

Executive Branch Personnel

Public Financial Disclosure Report:

Periodic Transaction Report (OGE Form 278-T)

Filer's Information

Ahluwalia, Gundeep

CIO, Department of Labor

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Ahluwalia, Gundeep [electronically signed on 07/18/2021 by Ahluwalia, Gundeep in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Myers, Vanessa J, Certifying Official [electronically signed on 11/23/2022 by Myers, Vanessa J in Integrity.gov]

Other review conducted by

/s/ Brown, Marilynn C, Ethics Official [electronically signed on 11/07/2022 by Brown, Marilynn C in Integrity.gov]

U.S. Office of Government Ethics Certification

Data Revised 11/07/2022

Transactions

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
1	Columbia Contrarian Core (SMGIX)	Sale	04/28/2021	No	\$1,001 - \$15,000
2	Schwab Total Stock Mkt Index (SWTSX)	Sale	04/28/2021	No	\$1,001 - \$15,000
3	Davis NY Venture (NYVTX)	Sale	04/28/2021	No	\$1,001 - \$15,000
4	Oakmark Equity & Income II (OARBX)	Sale	04/28/2021	No	\$1,001 - \$15,000
5	Oppenheimer Global Opportunity (OPGIX)	Sale	04/28/2021	No	\$1,001 - \$15,000
6	American Century Aggressive (ACVAX)	Sale	04/28/2021	No	\$1,001 - \$15,000
7	TRowe Price New American Gr (PAWAX)	Sale	04/28/2021	No	\$1,001 - \$15,000
8	Wasatch Core Growth (WGROX)	Sale	04/28/2021	No	\$1,001 - \$15,000
9	Apple	Sale	04/28/2021	No	\$1,001 - \$15,000
10	Amazon.com, Inc	Sale	04/28/2021	No	\$1,001 - \$15,000
11	Arista Networks	Sale	04/28/2021	No	\$1,001 - \$15,000
12	Activision Blizzard, Inc	Sale	04/28/2021	No	\$1,001 - \$15,000
13	Blackberry Limited	Sale	04/28/2021	No	\$1,001 - \$15,000
14	Berkshire Hathaway	Sale	04/28/2021	No	\$1,001 - \$15,000
15	Cirrus Logic	Sale	04/28/2021	No	\$1,001 - \$15,000
16	SPDR Dow Jones Industrial Average ETF	Sale	04/28/2021	No	\$1,001 - \$15,000
17	Walt Disney Co	Sale	04/28/2021	No	\$1,001 - \$15,000
18	Distribution NOW	Sale	04/28/2021	No	\$1,001 - \$15,000
19	Facebook, Inc	Sale	04/28/2021	No	\$1,001 - \$15,000
20	Alphabet Inc	Sale	04/28/2021	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
21	Hill-Rom Holding Inc	Sale	04/28/2021	No	\$1,001 - \$15,000
22	Idexx Laboratories	Sale	04/28/2021	No	\$1,001 - \$15,000
23	JD.com Inc	Sale	04/28/2021	No	\$1,001 - \$15,000
24	Mastercard Inc	Sale	04/28/2021	No	\$1,001 - \$15,000
25	Vail Resorts Inc	Sale	04/28/2021	No	\$1,001 - \$15,000
26	NCR Corporation	Sale	04/28/2021	No	\$1,001 - \$15,000
27	Netflix Inc	Sale	04/28/2021	No	\$1,001 - \$15,000
28	National Oilwell Varco	Sale	04/28/2021	No	\$1,001 - \$15,000
29	Nintendo Ltd	Sale	04/28/2021	No	\$1,001 - \$15,000
30	OKTA Inc	Sale	04/28/2021	No	\$1,001 - \$15,000
31	Paypal	Sale	04/28/2021	No	\$1,001 - \$15,000
32	Sierra Wireless	Sale	04/28/2021	No	\$1,001 - \$15,000
33	The Container Store Group Inc	Sale	04/28/2021	No	\$1,001 - \$15,000
34	Telekomunikasi Indona	Sale	04/28/2021	No	\$1,001 - \$15,000
35	Trade Desk Inc	Sale	04/28/2021	No	\$1,001 - \$15,000
36	Under Armour	Sale	04/28/2021	No	\$1,001 - \$15,000
37	Urban Outfitters	Sale	04/28/2021	No	\$1,001 - \$15,000
38	Fidelity Government Cash Reserves (FDRXX)	Purchase	04/28/2021	No	\$1,001 - \$15,000
39	Fidelity MSCI Communication Services Index ETF (FCOM)	Purchase	04/28/2021	No	\$1,001 - \$15,000
40	Fidelity MSCI Consumer Discretionary Index ETF (FDIS)	Purchase	04/28/2021	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
41	Fidelity MSCI Energy Index ETF (FENY)	Purchase	04/28/2021	No	\$1,001 - \$15,000
42	Fidelity MSCI Health Care Index ETF (FHLC)	Purchase	04/28/2021	No	\$1,001 - \$15,000
43	Fidelity MSCI Industrials Index ETF (FIDU)	Purchase	04/28/2021	No	\$1,001 - \$15,000
44	Fidelity MSCI Materials Index ETF (FMAT)	Purchase	04/28/2021	No	\$1,001 - \$15,000
45	Fidelity MSCI Financials Index ETF (FNCL)	Purchase	04/28/2021	No	\$1,001 - \$15,000
46	Fidelity MSCI Consumer Staples Index ETF (FSTA)	Purchase	04/28/2021	No	\$1,001 - \$15,000
47	Fidelity MSCI Information Technology Index ETF (FTEC)	Purchase	04/28/2021	No	\$1,001 - \$15,000
48	Apple, Inc. (AAPL)	Purchase	04/28/2021	No	\$1,001 - \$15,000
49	Amphenol Corporation (APH)	Purchase	04/28/2021	No	\$1,001 - \$15,000
50	American Express Company (AXP)	Purchase	04/28/2021	No	\$1,001 - \$15,000
51	Big Lots, Inc. (BIG)	Purchase	04/28/2021	No	\$1,001 - \$15,000
52	Citizens Financial Group, Inc. (Rhode Island) (CFG)	Purchase	04/28/2021	No	\$1,001 - \$15,000
53	salesforce.com, inc. (CRM)	Purchase	04/28/2021	No	\$1,001 - \$15,000
54	Cognizant Technology Solutions Corp. (CTSH)	Purchase	04/28/2021	No	\$1,001 - \$15,000
55	CVS Health Corp. (CVS)	Purchase	04/28/2021	No	\$1,001 - \$15,000
56	Devon Energy Corp. (DVN)	Purchase	04/28/2021	No	\$1,001 - \$15,000
57	Facebook, Inc. (FB)	Purchase	04/28/2021	No	\$1,001 - \$15,000
58	FedEx Corp. (FDX)	Purchase	04/28/2021	No	\$1,001 - \$15,000
59	Fiserv, Inc. (FISV)	Purchase	04/28/2021	No	\$1,001 - \$15,000
60	General Electric Co. (GE)	Purchase	04/28/2021	No	\$1,001 - \$15,000
61	HCA Healthcare, Inc. (HCA)	Purchase	04/28/2021	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
62	The Home Depot, Inc. (HD)	Purchase	04/28/2021	No	\$1,001 - \$15,000
63	IDEXX Laboratories, Inc. (IDXX)	Purchase	04/28/2021	No	\$1,001 - \$15,000
64	Intuit, Inc. (INTU)	Purchase	04/28/2021	No	\$1,001 - \$15,000
65	L3Harris Technologies, Inc. (LHX)	Purchase	04/28/2021	No	\$1,001 - \$15,000
66	Mastercard, Inc. (MA)	Purchase	04/28/2021	No	\$1,001 - \$15,000
67	Marriott International, Inc. (MAR)	Purchase	04/28/2021	No	\$1,001 - \$15,000
68	Microsoft Corp. (MSFT)	Purchase	04/28/2021	No	\$1,001 - \$15,000
69	Nintendo ADR (NTDOY)	Purchase	04/28/2021	No	\$1,001 - \$15,000
70	Progressive Corp. (PGR)	Purchase	04/28/2021	No	\$1,001 - \$15,000
71	Polaris Inc. (PII)	Purchase	04/28/2021	No	\$1,001 - \$15,000
72	QUALCOMM, Inc. (QCOM)	Purchase	04/28/2021	No	\$1,001 - \$15,000
73	Starbucks Corp. (SBUX)	Purchase	04/28/2021	No	\$1,001 - \$15,000
74	Sysco Corp. (SYU)	Purchase	04/28/2021	No	\$1,001 - \$15,000
75	Thermo Fisher Scientific, Inc. (TMO)	Purchase	04/28/2021	No	\$1,001 - \$15,000
76	Ternium SA (TX)	Purchase	04/28/2021	No	\$1,001 - \$15,000
77	Ulta Beauty, Inc. (ULTA)	Purchase	04/28/2021	No	\$1,001 - \$15,000
78	UnitedHealth Group, Inc. (UNH)	Purchase	04/28/2021	No	\$1,001 - \$15,000
79	U.S. Bancorp (USB)	Purchase	04/28/2021	No	\$1,001 - \$15,000
80	Workday, Inc. (WDAY)	Purchase	04/28/2021	No	\$1,001 - \$15,000
81	Williams-Sonoma, Inc. (WSM)	Purchase	04/28/2021	No	\$1,001 - \$15,000
82	YUM! Brands Inc (YUM)	Purchase	04/28/2021	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
83	Apple, Inc. (AAPL)	Purchase	04/28/2021	No	\$1,001 - \$15,000
84	Accenture Plc (ACN)	Purchase	04/28/2021	No	\$1,001 - \$15,000
85	Adobe, Inc. (ADBE)	Purchase	04/28/2021	No	\$1,001 - \$15,000
86	Autodesk, Inc. (ADSK)	Purchase	04/28/2021	No	\$1,001 - \$15,000
87	Allstate Corp (ALL)	Purchase	04/28/2021	No	\$1,001 - \$15,000
88	Amazon.com, Inc. (AMZN)	Purchase	04/28/2021	No	\$1,001 - \$15,000
89	Bank of America Corp. (BAC)	Purchase	04/28/2021	No	\$1,001 - \$15,000
90	Invesco Senior Loan ETF (BKLN)	Purchase	04/28/2021	No	\$1,001 - \$15,000
91	BlackRock, Inc. (BLK)	Purchase	04/28/2021	No	\$1,001 - \$15,000
92	Bristol-Myers Squibb (BMY)	Purchase	04/28/2021	No	\$1,001 - \$15,000
93	Capital One Financial Corp. (COF)	Purchase	04/28/2021	No	\$1,001 - \$15,000
94	salesforce.com, inc. (CRM)	Purchase	04/28/2021	No	\$1,001 - \$15,000
95	Danaher Corp. (DHR)	Purchase	04/28/2021	No	\$1,001 - \$15,000
96	Ishares MSCI Pacific ex Japan (EPP)	Purchase	04/28/2021	No	\$1,001 - \$15,000
97	iShares MSCI United Kingdom ETF (EWU)	Purchase	04/28/2021	No	\$1,001 - \$15,000
98	iShares MSCI Eurozone ETF (EZU)	Purchase	04/28/2021	No	\$1,001 - \$15,000
99	Facebook, Inc. (FB)	Purchase	04/28/2021	No	\$1,001 - \$15,000
100	FedEx Corp. (FDX)	Purchase	04/28/2021	No	\$1,001 - \$15,000
101	Fidelity MSCI Energy Index ETF (FENY)	Purchase	04/28/2021	No	\$1,001 - \$15,000
102	Fidelity MSCI Financials Index ETF (FNCL)	Purchase	04/28/2021	No	\$1,001 - \$15,000
103	iShares China Large-Cap ETF (FXI)	Purchase	04/28/2021	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
104	General Electric Co. (GE)	Purchase	04/28/2021	No	\$1,001 - \$15,000
105	Alphabet, Inc. (GOOGL)	Purchase	04/28/2021	No	\$1,001 - \$15,000
106	The Home Depot, Inc. (HD)	Purchase	04/28/2021	No	\$1,001 - \$15,000
107	VanEck Vectors High-Yield Municipal Index ETF (HYD)	Purchase	04/28/2021	No	\$1,001 - \$15,000
108	iShares Interest Rate Hedged High Yield Bond ETF (HYGH)	Purchase	04/28/2021	No	\$1,001 - \$15,000
109	iShares Core MSCI Emerging Markets ETF (IEMG)	Purchase	04/28/2021	No	\$1,001 - \$15,000
110	iShares Core S&P Mid-Cap ETF (IJH)	Purchase	04/28/2021	No	\$1,001 - \$15,000
111	iShares Core S&P Small-Cap ETF (IJR)	Purchase	04/28/2021	No	\$1,001 - \$15,000
112	Intel Corp. (INTC)	Purchase	04/28/2021	No	\$1,001 - \$15,000
113	iShares Core S&P 500 ETF (IVV)	Purchase	04/28/2021	No	\$1,001 - \$15,000
114	Johnson & Johnson (JNJ)	Purchase	04/28/2021	No	\$1,001 - \$15,000
115	L3Harris Technologies, Inc. (LHX)	Purchase	04/28/2021	No	\$1,001 - \$15,000
116	Lockheed Martin Corp. (LMT)	Purchase	04/28/2021	No	\$1,001 - \$15,000
117	Lowe's Company Inc (LOW)	Purchase	04/28/2021	No	\$1,001 - \$15,000
118	Marriott International, Inc. (MAR)	Purchase	04/28/2021	No	\$1,001 - \$15,000
119	iShares MBS ETF (MBB)	Purchase	04/28/2021	No	\$1,001 - \$15,000
120	Morgan Stanley (MS)	Purchase	04/28/2021	No	\$1,001 - \$15,000
121	Microsoft Corp. (MSFT)	Purchase	04/28/2021	No	\$1,001 - \$15,000
122	NextEra Energy, Inc. (NEE)	Purchase	04/28/2021	No	\$1,001 - \$15,000
123	PayPal Holdings, Inc. (PYPL)	Purchase	04/28/2021	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
124	QUALCOMM, Inc. (QCOM)	Purchase	04/28/2021	No	\$1,001 - \$15,000
125	Invesco S&P 500 Equal Weight ETF (RSP)	Purchase	04/28/2021	No	\$1,001 - \$15,000
126	Starbucks Corp. (SBUX)	Purchase	04/28/2021	No	\$1,001 - \$15,000
127	The Sherwin-Williams Co. (SHW)	Purchase	04/28/2021	No	\$1,001 - \$15,000
128	Thermo Fisher Scientific, Inc. (TMO)	Purchase	04/28/2021	No	\$1,001 - \$15,000
129	T-Mobile US, Inc. (TMUS)	Purchase	04/28/2021	No	\$1,001 - \$15,000
130	UnitedHealth Group, Inc. (UNH)	Purchase	04/28/2021	No	\$1,001 - \$15,000
131	Union Pacific Corp. (UNP)	Purchase	04/28/2021	No	\$1,001 - \$15,000
132	Wells Fargo & Co. (WFC)	Purchase	04/28/2021	No	\$1,001 - \$15,000
133	Walmart, Inc. (WMT)	Purchase	04/28/2021	No	\$1,001 - \$15,000
134	Exxon Mobil Corp. (XOM)	Purchase	04/28/2021	No	\$1,001 - \$15,000
135	Yum! Brands, Inc. (YUM)	Purchase	04/28/2021	No	\$1,001 - \$15,000
136	Zoetis, Inc. (ZTS)	Purchase	04/28/2021	No	\$1,001 - \$15,000

Endnotes

Summary of Contents

The 278-T discloses purchases, sales, or exchanges of securities in excess of \$1,000 made on behalf of the filer, the filer's spouse, or dependent child. Transactions are required to be disclosed within 30 days of receiving notification of a transaction but not later than 45 days after the transaction. Filers need not disclose (1) mutual funds and other excepted investment funds; (2) certificates of deposit, savings or checking accounts, and money market accounts; (3) U.S. Treasury bills, notes, and bonds; (4) Thrift Savings Plan accounts; (5) real property; and (6) transactions that are solely by and between the filer, the filer's spouse, and the filer's dependent children.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.
