

## Executive Branch Personnel

### Public Financial Disclosure Report (OGE Form 278e)

#### Filer's Information

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RESTAINO, GARY M

United States Attorney - District of Arizona, Department of Justice - Executive Office for United States Attorneys

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Other Federal Government Positions Held During the Preceding 12 Months:

Assistant U.S. Attorney, District of Arizona (9/2003 - Present)

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Names of Congressional Committees Considering Nomination:

- Committee on the Judiciary
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Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ RESTAINO, GARY M [electronically signed on 07/31/2021 by RESTAINO, GARY M in Integrity.gov]

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Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Lofthus, Lee, Certifying Official [electronically signed on 10/29/2021 by Lofthus, Lee in Integrity.gov]

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Other review conducted by

/s/ Macklin, Jay, Ethics Official [electronically signed on 10/28/2021 by Macklin, Jay in Integrity.gov]

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U.S. Office of Government Ethics Certification

/s/ Apol, David, Certifying Official [electronically signed on 11/03/2021 by Apol, David in Integrity.gov]

## 1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Community Legal Services	Phoenix, Arizona	Non-Profit	Member and Secretary of the Board of Directors	7/2017	Present

## 2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	AZ Smart Save Deferred Compensation Plan	No			
1.1	Arizona Fixed Fund	Yes	\$50,001 - \$100,000		None (or less than \$201)
2	Arizona State Retirement System (Defined Benefit Plan) (unvested)	N/A	\$15,001 - \$50,000		None (or less than \$201)
3	Roth IRA	No			
3.1	Vanguard 500 Index Fund Admiral Shares (VFIAX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
4	Traditional IRA	No			
4.1	Vanguard 500 Index Fund Admiral Shares (VFIAX)	Yes	\$50,001 - \$100,000		None (or less than \$201)

## 3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	State of Arizona	Phoenix, Arizona	I am not fully vested in this defined benefit plan, and I will continue to participate. The plan sponsor has not made any contributions to this plan since I departed from my position with the State of Arizona in 2003.	7/1999
2	State of Arizona	Phoenix, Arizona	I will continue to participate in this deferred compensation plan. The plan sponsor has not made any contributions to this plan since I departed from my position with the State of Arizona in 2003. Upon reaching the age of 59 1/2, I am entitled to receive the entire amount of my deferred compensation plan as a lump sum, roll it over into another tax-sheltered account, or leave it in the Plan.	7/1999

#### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

None

#### 5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Fox Restaurant Concepts, a subsidiary of The Cheesecake Factory	N/A		Salary	
2	Fox Restaurant Concepts deferred compensation	No			
2.1	Vanguard VIF Equity Index Div.	Yes	\$250,001 - \$500,000		None (or less than \$201)
2.2	Vanguard VIF Midcap Index Div.	Yes	\$100,001 - \$250,000		None (or less than \$201)
3	Quarles and Brady Retirement Plan (Deferred Comp)	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.1	Vanguard International Growth Admiral Shares	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.2	Vanguard U.S. Growth Fund	Yes	\$50,001 - \$100,000		None (or less than \$201)
3.3	Vanguard Total Stock Market Index Fund Institutional Shares	Yes	\$50,001 - \$100,000		None (or less than \$201)
4	The Cheesecake Factory Incorporated Executive Savings Plan (Deferred Comp)	No			
4.1	NVIT Mid Cap Index -- Class 1	Yes	\$15,001 - \$50,000		None (or less than \$201)
5	Arizona State Retirement System (Defined Benefit Plan) (unvested: value not readily ascertainable)	N/A			None (or less than \$201)
6	Rollover IRA	No			
6.1	Fidelity Government Cash Reserves (FDRXX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.2	Apple, Inc. (AAPL)	N/A	\$15,001 - \$50,000		None (or less than \$201)
6.3	Accenture Plc (ACN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.4	Adobe, Inc. (ADBE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.5	iShares Core US Aggregate Bond ETF (AGG)	Yes	\$15,001 - \$50,000		None (or less than \$201)
6.6	Amgen Inc. (AMGN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.7	Amazon.com, Inc. (AMZN)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.8	Amphenol Corporation (APH)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.9	American Express Co. (AXP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.10	BCE Inc. (BCE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.11	Brown Capital Management Small Company Fund Institutional Share (BCSSX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.12	Becton, Dickinson and Company (BDX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.13	Berkshire Hathaway Inc. (BRKB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.14	Church & Dwight Co., Inc. (CHD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.15	Comcast Corp. (CMCSA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.16	Costco Wholesale Corp. (COST)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.17	Salesforce.com, inc. (CRM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.18	Cisco Systems, Inc. (CSCO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.19	CVS Health Corp. (CVS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.20	Chevron Corp. (CVX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.21	Dodge & Cox Income Fund (DODIX)	Yes	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.22	Estee Lauder Cos. (EL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.23	Fastenal Co. (FAST)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.24	Fidelity Emerging Markets Fund (FEMKX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
6.25	Fidelity National Financial, Inc. (FNF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.26	Alphabet, Inc. (GOOG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.27	The Home Depot, Inc. (HD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.28	iShares Gold Trust (IAU)	Yes	\$15,001 - \$50,000		None (or less than \$201)
6.29	iShares 7-10 Year Treasury Bond ETF (IEF)	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.30	WisdomTree tr intl hedged (IHDG)	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.31	iShares S&P Small-Cap 600 Value ETF (IJS)	Yes	\$15,001 - \$50,000		None (or less than \$201)
6.32	Johnson & Johnson (JNJ)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.33	JPMorgan Chase & Co. (JPM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.34	Linde Plc (LIN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.35	Mastercard, Inc. (MA)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.36	iShares MBS ETF (MBB)	Yes	\$15,001 - \$50,000		None (or less than \$201)
6.37	McDonald's Corp. (MCD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.38	Medtronic Plc (MDT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.39	Merck & Co., Inc. (MRK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.40	Microsoft Corp. (MSFT)	N/A	\$15,001 - \$50,000		None (or less than \$201)
6.41	NextEra Energy, Inc. (NEE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.42	New World Fund, Inc Class F2 Shares (NFFFX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.43	NIKE, Inc. (NKE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.44	NVIDIA Corp. (NVDA)	N/A	\$15,001 - \$50,000		None (or less than \$201)
6.45	PepsiCo, Inc. (PEP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.46	Procter & Gamble Co. (PG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.47	Pinnacle West Capital Corp. (PNW)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.48	T Rowe Price New Horizons Fund, Inc (PRNHX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.49	PayPal Holdings, Inc. (PYPL)	N/A	\$1,001 - \$15,000		None (or less than \$201)



#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.50	Raytheon Co. (RTN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.51	Royal Bank of Canada (RY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.52	iShares 1-3 Year Treasury Bond ETF (SHY)	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.53	Stryker Corp. (SYK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.54	The TJX Cos., Inc. (TJX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.55	Thermo Fisher Scientific, Inc. (TMO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.56	UnitedHealth Group, Inc. (UNH)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.57	Vanguard Short-Term Corporate Bond Index Fund ETF Class Shares (VCSH)	Yes	\$15,001 - \$50,000		None (or less than \$201)
6.58	Vanguard Energy Index Fund ETF Class Shares (VDE)	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.59	Vanguard International Dividend Appreciation Index Fund ETF Shares (VIGI)	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.60	Vulcan Materials Co. (VMC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.61	Verizon Communications, Inc. (VZ)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.62	Western Alliance Bancorporation (WAL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.63	Waste Management, Inc. (WM)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.64	Walmart, Inc. (WMT)		N/A	\$1,001 - \$15,000		None (or less than \$201)
6.65	Industrial Select Sector SPDR Fund (XLI)		Yes	\$15,001 - \$50,000		None (or less than \$201)
6.66	Exxon Mobil Corp. (XOM)		N/A	\$1,001 - \$15,000		None (or less than \$201)
7	Fox Restaurant Concepts LLC (Note receivable)	See Endnote	N/A	\$500,001 - \$1,000,000	Capital Gains Interest	\$100,001 - \$1,000,000
8	Roth IRA		No			
8.1	Vanguard 500 Index Fund Admiral Shares (VFIAX)		Yes	\$100,001 - \$250,000		None (or less than \$201)
9	Traditional IRA		No			
9.1	Vanguard 500 Index Fund Admiral Shares (VFIAX)		Yes	\$50,001 - \$100,000		None (or less than \$201)

## 6. Other Assets and Income

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	U.S. bank #1 (cash)		N/A	\$1,000,001 - \$5,000,000	Interest	\$5,001 - \$15,000
2	U.S. bank #2 (cash)		N/A	\$500,001 - \$1,000,000	Interest	\$201 - \$1,000
3	PARROT PROPERTIES LLC		No			
3.1	Residential real estate, Phoenix, AZ		N/A	\$100,001 - \$250,000	Rent or Royalties	\$5,001 - \$15,000
4	U.S. savings bonds		N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5	Brokerage account	No			
5.1	Fidelity Government Cash Reserves (FDRXX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.2	Apple, Inc. (AAPL)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$5,001 - \$15,000
5.3	AbbVie, Inc. (ABBV)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$1,001 - \$2,500
5.4	Accenture Plc (ACN)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
5.5	Amazon.com, Inc. (AMZN)	N/A	\$15,001 - \$50,000		None (or less than \$201)
5.6	Amphenol Corporation (APH)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
5.7	American Express Co. (AXP)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
5.8	BCE Inc. (BCE)	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
5.9	Brown Capital Management Small Company Fund Institutional Share (BCSSX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
5.10	Becton, Dickinson and Company (BDX)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
5.11	Berkshire Hathaway Inc. (BRKB)	N/A	\$15,001 - \$50,000		None (or less than \$201)
5.12	Church & Dwight Co., Inc. (CHD)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
5.13	Comcast Corp. (CMCSA)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
5.14	Costco Wholesale Corp. (COST)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.15	salesforce.com, inc. (CRM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.16	Cisco Systems, Inc. (CSCO)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
5.17	CVS Health Corp. (CVS)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
5.18	Chevron Corp. (CVX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.19	Dodge & Cox Income Fund (DODIX)	Yes	\$50,001 - \$100,000		\$5,001 - \$15,000
5.20	Consolidated Edison, Inc. (ED)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
5.21	Estee Lauder Cos. (EL)	N/A	\$15,001 - \$50,000		None (or less than \$201)
5.22	Fastenal Co. (FAST)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
5.23	Fidelity Emerging Markets Fund (FEMKX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
5.24	Fidelity National Financial, Inc. (FNF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.25	American New World Fund (FNWFX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
5.26	Alphabet, Inc. (GOOG)	N/A	\$15,001 - \$50,000		None (or less than \$201)
5.27	The Home Depot, Inc. (HD)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
5.28	iShares Gold Trust (IAU)	Yes	\$15,001 - \$50,000		None (or less than \$201)
5.29	iShares 7-10 Year Treasury Bond ETF (IEF)	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.30	iShares 3-7 Year Treasury Bond ETF (IEI)	Yes	\$1,001 - \$15,000		\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.31	WisdomTree International Hedged Quality Dividend Growth Fund (IHDG)	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.32	iShares S&P Small-Cap 600 Value ETF (IJS)	Yes	\$15,001 - \$50,000		\$2,501 - \$5,000
5.33	Illinois Tool Works, Inc. (ITW)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.34	Johnson & Johnson (JNJ)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
5.35	JPMorgan Chase & Co. (JPM)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
5.36	Linde Plc (LIN)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
5.37	Lockheed Martin Corp. (LMT)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
5.38	iShares MBS ETF (MBB)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
5.39	McDonald's Corp. (MCD)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
5.40	Medtronic Plc (MDT)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$1,001 - \$2,500
5.41	3M Co. (MMM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.42	Merck & Co., Inc. (MRK)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
5.43	Microsoft Corp. (MSFT)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$5,001 - \$15,000
5.44	NextEra Energy, Inc. (NEE)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
5.45	NIKE, Inc. (NKE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.46	NVIDIA Corp. (NVDA)	N/A	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.47	novo nordisk (NVO)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
5.48	PepsiCo, Inc. (PEP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.49	Procter & Gamble Co. (PG)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
5.50	Pinnacle West Capital Corp. (PNW)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
5.51	T Rowe Price New Horizons Fund, Inc (PRNHX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.52	Roper Technologies, Inc. (ROP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.53	Raytheon Co. (RTN)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
5.54	Royal Bank of Canada (RY)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
5.55	Starbucks Corp. (SBUX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.56	Stryker Corp. (SYK)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
5.57	The TJX Cos., Inc. (TJX)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
5.58	Thermo Fisher Scientific, Inc. (TMO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.59	UnitedHealth Group, Inc. (UNH)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.60	Vanguard Short-Term Corporate Bond Index Fund ETF Class Shares (VCSH)	Yes	\$50,001 - \$100,000		\$201 - \$1,000
5.61	Vanguard Energy Index Fund ETF Class Shares (VDE)	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.62	Vulcan Materials Co. (VMC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.63	Vanguard Intermediate-Term Tax-Exempt Fund Admiral Shares (VWIUX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
5.64	Vanguard High Dividend Yield Index Fund ETF Shares (VYM)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
5.65	Verizon Communications, Inc. (VZ)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$1,001 - \$2,500
5.66	Western Alliance Bancorporation (WAL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.67	Waste Management, Inc. (WM)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
5.68	Walmart, Inc. (WMT)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
5.69	Energy Select Sector SPDR Fund (XLE)	Yes	\$15,001 - \$50,000		None (or less than \$201)
6	Phoenix Life Insurance Company dba Nassau Life Insurance Company (Whole Life)	N/A	\$15,001 - \$50,000		None (or less than \$201)
7	U.S. Bank # 3 (cash)	N/A	\$100,001 - \$250,000	Interest	\$1,001 - \$2,500
8	CBDG Tucson LLC (Investment in limited liability company that owns commercial building in Tucson, Arizona.)	N/A	\$50,001 - \$100,000	Capital Gains Interest	\$5,001 - \$15,000
9	CBDG Gilbert LLC (Investment in limited liability company that owns leasehold rights to a commercial restaurant building in Gilbert, Arizona.)	N/A	\$50,001 - \$100,000	Capital Gains Interest	\$5,001 - \$15,000
10	Nickle Bee Investments LLC (Investment in restaurant in Nashville, TN.)	N/A	\$50,001 - \$100,000		None (or less than \$201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
11	Fox 44 LLC (Investment in hotel in Phoenix, AZ.)	See Endnote	N/A	\$100,001 - \$250,000		None (or less than \$201)

## 7. Transactions

(N/A) - Not required for this type of report

## 8. Liabilities

#	CREDITOR NAME		TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Provident Funding	See Endnote	Mortgage on Personal Residence	\$50,001 - \$100,000	2011	3.5%	15 years
2	M&I Bank (A Branch of BMO Harris Bank)	See Endnote	Mortgage (investment/rental property)	\$50,001 - \$100,000	2006	3.875%	30 years
3	Fox 44 Hotel Project		Capital Commitment	\$100,001 - \$250,000	2021	N/A	On Demand

## 9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

## Endnotes



PART	#	ENDNOTE
5.	7	This entity is responsible for the payment of the annual installment payments to the filer's spouse. Because the payments are deferred and are subject to offset, they are held in a separate LLC (also a subsidiary of The Cheesecake Factory).
6.	11	See Part 8, Line item 3 for associated capital commitments.
8.	1	Mortgage paid off in February 2020.
8.	2	Mortgage paid off in March 2021.

# Summary of Contents

## 1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

## 2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

#### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

#### 5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

#### 6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

## 8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

## 9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

## Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

## Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).

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