

# Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

## Filer's Information

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Pryor, Judith

Member, Board of Directors, Export-Import Bank

Report Year: 2021

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Other Federal Government Positions Held During the Preceding 12 Months:

None

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Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Pryor, Judith [electronically signed on 05/11/2021 by Pryor, Judith in Integrity.gov]

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Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Terry, Lisa, Certifying Official [electronically signed on 06/01/2021 by Terry, Lisa in Integrity.gov]

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Other review conducted by

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U.S. Office of Government Ethics Certification

/s/ Granahan, Megan, Certifying Official [electronically signed on 06/29/2021 by Granahan, Megan in Integrity.gov]

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Data Revised 06/16/2021

Data Revised 06/01/2021

Data Revised 05/28/2021

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## 1. Filer's Positions Held Outside United States Government

None

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## 2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	DFA US Large Company	Yes	\$15,001 - \$50,000		\$201 - \$1,000
2	iShares iBonds Dec 2022 Corporate	Yes	\$1,001 - \$15,000		None (or less than \$201)
3	iShares iBonds Dec 2021 Corporate	Yes	\$1,001 - \$15,000		None (or less than \$201)
4	DFA US Small Cap	Yes	\$15,001 - \$50,000		\$201 - \$1,000
5	DFA US Large Cap Growth Instl	Yes	\$1,001 - \$15,000		\$201 - \$1,000
6	DFA International Small Company I	Yes	\$1,001 - \$15,000		\$201 - \$1,000
7	DFA US Micro Cap	Yes	\$15,001 - \$50,000		None (or less than \$201)
8	DFA US Large Cap Value	Yes	\$1,001 - \$15,000		\$201 - \$1,000
9	DFA International Core Equity	Yes	\$1,001 - \$15,000		\$201 - \$1,000
10	U.S. Investment Account (Cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
11	Lockheed Martin, defined benefit plan (Value not readily ascertainable. Eligible for retirement benefit at age 65, payable at \$370.73 per month)	N/A			None (or less than \$201)

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#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
12	iShares iBonds Dec 2023 Corporate	Yes	\$1,001 - \$15,000		None (or less than \$201)
13	iShares iBonds Dec 2024 Corporate	Yes	\$1,001 - \$15,000		None (or less than \$201)

### 3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY		CITY, STATE	STATUS AND TERMS	DATE
1	Lockheed Martin Corp.	See Endnote	Bethesda, Maryland	I will continue to participate in this defined benefit plan. I am eligible for this retirement benefit at age 65, payable at \$370.73 per month.	4/1989

### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

### 5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Microsoft Corporation, Inc.	N/A		salary, bonus	
2	Microsoft Stock Restricted Stock Grants	N/A	\$250,001 - \$500,000	Dividends Capital Gains	None (or less than \$201)
3	U.S. Investment Account (Cash)	N/A	\$15,001 - \$50,000		None (or less than \$201)
4	iShares iBonds Dec 2022 Corporate	Yes	\$15,001 - \$50,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5	iShares iBonds Dec 2020 Corporate	Yes	None (or less than \$1,001)		\$201 - \$1,000
6	iShares iBonds Dec 2021 Corporate	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
7	DFA US Large Company	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
8	DFA US Small Cap	Yes	\$250,001 - \$500,000		\$2,501 - \$5,000
9	DFA US Large Cap Growth Instl	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
10	DFA US Micro Cap	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
11	DFA US Large Cap Value	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
12	DFA International Core Equity	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
13	DFA International Small Company	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
14	Microsoft 401(k) Plan	No			
14.1	Fidelity Contra Fund	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
14.2	Blackrock BTC Life Path 2030 Target Date Fund	Yes	\$500,001 - \$1,000,000		\$2,501 - \$5,000
14.3	Vanguard Short-Term Bond Fund	Yes	\$15,001 - \$50,000		\$201 - \$1,000
14.4	Microsoft Corp Stock (Held in 401(k))	N/A	\$100,001 - \$250,000	Dividends Capital Gains	\$1,001 - \$2,500
15	Microsoft Employee Stock Purchase Plan (ESPP)	N/A			None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
15.1	Cash	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
15.2	Microsoft Corporation - Common Stock	N/A	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500
16	iShares iBonds Dec 2023 Corporate	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
17	iShares iBonds Dec 2024 Corporate ETF	Yes	\$15,001 - \$50,000		\$201 - \$1,000

## 6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT	
1	Residential Rental Unit - (Part of Filer's Residence)- Washington, DC	See Endnote	N/A	\$1,000,001 - \$5,000,000	Rent or Royalties	\$15,001 - \$50,000
2	Universal Life Insurance with Protective Life Insurance Company - Variable	No				
2.1	US investment Account (Cash)	N/A	\$15,001 - \$50,000		None (or less than \$201)	
3	Universal Life Insurance with Protective Life Insurance Company - Variable	No				
3.1	US investment Account (Cash)	N/A	\$15,001 - \$50,000		None (or less than \$201)	
4	U.S. Bank (Cash Accounts)	N/A	\$15,001 - \$50,000		None (or less than \$201)	
5	Investment Accounts - Spouse	No				
5.1	Vanguard Total Stock Market ETF	Yes	\$50,001 - \$100,000		\$201 - \$1,000	

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.2	Vanguard Small Cap Value ETF	Yes	\$15,001 - \$50,000		\$201 - \$1,000
5.3	Vanguard Small Cap Growth ETF	Yes	\$15,001 - \$50,000		\$201 - \$1,000
5.4	Vanguard FTSE Developed Markets ETF	Yes	\$15,001 - \$50,000		\$201 - \$1,000
5.5	Vanguard FTSE All-Wld ex-US SmCp Idx ETF	Yes	\$15,001 - \$50,000		\$201 - \$1,000
5.6	U.S. Investment Account (Cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.7	Vanguard Growth ETF	Yes	\$15,001 - \$50,000		None (or less than \$201)
5.8	Vanguard Value ETF	Yes	\$15,001 - \$50,000		\$201 - \$1,000
5.9	DFA Global Equity I	Yes	\$1,001 - \$15,000		None (or less than \$201)
6	Investment Account - Joint	No			
6.1	U.S. Investment Account (Cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.2	DFA INFLATION PROTECTED SECURITIES PORTFOLIO	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.3	DFA INVESTMENT GRADE PORTFOLIO I	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.4	DFA SHORT TERM GOVERNMENT PORTFOLIO	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.5	DFA U.S. CORE EQUITY 2 PORTFOLIO I	Yes	\$15,001 - \$50,000		\$201 - \$1,000
6.6	Vanguard FTSE All-Wld ex-US SmCp Idx ETF	Yes	\$15,001 - \$50,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.7	Vanguard FTSE Developed Markets ETF	Yes	\$1,001 - \$15,000		\$201 - \$1,000
6.8	Vanguard Growth ETF	Yes	\$15,001 - \$50,000		None (or less than \$201)
6.9	Vanguard Small Cap Growth ETF	Yes	\$15,001 - \$50,000		None (or less than \$201)
6.10	Vanguard Small Cap Value ETF	Yes	\$15,001 - \$50,000		\$201 - \$1,000
6.11	Vanguard Value ETF	Yes	\$1,001 - \$15,000		\$201 - \$1,000
6.12	iShares iBonds Dec 2021 Corporate	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.13	DFA US Small Cap	Yes	None (or less than \$1,001)		\$201 - \$1,000
6.14	iShares iBonds Dec 2023 Co	Yes	\$1,001 - \$15,000		\$201 - \$1,000
6.15	iShares iBonds Dec 2022 Corporate	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.16	iShares iBonds Dec 2024 Corporate	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.17	AdvisorShares Pure Cannabis ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.18	Cambria Cannabis ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.19	Vanguard Emerging Markets Stock Index Fund ETF Shares	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.20	DFA International Small Cap Value Portfolio Institutional Class Shares	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.21	Vanguard Total Stock Market Index Fund ETF Class Shares	Yes	\$15,001 - \$50,000		\$201 - \$1,000



#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7	Breach Rx Private Placement	N/A	\$15,001 - \$50,000		None (or less than \$201)
8	Bundle Bit LLC (local restaurant)	N/A	\$15,001 - \$50,000		None (or less than \$201)

## 7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	BreachRX	Purchase	11/20/2019	\$15,001 - \$50,000
2	Microsoft Stock	Sale	10/09/2020	\$15,001 - \$50,000
3	Microsoft Stock	Sale	07/09/2020	\$1,001 - \$15,000
4	Microsoft Stock	Sale	03/26/2020	\$15,001 - \$50,000
5	Microsoft Stock	Sale	03/16/2020	\$1,001 - \$15,000
6	Microsoft Stock	Sale	02/13/2020	\$1,001 - \$15,000
7	Cambria ETF Trust Cannabis ETF	Purchase	12/15/2020	\$1,001 - \$15,000
8	Advisorshares Trust Pure Cannabis ETF	Purchase	12/15/2020	\$1,001 - \$15,000
9	iShares iBonds Dec 2024 Term Corporate ETF (IBDP)	Purchase	01/22/2020	\$1,001 - \$15,000
10	DFA International Core Equity I	Purchase	01/22/2020	\$1,001 - \$15,000
11	iShares iBonds Dec 2023 Term Corp ETF	Sale	03/19/2020	\$1,001 - \$15,000
12	DFA US Large Company I	Sale	03/19/2020	\$1,001 - \$15,000
13	DFA US Large Cap Growth Instl	Sale	03/19/2020	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
14	DFA US Large Cap Value I	Purchase	03/19/2020	\$1,001 - \$15,000
15	DFA US Small Cap I	Purchase	03/19/2020	\$1,001 - \$15,000
16	DFA US Micro Cap I	Purchase	03/19/2020	\$1,001 - \$15,000
17	iShares iBonds Dec 2020 Term Corp ETF	Sale	12/18/2020	\$1,001 - \$15,000
18	iShares iBonds Dec 2024 Term Corporate ETF (IBDP)	Purchase	01/22/2020	\$1,001 - \$15,000
19	Vanguard Small-Cap Growth Index Fund ETF Class Shares (VBK)	Purchase	03/20/2020	\$1,001 - \$15,000
20	Vanguard Small-Cap Value Index Fund ETF Class Shares (VBR)	Purchase	03/20/2020	\$1,001 - \$15,000
21	Vanguard Value ETF	Purchase	03/20/2020	\$1,001 - \$15,000
22	Vanguard FTSE Developed Markets ETF	Purchase	03/20/2020	\$1,001 - \$15,000
23	Vanguard FTSE All-Wld ex-US SmCp ETF	Purchase	03/20/2020	\$1,001 - \$15,000
24	Vanguard Total Stock Market ETF	Purchase	03/20/2020	\$15,001 - \$50,000
25	iShares iBonds Dec 2021 Term Corp ETF	Sale	03/20/2020	\$1,001 - \$15,000
26	iShares iBonds Dec 2024 Term Corp ETF	Sale	03/20/2020	\$1,001 - \$15,000
27	iShares iBonds Dec 2022 Term Corp ETF	Sale	03/20/2020	\$1,001 - \$15,000
28	iShares iBonds Dec 2023 Term Corp ETF	Sale	03/20/2020	\$1,001 - \$15,000
29	iShares iBonds Dec 2020 Term Corp ETF	Sale	03/20/2020	\$1,001 - \$15,000
30	DFA International Small Company I	Sale	03/20/2020	\$1,001 - \$15,000
31	DFA International Core Equity I	Sale	03/20/2020	\$1,001 - \$15,000
32	DFA US Large Company I	Sale	03/20/2020	\$1,001 - \$15,000
33	DFA Emerging Markets Core Equity I	Sale	03/20/2020	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
34	DFA US Large Cap Growth Instl	Sale	03/20/2020	\$1,001 - \$15,000
35	DFA US Large Cap Value I	Sale	03/20/2020	\$1,001 - \$15,000
36	DFA US Small Cap I	Sale	03/20/2020	\$15,001 - \$50,000
37	DFA US Micro Cap I	Sale	03/20/2020	\$1,001 - \$15,000
38	iShares Russell 2000 Growth ETF	Purchase	12/15/2020	\$1,001 - \$15,000
39	iShares Russell 2000 Growth ETF	Sale	12/16/2020	\$1,001 - \$15,000
40	DFA International Small Cap Value I	Purchase	12/15/2020	\$1,001 - \$15,000
41	Vanguard FTSE Emerging Markets ETF	Purchase	12/16/2020	\$1,001 - \$15,000
42	iShares iBonds Dec 2020 Term Corp ETF	Sale	12/18/2020	\$1,001 - \$15,000
43	BreachRX	Purchase	11/20/2019	\$15,001 - \$50,000
44	iShares iBonds Dec 2024 Term Corp ETF	Purchase	01/22/2020	\$1,001 - \$15,000
45	iShares iBonds Dec 2024 Term Corp ETF	Purchase	01/22/2020	\$15,001 - \$50,000
46	DFA International Core Equity I	Purchase	01/22/2020	\$1,001 - \$15,000
47	iShares iBonds Dec 2021 Term Corporate ETF	Sale	02/28/2020	\$15,001 - \$50,000
48	iShares iBonds Dec 2022 Term Corp ETF	Sale	02/28/2020	\$15,001 - \$50,000
49	iShares iBonds Dec 2023 Term Corp ETF	Sale	02/28/2020	\$15,001 - \$50,000
50	iShares iBonds Dec 2024 Term Corp ETF	Sale	02/28/2020	\$15,001 - \$50,000
51	iShares iBonds Dec 2020 Term Corp ETF	Sale	02/28/2020	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
52	DFA International Small Company I	Purchase	02/28/2020	\$15,001 - \$50,000
53	DFA US Large Cap Growth Instl	Purchase	02/28/2020	\$1,001 - \$15,000
54	DFA US Large Company I	Purchase	02/28/2020	\$15,001 - \$50,000
55	DFA US Micro Cap I	Purchase	02/28/2020	\$1,001 - \$15,000
56	DFA US Small Cap I	Purchase	02/28/2020	\$15,001 - \$50,000
57	DFA US Large Cap Value I	Purchase	02/28/2020	\$15,001 - \$50,000
58	DFA International Core Equity I	Purchase	02/28/2020	\$15,001 - \$50,000
59	iShares iBonds Dec 2020 Term Corp ETF	Sale	03/19/2020	\$1,001 - \$15,000
60	iShares iBonds Dec 2021 Term Corp ETF	Sale	03/19/2020	\$1,001 - \$15,000
61	DFA US Large Cap Growth Instl	Sale	03/19/2020	\$15,001 - \$50,000
62	DFA US Large Company I	Sale	03/19/2020	\$15,001 - \$50,000
63	DFA International Small Company I	Purchase	03/19/2020	\$1,001 - \$15,000
64	DFA US Small Cap I	Purchase	03/19/2020	\$15,001 - \$50,000
65	DFA US Micro Cap I	Purchase	03/19/2020	\$15,001 - \$50,000
66	iShares iBonds Dec 2020 Term Corp ETF	Sale	12/18/2020	\$15,001 - \$50,000
67	Vanguard Total Stock Market ETF	Sale	03/19/2020	\$1,001 - \$15,000
68	Vanguard Small-Cap Value ETF	Purchase	03/19/2020	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
69	Vanguard Growth ETF	Purchase	03/19/2020	\$1,001 - \$15,000
70	Vanguard Value ETF	Purchase	03/19/2020	\$1,001 - \$15,000
71	Vanguard Growth ETF	Purchase	03/19/2020	\$1,001 - \$15,000
72	Vanguard Value ETF	Purchase	03/19/2020	\$1,001 - \$15,000
73	Vanguard FTSE Developed Markets ETF	Purchase	03/19/2020	\$1,001 - \$15,000
74	Vanguard FTSE Developed Markets ETF	Purchase	03/19/2020	\$1,001 - \$15,000
75	Vanguard FTSE All-Wld ex-US SmCp ETF	Purchase	03/19/2020	\$1,001 - \$15,000
76	Vanguard FTSE All-Wld ex-US SmCp ETF	Purchase	03/19/2020	\$1,001 - \$15,000
77	Vanguard Small-Cap Growth ETF	Purchase	03/19/2020	\$1,001 - \$15,000
78	Vanguard Small-Cap Value ETF	Purchase	03/19/2020	\$1,001 - \$15,000
79	DFA US Micro Cap I	Sale	03/19/2020	\$1,001 - \$15,000
80	DFA US Micro Cap I	Sale	03/19/2020	\$1,001 - \$15,000
81	DFA US Small Cap I	Sale	03/19/2020	\$1,001 - \$15,000
82	DFA US Large Company I	Sale	03/19/2020	\$1,001 - \$15,000
83	DFA US Large Company I	Sale	03/19/2020	\$1,001 - \$15,000
84	DFA US Small Cap I	Sale	03/19/2020	\$1,001 - \$15,000
85	DFA US Large Cap Value I	Sale	03/19/2020	\$1,001 - \$15,000
86	DFA Global Equity I	Purchase	06/02/2020	\$1,001 - \$15,000

## 8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Mr Cooper - 1st Mortgage	Mortgage on Personal Residence	\$250,001 - \$500,000	2014	3.625	30 year
2	BofA - HELOC	Mortgage on Personal Residence	\$100,001 - \$250,000	2015	Prime Plus 0.75	HELOC

## 9. Gifts and Travel Reimbursements

None

## Endnotes

PART	#	ENDNOTE
3.	1	Formerly DBA Comsat Corporation
6.	1	Residential Rental Unit (part of filer's residence), Washington, DC. The total value of the property was provided.

# Summary of Contents

## 1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

## 2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

#### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

#### 5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

#### 6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).



## 7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

## 8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

## 9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

## Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

## Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).

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