $5,000
6.2	Vanguard Long-Term Tax-Exempt Fund Admiral Shares (VWLUX)		Yes	$100,001 - $250,000		$2,501 - $5,000
6.3	Vanguard Limited-Term Tax-Exempt Fund Admiral Shares (VMLUX)		Yes	$100,001 - $250,000		$1,001 - $2,500
6.4	Vanguard Total International Stock Index Fund ETF (VXUS)		Yes	$100,001 - $250,000		$5,001 - $15,000
6.5	Vanguard Total Stock Market Index Fund ETF Shares (VTI)		Yes	$250,001 - $500,000		$5,001 - $15,000
7	U.S. Banks - Various Cash Accounts					
7.1	U.S. bank account #1 (cash)		N/A	$15,001 - $50,000		None (or less than $201)
7.2	U.S. bank account #2 (cash)		N/A	$50,001 - $100,000	Interest	None (or less than $201)
7.3	U.S. bank account #6 (cash)	See Endnote	N/A	$1,001 - $15,000		None (or less than $201)
8	U.S. brokerage account #4 (spouse)		No			
8.1	DFA US Core Equity II Portfolio Institutional Class (DFQTX)		Yes	$15,001 - $50,000		$201 - $1,000
8.2	Xtrackers MSCI EAFE Hedged Equity ETF (DBEF)		Yes	$15,001 - $50,000		$201 - $1,000

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8.3	Fidelity Government Cash Reserves (FDRXX)		Yes	$1,001 - $15,000		None (or less than $201)
8.4	iShares Core MSCI Total International Stock ETF (IXUS)		Yes	$100,001 - $250,000		$2,501 - $5,000
8.5	Vanguard FTSE Emerging Markets Index Fund ETF Shares (VWO)		Yes	$15,001 - $50,000		$201 - $1,000
8.6	Vanguard Extended Market Index Fund ETF Shares (VXF)		Yes	$15,001 - $50,000		$201 - $1,000
8.7	Dimensional EFT Trust US Core Equity 2 (DFAC)		Yes	$250,001 - $500,000		$2,501 - $5,000
9	Residential Real Estate - Bethesda, MD	See Endnote	N/A	$1,000,001 - $5,000,000	Rent or Royalties	$2,501 - $5,000

7. Transactions

#	DESCRIPTION		TYPE	DATE	AMOUNT
1	Verizon 2025 Fund	See Endnote	Purchase	01/07/2021	$1,001 - $15,000
2	Verizon 2025 Fund	See Endnote	Sale	04/16/2021	$500,001 - $1,000,000
3	Verizon 2030 Fund	See Endnote	Purchase	04/16/2021	$500,001 - $1,000,000
4	Stoneridge Reinsurance Risk Premium Interval (SRRIX)		Sale	03/04/2021	$1,001 - $15,000
5	TA US Core Equity 2 Portfolio Institutional Class Shares (DFTCX)		Purchase	03/31/2021	$1,001 - $15,000
6	First Trust TCW Unconstrained Plus Bond ETF (UCON)		Purchase	04/26/2021	$15,001 - $50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
7	SPDRDoubleLine Total Return Tactical ETF (TOTL)	Purchase	04/26/2021	$15,001 - $50,000
8	Xtrackers USD High Yield Corporate Bond ETF (HYLB)	Purchase	04/26/2021	$15,001 - $50,000
9	BlackRock Total Factor Fund Institutional Class Shares (BSTIX)	Purchase	04/26/2021	$15,001 - $50,000
10	BlackRock Event Driven Equity Fund Institutional Shares (BILPX)	Purchase	04/26/2021	$15,001 - $50,000
11	Fidelity 500 Index Fund (FXAIX)	Purchase	04/27/2021	$1,001 - $15,000
12	iShares Core MSCI Total International Stock ETF (IXUS)	Purchase	05/19/2021	$15,001 - $50,000
13	Xtrackers MSCI EAFE Hedged Equity ETF (DBEF)	Purchase	05/19/2021	$15,001 - $50,000
14	Stonteridge Reinsurance Risk Premium Interval Fund (SRRIX)	Sale	05/27/2021	$1,001 - $15,000
15	International Core Equity Portfolio Institutional Class Shares (DFIEX)	Purchase	06/30/2021	$1,001 - $15,000
16	International Core Equity Portfolio Institutional Class Shares (DFIEX)	Purchase	06/30/2021	$1,001 - $15,000
17	Principal Diversified Real Asset Fund Institutional Class Shares (PDRDX)	Purchase	09/21/2021	$1,001 - $15,000
18	US Core Equity 2 Portfolio Institutional Class Shares (DFQTX)	Purchase	09/30/2021	$1,001 - $15,000
19	International Core Equity Portfolio Institutional Class Shares (DFIEX)	Purchase	09/30/2021	$1,001 - $15,000
20	Baird Ultra Short Bond Fund Institutional Class Shares (BUBIX)	Sale	10/25/2021	$1,001 - $15,000
21	BlackRock Total Factor Fund Institutional Class Shares (BSTIX) (Reinvestment)	Purchase	12/13/2021	$1,001 - $15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
22	DFA Real Estate Securities Portfolio Institutional Class Shares (DFREX) (Reinvestment)	Purchase	12/14/2021	$1,001 - $15,000
23	US Core Equity 2 Portfolio Institutional Class Shares (DFQTX) (Reinvestment)	Purchase	12/14/2021	$1,001 - $15,000
24	US Core Equity 2 Portfolio Institutional Class Shares (DFQTX) (Reinvestment)	Purchase	12/14/2021	$1,001 - $15,000
25	US Core Equity 2 Portfolio Institutional Class Shares (DFQTX) (Reinvestment)	Purchase	12/14/2021	$1,001 - $15,000
26	International Core Equity Portfolio Institutional Class Shares (DFIEX) (Reinvestment)	Purchase	12/14/2021	$1,001 - $15,000
27	International Core Equity Portfolio Institutional Class Shares (DFIEX) (Reinvestment)	Purchase	12/14/2021	$1,001 - $15,000
28	Brown Capital Management Small Company Fund Institutional Share (BCSSX) (Reinvestment)	Purchase	12/14/2021	$1,001 - $15,000
29	US Core Equity 2 Portfolio Institutional Class Shares (DFQTX)	Purchase	12/15/2021	$15,001 - $50,000
30	MD ABLE Moderate Fund	Purchase	01/05/2021	$1,001 - $15,000
31	MD ABLE Moderate Fund	Purchase	02/05/2021	$1,001 - $15,000
32	MD ABLE Moderate Fund	Purchase	03/05/2021	$1,001 - $15,000
33	MD ABLE Moderate Fund	Purchase	04/05/2021	$1,001 - $15,000
34	MD ABLE Moderate Fund	Purchase	05/05/2021	$1,001 - $15,000
35	MD ABLE Moderate Fund	Purchase	06/07/2021	$1,001 - $15,000
36	MD ABLE Moderate Fund	Purchase	07/06/2021	$1,001 - $15,000
37	MD ABLE Moderate Fund	Purchase	08/05/2021	$1,001 - $15,000
38	MD ABLE Moderate Fund	Purchase	09/07/2021	$1,001 - $15,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
39	MD ABLE Moderate Fund		Purchase	10/05/2021	$1,001 - $15,000
40	Vanguard Total Stock Market Index Fund ETF Class Shares (VTI)		Purchase	03/30/2021	$1,001 - $15,000
41	Vanguard Long-Term Tax-Exempt Fund Admiral Shares (VWLUX)		Purchase	05/03/2021	$15,001 - $50,000
42	Vanguard Intermediate-Term Tax-Exempt Fund Admiral Shares (VWIUX)		Purchase	05/03/2021	$15,001 - $50,000
43	Vanguard Limited-Term Tax-Exempt Fund Admiral Shares (VMLUX)		Purchase	05/03/2021	$15,001 - $50,000
44	Vanguard Total International Stock Index Fund ETF Shares (VXUS)		Sale	05/04/2021	$1,001 - $15,000
45	Vanguard Total Stock Market Index Fund ETF Class Shares (VTI)		Sale	05/04/2021	$15,001 - $50,000
46	Vanguard Total International Stock Index Fund ETF Shares (VXUS)		Purchase	06/24/2021	$1,001 - $15,000
47	Vanguard Total Stock Market Index Fund ETF Class Shares (VTI)		Purchase	06/29/2021	$1,001 - $15,000
48	Vanguard Total International Stock Index Fund ETF Shares (VXUS)		Purchase	09/23/2021	$1,001 - $15,000
49	Vanguard Total Stock Market Index Fund ETF Class Shares (VTI)		Purchase	09/29/2021	$1,001 - $15,000
50	Vanguard Total International Stock Index Fund ETF Shares (VXUS)	See Endnote	Purchase	12/23/2021	$1,001 - $15,000
51	Vanguard Total Stock Market Index Fund ETF Class Shares (VTI) (Reinvestment)		Purchase	12/30/2021	$1,001 - $15,000
52	Vanguard Information Technology Index Fund ETF Class Shares (VGT)		Sale	03/24/2021	$1,001 - $15,000
53	Vanguard Information Technology Index Fund ETF Class Shares (VGT)		Purchase	12/16/2021	$15,001 - $50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
54	Vanguard Health Care Index Fund ETF Class Shares (VHT)	Sale	09/07/2021	$15,001 - $50,000
55	VanguardEmerging Markets Stock Index Fund ETF Shares (VWO)	Sale	08/17/2021	$15,001 - $50,000
56	VanguardEmerging Markets Stock Index Fund ETF Shares (VWO)	Purchase	01/15/2021	$1,001 - $15,000
57	Vanguard Consumer Discretionary Index Fund ETF Shares (VCR)	Purchase	12/16/2021	$50,001 - $100,000
58	Vanguard Wellesley Income Fund Admiral Shares (VWIAX)	Purchase	03/25/2021	$1,001 - $15,000
59	Vanguard Wellesley Income Fund Admiral Shares (VWIAX)	Purchase	09/20/2021	$1,001 - $15,000
60	Vanguard Small-Cap Value Index Fund ETF Class Shares (VBR)	Purchase	06/23/2021	$15,001 - $50,000
61	Vanguard Small-Cap Value Index Fund ETF Class Shares (VBR)	Purchase	12/16/2021	$15,001 - $50,000
62	Vanguard S&P Mid-Cap 400 Value Index Fund ETF Shares (IVOV)	Purchase	06/23/2021	$15,001 - $50,000
63	Vanguard S&P Mid-Cap 400 Value Index Fund ETF Shares (IVOV)	Purchase	12/16/2021	$15,001 - $50,000
64	Vanguard Real Estate Index Fund ETF Shares (VNQ)	Purchase	12/16/2021	$100,001 - $250,000
65	Vanguard Health Care Index Fund ETF Class Shares (VHT)	Sale	09/07/2021	$50,001 - $100,000
66	VanguardGlobal ex-US Real Estate Index Fund ETF Shares (VNQI)	Purchase	12/16/2021	$15,001 - $50,000
67	Vanguard European Stock Index Fund ETF Shares (VGK)	Purchase	06/23/2021	$15,001 - $50,000
68	Vanguard European Stock Index Fund ETF Shares (VGK)	Purchase	12/16/2021	$50,001 - $100,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
69	Vanguard Dividend Appreciation Index Fund ETF Class Shares (VIG)	Purchase	12/16/2021	$250,001 - $500,000
70	iShares PHLX Semiconductor ETF (SOXX)	Sale	09/07/2021	$50,001 - $100,000
71	iShares PHLX Semiconductor ETF (SOXX)	Purchase	03/19/2021	$15,001 - $50,000
72	iShares PHLX Semiconductor ETF (SOXX)	Purchase	04/05/2021	$15,001 - $50,000
73	Vanguard S&P Small-Cap 600 Value Index Fund ETF Shares (VIOV)	Purchase	03/24/2021	$15,001 - $50,000
74	Vanguard S&P Small-Cap 600 Value Index Fund ETF Shares (VIOV)	Purchase	12/16/2021	$15,001 - $50,000
75	Vanguard S&P Small-Cap 600 Index Fund ETF Shares (VIOO)	Sale	06/23/2021	$15,001 - $50,000
76	Vanguard S&P Small-Cap 600 Index Fund ETF Shares (VIOO)	Purchase	02/24/2021	$15,001 - $50,000
77	Vanguard 500 Index Fund ETF Shares (VOO)	Purchase	12/16/2021	$100,001 - $250,000
78	Vanguard Russell 2000 Value Index Fund ETF Shares (VTWV)	Purchase	06/23/2021	$15,001 - $50,000
79	Vanguard Real Estate Index Fund ETF Shares (VNQ)	Purchase	12/16/2021	$50,001 - $100,000
80	Vanguard Mid-Cap Index Fund ETF Shares (VO)	Purchase	12/16/2021	$50,001 - $100,000
81	VanguardGlobal ex-US Real Estate Index Fund ETF Shares (VNQI)	Purchase	12/16/2021	$15,001 - $50,000
82	VanguardEmerging Markets Stock Index Fund ETF Shares (VWO)	Purchase	12/16/2021	$50,001 - $100,000
83	Vanguard Extended Market Index Fund ETF Shares (VXF)	Purchase	02/24/2021	$15,001 - $50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
84	Vanguard Extended Market Index Fund ETF Shares (VXF)	Purchase	06/23/2021	$1,001 - $15,000
85	Vanguard Extended Market Index Fund ETF Shares (VXF)	Purchase	12/16/2021	$100,001 - $250,000
86	iShares PHLX Semiconductor ETF (SOXX)	Sale	09/07/2021	$15,001 - $50,000
87	iShares PHLX Semiconductor ETF (SOXX)	Purchase	03/24/2021	$15,001 - $50,000
88	Vanguard Total Stock Market Index Fund ETF Class Shares (VTI)	Purchase	12/01/2021	$500,001 - $1,000,000
89	Vanguard S&P Mid-Cap 400 Value Index Fund ETF Shares (IVOV)	Purchase	03/15/2021	$15,001 - $50,000
90	Vanguard Russell 2000 Value Index Fund ETF Shares (VTWV)	Purchase	02/24/2021	$50,001 - $100,000
91	Vanguard Mid-Cap Value Index Fund ETF Class Shares (VOE)	Purchase	03/15/2021	$15,001 - $50,000
92	Vanguard Mid-Cap Value Index Fund ETF Class Shares (VOE)	Purchase	12/01/2021	$100,001 - $250,000
93	Vanguard International Dividend Appreciation Index Fund ETF Shares (VIGI)	Purchase	12/01/2021	$100,001 - $250,000
94	Vanguard Information Technology Index Fund ETF Class Shares (VGT)	Purchase	12/01/2021	$500,001 - $1,000,000
95	Vanguard Health Care Index Fund ETF Class Shares (VHT)	Sale	10/26/2021	$250,001 - $500,000
96	VanguardEmerging Markets Stock Index Fund ETF Shares (VWO)	Purchase	12/01/2021	$250,001 - $500,000
97	Vanguard Extended Market Index Fund ETF Shares (VXF)	Purchase	12/01/2021	$250,001 - $500,000
98	Vanguard Consumer Discretionary Index Fund ETF Shares (VCR)	Purchase	12/01/2021	$500,001 - $1,000,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
99	TA US Core Equity 2 Portfolio Institutional Class Shares (DFTCX)	Sale	06/11/2021	$500,001 - $1,000,000
100	Dimensional EFT Trust US Core Equity 2 (DFAC)	Purchase	06/11/2021	$500,001 - $1,000,000
101	TA US Core Equity 2 Portfolio Institutional Class Shares (DFTCX)	Sale	06/11/2021	$250,001 - $500,000
102	Dimensional EFT Trust US Core Equity 2 (DFAC)	Purchase	06/11/2021	$250,001 - $500,000

8. Liabilities

None

9. Gifts and Travel Reimbursements

None

Endnotes

PART	#	ENDNOTE
5.	9.1	99.4% of the fund is invested in the Verizon stock, and the remaining 0.6% in short-term cash instruments.
6.	2	Pursuant to the will of a family member who passed away on November 27, 2018, I am co-Trustee (with my brother), and co-Beneficiary (with my brother, my brother's children, my wife, and my daughter), for the cash accounts and real property in my deceased mother's estate. At the end of 2018, the real property consisted of her residence in West Lafayette, Indiana. The house was sold in March 2019; as of this time the assets of the trust consist solely of cash form her estate and the proceeds from the sale of the house.
6.	7.3	Bank account is jointly held with daughter, who is not a dependent.

PART	#	ENDNOTE
6.	9	Rental income was for housing provided to family member under guardianship.
7.	1	Biweekly transactions beginning on this date, as part of my spouse's employee savings plan.
7.	2	Verizon 2025 Fund exchanged for Verizon 2030 Fund.
7.	3	Verizon 2025 Fund exchanged for Verizon 2030 Fund.
7.	50	Dividend reinvestment.

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than $200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding $5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than $5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than $1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than $200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of $5,000 or less (unless more than $200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of $1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over $10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed $10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than $415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than $415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of $166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

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