

# Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

## Filer's Information

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Lund, Elena D

Special Assistant, Department of State

Report Year: 2022

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Other Federal Government Positions Held During the Preceding 12 Months:

None

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Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Lund, Elena D [electronically signed on 03/02/2022 by Lund, Elena D in Integrity.gov]

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Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Merron, Eric, Certifying Official [electronically signed on 04/11/2022 by Merron, Eric in Integrity.gov]

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Other review conducted by

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U.S. Office of Government Ethics Certification

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Data Revised 04/11/2022

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## 1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME		CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Democratic National Committee	See Endnote	Washington D.C., District of Columbia	Political Organization	Senior Research Associate	6/2020	11/2020
2	Biden for President		Philadelphia, Pennsylvania	Political Campaign	Senior Research Associate	7/2019	6/2020
3	East Palo Alto Tennis & Tutoring		Stanford, California	Non-Profit	Tutor Coordinator	9/2018	6/2019

## 2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Democratic National Committee	N/A	\$15,001 - \$50,000	Salary	\$30,036
2	Biden for President	N/A	\$50,001 - \$100,000	Salary	\$60,080

## 3. Filer's Employment Agreements and Arrangements

None

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## 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

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## 5. Spouse's Employment Assets & Income and Retirement Accounts

None

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## 6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Checking Account	N/A	\$50,001 - \$100,000		None (or less than \$201)
2	iShares Core MSCI Emerging Markets ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
3	Schwab International Equity ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
4	Vanguard Dividend Appreciation	Yes	\$1,001 - \$15,000		None (or less than \$201)
5	Vanguard Tax-Exempt Bond ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
6	Vanguard Total Stock Market ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
7	vanguard ftse developed markets etf	Yes	\$1,001 - \$15,000		None (or less than \$201)
8	spdr nuveen bloomberg municipal bond etf	Yes	\$1,001 - \$15,000		None (or less than \$201)
9	Schwab US Dividend Equity ETF (SCHD)	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
10	Schwab US Broad Market ETF (SCHB)	Yes	None (or less than \$1,001)		None (or less than \$201)

## 7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	NIKE, Inc. (NKE)	Purchase	06/22/2021	\$1,001 - \$15,000
2	The TJX Cos., Inc. (TJX)	Sale	06/22/2021	\$1,001 - \$15,000
3	SS&C Technologies Holdings, Inc. (SSNC)	Purchase	06/21/2021	\$1,001 - \$15,000
4	Sealed Air Corp. (SEE)	Purchase	06/21/2021	\$1,001 - \$15,000
5	alcon sa act nom vsp	Sale	06/18/2021	\$1,001 - \$15,000
6	alcon sa act nom vsp	Sale	06/18/2021	\$1,001 - \$15,000
7	SS&C Technologies Holdings, Inc. (SSNC)	Purchase	06/18/2021	\$1,001 - \$15,000
8	Sealed Air Corp. (SEE)	Purchase	06/18/2021	\$1,001 - \$15,000
9	rogers communications b	Purchase	06/18/2021	\$1,001 - \$15,000
10	Motorola Solutions, Inc. (MSI)	Sale	06/18/2021	\$1,001 - \$15,000
11	Verizon Communications, Inc. (VZ)	Sale	06/17/2021	\$1,001 - \$15,000
12	vodafone group plc shs adr	Sale	06/17/2021	\$1,001 - \$15,000
13	Altria Group, Inc. (MO)	Sale	06/17/2021	\$1,001 - \$15,000
14	SS&C Technologies Holdings, Inc. (SSNC)	Purchase	06/17/2021	\$1,001 - \$15,000
15	Sealed Air Corp. (SEE)		06/17/2021	\$1,001 - \$15,000
16	Ross Stores, Inc. (ROST)	Purchase	06/17/2021	\$1,001 - \$15,000
17	Applied Materials, Inc. (AMAT)	Sale	06/16/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
18	british amn tobaco spadr	Purchase	06/16/2021	\$1,001 - \$15,000
19	nxp semiconductors nv vsp	Sale	06/16/2021	\$1,001 - \$15,000
20	nxp semiconductors nv vsp	Sale	06/16/2021	\$1,001 - \$15,000
21	alcon sa act nom vsp	Sale	06/16/2021	\$1,001 - \$15,000
22	Motorola Solutions, Inc. (MSI)	Sale	06/16/2021	\$1,001 - \$15,000
23	astrazeneca plc spnd adr	Purchase	06/16/2021	\$1,001 - \$15,000
24	Ross Stores, Inc. (ROST)	Purchase	06/16/2021	\$1,001 - \$15,000
25	PepsiCo, Inc. (PEP)	Purchase	06/16/2021	\$1,001 - \$15,000
26	The Williams Cos., Inc. (WMB)	Sale	06/16/2021	\$1,001 - \$15,000
27	The TJX Cos., Inc. (TJX)	Sale	06/16/2021	\$15,001 - \$50,000
28	The Charles Schwab Corp. (SCHW)	Sale	06/16/2021	\$1,001 - \$15,000
29	The Williams Cos., Inc. (WMB)	Sale	06/16/2021	\$1,001 - \$15,000
30	Arthur J. Gallagher & Co. (AJG)	Sale	06/16/2021	\$1,001 - \$15,000
31	Arthur J. Gallagher & Co. (AJG)	Sale	06/16/2021	\$1,001 - \$15,000
32	Altria Group, Inc. (MO)	Sale	06/16/2021	\$1,001 - \$15,000
33	lowe's companies inc	Purchase	06/16/2021	\$1,001 - \$15,000
34	General Electric Co. (GE)	Purchase	06/16/2021	\$1,001 - \$15,000
35	sanofi adr	Purchase	06/16/2021	\$1,001 - \$15,000
36	Fidelity National Information Services, Inc. (FIS)	Purchase	06/16/2021	\$1,001 - \$15,000
37	Marathon Petroleum Corp. (MPC)	Sale	06/16/2021	\$1,001 - \$15,000
38	Marathon Petroleum Corp. (MPC)	Sale	06/16/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
39	Dollar General Corp. (DG)	Purchase	06/16/2021	\$1,001 - \$15,000
40	catalent inc shs	Purchase	06/11/2021	\$1,001 - \$15,000
41	sea ltd	Purchase	06/10/2021	\$1,001 - \$15,000
42	Danaher Corp. (DHR)	Purchase	06/08/2021	\$1,001 - \$15,000
43	Humana, Inc. (HUM)	Sale	06/08/2021	\$1,001 - \$15,000
44	International Flavors & Fragrances, Inc. (IFF)	Sale	06/04/2021	\$1,001 - \$15,000
45	Etsy, Inc. (ETSY)	Purchase	06/04/2021	\$1,001 - \$15,000
46	Huntington Ingalls Industries, Inc. (HII)	Purchase	06/03/2021	\$1,001 - \$15,000
47	Huntington Ingalls Industries, Inc. (HII)	Purchase	06/02/2021	\$1,001 - \$15,000
48	Fortive Corp. (FTV)	Purchase	06/02/2021	\$1,001 - \$15,000
49	Abbott Laboratories (ABT)	Sale	06/02/2021	\$1,001 - \$15,000
50	Ross Stores, Inc. (ROST)	Purchase	06/01/2021	\$1,001 - \$15,000
51	CDW Corp. (CDW)	Sale	06/01/2021	\$1,001 - \$15,000
52	SS&C Technologies Holdings, Inc. (SSNC)	Purchase	06/01/2021	\$1,001 - \$15,000
53	hii	Purchase	05/28/2021	\$1,001 - \$15,000
54	Huntington Ingalls Industries, Inc. (HII)	Purchase	06/01/2021	\$1,001 - \$15,000
55	Marathon Petroleum Corp. (MPC)	Sale	05/28/2021	\$1,001 - \$15,000
56	The TJX Cos., Inc. (TJX)	Sale	05/28/2021	\$1,001 - \$15,000
57	Marathon Petroleum Corp. (MPC)	Sale	05/28/2021	\$1,001 - \$15,000
58	Marathon Petroleum Corp. (MPC)	Sale	05/28/2021	\$1,001 - \$15,000
59	tjx	Sale	05/28/2021	\$1,001 - \$15,000
60	The TJX Cos., Inc. (TJX)	Sale	05/28/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
61	The TJX Cos., Inc. (TJX)	Sale	05/28/2021	\$1,001 - \$15,000
62	The TJX Cos., Inc. (TJX)	Sale	05/28/2021	\$1,001 - \$15,000
63	Ross Stores, Inc. (ROST)	Purchase	05/28/2021	\$1,001 - \$15,000
64	CDW Corp. (CDW)	Sale	05/28/2021	\$1,001 - \$15,000
65	Corteva, Inc. (CTVA)	Sale	05/28/2021	\$1,001 - \$15,000
66	ConocoPhillips (COP)	Purchase	05/28/2021	\$1,001 - \$15,000
67	SS&C Technologies Holdings, Inc. (SSNC)	Purchase	05/28/2021	\$1,001 - \$15,000
68	Robert Half International, Inc. (RHI)	Sale	05/25/2021	\$1,001 - \$15,000
69	MFS Equity Income Fund Class R1 Shares (EQNRX)	Purchase	05/20/2021	\$1,001 - \$15,000
70	Pioneer Natural Resources Co. (PXD)	Sale	05/20/2021	\$1,001 - \$15,000
71	Pioneer Natural Resources Co. (PXD)	Sale	05/19/2021	\$1,001 - \$15,000
72	MFS Equity Income Fund Class R1 Shares (EQNRX)	Purchase	05/19/2021	\$1,001 - \$15,000
73	Etsy, Inc. (ETSY)	Purchase	05/18/2021	\$1,001 - \$15,000
74	sea ltd	Purchase	05/18/2021	\$1,001 - \$15,000
75	MFS Equity Income Fund Class R1 Shares (EQNRX)	Purchase	05/18/2021	\$1,001 - \$15,000
76	ConocoPhillips (COP)	Purchase	05/18/2021	\$1,001 - \$15,000
77	ConocoPhillips (COP)	Purchase	05/17/2021	\$1,001 - \$15,000
78	Pioneer Natural Resources Co. (PXD)	Sale	05/18/2021	\$1,001 - \$15,000
79	bp plc	Purchase	05/17/2021	\$1,001 - \$15,000
80	lvmuy moet hennessy adr	Purchase	05/17/2021	\$1,001 - \$15,000
81	bp plc	Purchase	05/17/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
82	Pioneer Natural Resources Co. (PXD)	Sale	05/17/2021	\$1,001 - \$15,000
83	ConocoPhillips (COP)	Purchase	05/17/2021	\$1,001 - \$15,000
84	lowe's companies inc vsp	Sale	05/17/2021	\$1,001 - \$15,000
85	Pioneer Natural Resources Co. (PXD)	Sale	05/14/2021	\$1,001 - \$15,000
86	wix com ltd	Purchase	07/12/2021	\$1,001 - \$15,000
87	Boston Scientific Corp. (BSX)	Sale	07/12/2021	\$1,001 - \$15,000
88	TransDigm Group, Inc. (TDG)	Purchase	07/12/2021	\$1,001 - \$15,000
89	Snap, Inc. (SNAP)	Sale	07/11/2021	\$1,001 - \$15,000
90	AbbVie, Inc. (ABBV)	Sale	11/19/2021	\$1,001 - \$15,000
91	Adobe, Inc. (ADBE)	Sale	11/19/2021	\$15,001 - \$50,000
92	Allstate Corp (ALL)	Sale	11/19/2021	\$15,001 - \$50,000
93	Alcon SA (ALC)	Sale	11/19/2021	\$1,001 - \$15,000
94	Align Technology, Inc. (ALGN)	Sale	11/19/2021	\$1,001 - \$15,000
95	Alphabet, Inc. (GOOGL)	Sale	11/19/2021	\$15,001 - \$50,000
96	Amadeus IT Group SA (AMADY)	Sale	11/19/2021	\$1,001 - \$15,000
97	Ameren Corp. (AEE)	Sale	11/19/2021	\$1,001 - \$15,000
98	Amazon.com, Inc. (AMZN)	Sale	11/19/2021	\$50,001 - \$100,000
99	American International Group, Inc. (AIG)	Sale	11/19/2021	\$50,001 - \$100,000
100	American Tower Corporation (REIT) (AMT)	Sale	11/19/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
101	American Electric Power Co., Inc. (AEP)	Sale	11/19/2021	\$15,001 - \$50,000
102	Analog Devices, Inc. (ADI)	Sale	11/19/2021	\$15,001 - \$50,000
103	apollo global management llc (apo)	Sale	11/19/2021	\$15,001 - \$50,000
104	Anthem, Inc. (ANTM)	Sale	11/19/2021	\$50,001 - \$100,000
105	Apple, Inc. (AAPL)	Sale	11/19/2021	\$15,001 - \$50,000
106	asml holdings (asml)	Sale	11/19/2021	\$15,001 - \$50,000
107	Assurant, Inc. (AIZ)	Sale	11/19/2021	\$1,001 - \$15,000
108	astrazeneca plc. (azn)	Sale	11/19/2021	\$15,001 - \$50,000
109	bae sys. plc. (baesy)	Sale	11/19/2021	\$1,001 - \$15,000
110	Ball Corporation (BLL)	Sale	11/19/2021	\$1,001 - \$15,000
111	bayer ag (bayry)	Sale	11/19/2021	\$1,001 - \$15,000
112	bp plc. (bp)	Sale	11/19/2021	\$15,001 - \$50,000
113	Bristol-Myers Squibb (BMY)	Sale	11/19/2021	\$1,001 - \$15,000
114	british american tobacco (bat)	Sale	11/19/2021	\$15,001 - \$50,000
115	CACI International, Inc. (CACI)	Sale	11/19/2021	\$1,001 - \$15,000
116	Capital One Financial Corp. (COF)	Sale	11/19/2021	\$15,001 - \$50,000
117	Catalent, Inc. (CTLT)	Sale	11/19/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
118	Caterpillar, Inc. (CAT)	Sale	11/19/2021	\$15,001 - \$50,000
119	CenterPoint Energy, Inc. (CNP)	Sale	11/19/2021	\$1,001 - \$15,000
120	Cigna Corp. (CI)	Sale	11/19/2021	\$15,001 - \$50,000
121	Citigroup, Inc. (C)	Sale	11/19/2021	\$50,001 - \$100,000
122	Cisco Systems, Inc. (CSCO)	Sale	11/19/2021	\$50,001 - \$100,000
123	Citizens Financial Group, Inc. (Rhode Island) (CFG)	Sale	11/19/2021	\$1,001 - \$15,000
124	The Coca-Cola Co. (KO)	Sale	11/19/2021	\$15,001 - \$50,000
125	Comcast Corp. (CMCSA)	Sale	11/19/2021	\$15,001 - \$50,000
126	Cognizant Technology Solutions Corp. (CTSH)	Sale	11/19/2021	\$50,001 - \$100,000
127	Constellation Brands, Inc. (STZ)	Sale	11/19/2021	\$15,001 - \$50,000
128	ConocoPhillips (COP)	Sale	11/19/2021	\$50,001 - \$100,000
129	Corteva, Inc. (CTVA)	Sale	11/19/2021	\$15,001 - \$50,000
130	CoStar Group, Inc. (CSGP)	Sale	11/19/2021	\$1,001 - \$15,000
131	crowdstrike holdings inc. (crwd)	Sale	11/19/2021	\$1,001 - \$15,000
132	CVS Health Corp. (CVS)	Sale	11/19/2021	\$15,001 - \$50,000
133	CyrusOne, Inc. (CONE)	Sale	11/19/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
134	Danaher Corp. (DHR)	Sale	11/19/2021	\$1,001 - \$15,000
135	Dentsply Sirona, Inc. (XRAY)	Sale	11/19/2021	\$1,001 - \$15,000
136	diageo plc.	Sale	11/19/2021	\$1,001 - \$15,000
137	Dollar General Corp. (DG)	Sale	11/19/2021	\$15,001 - \$50,000
138	Dollar Tree, Inc. (DLTR)	Sale	11/19/2021	\$1,001 - \$15,000
139	Domino's Pizza, Inc. (DPZ)	Sale	11/19/2021	\$1,001 - \$15,000
140	Edison International (EIX)	Sale	11/19/2021	\$15,001 - \$50,000
141	DuPont de Nemours, Inc. (DD)	Sale	11/19/2021	\$15,001 - \$50,000
142	edp energias de portugal (edpfy)	Sale	11/19/2021	\$1,001 - \$15,000
143	enn energy holdings ltd. (xngsy)	Sale	11/19/2021	\$1,001 - \$15,000
144	Eli Lilly & Co. (LLY)	Sale	11/19/2021	\$1,001 - \$15,000
145	EQT Corp. (EQT)	Sale	11/19/2021	\$1,001 - \$15,000
146	Equitable Holdings, Inc. (EQH)	Sale	11/19/2021	\$15,001 - \$50,000
147	equinor asa (eqnr)	Sale	11/19/2021	\$15,001 - \$50,000
148	essilorluxottica sa (esloy)	Sale	11/19/2021	\$1,001 - \$15,000
149	Etsy, Inc. (ETSY)	Sale	11/19/2021	\$1,001 - \$15,000
150	evolution gaming group (evvty)	Sale	11/19/2021	\$1,001 - \$15,000
151	Exelon Corp. (EXC)	Sale	11/19/2021	\$1,001 - \$15,000
152	ferguson plc. (ferg)	Sale	11/19/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
153	Fidelity National Financial, Inc. (FNF)	Sale	11/19/2021	\$15,001 - \$50,000
154	Fidelity National Information Services, Inc. (FIS)	Sale	11/19/2021	\$15,001 - \$50,000
155	Fiserv, Inc. (FISV)	Sale	11/19/2021	\$1,001 - \$15,000
156	FleetCor Technologies, Inc. (FLT)	Sale	11/19/2021	\$1,001 - \$15,000
157	Fortive Corp. (FTV)	Sale	11/19/2021	\$1,001 - \$15,000
158	Fox Corp. (FOXA)	Sale	11/19/2021	\$15,001 - \$50,000
159	Arthur J. Gallagher & Co. (AJG)	Sale	11/19/2021	\$15,001 - \$50,000
160	General Electric Co. (GE)	Sale	11/19/2021	\$15,001 - \$50,000
161	General Motors Co. (GM)	Sale	11/19/2021	\$50,001 - \$100,000
162	gildan activewear inc. (gil)	Sale	11/19/2021	\$1,001 - \$15,000
163	Huntington Ingalls Industries, Inc. (HII)	Sale	11/19/2021	\$1,001 - \$15,000
164	Hasbro, Inc. (HAS)	Sale	11/19/2021	\$1,001 - \$15,000
165	Humana, Inc. (HUM)	Sale	11/19/2021	\$15,001 - \$50,000
166	Intercontinental Exchange, Inc. (ICE)	Sale	11/19/2021	\$1,001 - \$15,000
167	Intuit, Inc. (INTU)	Sale	11/19/2021	\$15,001 - \$50,000
168	Intuitive Surgical, Inc. (ISRG)	Sale	11/19/2021	\$1,001 - \$15,000
169	kering s.a. (pruy)	Sale	11/19/2021	\$1,001 - \$15,000
170	KKR & Co., Inc. (KKR)	Sale	11/19/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
171	jp morgan chase (jpm)	Sale	11/19/2021	\$15,001 - \$50,000
172	komatsu (kmtuy)	Sale	11/19/2021	\$1,001 - \$15,000
173	koninkl philips (phg)	Sale	11/19/2021	\$15,001 - \$50,000
174	Laboratory Corp. of America Holdings (LH)	Sale	11/19/2021	\$1,001 - \$15,000
175	Lear Corp. (LEA)	Sale	11/19/2021	\$1,001 - \$15,000
176	Lockheed Martin Corp. (LMT)	Sale	11/19/2021	\$15,001 - \$50,000
177	Leidos Holdings, Inc. (LDOS)	Sale	11/19/2021	\$15,001 - \$50,000
178	lowe's companies inc. (low)	Sale	11/19/2021	\$15,001 - \$50,000
179	lvmh moet hennessy (lvmuy)	Sale	11/19/2021	\$1,001 - \$15,000
180	M&T Bank Corp. (MTB)	Sale	11/19/2021	\$1,001 - \$15,000
181	Marathon Petroleum Corp. (MPC)	Sale	11/19/2021	\$15,001 - \$50,000
182	Marvell Technology Group Ltd. (MRVL)	Sale	11/19/2021	\$15,001 - \$50,000
183	Marathon Petroleum Corp. (MPC)	Sale	11/19/2021	\$1,001 - \$15,000
184	Mastercard, Inc. (MA)	Sale	11/19/2021	\$1,001 - \$15,000
185	Match Group, Inc. (MTCH)	Sale	11/19/2021	\$1,001 - \$15,000
186	McKesson Corp. (MCK)	Sale	11/19/2021	\$1,001 - \$15,000
187	mercadolibre inc. (meli)	Sale	11/19/2021	\$1,001 - \$15,000
188	Medtronic Plc (MDT)	Sale	11/19/2021	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
189	meta platforms inc (fb)	Sale	11/19/2021	\$15,001 - \$50,000
190	MetLife, Inc. (MET)	Sale	11/19/2021	\$15,001 - \$50,000
191	morgan stanley (ms)	Sale	11/19/2021	\$50,001 - \$100,000
192	Microsoft Corp. (MSFT)	Sale	11/19/2021	\$50,001 - \$100,000
193	Newell Brands, Inc. (NWL)	Sale	11/19/2021	\$1,001 - \$15,000
194	Netflix, Inc. (NFLX)	Sale	11/19/2021	\$15,001 - \$50,000
195	NIKE, Inc. (NKE)	Sale	11/19/2021	\$15,001 - \$50,000
196	NiSource, Inc. (NI)	Sale	11/19/2021	\$1,001 - \$15,000
197	novo nordisk as (nvo)	Sale	11/19/2021	\$1,001 - \$15,000
198	NVIDIA Corp. (NVDA)	Sale	11/19/2021	\$1,001 - \$15,000
199	nxp semiconductors (nxpi)	Sale	11/19/2021	\$1,001 - \$15,000
200	olaplex holdings inc. (olpx)	Sale	11/19/2021	\$1,001 - \$15,000
201	otis worldwide corp. (otis)	Sale	11/19/2021	\$1,001 - \$15,000
202	panasonic corp (pcrfy)	Sale	11/19/2021	\$1,001 - \$15,000
203	Philip Morris International, Inc. (PM)	Sale	11/19/2021	\$1,001 - \$15,000
204	PepsiCo, Inc. (PEP)	Sale	11/19/2021	\$1,001 - \$15,000
205	Pioneer Natural Resources Co. (PXD)	Sale	11/19/2021	\$1,001 - \$15,000
206	PPG Industries, Inc. (PPG)	Sale	11/19/2021	\$1,001 - \$15,000
207	Progressive Corp. (PGR)	Sale	11/19/2021	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
208	prudential plc. (puk)	Sale	11/19/2021	\$1,001 - \$15,000
209	Public Service Enterprise Group, Inc. (PEG)	Sale	11/19/2021	\$15,001 - \$50,000
210	Ralph Lauren Corp. (RL)	Sale	11/19/2021	\$1,001 - \$15,000
211	reckitt benckiser group (rbgly)	Sale	11/19/2021	\$1,001 - \$15,000
212	Raymond James Financial, Inc. (RJF)	Sale	11/19/2021	\$15,001 - \$50,000
213	raytheon technologies (rtx)	Sale	11/19/2021	\$50,001 - \$100,000
214	relx plc. (relx)	Sale	11/19/2021	\$1,001 - \$15,000
215	rogers communications (rci)	Sale	11/19/2021	\$15,001 - \$50,000
216	Roper Technologies, Inc. (ROP)	Sale	11/19/2021	\$1,001 - \$15,000
217	Ross Stores, Inc. (ROST)	Sale	11/19/2021	\$15,001 - \$50,000
218	salesforce.com, inc. (CRM)	Sale	11/19/2021	\$1,001 - \$15,000
219	S&P Global, Inc. (SPGI)	Sale	11/19/2021	\$15,001 - \$50,000
220	sanofi (sny)	Sale	11/19/2021	\$15,001 - \$50,000
221	schneider elec se (sbgsy)	Sale	11/19/2021	\$1,001 - \$15,000
222	The Charles Schwab Corp. (SCHW)	Sale	11/19/2021	\$15,001 - \$50,000
223	sea ltd. (se)	Sale	11/19/2021	\$1,001 - \$15,000
224	Sealed Air Corp. (SEE)	Sale	11/19/2021	\$1,001 - \$15,000
225	ServiceNow, Inc. (NOW)	Sale	11/19/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
226	The Sherwin-Williams Co. (SHW)	Sale	11/19/2021	\$1,001 - \$15,000
227	shopify inc. (shop)	Sale	11/19/2021	\$1,001 - \$15,000
228	Snap, Inc. (SNAP)	Sale	11/19/2021	\$1,001 - \$15,000
229	SS&C Technologies Holdings, Inc. (SSNC)	Sale	11/19/2021	\$15,001 - \$50,000
230	Steel Dynamics, Inc. (STLD)	Sale	11/19/2021	\$1,001 - \$15,000
231	Stanley Black & Decker, Inc. (SWK)	Sale	11/19/2021	\$1,001 - \$15,000
232	Synchrony Financial (SYF)	Sale	11/19/2021	\$1,001 - \$15,000
233	taiwan semiconductor manufacturing company ltd. (tsm)	Sale	11/19/2021	\$1,001 - \$15,000
234	TE Connectivity Ltd. (TEL)	Sale	11/19/2021	\$1,001 - \$15,000
235	Texas Instruments Incorporated (TXN)	Sale	11/19/2021	\$1,001 - \$15,000
236	telus corp. (tu)	Sale	11/19/2021	\$1,001 - \$15,000
237	Tesla, Inc. (TSLA)	Sale	11/19/2021	\$1,001 - \$15,000
238	The Howard Hughes Corp. (HHC)	Sale	11/19/2021	\$1,001 - \$15,000
239	Thermo Fisher Scientific, Inc. (TMO)	Sale	11/19/2021	\$1,001 - \$15,000
240	TransDigm Group, Inc. (TDG)	Sale	11/19/2021	\$1,001 - \$15,000
241	TransUnion (TRU)	Sale	11/19/2021	\$1,001 - \$15,000
242	unilever plc. (ul)	Sale	11/19/2021	\$15,001 - \$50,000
243	Uber Technologies, Inc. (UBER)	Sale	11/19/2021	\$1,001 - \$15,000
244	Union Pacific Corp. (UNP)	Sale	11/19/2021	\$15,001 - \$50,000
245	UnitedHealth Group, Inc. (UNH)	Sale	11/19/2021	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
246	Verizon Communications, Inc. (VZ)	Sale	11/19/2021	\$15,001 - \$50,000
247	wells fargo (wf)	Sale	11/19/2021	\$50,001 - \$100,000
248	The Williams Cos., Inc. (WMB)	Sale	11/19/2021	\$1,001 - \$15,000
249	Visa, Inc. (V)	Sale	11/19/2021	\$15,001 - \$50,000
250	Willis Towers Watson Plc (WLTW)	Sale	11/19/2021	\$1,001 - \$15,000
251	wix com ltd (wix)	Sale	11/19/2021	\$1,001 - \$15,000
252	Zebra Technologies Corp. (ZBRA)	Sale	11/19/2021	\$1,001 - \$15,000
253	Zoetis, Inc. (ZTS)	Sale	11/19/2021	\$1,001 - \$15,000
254	First Citizens BancShares, Inc. (North Carolina) (FCNCA)	Sale	11/19/2021	\$1,001 - \$15,000
255	Zimmer Biomet Holdings, Inc. (ZBH)	Sale	11/19/2021	\$15,001 - \$50,000
256	NIKE, Inc. (NKE)	Purchase	06/22/2021	\$1,001 - \$15,000
257	The TJX Cos., Inc. (TJX)	Sale	06/22/2021	\$1,001 - \$15,000
258	SS&C Technologies Holdings, Inc. (SSNC)	Purchase	06/21/2021	\$1,001 - \$15,000
259	alcon sa act nom vsp	Sale	06/18/2021	\$1,001 - \$15,000
260	Sealed Air Corp. (SEE)	Purchase	06/21/2021	\$1,001 - \$15,000
261	alcon sa act nom vsp	Sale	06/18/2021	\$1,001 - \$15,000
262	SS&C Technologies Holdings, Inc. (SSNC)	Purchase	06/18/2021	\$1,001 - \$15,000
263	Sealed Air Corp. (SEE)	Purchase	06/18/2021	\$1,001 - \$15,000
264	rogers communications b	Purchase	06/18/2021	\$1,001 - \$15,000
265	Motorola Solutions, Inc. (MSI)	Sale	06/18/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
266	Verizon Communications, Inc. (VZ)	Sale	06/17/2021	\$1,001 - \$15,000
267	vodafone group plc shs adr	Sale	06/17/2021	\$1,001 - \$15,000
268	Altria Group, Inc. (MO)	Sale	06/17/2021	\$1,001 - \$15,000
269	Sealed Air Corp. (SEE)		06/17/2021	\$1,001 - \$15,000
270	Ross Stores, Inc. (ROST)	Purchase	06/17/2021	\$1,001 - \$15,000
271	Applied Materials, Inc. (AMAT)	Sale	06/16/2021	\$1,001 - \$15,000
272	SS&C Technologies Holdings, Inc. (SSNC)	Purchase	06/17/2021	\$1,001 - \$15,000
273	british amn tobacco spadr	Purchase	06/16/2021	\$1,001 - \$15,000
274	nxp semiconductors nv vsp	Sale	06/16/2021	\$1,001 - \$15,000
275	nxp semiconductors nv vsp	Sale	06/16/2021	\$1,001 - \$15,000
276	alcon sa act nom vsp	Sale	06/16/2021	\$1,001 - \$15,000
277	astrazeneca plc spnd adr	Purchase	06/16/2021	\$1,001 - \$15,000
278	Motorola Solutions, Inc. (MSI)	Sale	06/16/2021	\$1,001 - \$15,000
279	Ross Stores, Inc. (ROST)	Purchase	06/16/2021	\$1,001 - \$15,000
280	PepsiCo, Inc. (PEP)	Purchase	06/16/2021	\$1,001 - \$15,000
281	The Charles Schwab Corp. (SCHW)	Sale	06/16/2021	\$1,001 - \$15,000
282	The TJX Cos., Inc. (TJX)	Sale	06/16/2021	\$15,001 - \$50,000
283	The Williams Cos., Inc. (WMB)	Sale	06/16/2021	\$1,001 - \$15,000
284	The Williams Cos., Inc. (WMB)	Sale	06/16/2021	\$1,001 - \$15,000
285	Arthur J. Gallagher & Co. (AJG)	Sale	06/16/2021	\$1,001 - \$15,000
286	Arthur J. Gallagher & Co. (AJG)	Sale	06/16/2021	\$1,001 - \$15,000
287	Altria Group, Inc. (MO)	Sale	06/16/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
288	General Electric Co. (GE)	Purchase	06/16/2021	\$1,001 - \$15,000
289	lowe's companies inc	Purchase	06/16/2021	\$1,001 - \$15,000
290	sanofi adr	Purchase	06/16/2021	\$1,001 - \$15,000
291	Marathon Petroleum Corp. (MPC)	Sale	06/16/2021	\$1,001 - \$15,000
292	Fidelity National Information Services, Inc. (FIS)	Purchase	06/16/2021	\$1,001 - \$15,000
293	Marathon Petroleum Corp. (MPC)	Sale	06/16/2021	\$1,001 - \$15,000
294	catalent inc shs	Purchase	06/11/2021	\$1,001 - \$15,000
295	Dollar General Corp. (DG)	Purchase	06/16/2021	\$1,001 - \$15,000
296	sea ltd	Purchase	06/10/2021	\$1,001 - \$15,000
297	Danaher Corp. (DHR)	Purchase	06/08/2021	\$1,001 - \$15,000
298	Humana, Inc. (HUM)	Sale	06/08/2021	\$1,001 - \$15,000
299	Etsy, Inc. (ETSY)	Purchase	06/04/2021	\$1,001 - \$15,000
300	International Flavors & Fragrances, Inc. (IFF)	Sale	06/04/2021	\$1,001 - \$15,000
301	Huntington Ingalls Industries, Inc. (HII)	Purchase	06/02/2021	\$1,001 - \$15,000
302	Fortive Corp. (FTV)	Purchase	06/02/2021	\$1,001 - \$15,000
303	Huntington Ingalls Industries, Inc. (HII)	Purchase	06/03/2021	\$1,001 - \$15,000
304	Abbott Laboratories (ABT)	Sale	06/02/2021	\$1,001 - \$15,000
305	SS&C Technologies Holdings, Inc. (SSNC)	Purchase	06/01/2021	\$1,001 - \$15,000
306	Ross Stores, Inc. (ROST)	Purchase	06/01/2021	\$1,001 - \$15,000
307	Huntington Ingalls Industries, Inc. (HII)	Purchase	06/01/2021	\$1,001 - \$15,000
308	CDW Corp. (CDW)	Sale	06/01/2021	\$1,001 - \$15,000
309	hii	Purchase	05/28/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
310	Marathon Petroleum Corp. (MPC)	Sale	05/28/2021	\$1,001 - \$15,000
311	Marathon Petroleum Corp. (MPC)	Sale	05/28/2021	\$1,001 - \$15,000
312	The TJX Cos., Inc. (TJX)	Sale	05/28/2021	\$1,001 - \$15,000
313	Marathon Petroleum Corp. (MPC)	Sale	05/28/2021	\$1,001 - \$15,000
314	The TJX Cos., Inc. (TJX)	Sale	05/28/2021	\$1,001 - \$15,000
315	tjx	Sale	05/28/2021	\$1,001 - \$15,000
316	The TJX Cos., Inc. (TJX)	Sale	05/28/2021	\$1,001 - \$15,000
317	The TJX Cos., Inc. (TJX)	Sale	05/28/2021	\$1,001 - \$15,000
318	CDW Corp. (CDW)	Sale	05/28/2021	\$1,001 - \$15,000
319	Ross Stores, Inc. (ROST)	Purchase	05/28/2021	\$1,001 - \$15,000
320	Corteva, Inc. (CTVA)	Sale	05/28/2021	\$1,001 - \$15,000
321	ConocoPhillips (COP)	Purchase	05/28/2021	\$1,001 - \$15,000
322	SS&C Technologies Holdings, Inc. (SSNC)	Purchase	05/28/2021	\$1,001 - \$15,000
323	Robert Half International, Inc. (RHI)	Sale	05/25/2021	\$1,001 - \$15,000
324	Pioneer Natural Resources Co. (PXD)	Sale	05/20/2021	\$1,001 - \$15,000
325	MFS Equity Income Fund Class R1 Shares (EQNRX)	Purchase	05/20/2021	\$1,001 - \$15,000
326	Pioneer Natural Resources Co. (PXD)	Sale	05/19/2021	\$1,001 - \$15,000
327	MFS Equity Income Fund Class R1 Shares (EQNRX)	Purchase	05/19/2021	\$1,001 - \$15,000
328	Etsy, Inc. (ETSY)	Purchase	05/18/2021	\$1,001 - \$15,000
329	sea ltd	Purchase	05/18/2021	\$1,001 - \$15,000
330	MFS Equity Income Fund Class R1 Shares (EQNRX)	Purchase	05/18/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
331	Pioneer Natural Resources Co. (PXD)	Sale	05/18/2021	\$1,001 - \$15,000
332	ConocoPhillips (COP)	Purchase	05/18/2021	\$1,001 - \$15,000
333	ConocoPhillips (COP)	Purchase	05/17/2021	\$1,001 - \$15,000
334	bp plc	Purchase	05/17/2021	\$1,001 - \$15,000
335	lvmuy moet hennessy adr	Purchase	05/17/2021	\$1,001 - \$15,000
336	Pioneer Natural Resources Co. (PXD)	Sale	05/17/2021	\$1,001 - \$15,000
337	bp plc	Purchase	05/17/2021	\$1,001 - \$15,000
338	lowe's companies inc vsp	Sale	05/17/2021	\$1,001 - \$15,000
339	ConocoPhillips (COP)	Purchase	05/17/2021	\$1,001 - \$15,000
340	Pioneer Natural Resources Co. (PXD)	Sale	05/14/2021	\$1,001 - \$15,000
341	TransDigm Group, Inc. (TDG)	Purchase	07/12/2021	\$1,001 - \$15,000
342	Boston Scientific Corp. (BSX)	Sale	07/12/2021	\$1,001 - \$15,000
343	wix com ltd	Purchase	07/12/2021	\$1,001 - \$15,000
344	Snap, Inc. (SNAP)	Sale	07/11/2021	\$1,001 - \$15,000
345	AbbVie, Inc. (ABBV)	Sale	11/19/2021	\$1,001 - \$15,000
346	Alcon SA (ALC)	Sale	11/19/2021	\$1,001 - \$15,000
347	Adobe, Inc. (ADBE)	Sale	11/19/2021	\$15,001 - \$50,000
348	Align Technology, Inc. (ALGN)	Sale	11/19/2021	\$1,001 - \$15,000
349	Allstate Corp (ALL)	Sale	11/19/2021	\$15,001 - \$50,000
350	Alphabet, Inc. (GOOGL)	Sale	11/19/2021	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
351	Amazon.com, Inc. (AMZN)	Sale	11/19/2021	\$50,001 - \$100,000
352	Amadeus IT Group SA (AMADY)	Sale	11/19/2021	\$1,001 - \$15,000
353	Ameren Corp. (AEE)	Sale	11/19/2021	\$1,001 - \$15,000
354	American International Group, Inc. (AIG)	Sale	11/19/2021	\$50,001 - \$100,000
355	American Electric Power Co., Inc. (AEP)	Sale	11/19/2021	\$15,001 - \$50,000
356	Analog Devices, Inc. (ADI)	Sale	11/19/2021	\$15,001 - \$50,000
357	American Tower Corporation (REIT) (AMT)	Sale	11/19/2021	\$1,001 - \$15,000
358	Anthem, Inc. (ANTM)	Sale	11/19/2021	\$50,001 - \$100,000
359	apollo global management llc (apo)	Sale	11/19/2021	\$15,001 - \$50,000
360	Apple, Inc. (AAPL)	Sale	11/19/2021	\$15,001 - \$50,000
361	asml holdings (asml)	Sale	11/19/2021	\$15,001 - \$50,000
362	Assurant, Inc. (AIZ)	Sale	11/19/2021	\$1,001 - \$15,000
363	astrazeneca plc. (azn)	Sale	11/19/2021	\$15,001 - \$50,000
364	bae sys. plc. (baesy)	Sale	11/19/2021	\$1,001 - \$15,000
365	bayer ag (bayry)	Sale	11/19/2021	\$1,001 - \$15,000
366	Ball Corporation (BLL)	Sale	11/19/2021	\$1,001 - \$15,000
367	bp plc. (bp)	Sale	11/19/2021	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
368	Bristol-Myers Squibb (BMY)	Sale	11/19/2021	\$1,001 - \$15,000
369	british american tobacco (bat)	Sale	11/19/2021	\$15,001 - \$50,000
370	Capital One Financial Corp. (COF)	Sale	11/19/2021	\$15,001 - \$50,000
371	CACI International, Inc. (CACI)	Sale	11/19/2021	\$1,001 - \$15,000
372	Catalent, Inc. (CTLT)	Sale	11/19/2021	\$1,001 - \$15,000
373	Caterpillar, Inc. (CAT)	Sale	11/19/2021	\$15,001 - \$50,000
374	CenterPoint Energy, Inc. (CNP)	Sale	11/19/2021	\$1,001 - \$15,000
375	Cigna Corp. (CI)	Sale	11/19/2021	\$15,001 - \$50,000
376	Citigroup, Inc. (C)	Sale	11/19/2021	\$50,001 - \$100,000
377	Cisco Systems, Inc. (CSCO)	Sale	11/19/2021	\$50,001 - \$100,000
378	Citizens Financial Group, Inc. (Rhode Island) (CFG)	Sale	11/19/2021	\$1,001 - \$15,000
379	The Coca-Cola Co. (KO)	Sale	11/19/2021	\$15,001 - \$50,000
380	Comcast Corp. (CMCSA)	Sale	11/19/2021	\$15,001 - \$50,000
381	Cognizant Technology Solutions Corp. (CTSH)	Sale	11/19/2021	\$50,001 - \$100,000
382	ConocoPhillips (COP)	Sale	11/19/2021	\$50,001 - \$100,000
383	Constellation Brands, Inc. (STZ)	Sale	11/19/2021	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
384	Corteva, Inc. (CTVA)	Sale	11/19/2021	\$15,001 - \$50,000
385	crowdstrike holdings inc. (crwd)	Sale	11/19/2021	\$1,001 - \$15,000
386	CoStar Group, Inc. (CSGP)	Sale	11/19/2021	\$1,001 - \$15,000
387	CVS Health Corp. (CVS)	Sale	11/19/2021	\$15,001 - \$50,000
388	CyrusOne, Inc. (CONE)	Sale	11/19/2021	\$1,001 - \$15,000
389	Danaher Corp. (DHR)	Sale	11/19/2021	\$1,001 - \$15,000
390	Dentsply Sirona, Inc. (XRAY)	Sale	11/19/2021	\$1,001 - \$15,000
391	diageo plc.	Sale	11/19/2021	\$1,001 - \$15,000
392	Dollar General Corp. (DG)	Sale	11/19/2021	\$15,001 - \$50,000
393	Dollar Tree, Inc. (DLTR)	Sale	11/19/2021	\$1,001 - \$15,000
394	Domino's Pizza, Inc. (DPZ)	Sale	11/19/2021	\$1,001 - \$15,000
395	DuPont de Nemours, Inc. (DD)	Sale	11/19/2021	\$15,001 - \$50,000
396	Edison International (EIX)	Sale	11/19/2021	\$15,001 - \$50,000
397	edp energias de portugal (edpfy)	Sale	11/19/2021	\$1,001 - \$15,000
398	Eli Lilly & Co. (LLY)	Sale	11/19/2021	\$1,001 - \$15,000
399	enn energy holdings ltd. (xngsy)	Sale	11/19/2021	\$1,001 - \$15,000
400	EQT Corp. (EQT)	Sale	11/19/2021	\$1,001 - \$15,000
401	equinor asa (eqnr)	Sale	11/19/2021	\$15,001 - \$50,000
402	Equitable Holdings, Inc. (EQH)	Sale	11/19/2021	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
403	essilorluxottica sa (esloy)	Sale	11/19/2021	\$1,001 - \$15,000
404	Etsy, Inc. (ETSY)	Sale	11/19/2021	\$1,001 - \$15,000
405	evolution gaming group (evvty)	Sale	11/19/2021	\$1,001 - \$15,000
406	Exelon Corp. (EXC)	Sale	11/19/2021	\$1,001 - \$15,000
407	ferguson plc. (ferg)	Sale	11/19/2021	\$1,001 - \$15,000
408	Fidelity National Financial, Inc. (FNF)	Sale	11/19/2021	\$15,001 - \$50,000
409	Fidelity National Information Services, Inc. (FIS)	Sale	11/19/2021	\$15,001 - \$50,000
410	Fiserv, Inc. (FISV)	Sale	11/19/2021	\$1,001 - \$15,000
411	FleetCor Technologies, Inc. (FLT)	Sale	11/19/2021	\$1,001 - \$15,000
412	Fortive Corp. (FTV)	Sale	11/19/2021	\$1,001 - \$15,000
413	Fox Corp. (FOXA)	Sale	11/19/2021	\$15,001 - \$50,000
414	Arthur J. Gallagher & Co. (AJG)	Sale	11/19/2021	\$15,001 - \$50,000
415	General Motors Co. (GM)	Sale	11/19/2021	\$50,001 - \$100,000
416	General Electric Co. (GE)	Sale	11/19/2021	\$15,001 - \$50,000
417	gildan activewear inc. (gil)	Sale	11/19/2021	\$1,001 - \$15,000
418	Hasbro, Inc. (HAS)	Sale	11/19/2021	\$1,001 - \$15,000
419	Humana, Inc. (HUM)	Sale	11/19/2021	\$15,001 - \$50,000
420	Intercontinental Exchange, Inc. (ICE)	Sale	11/19/2021	\$1,001 - \$15,000
421	Huntington Ingalls Industries, Inc. (HII)	Sale	11/19/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
422	Intuit, Inc. (INTU)	Sale	11/19/2021	\$15,001 - \$50,000
423	jp morgan chase (jpm)	Sale	11/19/2021	\$15,001 - \$50,000
424	Intuitive Surgical, Inc. (ISRG)	Sale	11/19/2021	\$1,001 - \$15,000
425	kering s.a. (pruy)	Sale	11/19/2021	\$1,001 - \$15,000
426	KKR & Co., Inc. (KKR)	Sale	11/19/2021	\$1,001 - \$15,000
427	komatsu (kmtuy)	Sale	11/19/2021	\$1,001 - \$15,000
428	koninkl philips (phg)	Sale	11/19/2021	\$15,001 - \$50,000
429	Laboratory Corp. of America Holdings (LH)	Sale	11/19/2021	\$1,001 - \$15,000
430	Lear Corp. (LEA)	Sale	11/19/2021	\$1,001 - \$15,000
431	Leidos Holdings, Inc. (LDOS)	Sale	11/19/2021	\$15,001 - \$50,000
432	Lockheed Martin Corp. (LMT)	Sale	11/19/2021	\$15,001 - \$50,000
433	lowe's companies inc. (low)	Sale	11/19/2021	\$15,001 - \$50,000
434	lvmh moet hennessy (lvmuy)	Sale	11/19/2021	\$1,001 - \$15,000
435	Marathon Petroleum Corp. (MPC)	Sale	11/19/2021	\$1,001 - \$15,000
436	M&T Bank Corp. (MTB)	Sale	11/19/2021	\$1,001 - \$15,000
437	Marathon Petroleum Corp. (MPC)	Sale	11/19/2021	\$15,001 - \$50,000
438	Mastercard, Inc. (MA)	Sale	11/19/2021	\$1,001 - \$15,000
439	Marvell Technology Group Ltd. (MRVL)	Sale	11/19/2021	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
440	Medtronic Plc (MDT)	Sale	11/19/2021	\$15,001 - \$50,000
441	Match Group, Inc. (MTCH)	Sale	11/19/2021	\$1,001 - \$15,000
442	McKesson Corp. (MCK)	Sale	11/19/2021	\$1,001 - \$15,000
443	mercadolibre inc. (meli)	Sale	11/19/2021	\$1,001 - \$15,000
444	meta platforms inc (fb)	Sale	11/19/2021	\$15,001 - \$50,000
445	morgan stanley (ms)	Sale	11/19/2021	\$50,001 - \$100,000
446	Microsoft Corp. (MSFT)	Sale	11/19/2021	\$50,001 - \$100,000
447	MetLife, Inc. (MET)	Sale	11/19/2021	\$15,001 - \$50,000
448	Netflix, Inc. (NFLX)	Sale	11/19/2021	\$15,001 - \$50,000
449	Newell Brands, Inc. (NWL)	Sale	11/19/2021	\$1,001 - \$15,000
450	NiSource, Inc. (NI)	Sale	11/19/2021	\$1,001 - \$15,000
451	NIKE, Inc. (NKE)	Sale	11/19/2021	\$15,001 - \$50,000
452	novo nordisk as (nvo)	Sale	11/19/2021	\$1,001 - \$15,000
453	NVIDIA Corp. (NVDA)	Sale	11/19/2021	\$1,001 - \$15,000
454	nxp semiconductors (nspi)	Sale	11/19/2021	\$1,001 - \$15,000
455	otis worldwide corp. (otis)	Sale	11/19/2021	\$1,001 - \$15,000
456	panasonic corp (pcrfy)	Sale	11/19/2021	\$1,001 - \$15,000
457	olaplex holdings inc. (olpx)	Sale	11/19/2021	\$1,001 - \$15,000
458	PepsiCo, Inc. (PEP)	Sale	11/19/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
459	Philip Morris International, Inc. (PM)	Sale	11/19/2021	\$1,001 - \$15,000
460	Pioneer Natural Resources Co. (PXD)	Sale	11/19/2021	\$1,001 - \$15,000
461	PPG Industries, Inc. (PPG)	Sale	11/19/2021	\$1,001 - \$15,000
462	Progressive Corp. (PGR)	Sale	11/19/2021	\$15,001 - \$50,000
463	prudential plc. (puk)	Sale	11/19/2021	\$1,001 - \$15,000
464	Public Service Enterprise Group, Inc. (PEG)	Sale	11/19/2021	\$15,001 - \$50,000
465	Ralph Lauren Corp. (RL)	Sale	11/19/2021	\$1,001 - \$15,000
466	Raymond James Financial, Inc. (RJF)	Sale	11/19/2021	\$15,001 - \$50,000
467	raytheon technologies (rtx)	Sale	11/19/2021	\$50,001 - \$100,000
468	reckitt benckiser group (rbgly)	Sale	11/19/2021	\$1,001 - \$15,000
469	relx plc. (relx)	Sale	11/19/2021	\$1,001 - \$15,000
470	rogers communications (rci)	Sale	11/19/2021	\$15,001 - \$50,000
471	Ross Stores, Inc. (ROST)	Sale	11/19/2021	\$15,001 - \$50,000
472	Roper Technologies, Inc. (ROP)	Sale	11/19/2021	\$1,001 - \$15,000
473	salesforce.com, inc. (CRM)	Sale	11/19/2021	\$1,001 - \$15,000
474	S&P Global, Inc. (SPGI)	Sale	11/19/2021	\$15,001 - \$50,000
475	sanofi (sny)	Sale	11/19/2021	\$15,001 - \$50,000
476	schneider elec se (sbgsy)	Sale	11/19/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
477	The Charles Schwab Corp. (SCHW)	Sale	11/19/2021	\$15,001 - \$50,000
478	sea ltd. (se)	Sale	11/19/2021	\$1,001 - \$15,000
479	Sealed Air Corp. (SEE)	Sale	11/19/2021	\$1,001 - \$15,000
480	ServiceNow, Inc. (NOW)	Sale	11/19/2021	\$1,001 - \$15,000
481	shopify inc. (shop)	Sale	11/19/2021	\$1,001 - \$15,000
482	The Sherwin-Williams Co. (SHW)	Sale	11/19/2021	\$1,001 - \$15,000
483	Snap, Inc. (SNAP)	Sale	11/19/2021	\$1,001 - \$15,000
484	SS&C Technologies Holdings, Inc. (SSNC)	Sale	11/19/2021	\$15,001 - \$50,000
485	Stanley Black & Decker, Inc. (SWK)	Sale	11/19/2021	\$1,001 - \$15,000
486	Steel Dynamics, Inc. (STLD)	Sale	11/19/2021	\$1,001 - \$15,000
487	Synchrony Financial (SYF)	Sale	11/19/2021	\$1,001 - \$15,000
488	taiwan semiconductor manufacturing company ltd. (tsm)	Sale	11/19/2021	\$1,001 - \$15,000
489	TE Connectivity Ltd. (TEL)	Sale	11/19/2021	\$1,001 - \$15,000
490	Tesla, Inc. (TSLA)	Sale	11/19/2021	\$1,001 - \$15,000
491	telus corp. (tu)	Sale	11/19/2021	\$1,001 - \$15,000
492	Texas Instruments Incorporated (TXN)	Sale	11/19/2021	\$1,001 - \$15,000
493	Thermo Fisher Scientific, Inc. (TMO)	Sale	11/19/2021	\$1,001 - \$15,000
494	The Howard Hughes Corp. (HHC)	Sale	11/19/2021	\$1,001 - \$15,000
495	TransDigm Group, Inc. (TDG)	Sale	11/19/2021	\$1,001 - \$15,000
496	TransUnion (TRU)	Sale	11/19/2021	\$1,001 - \$15,000
497	Uber Technologies, Inc. (UBER)	Sale	11/19/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
498	unilever plc. (ul)	Sale	11/19/2021	\$15,001 - \$50,000
499	Union Pacific Corp. (UNP)	Sale	11/19/2021	\$15,001 - \$50,000
500	UnitedHealth Group, Inc. (UNH)	Sale	11/19/2021	\$15,001 - \$50,000
501	Visa, Inc. (V)	Sale	11/19/2021	\$15,001 - \$50,000
502	Verizon Communications, Inc. (VZ)	Sale	11/19/2021	\$15,001 - \$50,000
503	wells fargo (wf)	Sale	11/19/2021	\$50,001 - \$100,000
504	The Williams Cos., Inc. (WMB)	Sale	11/19/2021	\$1,001 - \$15,000
505	Zebra Technologies Corp. (ZBRA)	Sale	11/19/2021	\$1,001 - \$15,000
506	Willis Towers Watson Plc (WLTW)	Sale	11/19/2021	\$1,001 - \$15,000
507	wix com ltd (wix)	Sale	11/19/2021	\$1,001 - \$15,000
508	Zimmer Biomet Holdings, Inc. (ZBH)	Sale	11/19/2021	\$15,001 - \$50,000
509	Zoetis, Inc. (ZTS)	Sale	11/19/2021	\$1,001 - \$15,000
510	First Citizens BancShares, Inc. (North Carolina) (FCNCA)	Sale	11/19/2021	\$1,001 - \$15,000

## 8. Liabilities

None

## 9. Gifts and Travel Reimbursements

None

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### Endnotes

PART	#	ENDNOTE
1.	1	I worked remotely during this time originally in Philadelphia, PA and then subsequently in Los Angeles, CA.

# Summary of Contents

## 1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

## 2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

#### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

#### 5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

#### 6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

## 8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

## 9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

## Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

## Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).

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