

# Executive Branch Personnel

## Public Financial Disclosure Report (OGE Form 278e)

### Filer's Information

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Raghu, Maya

Deputy Director, Policy, Department of Labor

Report Year: 2022

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Other Federal Government Positions Held During the Preceding 12 Months:

None

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Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Raghu, Maya [electronically signed on 05/15/2022 by Raghu, Maya in Integrity.gov]

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Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Myers, Vanessa J, Certifying Official [electronically signed on 07/15/2022 by Myers, Vanessa J in Integrity.gov]

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Other review conducted by

/s/ Myers, Vanessa J, Ethics Official [electronically signed on 07/01/2022 by Myers, Vanessa J in Integrity.gov]

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U.S. Office of Government Ethics Certification

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Data Revised 07/01/2022

## 1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	National Women's Law Center	Washington, District of Columbia	Non-Profit	Director of Workplace Equality & Senior Counsel	1/2016	7/2021

## 2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	National Women's Law Center	N/A		Salary	\$160,093
2	Roth IRA	No			
2.1	Franklin Biotechnology Discovery Fund Class A Shares (FBDIX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
2.2	Templeton World Fund Class A Shares (TEMWX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.3	Templeton World Fund Class C Shares (TEWTX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.4	AT&T	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
2.5	Advanced Micro Devices, Inc. (AMD)	No	\$1,001 - \$15,000		None (or less than \$201)
2.6	Alibaba Group Holding	No	\$1,001 - \$15,000		None (or less than \$201)
2.7	Anheuser Busch InBev	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT	
2.8	BP PLC ADR	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)	
2.9	Cisco Systems, Inc. (CSCO)	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	
2.10	Dow, Inc. (DOW)	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	
2.11	General Electric Co. (GE)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)	
2.12	GlaxoSmithKline ADR	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	
2.13	The Home Depot, Inc. (HD)	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	
2.14	Intel Corp. (INTC)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)	
2.15	International Paper	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	
2.16	Johnson & Johnson (JNJ)	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	
2.17	NetApp, Inc. (NTAP)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)	
2.18	New York Community Bancorp, Inc. (NYCB)	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	
2.19	QUALCOMM, Inc. (QCOM)	See Endnote	No	None (or less than \$1,001)	Dividends	\$201 - \$1,000
2.20	Stryker Corp. (SYK)	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	
2.21	The Trade Desk, Inc. (TTD)	No	\$1,001 - \$15,000		None (or less than \$201)	
2.22	3M Co. (MMM)	No	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	
2.23	United States Steel Corp. (X)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)	
2.24	Energy Select Sector SPDR Fund (XLE)	Yes	\$1,001 - \$15,000		\$201 - \$1,000	

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.25	Select Sector TR Real Estate SPDR ETF		Yes	\$1,001 - \$15,000		None (or less than \$201)
2.26	Vanguard FTSE Emerging Markets ETF		Yes	\$1,001 - \$15,000		None (or less than \$201)
2.27	Alaska Air Group, Inc. (ALK)	See Endnote	No	None (or less than \$1,001)		None (or less than \$201)
2.28	Financial Select Sector SPDR Fund (XLF)	See Endnote	Yes	None (or less than \$1,001)		None (or less than \$201)
2.29	United Parcel Service, Inc. (UPS)	See Endnote	No	None (or less than \$1,001)		None (or less than \$201)
2.30	Ameriprise insured money market fund		N/A	None (or less than \$1,001)	Interest	None (or less than \$201)
2.31	First Trust SMID Capital Strength		Yes	\$15,001 - \$50,000		None (or less than \$201)
2.32	Invesco New World Leaders Portfolio		Yes	\$15,001 - \$50,000		None (or less than \$201)
2.33	Sylvamo Corp		No	None (or less than \$1,001)	Dividends	\$201 - \$1,000
3	IRA		No			
3.1	Vanguard Extended Market Index Fund Admiral Shares (VEXAX)		Yes	\$15,001 - \$50,000		\$201 - \$1,000
3.2	Vanguard Total Bond Market Index Fund Admiral Shares (VBTLX)		Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
3.3	Vanguard Total International Bond Index Fund Admiral Class Shares (VTABX)		Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
3.4	Vanguard Total International Stock Index Fund Admiral Shares (VTIAX)		Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
3.5	Vanguard Total Stock Market Index Fund Admiral Class Shares (VTSAX)		Yes	\$15,001 - \$50,000		\$1,001 - \$2,500

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.6	Vanguard Federal Money Market Fund Investor Shares (VMFXX)		N/A	None (or less than \$1,001)		None (or less than \$201)
3.7	Vanguard Value Index Fund Admiral Shares (VVIAX)		Yes	\$1,001 - \$15,000		None (or less than \$201)
4	TIAA CREF retirement account	See Endnote	No			
4.1	CREF Bond Market R1		Yes	None (or less than \$1,001)		None (or less than \$201)
4.2	CREF Growth R1		Yes	None (or less than \$1,001)		None (or less than \$201)
4.3	TIAA Access Growth & Income Fund T4		Yes	None (or less than \$1,001)		\$201 - \$1,000
4.4	TIAA Access International Equity Fund T4		Yes	None (or less than \$1,001)		None (or less than \$201)
4.5	TIAA Access Large Cap Growth Fund T4		Yes	None (or less than \$1,001)		\$201 - \$1,000
4.6	TIAA Access Lifecycle Fund 2040 T4		Yes	None (or less than \$1,001)		\$1,001 - \$2,500
4.7	TIAA Access Mid Cap Value Fund T4		Yes	None (or less than \$1,001)		None (or less than \$201)
4.8	TIAA Access Quant Small Cap Equity Fund T4		Yes	None (or less than \$1,001)		None (or less than \$201)
4.9	TIAA Real Estate Fund		Yes	None (or less than \$1,001)		None (or less than \$201)
4.10	TIAA Access Lifecycle Fund 2035 T4		Yes	None (or less than \$1,001)		\$201 - \$1,000
5	Rollover IRA		No			
5.1	Vanguard Total Bond Market Index Fund Admiral Shares (VBTLX)		Yes	\$15,001 - \$50,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.2	Vanguard Total International Stock Index Fund Admiral Shares (VTIAX)	Yes	\$50,001 - \$100,000		\$201 - \$1,000
5.3	Vanguard Total Stock Market Index Fund Admiral Class Shares (VTSAX)	Yes	\$50,001 - \$100,000		\$201 - \$1,000
5.4	Vanguard Total International Bond Index Fund Admiral Class Shares (VTABX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
5.5	Vanguard Value Index Fund Admiral Shares (VVIAX)	Yes	\$1,001 - \$15,000		None (or less than \$201)

### 3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	National Women's Law Center	Washington, District of Columbia	After my separation in July 2021, I rolled over the contents of the account into a new IRA in September 2021.	1/2016

### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

### 5. Spouse's Employment Assets & Income and Retirement Accounts

None

### 6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT	
1	US bank #1 (Cash)	No	\$250,001 - \$500,000		None (or less than \$201)	
2	Vanguard 500 Index Fund Admiral Shares (VFIAX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000	
3	Vanguard Growth Index Fund Admiral Class Shares (VIGAX)	Yes	\$50,001 - \$100,000		\$201 - \$1,000	
4	Vanguard Total Stock Market Index Fund Admiral Class Shares (VTSAX)	Yes	\$250,001 - \$500,000		\$2,501 - \$5,000	
5	Franklin Founding Funds Allocation Fund Class C Shares (FFACX)	Yes	\$1,001 - \$15,000		None (or less than \$201)	
6	Boeing Co (BA)	No	\$1,001 - \$15,000		None (or less than \$201)	
7	Bristol-Myers Squibb (BMY)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)	
8	CVS Health Corp. (CVS)	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	
9	International Business Machines Corp. (IBM)	See Endnote	No	None (or less than \$1,001)	Dividends	\$201 - \$1,000
10	Merck & Co., Inc. (MRK)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)	
11	Nutrien Ltd	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)	
12	Taiwan Semiconductor Manufacturing Co ADR	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)	
13	Take-Two Interactive Software, Inc. (TTWO)	No	\$1,001 - \$15,000	Capital Gains	\$2,501 - \$5,000	
14	Tractor Supply Co. (TSCO)	See Endnote	No	None (or less than \$1,001)	Capital Gains Dividends	\$1,001 - \$2,500
15	Verizon Communications, Inc. (VZ)	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	



#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
16	US bank #2 (cash) See Endnote	No	None (or less than \$1,001)	Interest	None (or less than \$201)
17	US bank #4 (cash) See Endnote	No	None (or less than \$1,001)	Interest	None (or less than \$201)
18	Vanguard Value Index Admiral class VVIAX	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
19	Vanguard Federal Money Market Fund Investor Shares (VMFXX)	Yes	None (or less than \$1,001)		None (or less than \$201)
20	FedEx Corp. (FDX) See Endnote	No	None (or less than \$1,001)	Capital Gains Dividends	\$1,001 - \$2,500
21	The Kroger Co. (KR) See Endnote	No	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
22	Vanguard European Stock Index Fund ETF Shares (VGK)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
23	Kyndryl	No	None (or less than \$1,001)	Capital Gains Dividends	\$201 - \$1,000
24	First Trust Cap Strength Opp	Yes	\$15,001 - \$50,000		None (or less than \$201)

## 7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	Tractor Supply Co. (TSCO)	Sale	09/13/2021	\$1,001 - \$15,000
2	QUALCOMM, Inc. (QCOM)	Sale	09/24/2021	\$15,001 - \$50,000
3	Johnson & Johnson (JNJ)	Sale	09/24/2021	\$1,001 - \$15,000
4	Stryker Corp. (SYK)	Sale	09/24/2021	\$15,001 - \$50,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
5	Take-Two Interactive Software, Inc. (TTWO)		Sale	11/11/2021	\$1,001 - \$15,000
6	International Business Machines Corp. (IBM)		Sale	11/11/2021	\$1,001 - \$15,000
7	First Trust Cap Strength Oppty UIT		Purchase	11/30/2021	\$15,001 - \$50,000
8	First Trust SMID Cap Strength Oppty		Purchase	11/29/2021	\$15,001 - \$50,000
9	Invesco New World Leaders		Purchase	11/29/2021	\$15,001 - \$50,000
10	TIAA Real Estate	See Endnote	Sale	09/23/2021	\$1,001 - \$15,000
11	TIAA Access International Equity Fund T4	See Endnote	Sale	09/23/2021	\$1,001 - \$15,000
12	TIAA Access Growth & Income Fund T4	See Endnote	Sale	09/23/2021	\$1,001 - \$15,000
13	TIAA Access Mid-Cap Value Fund T4	See Endnote	Sale	09/23/2021	\$1,001 - \$15,000
14	TIAA Quant Small Cap Equity Fund T4	See Endnote	Sale	09/23/2021	\$1,001 - \$15,000
15	TIAA Access Large Cap Growth T4	See Endnote	Sale	09/23/2021	\$1,001 - \$15,000
16	TIAA Access Lifecycle Fund 2040 T4	See Endnote	Sale	09/23/2021	\$100,001 - \$250,000
17	CREF Bond Market R1	See Endnote	Sale	09/23/2021	\$1,001 - \$15,000
18	CREF Growth R1	See Endnote	Sale	09/23/2021	\$1,001 - \$15,000
19	TIAA Access Lifecycle Fund 2035 T4	See Endnote	Sale	09/22/2021	\$15,001 - \$50,000
20	Vanguard Value Index Fund Admiral Shares (VVIAX)		Purchase	11/15/2021	\$1,001 - \$15,000
21	Vanguard Total Stock Market Index Fund Admiral Class Shares (VTSAX)		Purchase	11/15/2021	\$50,001 - \$100,000
22	Vanguard Total International Stock Index Fund Admiral Shares (VTIAX)		Purchase	11/15/2021	\$50,001 - \$100,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
23	Vanguard Total International Bond Index Fund Admiral Class Shares (VTABX)	Purchase	11/15/2021	\$1,001 - \$15,000
24	Vanguard Total Bond Market Index Fund Admiral Shares (VBTLX)	Purchase	11/15/2021	\$15,001 - \$50,000
25	Vanguard Value Index Fund Admiral Shares (VVIAX)	Purchase	10/28/2021	\$1,001 - \$15,000
26	Vanguard Total Stock Market Index Fund Admiral Class Shares (VTSAX)	Sale	10/28/2021	\$50,001 - \$100,000
27	Vanguard Total International Stock Index Fund Admiral Shares (VTIAX)	Purchase	10/28/2021	\$1,001 - \$15,000
28	Vanguard Total International Bond Index Fund Admiral Class Shares (VTABX)	Purchase	10/28/2021	\$15,001 - \$50,000
29	Vanguard Extended Market Index Fund Admiral Shares (VEXAX)	Sale	10/28/2021	\$1,001 - \$15,000
30	Vanguard Total Bond Market Index Fund Admiral Shares (VBTLX)	Purchase	10/28/2021	\$50,001 - \$100,000

## 8. Liabilities

None

## 9. Gifts and Travel Reimbursements

None

## Endnotes

PART	#	ENDNOTE
2.	2.19	As of September 2021 I no longer hold this security.
2.	2.27	As of April 2021 I no longer hold this asset.
2.	2.28	As of June 2021 I no longer hold this asset.
2.	2.29	As of February 2021 I no longer hold this asset.
2.	4	This account was rolled over into a new IRA account in 2021 and noted in the transactions section
6.	9	As of November 2021 I no longer hold this asset
6.	14	As of September 2021 I no longer hold this asset.
6.	16	This certificate of deposit matured in January 2021 and the account was closed.
6.	17	This certificate of deposit matured in April 2021 and the account was closed.
6.	20	As of June 2021 I no longer hold this asset.
6.	21	As of February 2021 I no longer hold this asset.
7.	10	This was part of a TIAA CREF account rolled over into a new IRA
7.	11	This was part of a TIAA CREF account rolled over into a new IRA.
7.	12	This was part of a TIAA CREF account rolled over into a new IRA.
7.	13	This was part of a TIAA CREF account rolled over into a new IRA.
7.	14	This was part of a TIAA CREF account rolled over into a new IRA.
7.	15	This was part of a TIAA CREF account rolled over into a new IRA
7.	16	This was part of a TIAA CREF account rolled over into a new IRA
7.	17	This was part of a TIAA CREF account rolled over into a new IRA
7.	18	This was part of a TIAA CREF account rolled over into a new IRA
7.	19	This was part of a TIAA CREF account rolled over into a new IRA

# Summary of Contents

## 1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

## 2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

#### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

#### 5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

#### 6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

## 8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

## 9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

## Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

## Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).

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