OGE Form 278-T (January 2013) 5 C.F.R. Part 2634 U.S. Office of Government Ethics

## **Executive Branch Personnel PUBLIC FINANCIAL DISCLOSURE REPORT: Periodic Transaction Report**

Filer's Nam	ne (Print Last,	, First, and Middle Ini	Title of Position for Which Filing						Department or Agency												
Goldberg		Beth	L	District Director							SBA										
Certificatio	n: I CERTIFY						Date	(Mor	ıth, Do	ay, Ye		Notice of Extension									
on this form		nents are true, complete,	Beth Goldberg	Beth Goldberg							12 12:	40:02	!		Check if granted						
Signature of Intermediate Reviewing Official (if required by agency)						Date (Month, Day, Year)							l <sub>x7</sub>			Indicate number of days:  OGE Use Only					
Signature	or intermedia	rea by agency)	Date (Mon	in, Duy, Teu		U	12/20	<mark>se On</mark> 18	iy			OGE USE OMY									
Signature o	of Agency's F	inal Reviewing Offic	ial		Date (Mon	th, Day, Yea	<i>r</i> )			Comments of Reviewing Officials											
Marilyn	<u> </u>				· ·	5 17:20:03	,							U							
Signature o	of Reviewing	ernment Ethics (if required)	Date (Mon	th, Day, Yea																	
Deadlines You must file transaction	S e your report w but not later tha	ithin <b>30 days</b> of when you	ou receive	ese deadlines	Transaction Date	Notification Received More Than	Tra	ansac ype	tion				An		of Tran - 100°000 - 100°000°18	*	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	
do not apply	to any voluntar	y additional disclosures  Identification of		See the instructions.		30 Days Ago*	Purc	Sale	Excl	\$1,001 - \$15,000	\$15,001 · \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 \$500,000	\$500, \$1,00	Over \$1,00	\$1,00 \$5,00	\$5,00 \$25,0	\$25,0 \$50,0	Over \$50,0	
Ex. Central A					10/1/12	1180	X			<b>3</b> , <b>3</b> ,	97 97	X	37 37	3, 3,	3, 3,	<u> </u>	3, 3,	37 37	97 97	<u> </u>	
	opulsion, Inc.				9/4/12	X	X				X										
<sup>1</sup> INTC -	Intel Corp				02/01/2018			×		X											
<sup>2</sup> PFE - F	Pfizer Inc				02/01/2018			×		×											
<sup>3</sup> KMB - I	Kimberly-Clarl	k Corp			02/01/2018			×		×											
4 PM - Ph	hilip Morris Int	ernational Inc			02/01/2018			×		×											
<sup>5</sup> MET - N	Metlife Inc				02/01/2018			×		×											
<sup>6</sup> PG - Pr	rocter & Gamb	ole Company			02/01/2018			×		X											
<sup>7</sup> TXN - T	Texas Instrum	ents			02/01/2018		×			×											
				e of Extension" section of this follows that of your spouse or dependent		he underlying	asset	t is ei	ther h	eld by	vou (	or ioint	lv held	d by yo	ou with	vour	spouse				

<sup>\*\*</sup> This category applies only if the underlying asset is solely that of your spouse or dependent child. If the underlying asset is either held by you or jointly held by you with your spouse or dependent children, use the other higher categories of value, as appropriate.

Filer's Name  Goldberg Beth L							Transactions continued (Use only if needed)													Page Number 2					
	Transaction Amount of Transaction Type (x)															saction (x)									
	1	Transaction Date (Mo./Day/Yr.	Notification Received More Than 30 Days Ago*	Purchase	Sale	Exchange	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000**	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50.000.000								
1 HSBC - F	ISBC Holdings	Plc				02/01/2018	3	×			×														
<sup>2</sup> AMGN - A	Amgen Inc					02/01/201	3	×			×														
BA - Boei	ng Company					02/01/2018	3	×			×														
4 TGT - Ta	rget Corp					02/01/201	3	×			×														
5 OSTK - C	verstock.Com	Inc				02/02/2018	3		×		×														
6 IBB - Nas	daq Biotech ET	ΓF Ishares				02/05/2018	3		×		×														
7 XLF - S&	P 500 Financial	ls Sector SP	PDR			02/05/2018	3		×		×														
8 MBB - ME	3S Bond Ishare	es				02/05/2018	3		×		×														
9 BIV - Inte	rm Term Bond	ETF Vangua	ard			02/05/2018	3		×		×														
10 XLRE - S	&P 500 Real E	state Sector	SPDF	₹		02/05/2018	3		×		×														
11 XLE - S&	P 500 Energy S	Sector SPDR	₹			02/05/2018	3		×		×														
12 EMB - US	SD Emrg Mkts E	Bond Ishares	s			02/05/2018	3		×		×														
13 XLU - S&	P 500 Utilities S	Sector SPDR	₹			02/05/2018	3		×		×														
14 XLV - S&	P 500 Healthca	are Sector SF	PDR			02/05/2018	3	×			×														
15 BWX - Int	l Treasury Bon	d ETF SPDR	R			02/05/2018	3	×			×														
16 SKYY - C	loud Computing	g ETF FT				02/05/2018	3	×			×														

<sup>\*</sup> Extensions of the due date should be noted in the "Notice of Extension" section of this form.

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Filer's Name  Goldberg Beth L							Transactions continued (Use only if needed)													Page Number  3					
	Transaction Type (x)  Amount of Transaction Type (x)  Transaction Notification															saction (x)									
	Identification of Assets							Purchase	Sale	Exchange	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000**	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000				
1 XLI - S&P	500 Industrial	Sector SPDI	R			02/05/2018		×			×														
<sup>2</sup> HYLS - Ta	ctical High Yie	ld ETF FT				02/05/2018		×			×														
3 FTSL - Se	nior Loan ETF	FT				02/05/2018		X			×														
4 EFA - EAF	EFA - EAFE Index MSCI Ishares  COL - Rockwell Collins  PX - Praxair					02/05/2018		X			×														
5 COL - Roo						02/05/2018			×		×														
6 PX - Praxa						02/05/2018		×			×														
7 NFLX - Ne	etflix Inc					02/06/2018		×			×														
8 AMZN - Ar	mazon.Com Ind	С				02/06/2018		×			×														
9 EFA - EAF	E Index MSCI	Ishares				02/12/2018			×			×													
10 VTV - Valu	ue ETF Vangua	ard				02/12/2018			×		×														
11 IEMG - Co	ore MSCI Emer	ging Mkts Is	shares			02/12/2018			×		×														
12 VBK - Sma	allcap Growth E	ETF Vangua	ard			02/12/2018			×		×														
13 VBR - Sma	allcap Value E	TF Vanguard	d			02/12/2018			×		×														
14 MBB - MB	S Bond Ishares	S				02/12/2018			×		×														
15 ARBNX - 1	Γhe Arbitrage F	Fund Class	I			02/12/2018		×			×														
<sup>16</sup> SLYV - S8	P Smallcap Va	alue ETF SP	PDR			02/12/2018		×			×														

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Goldberg Beth L (Use only if needed)  4  Transaction Type (x) Amount of Transaction (x)  Transaction Notification	File	er's Name	Transactions continued											Page Number									
Part	G	oldberg Beth L															4						
Part																							
Transaction   Potentification of Assets   Processor   Processor												Am	ount o	f Tran	saction	(x)							
FYC - Smallcap Growth Alphadex Fund FT   02/12/2018   X   X   X   X   X   X   X   X   X		Identification		Date	Received More Than 30 Days			e,	1,001 - 15,000	;15,001 - ;50,000	;50,001 - ;100,000	100,001 - 1250,000	,250,001 - ,500,000	;500,001 - ;1,000,000	)ver 11,000,000**	1,000,001 -	5,000,001 - 52,000,000	,25,000,001 - ,50,000,000	Over \$50,000,000				
SEM - GS Activebeta EM Equity ETF SPDR   02/12/2018   X   X   X   X   X   X   X   X   X	1			02/12/2018	1150					<del>5</del>	<del>4</del> 4	<del>5</del>	<del>50</del> <del>50</del>	<del>5</del>	<u> </u>	<del>9</del> <del>9</del>	<i>y y</i>	<del>53</del> <del>53</del>	<u> </u>				
SYV-MIS Value Equity ETF SPDR	2	GEM - GS Activebeta EM Equity ETF		02/12/2018		×			×														
VEA - FTSE Developed Markets Vanguard         02/12/2018         X<	3	SYV - MFS Value Equity ETF SPDR	02/12/2018		×				×														
AGN - Allergan Pic   G2/14/2018   X   X   X   X   X   X   X   X   X	4	VEA - FTSE Developed Markets Van	guard	02/12/2018		×				×													
EQIX - EQUINIX INC	5	AGN - Allergan Plc		02/14/2018		×			×														
Walmart Inc Bond Redemption         02/15/2018         X	6	EQIX - Equinix Inc		02/14/2018		×			×														
CAT - Caterpillar Inc       02/23/2018       X       <	7	Walmart Inc Bond Redemption		02/15/2018			×		×														
10         11         12         13         14         15	8	CAT - Caterpillar Inc		02/23/2018		×			×														
11       12       13       14       15																							
12       13       14       15																							
13       14       15	11																						
14       15																							
15																							
16																							
* Eutopaining of the day date should be noted in the "Netice of Eutopaini" section of this form	16																						

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## Reviewer Comments and Submission History for Beth Goldberg

03/12/2018 12:40:02 Filing submitted